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1 **MICROBUBBLES FOR ACOUSTICALLY MEDIATED DRUG DELIVERY TO THE INNER EAR**

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33 **Abstract**

34 The blood-labyrinth barrier (BLB) is a selective endothelial barrier that maintains the homeostasis of  
35 the inner ear and protects it against toxic molecules and pathogens. This highly selective barrier  
36 represents a significant challenge for the delivery of therapeutic agents to the inner ear. To overcome  
37 this issue, various drug delivery methods have been developed. Among these modalities,  
38 microbubble-assisted ultrasound is an innovative and promising method for the non-invasive,  
39 targeted and efficient delivery of therapeutic agents through the round window membrane. The  
40 safety and the efficacy of this physical modality is strongly dependent of physiological properties of  
41 the targeted tissue, the pharmacological properties of the therapeutic molecules, the ultrasound  
42 parameters but also microbubble-related properties. The present review focuses on the current state  
43 of MB formulations and their use for the acoustically mediated inner ear drug delivery.

44 Keywords: sonoporation; microbubbles; ultrasound; drug delivery; inner ear; round window  
45 membrane.

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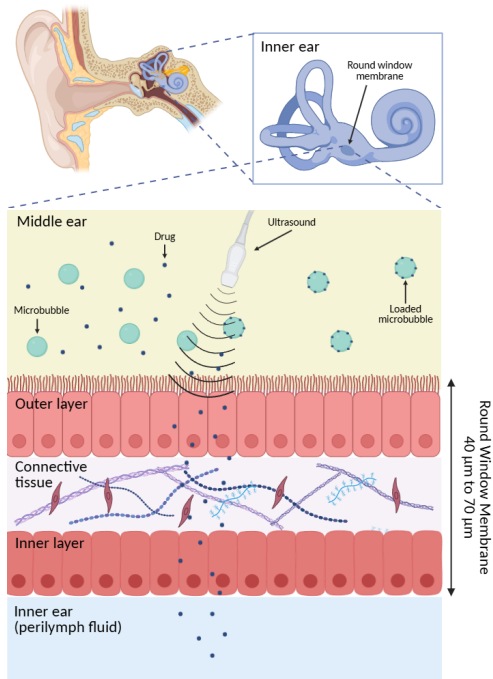


Figure 1: Principle of drug delivery using MB-assisted US through the round window membrane. Made with BioRender.

50 Abbreviations:

- 51 AAV: Adeno-associated virus  
52 BLB: Blood-labyrinth barrier  
53 Biotin-FITC: Biotin fluorescein isothiocyanate  
54 CS-AuNPs: Nano-chitosan capped gold nanoparticles  
55 C<sub>3</sub>F<sub>8</sub>: Octafluoropropane  
56 C<sub>4</sub>F<sub>10</sub>: Perfluorobutane  
57 DPPA: Di-palmitoyl-phosphoric acid  
58 5000-DPPE: 500-Di-palmitoyl-phosphatidylethanolamine  
59 DPPC: Di-palmitoyl-phosphatidylcholine  
60 DPPG: Di-palmitoyl-phosphoglycerol  
61 DSPC: Di-stearoyl-phosphatidyl-choline  
62 DSPE-PEG 2000: Di-stearoyl-phosphoryl-ethanolamine-polyethylene glycol 2000  
63 HEI-OC1: Mouse cochlear hair cell line  
64 HEPS: Hydrogenated egg yolk phosphatidylserine  
65 HSA: Human serum albumin  
66 IGF-1: Insulin-like growth factor-1  
67 MB: Microbubble  
68 MM1: Vevo MicroMarker-1  
69 PEG: Polyethylene glycol  
70 P407: Poloxamer 407  
71 PRISMA: Preferred reporting items for systematic review and meta-analysis  
72 RNA: Ribonucleic acid  
73 RWM: Round window membrane  
74 SNHL: Sensorineural hearing loss  
75 Cy3 siNOX4: Cellular uptake of cyanine 3 small interfering NADPH oxidase 4  
76 SF<sub>6</sub>: Sulfur hexafluoride  
77 US: Ultrasound

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## 96 INTRODUCTION

97 The inner ear is a complex and essential organ of the auditory system, playing a crucial role in  
98 both hearing and balance. It consists of two main structures: the cochlea and the vestibule. The inner  
99 ear can be susceptible to various pathologies, particularly sensorineural hearing loss (SNHL), which is  
100 the most common sensory deficit worldwide. It is estimated that approximately 430 million people  
101 globally are affected, with projections rising to 700 million by 2050 <sup>1</sup>.

102 The management of hearing loss is a critical concern within the medical community due to its  
103 significant impact on daily life. Hearing loss can profoundly affect communication, education, and  
104 psychosocial well-being of patients. Additionally, the economic burden associated with hearing loss  
105 presents a substantial societal challenge <sup>2</sup>. Currently, the rehabilitation of auditory function in cases  
106 of SNHL relies on various therapeutic modalities, including hearing aids and cochlear implants. These  
107 treatments are selected based on the severity and type of hearing loss. However, some are not  
108 suitable for all individuals and may have limitations regarding accessibility and efficacy. Currently,  
109 there are no approved pharmacological treatments that specifically target sensory dysfunction of the  
110 inner ear or promote sensory recovery <sup>3</sup>. Recent research has been directed at investigating novel  
111 approaches, such as gene therapy and hair cell regeneration within the inner ear <sup>4</sup>.

112 Much research has been directed at delivering therapeutic agents to the inner ear to protect  
113 or restore auditory function. Several administration routes of these agents have been investigated,  
114 including systemic delivery via intravenous or oral routes, as well as transtympanic and intracochlear  
115 injections. Transtympanic injection is commonly used in clinical practice because it is less invasive  
116 compared to the intracochlear administration. In addition, transtympanic injection allows for local  
117 administration of high drug concentrations while minimizing systemic exposure and side effects.  
118 However, this delivery method presents several challenges due to the anatomical and physiological  
119 complexity of the inner ear. The inner ear is located deep in the dense temporal bone, which limits  
120 direct access. The first anatomical barrier is the tympanic membrane. Once the therapeutic agent  
121 reaches the middle ear, its volume is further reduced due to partial drainage through the auditory  
122 tube. Ultimately, drug delivery to the inner ear primarily occurs via passive diffusion across the round  
123 window membrane (RWM). This diffusion process is significantly restricted by several factors,  
124 including the presence of the blood-labyrinth barrier (BLB) <sup>5,6</sup>. The RWM consists of three cellular  
125 layers formed by endothelial cells connected by tight junctions, which severely limit the diffusion of  
126 many therapeutic agents. The molecular size, the concentration, the lipophilicity, and the electrical  
127 charge of these molecules strongly influence their permeability through the RWM.

128 To overcome limitations of current treatments, innovative modalities, such as microbubble-  
129 assisted ultrasound (MB-assisted US) have been developed and validated to enhance drug delivery  
130 to target organs. This modality (*i.e.*, “sonoporation” or “sonopermeation”) relies on the combined  
131 use of US and MB to increase the membrane permeability of biological barriers (*e.g.*, BLB, blood-  
132 tumor barrier, plasma membrane, *etc.*) to therapeutic agents and to facilitate their delivery to target  
133 cells and tissues <sup>7</sup>. The exposure of MBs to US waves induces their volumetric oscillations, which,  
134 depending on the cavitation regime (stable *versus* inertial), generate multiple local acoustic  
135 phenomena (*e.g.*, push/pull forces, microstreaming, shock waves, and microjets) near biological  
136 barriers. These acoustic phenomena increase the native permeability of these barriers through the

137 stimulation of intracellular (*i.e.*, formation of membrane pore, stimulation of endocytosis),  
138 paracellular (*i.e.*, disruption of tight junctions) and transcellular pathways (*i.e.*, transcytosis) <sup>8,9</sup>. MB-  
139 assisted US is a promising modality for the delivery of wide range of therapeutic agents (*e.g.*, nucleic  
140 acids, chemotherapeutics, antibiotics, antibodies, immune and stem cells). These therapeutic agents  
141 can be either coadministered or sequentially administered with MBs or loaded within MB core or  
142 on/within the MB shell. The exposure of drug-loaded MBs to US can trigger drug release from MBs  
143 in a site-specific manner. This US modality is undergoing preclinical and clinical investigations in  
144 multiple medical fields, including oncology <sup>10</sup>, neurology <sup>11</sup> and cardiology <sup>12,13</sup>. More recently, drug  
145 delivery using MB-assisted US has been reported for the treatment of inner ear disorders (Figure 1)  
146 <sup>14</sup>. Indeed, this modality increased the native permeability of RWM *in-vivo* <sup>15</sup>. Several preclinical  
147 investigations described the reversible permeabilization of the outer epithelial layer of the RWM <sup>16,17</sup>  
148 in animal models, including guinea pigs <sup>16,18-20</sup>. Studies have reported the absence of ototoxic effects  
149 on cochlear function, thus demonstrating the safety of MB-assisted US <sup>20,21</sup>. The efficacy and the  
150 safety of this US modality are dependent on several key parameters including (i) the  
151 pathophysiological properties of the target tissues, (ii) the US parameters and device, (iii) the  
152 therapeutic scheme, and (iv) the pharmacological and physicochemical properties of therapeutic  
153 agents and MBs <sup>22,23</sup>.

154 In this review, we focus on MBs, describing their formulation as well as their properties for *in-*  
155 *vitro* and *in-vivo* inner ear drug delivery. The different drug delivery strategies including the use of  
156 bare MBs (coadministration and sequential administration) and drug-loaded MBs are also compared.  
157 The limitations of the existing literature and future research directions are also discussed.

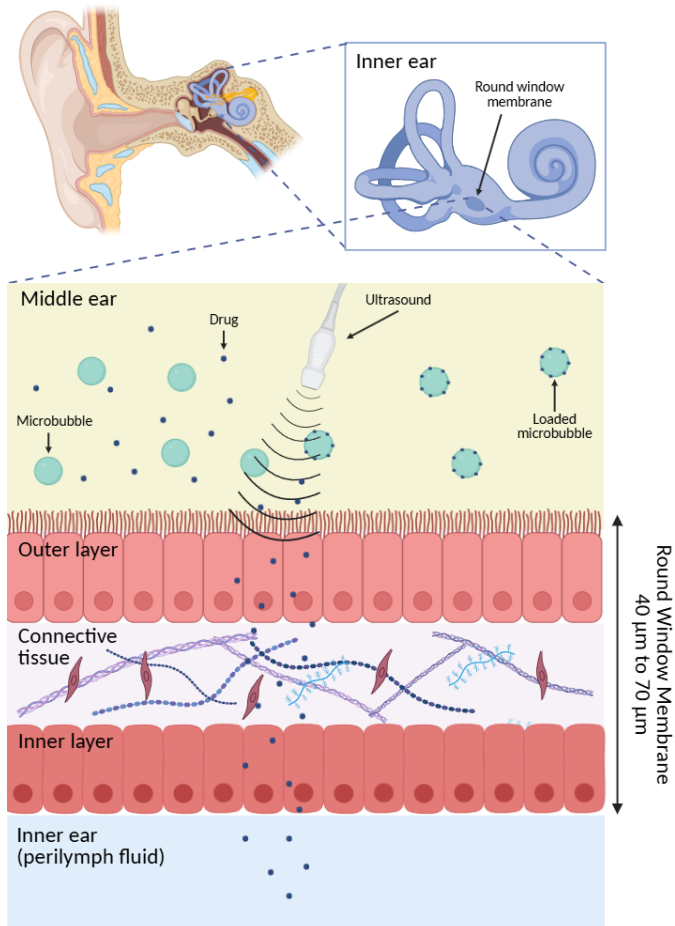


Figure 1: Principle of drug delivery using MB-assisted US through the round window membrane. Made with BioRender.

159 1. METHODS

160 Using the Preferred Reporting Items for Systematic Review and Meta-Analysis (PRISMA)  
 161 recommended methods <sup>24</sup>, MEDLINE (via PubMed®), the Cochrane Central Register of Controlled  
 162 Trials (CENTRAL, via Wiley), and Web of Science™, electronic databases were screened using  
 163 predefined search dates (January 1995 – January 2025) and specific terms for *in-vitro* and *in-vivo* drug  
 164 delivery in the inner ear using MB-assisted US. The search terms used in these databases were  
 165 ((loaded microbubbles) AND (inner ear)) OR ((charged microbubbles) AND (inner ear)) OR ((loaded  
 166 microbubbles) AND (hearing loss)) OR ((charged microbubbles) AND (hearing loss)). An “English  
 167 language” filter was applied. Table 1 summarizes the inclusion and exclusion criteria. The selection  
 168 process began in November 2024 and was completed in March 2025. This process is outlined in Figure  
 169 2.

170 A formal risk-of-bias assessment was not performed in this review. The included studies  
 171 consisted exclusively of heterogeneous preclinical *in-vitro* and *in-vivo* experiments, for which no  
 172 validated and universally accepted risk-of-bias tools currently exist. The marked variability in  
 173 experimental designs, biological models, US protocols, MB formulations, and outcome measures  
 174 would limit the relevance and reliability of applying standardized assessment tools such as SYRCLE or  
 175 ARRIVE-based metrics. For these reasons, and in line with several recent preclinical systematic  
 176 reviews, we chose not to conduct a formal bias evaluation. This methodological limitation is  
 177 acknowledged and addressed in the Discussion section.

178 Nineteen articles were analysed. After review, five articles were excluded because three did  
 179 not concern MB-assisted US procedures, one was about nephrology, and one was a review. A total of  
 180 fourteen articles were thus included in this review (Figure 2). Studies were performed *in-vitro* or on  
 181 animals.  
 182

Table 1. Inclusion and exclusion criteria used to select studies

Inclusion criteria	Exclusion criteria
<i>In-vitro, In-vivo</i>	<i>In-silico</i>
Inner ear diseases	Not concerned with the inner ear
RWM opening and drug delivery	Drug delivery with US only or MBs only
English	Review papers, comments, and letters
MB-assisted US	Other languages

Abbreviations: MB: Microbubble; RWM: Round window membrane; US: Ultrasound.

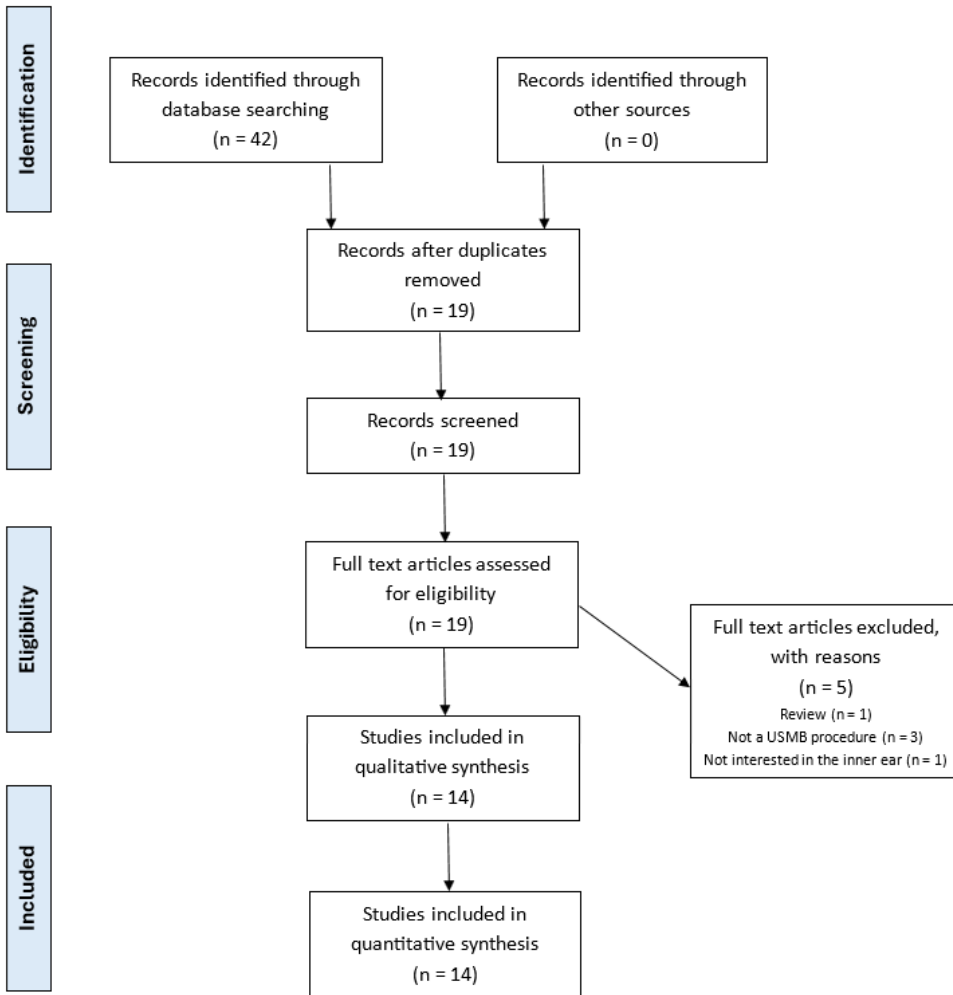


Figure 2: PRISMA Flow Diagram. The PRISMA diagram details the search and selection process applied during the review.

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## 2. RESULTS

191 MBs are micrometer-sized colloidal particles (1–10  $\mu\text{m}$ ) with a thin biocompatible shell that  
192 encapsulates a gas core, suspended in an aqueous medium (Figure 3)<sup>25</sup>. MBs are commonly mixed  
193 with auditory cells for *in-vitro* studies or administered intravenously or locally for *in-vivo* inner ear  
194 drug delivery purposes. Their response to US stimulation depends on (i) acoustic parameters (*e.g.*,  
195 center frequency, acoustic pressure, pulse duration, *etc.*), (ii) physiological environment surrounding  
196 the MBs (*e.g.*, tissue perfusion, blood pressure, proximity of target cells/biological barriers, *etc.*), and  
197 (iii) the intrinsic properties of the MBs (*e.g.*, shell composition, gas, targeting, size, biocompatibility,  
198 compressibility, stability, *etc.*) and other MB-related parameters (*e.g.*, dose, administration route,  
199 pharmacokinetics, biodistribution, *etc.*). In this section, we review the physicochemical and  
200 pharmacological properties of MBs used for drug delivery in general and for inner ear drug delivery,  
201 specifically.

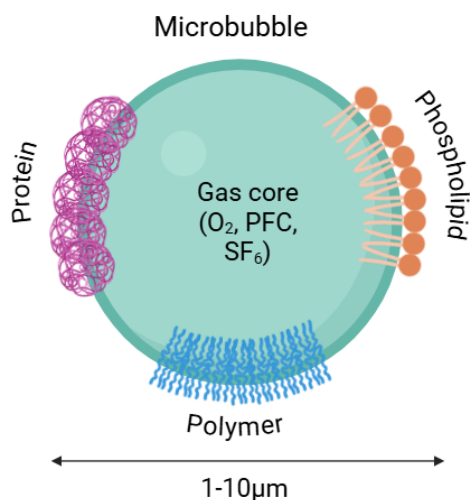


Figure 3: Scheme of microbubble. Made with BioRender.

### 3.1. General concepts of MBs

#### 3.1.1. Gas

207 Historically, the early generations of MBs consisted of air core encapsulated by a  
208 biocompatible shell. However, these air-filled MBs dissolve in the bloodstream within seconds after  
209 intravenous injection due to the high solubility of air in blood and their limited resistance to arterial  
210 pressure gradients. To overcome these issues, a second generation of MBs was developed, which  
211 were filled with a single heavy-weight hydrophobic gas, including perfluorocarbon (*e.g.*, Definity®  
212 MBs), sulfur hexafluoride (SF<sub>6</sub>; *e.g.*, SonoVue® MBs), or a mixture of gases such perfluorocarbon and  
213 nitrogen (*e.g.*, Vevo MicroMarker® MBs). SF<sub>6</sub>-filled MBs are valued for their high compressibility and

214 low solubility in water, properties that contribute to their stability during US exposure. However, their  
215 relatively short half-life in blood—due to the faster dissolution of SF<sub>6</sub> in biological fluids compared to  
216 perfluorinated gases—limits their suitability for applications requiring prolonged US exposure<sup>26</sup>. In  
217 contrast, perfluorinated gases are characterized by higher density and lower solubility in blood, which  
218 slows gas diffusion between the MB core and the surrounding medium<sup>27</sup>. These properties result in  
219 a longer circulation time, making them particularly advantageous for sequential injection protocols,  
220 where there is a delay between MB administration and US exposure. Nevertheless, the increased  
221 density of perfluorinated gases may attenuate the acoustic response of the MBs, potentially reducing  
222 the efficiency of MB-assisted US. Currently, perfluorinated gases are preferred for the design of MBs  
223 for diagnostic and therapeutic applications; MBs containing therapeutic gases such as oxygen are also  
224 described for therapeutic applications in oncology<sup>28</sup>.

225 The nature and quantity of gas in the MB core significantly influence the MB stability, their  
226 circulation persistence, the acoustic behavior, as well as their efficacy to permeabilize biological  
227 barriers and deliver therapeutic agents. The gas core of a MB is significantly more compressible (by  
228 several orders of magnitude) than an equivalent volume of blood. This high compressibility, combined  
229 with the low density of the core, creates a considerable impedance mismatch between the MBs and  
230 the surrounding medium, making them responsive to US.

### 231 3.1.2. MB shell

232 As mentioned above, MBs are gaseous bodies surrounded by a thin and biocompatible shell.  
233 This shell is approximately 5 to 200 nm thick. This thickness depends on the nature of the  
234 biocompatible elements that compose it. The shell of main commercial and clinically approved MBs,  
235 including SonoVue<sup>®</sup>, Definity<sup>®</sup> and Sonozoid<sup>®</sup> MBs, is made up of a lipid monolayer; differently,  
236 Optison<sup>®</sup> MBs utilize a human albumin shell<sup>29–31</sup>. The lab-made MBs are mainly lipid-shelled, but  
237 polymer- (*e.g.*, polylactic-co-glycolic) and protein-shelled MBs are also described<sup>32</sup>. The MB surface  
238 can be also functionalized with ligands and antibodies (*e.g.*, full size or fragments) to target receptors  
239 expressed (or overexpressed in pathophysiological context) on target cell membranes<sup>33</sup>. Such  
240 targeting agents are bound to the MB surface through electrostatic interactions or the use of  
241 pegylated shell components carrying conjugation agents such as thiol-maleimide, disulfide, or biotin  
242<sup>34</sup>. The MBs can carry one or more targeting agents to increase their targeting specificity. Currently,  
243 targeted MBs are mainly designed as US contrast agents for US molecular imaging for the diagnosis  
244 and the characterization of cancers and inflammatory disorders. However, they may also be exploited  
245 for drug delivery purposes. Indeed, the targeted accumulation of these MBs on the cells or tissues  
246 ensures the precise, efficient and safe permeabilization of these targets, as well as the effective and  
247 secure delivery of therapeutic agents. Nevertheless, more preclinical and clinical investigations are  
248 required to provide relevant evidence of their use for drug delivery.

249 The primary role of the MB shell is to enhance the *in-vivo* MB stability by preventing MB  
250 coalescence, by increasing their resistance to arterial pressure gradients, and by reducing gas  
251 dissolution in the blood<sup>35</sup>. Thus, the circulation lifetime of MBs is often short-lived, typically 3-5 min  
252 for SonoVue<sup>®</sup> MBs. However, this lifetime can increase to tens of minutes depending on the  
253 composition of the MB shell (*e.g.*, PEGylated and polymeric MBs). The shell composition governs the

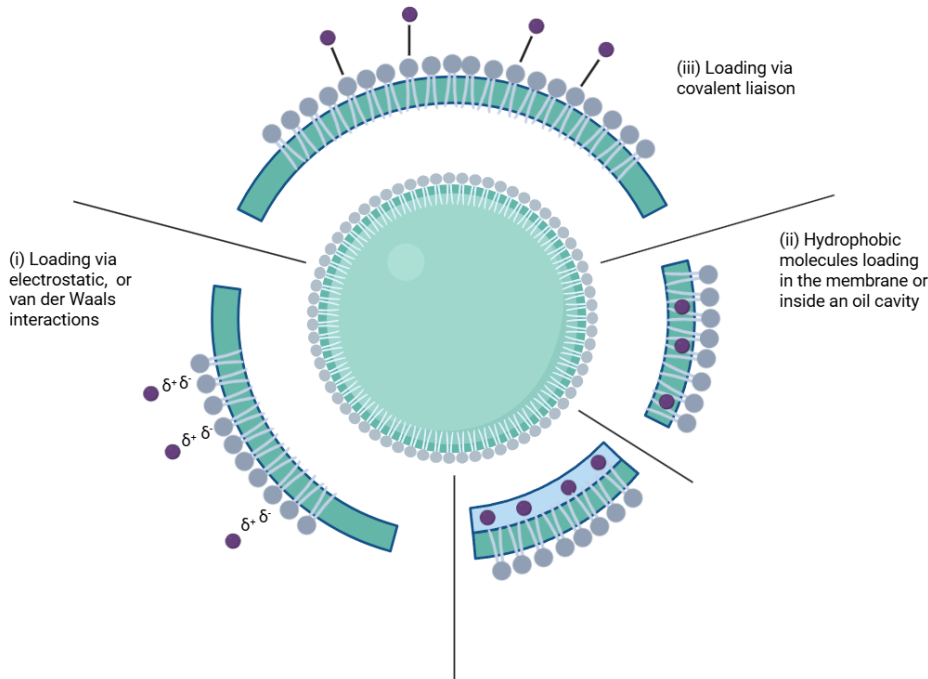
254 viscoelastic properties of MB shell, which strongly influence the acoustic behavior of MBs under US  
255 exposure. Indeed, lipid-shelled MBs are characterized by a thin and flexible shell (“soft-shelled MBs”),  
256 thus providing a great acoustic response <sup>36</sup>. On the contrary, the protein- and polymer-shelled MBs  
257 are defined as “hard-shelled MBs” because their shells are thicker and more rigid than those of lipid-  
258 shelled MBs. These properties provide hard-shelled MBs greater stability but only moderate acoustic  
259 behavior, compared to soft-shelled MBs under similar US conditions <sup>37,38</sup>. Consequently, adjustments  
260 in the chemical composition of shell and/or US parameters are required to improve the dynamic  
261 response of MBs under US exposure <sup>39,40</sup>.

262 The charge surface of MBs plays a major role in imaging as well as therapeutic applications <sup>41</sup>.  
263 This parameter influences contrast enhancement, targeting efficiency, and circulation times by  
264 affecting the bulk interactions between the MBs and their environment (including proteins, cells and  
265 tissues). As recently reported <sup>42,43</sup>, positively charged MBs showed a higher sensitivity and  
266 effectiveness in imaging applications than do neutral and negatively charged MBs, because of their  
267 stronger interactions with negatively charged biological targets (*e.g.*, endothelial cells or vascular  
268 structures). These interactions enhance MB retention at the imaging site, resulting in a stronger  
269 imaging signal that is useful for high resolution imaging <sup>44</sup>. As mentioned above, positively charged  
270 MBs are also relevant for therapeutic applications. Indeed, they can be carrier free or have complexed  
271 nucleic acids on their surface for gene delivery <sup>45</sup>. In contrast, negatively charged MBs are retained  
272 within capillaries via complement-mediated attachment to endothelium and thus exhibit longer  
273 circulation times than do neutral and positively charged MBs <sup>46</sup>. This property is exploited in imaging  
274 applications that require extended observation periods, in therapeutic applications for the repeated  
275 delivery of drugs in the target tissues, or in the delivery of long circulating drugs. Neutral MBs offer a  
276 balanced performance across all parameters <sup>42</sup>. For all these reasons, the commercially- and clinically-  
277 approved MBs, as well as lab-made MBs are mainly neutral or negatively charged.

### 278 3.1.3. MBs as drug vehicles

279 For the past 10 years, MBs have been also engineered to serve as drug carriers. As shown in  
280 Figure 4, lipophilic drugs can be embodied into the MB shell through hydrophobic interactions or  
281 dissolved in an oil cavity located between the gas core and the MB shell <sup>47-49</sup>. In addition, nucleic acids  
282 (*e.g.*, plasmid DNA, siRNA, miRNA, *etc.*) can be also loaded on surface of the cationic MBs through  
283 electrostatic interactions. While these strategies seem to be promising, the size of MBs and their  
284 gaseous lumen can restrict their drug loading capacity, thus not allowing the therapeutic dose to be  
285 reached <sup>40</sup>. The first approach to overcome such issue is the binding of drug-loaded nanoparticles  
286 (with hydrophilic or hydrophobic core as function of the nature of drug) or nucleic acid-packed  
287 nanocomplexes (with cationic lipids or polymers) on the MB surface through electrostatic or covalent  
288 interactions. In addition, the loading efficiency can be enhanced by applying multiple layers of these  
289 nanoparticles or nanocomplexes around the MB shell <sup>50</sup>. This approach may not be required for  
290 polymeric MBs because several studies reported that significant quantity of drugs (more specifically  
291 for hydrophobic rather than hydrophilic drugs) can be loaded into their shells. Some studies have  
292 explored the potential of loading MB with viral vectors with for gene therapy purposes <sup>51</sup>. The

293 administration of high doses of drug-loaded MBs or their repeated administration also constitute two  
294 other technical alternatives to achieve therapeutic doses. These options are discussed further below.



295  
296 *Figure 4: Schematic representation of the various options for the design of drug loaded microbubble. Made with BioRender.*

297  
298 Drug-loaded MBs offer several advantages over bare MBs. Drug-loaded MBs protect the drug  
299 against its degradation and clearance, thus enhancing its circulation lifetime and minimizing adverse  
300 effects on healthy tissues. In addition, drug-loaded MBs can release the drug in a controlled and  
301 specific manner into the target cells or tissues under the effect of US stimulation, ensuring that both  
302 the MBs and drug will be in contact with the target cells and tissues at the same time when the US is  
303 applied, thus maximizing the chances of effective drug delivery. However, loading drugs into MBs can  
304 alter the pharmacological properties of the drugs (*e.g.*, pharmacokinetics, biodistribution,  
305 pharmacodynamics) as well as the acoustic properties of the MBs. Therefore, further studies are  
306 needed to investigate these potential effects. Currently, drug-loaded MBs are mainly investigated in  
307 preclinical studies for the treatment of cancers, cardiovascular and brain disorders <sup>52</sup>. These MBs  
308 represent new therapeutic entities, which require extensive and expensive testing to evaluate their  
309 safety and efficacy for their clinical approval.

#### 310 3.1.4. MB size

311 The original development of MBs was to provide image contrast while remaining safe for  
312 intravenous injection by restricting their size to be  $< 10 \mu\text{m}$  <sup>53</sup>. Thus, the average diameter is  $2.5 \mu\text{m}$   
313 for clinically approved and most lab-made MBs, though their diameter can range from 1 to  $10 \mu\text{m}$ .

314 They also show a very broad polydispersity in size. Because to their micrometer size range, MBs are  
315 restricted to the vasculature and pass through the pulmonary system after a standard intravenous  
316 injection (*i.e.*, bolus or infusion). They serve as pure intravascular tracers for imaging purposes, as  
317 well as therapeutic MBs for systemic drug delivery <sup>54</sup>.

318 Drug delivery using MB-assisted US requires that MBs be present in close contact with the  
319 target cells or tissues to increase their permeability, albeit reversibly <sup>55</sup>. This permeabilization does  
320 not occur in the absence of MBs, making the MBs a key component of this modality. As discussed  
321 above, US-induced volumetric oscillations of MBs play a major role in the reversible permeabilization  
322 of biological barriers. Several *in-silico* and *in-vitro* investigations reported that the oscillation  
323 amplitude of MBs, their resonance frequency, their pressure threshold for cavitation (*e.g.*, stable or  
324 inertial), their translational velocity, the lifetime of MB oscillation all depend on the environment  
325 surrounding the MBs as well as the size of the MBs <sup>56-60</sup>. While MBs must remain within the  
326 micrometer range for safety during *i.v.* administration, recent evidence highlights that monodisperse  
327 MBs respond more uniformly to US, as all MBs in the population share a similar resonance frequency.  
328 In contrast, only a fraction of a polydisperse MB population will resonate effectively at a given  
329 frequency and pressure <sup>61</sup>. This has direct implications for acoustically mediated drug delivery:  
330 polydispersity reduces the overall efficiency of barrier permeabilization and intracellular uptake, as  
331 shown in multiple *in-vitro* and *in-vivo* studies <sup>62-64</sup>. Furthermore, MB size heterogeneity affects drug  
332 loading and release behavior. Larger MBs generally offer greater surface area and shell volume for  
333 drug loading, whereas smaller MBs may load significantly less drug and undergo faster destruction  
334 under US. As a result, polydisperse formulations can lead to heterogeneous loading efficiencies and  
335 unpredictable release kinetics. Monodisperse MBs, which can be produced with microfluidic  
336 technologies, provide more consistent oscillation patterns and thus more reproducible and  
337 controllable drug delivery profiles. However, these monodisperse formulations are not yet clinically  
338 approved.

### 339 3.1.5. Administration route and mode

340 For *in-vitro* experiments, a monolayer of adherent cells or a suspension of cells are incubated  
341 with a mixture of MBs and the therapeutic agents or drug-loaded MBs <sup>65,66</sup>. Then, US waves are  
342 immediately applied. For *in-vitro* experiments, MB concentrations commonly range from  $10^2$  to  $10^4$   
343 MBs/mL. In the case of separate administration, MBs and therapeutic agents can be handled entirely  
344 independently prior to their co-incubation with cells. In contrast, drug-loaded MBs are directly  
345 incubated with cells as a single formulation. Notably, while the concentration and volume of MBs and  
346 therapeutic agents can be independently adjusted in the former approach, they are inherently  
347 interdependent in the latter. More recently, MB-assisted US have also used to deliver therapeutic  
348 agents in tumor spheroids and organoids <sup>67,68</sup>.

349 *In-vivo*, intravenous administration of MBs is most commonly used, providing access to  
350 superficial and deep-seated tissues <sup>69,70</sup>. Variable concentrations of MBs, ranging from  $10^4$  to  $10^{10}$   
351 MBs/mL, can be injected intravenously. However, the choice of MB concentration is often empirical  
352 and poorly explained in *in-vivo* studies <sup>18,71</sup>. The intravenous route is a relatively easy and safe way for  
353 injection of MBs as well as therapeutic agents in a clinical setting. The main limitations of this route

354 are: (i) the short circulation time of MBs, which need to be sufficiently accumulated in the vasculature  
355 of the targeted tissues and exposed to US within minutes after intravenous injection, and (ii) the rapid  
356 plasma clearance of therapeutic agents and their unspecific accumulation in healthy tissues. As  
357 previously discussed, the formulation of MBs and drugs can be optimized to overcome these  
358 shortcomings. It is important to note that the success of acoustically mediated drug delivery through  
359 intravenous administration of MBs and therapeutic agents relies on the sufficient vascularization of  
360 the targeted tissues. By using the intravenous route, the MBs and the therapeutic agents can either  
361 be co-administered or administered sequentially (*i.e.*, an intravenous injection of MBs followed by  
362 another injection of the therapeutic agents, or vice versa). The choice between administration  
363 modalities depends on the physicochemical and pharmacological properties of the therapeutic  
364 agents. Both offer the advantage that clinically approved MBs and therapeutic agents can be delivered  
365 directly in humans without additional approval from health authorities, compared to drug-loaded  
366 MBs<sup>72-74</sup>. In addition, they can be handled completely independently until their injection, unlike drug-  
367 loaded MBs.

368 Local administration routes, such as intratissue or intracavitary injection, represent the most  
369 direct approach for delivering therapeutic agents<sup>20,75,76</sup>. A wide range of MB concentrations, typically  
370 spanning from 10<sup>4</sup> to 10<sup>6</sup> MBs/mL, has been reported for local injection. Compared to systemic  
371 administration, this strategy offers several advantages, including the circumvention of the  
372 transendothelial barrier and the generation of transient interstitial pressure gradients. It enables the  
373 localized delivery of high concentrations of therapeutic agents to the target tissue while minimizing  
374 systemic exposure and off-target effects. Additionally, local injection can overcome challenges related  
375 to the short plasma half-life of therapeutic agents and MBs observed with intravenous administration.  
376 Once injected within the tissue, therapeutic agents and MBs are distributed within via diffusion and  
377 convection, and subsequent US exposure enhances cellular uptake<sup>77</sup>. Despite its benefits, this route  
378 is limited by factors such as the permissible injection volume and the accessibility of the target tissue,  
379 thereby confining its application mainly to superficial tissues or accessible through a natural cavity or  
380 surgery. It is important to note that most technical data available on MB stability, cavitation, and  
381 pharmacokinetic behavior originate from studies performed in blood, which differs markedly from  
382 perilymph and may therefore not fully predict MB behavior in the inner ear.

### 383 384 3.2. Applications to the inner ear

385 In this section, we focus on MB formulations (*i.e.*, gas core, shell composition, *etc.*) and MB-  
386 related parameters (*i.e.*, concentration, route and mode of administration, *etc.*) used for the delivery  
387 of therapeutic agents using MB-assisted US in *in-vitro* and *in-vivo* settings applied to the inner ear  
388 model.

#### 389 3.2.1. *In-vitro* drug delivery

390 To date, only six publications have reported on the design and/or evaluation of MBs for *in-*  
391 *vitro* acoustically mediated delivery of therapeutic agents (*e.g.*, Cy3 siNOX4; IGF-1; DEX), or of  
392 molecules mimicking these agents (*e.g.*, Biotin-FITC; pEGFP-C1; FITC-CS-AuNPs); see Table 2. The main  
393 *in-vitro* models employed in these studies include HEI-OC1 auditory hair cells for nucleic acid

Table 2. Characteristics of MBs used in *in-vitro* sonoporation studies (n=6)

Reference	Drug	<i>In-vitro</i> model	MBs name	Shell composition	Gas Type	Surface charge	Diameter (µm)	Concentration (MBs/mL)	Volume (mL)
Lin <i>et al.</i> , (2024) <sup>78</sup>	Cy3 siNOX4	HEI-OC cells	Lysozyme-shelled	Lysozyme	C <sub>3</sub> F <sub>8</sub>	Positive	2.66 ± 0.04 to 4.01 ± 0.26	2.4 × 10 <sup>7</sup> , 1.2 × 10 <sup>7</sup> , or 0.6 × 10 <sup>7</sup>	NA
Liao <i>et al.</i> , (2022) <sup>79</sup>	IGF-1	Static Franz diffusion cells	Albumin-shelled	Albumin	C <sub>3</sub> F <sub>8</sub>	Negative	1.06 ± 0.04 to 3.01 ± 0.06	1.2 × 10 <sup>7</sup>	1
Liao <i>et al.</i> , (2021) <sup>21</sup>	Dexamethasone + P407 + Biotin-FITC	Static Franz diffusion cells	Albumin-shelled	Albumin	C <sub>3</sub> F <sub>8</sub>	Negative	2.70 ± 0.17	4.2 × 10 <sup>7</sup>	NA
Lin <i>et al.</i> , (2021) <sup>19</sup>	FITC-CS-AuNPs	HEI-OC1 cells	SonoVue®	DSPC/DPPG/PA	SF <sub>6</sub>	Negative	1.90	2 × 10 <sup>8</sup>	NA
Liao <i>et al.</i> , (2020) <sup>80</sup>	Biotin-FITC	3D printed diffusion device	Albumin-shelled	Albumin	C <sub>3</sub> F <sub>8</sub>	Negative	1.02 ± 0.11	1.4 × 10 <sup>7</sup>	0.5
Liao <i>et al.</i> , (2014) <sup>81</sup>	pEGFP-C1	HEI-OC1 cells	Albumin-shelled	Albumin/dextrose	C <sub>3</sub> F <sub>8</sub>	Negative	0.66 ± 0.02 to 3.47 ± 0.53	2 × 10 <sup>8</sup>	NA

Abbreviations: Cy3 siNOX4: cellular uptake of cyanine 3 small interfering NADPH oxidase-4; IGF-1: Insulin-like growth factor-1; C<sub>3</sub>F<sub>8</sub>: Perfluoropropane; P407: Poloxamer 407; DSPC: Di-Stearoyl-Phosphatidyl-Choline; DPPG: Di-palmitoyl-phosphoglycerol; PA: Palmitic Acid; SF<sub>6</sub>: Sulfur hexafluoride; NA: Not available.

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394 transfection<sup>19,78,81</sup>, as well as static Franz diffusion cells<sup>21,79</sup> and lab-printed diffusion systems  
395 to study RWM permeabilization and therapeutic agent delivery<sup>80</sup>.

396 Among these six *in-vitro* studies, four used lab-manufactured albumin-shelled MBs<sup>21,79-81</sup>, one  
397 used clinically approved phospholipid-shelled MBs (SonoVue®)<sup>19</sup>, and one used Lysozyme-shelled  
398 MBs<sup>78</sup>. Albumin-shelled MBs and Lysozyme-shelled MBs possess a gaseous core composed of  
399 perfluoropropane, whereas SonoVue® MBs contain sulfur hexafluoride gas. The Albumin- and  
400 Lysozyme-shelled MBs typically range in diameter from ~ 0.5 to 3.5 µm, while the SonoVue® MBs  
401 exhibit a mean diameter of ~ 2 µm. All the MBs are characterized by size polydispersity. The albumin-  
402 shelled and SonoVue® MBs exhibit a negatively charged surface, whereas the Lysozyme-shelled MBs  
403 have a positively charged surface. The final concentrations range from 1 × 10<sup>7</sup> to 2 × 10<sup>9</sup> MBs/mL for  
404 Albumin-shelled MBs, and from 0.6 × 10<sup>7</sup> to 2.4 × 10<sup>7</sup> MBs/mL for Lysozyme-shelled MBs; the final  
405 concentration for SonoVue® MBs range is 2 × 10<sup>8</sup> MBs/mL. Among the six *in-vitro* studies reviewed,  
406 MBs were co-administered with therapeutic agents in two studies<sup>21,80</sup> and administered sequentially  
407 in another two studies<sup>19,81</sup>. Two other studies investigated drug-loaded MBs<sup>78,79</sup>.

408 The albumin-shelled MBs have proven to be particularly effective for transfecting HEI-OC1  
409 cells and for delivering FITC-Biotin, DEX, and IGF-1 *in-vitro*. Liao *et al.*<sup>81</sup> investigated the effects of  
410 shell composition (specifically, the presence or absence of dextrose in the MB shell) and size (with  
411 diameter ranging from 0.5 to 3.5 µm) on the transfection efficiency of HEI-OC1 cells. As previously  
412 discussed, the presence of dextrose in the shell of these MBs alters their physicochemical,  
413 pharmacological, and acoustic properties (*e.g.*, producing a thinner shell, improved *in-vivo* stability,  
414 and a broader range of resonance frequency), thereby influencing the *in-vitro* transfection efficiency.  
415 The authors demonstrated first that the diameter of the MBs increased with the proportion of  
416 albumin or dextrose in the MB shell. Thus, MBs with diameters between 3 and 3.5 µm (considered to  
417 be larger MBs by the authors) exhibited either a higher dextrose concentration and lower albumin  
418 concentration, or a higher albumin concentration. These larger MBs led to transfection rates of 80 to  
419 85%, regardless of MB formulation. For smaller MBs (*i.e.*, with a diameter of ≤ 2 µm), albumin-shelled  
420 MBs containing dextrose were half as effective at transfecting cells compared to standard albumin-

421 shelled MBs. In addition, the transfection efficiency increased with MB diameter regardless of the MB  
422 formulation. To understand the relationship between MB size and transfection efficiency, the acoustic  
423 behavior of MBs was investigated. Surprisingly, the efficiency of MB destruction was inversely  
424 proportional to MB size. These results can be explained by the fact that the acoustic behavior of MBs  
425 depends not only on the US parameters but also on the physicochemical properties and the size of  
426 the MBs. With application of US, smaller MBs were readily destroyed, suggesting that they may  
427 become unstable and fragment before interacting with cells. Conversely, the stable cavitation of larger  
428 MBs in close proximity to the cell membrane during their lifespan may enhance membrane  
429 permeabilization, thus facilitating intracellular delivery of plasmid DNA without affecting cell viability.  
430 In conclusion, MB-assisted US using albumin-shelled MBs is an effective and safe modality for cell  
431 transfection.

432 In Liao *et al.*<sup>81</sup>, MBs were first incubated with the cells to induce membrane permeabilization  
433 following US exposure. Subsequently, pDNA lipoplexes were added to the cells. However, these  
434 cationic lipoplexes could have been directly loaded onto the negatively charged surface of these MBs  
435 prior to incubation with the cells and US application. An alternative approach could have involved  
436 leveraging the physicochemical properties of albumin-shelled MBs, which are capable of  
437 incorporating large quantities of pDNA within their thick shell—unlike phospholipid-shelled MBs<sup>40</sup>—  
438 or employing cationic MBs that allow for direct pDNA loading through electrostatic interactions<sup>82</sup>.  
439 Liao *et al.*<sup>79</sup> took advantage of the negative surface charge of albumin-shelled MBs to load human  
440 insulin-like growth factor 1 (IGF-1), which contains seven positively charged amino acid residues. IGF-  
441 1 is regarded as a promising novel therapeutic agent for treatment of SNHL and has been investigated  
442 in clinical trials involving patients with idiopathic sudden SNHL, who are refractory to systemic steroid  
443 therapy. The concentration of the IGF-1 loaded MBs ( $12 \times 10^8$  MBs/mL) did not correlate with the  
444 albumin concentration. However, both the size (ranging from 1 to 3  $\mu\text{m}$  in diameter) and the surface  
445 charge (ranging from  $-14$  to  $-25$  mV in zeta potential) of the MBs increased with the composition  
446 ratio of albumin. The IGF-1 loading efficiency onto the MB surface (ranging from 58% to 71%)  
447 increased with MB size. This loading altered the surface charge of the loaded MBs, making it more  
448 positive compared to unloaded MBs. No information was provided regarding the impact of IGF-1  
449 loading on MB size. *In-vitro* acoustically mediated delivery of IGF-1 across the RWM was investigated  
450 using albumin-shelled MBs of varying diameters (1 to 3  $\mu\text{m}$ ;  $1.2 \times 10^7$  MBs/mL) in combination with  
451 a Franz diffusion cell system. Independent of MB size, the exposure of this system to US resulted in a  
452 significant 3-fold increase in IGF-1 penetration and release compared to treatment with IGF1-loaded  
453 MBs alone, in the absence of US. Among the different sizes tested, IGF1-loaded MBs measuring 3  $\mu\text{m}$   
454 in diameter exhibited superior performance in facilitating IGF-1 penetration and release. Taken  
455 together, these findings suggest that MB-assisted US using IGF-1-loaded MBs appears to be a  
456 promising strategy for the treatment of hearing disorders.

457 Lin *et al.*<sup>78</sup> compared the co-administration approach to the use of loaded MBs for delivery of  
458 small interfering RNA that targeted the messenger RNA of nicotinamide adenine dinucleotide  
459 phosphate oxidase 4 (siNOX4), a key player in cisplatin-induced ototoxicity for cochlear hair cells<sup>78</sup>.  
460 To this end, lysozyme-shelled MBs were engineered for the co-delivery of naked siNOX4 and for the  
461 encapsulation of siNOX4 (siNOX4 loaded MBs). As previously discussed, positively charged MBs

462 enable the efficient loading of negatively charged therapeutic nucleic acids. Both strategies were  
463 evaluated for their ability to deliver siNOX4 *in-vitro* to HEI-OC1 cells and *ex-vivo* in mouse organ of  
464 Corti explants. Exposure of cisplatin-treated HEI-OC1 cells to siNOX4-loaded MBs in the presence of  
465 US resulted in a higher transfection efficiency compared to the co-administration approach (25% vs  
466 19%). The acoustically mediated delivery of siNOX4 in cisplatin-treated organ of Corti explant cultures  
467 using siNOX4-loaded MBs led to a significant reduction in NOX4 expression, thereby mitigating  
468 ototoxicity. In conclusion, the study demonstrated the superiority of the loaded MB approach over  
469 the co-administration approach for the treatment of auditory disorders.

470 Taken together, the *in-vitro* studies demonstrate that MB-assisted US represents a promising  
471 strategy to deliver a broad range of therapeutic agents aimed at treating hearing disorders. The MB  
472 size and their shell composition were the primary parameters that were evaluated *in-vitro*. Notably,  
473 the effects of MB concentration, their size polydispersity, and their gas core composition have not  
474 been systematically investigated in the delivery of therapeutic agents, despite the relative ease of  
475 conducting such *in-vitro* studies. Furthermore, no *in-vitro* comparative studies have been conducted  
476 to assess the efficacy of soft-based versus hard-shelled MBs, or to evaluate differences between co-  
477 administration of MBs with therapeutic agents versus pre-loaded MBs with the same agents.

### 478 3.2.2. *In-vivo* drug delivery

479 To date, twelve preclinical studies have investigated *in-vivo* acoustically mediated delivery of  
480 either marker agents [*e.g.*, Biotin-FITC; rAAV; FITC-CS-AuNPs; Fe<sub>3</sub>O<sub>4</sub>; CUR] to evaluate RWM  
481 permeabilization, or to deliver the therapeutic agents [*e.g.*, IGF-1; DEX; gentamicin] for the treatment  
482 of hearing disorders; see Table 3. The primary animal models employed included mice<sup>19</sup>, guinea pigs  
483 <sup>14,16–18,21,71,79,80,83,84</sup>, and sheep<sup>20</sup>.

484 Among these preclinical studies, seven used phospholipid-shelled MBs<sup>16,17,19,20,71,83,84</sup>  
485 including clinically approved formulations such as SonoVue<sup>®</sup><sup>17,19,71,84</sup>, Definity<sup>®</sup> MBs<sup>16</sup>, and Vevo  
486 MicroMarker<sup>®</sup> MBs<sup>20</sup>, which are commercially available for preclinical US imaging. The remaining  
487 studies used laboratory-produced albumin-shelled MBs<sup>14,18,21,71,79,80</sup>. Albumin-shelled and Definity<sup>®</sup>  
488 MBs possess a gaseous core composed of perfluorocarbene gas, whereas SonoVue<sup>®</sup> and Vevo  
489 MicroMarkers<sup>®</sup> MBs contain sulfur hexafluoride gas and a mixture of perfluorobutane and nitrogen  
490 gases, respectively. The albumin-shelled and Definity<sup>®</sup> MBs typically range in diameter from ~ 1 to 3  
491 μm, while the mean diameter is ~ 2 μm for SonoVue<sup>®</sup> MBs and ~2.5 μm for Vevo MicroMarker<sup>®</sup> MBs.  
492 In these preclinical studies, all MBs were characterized by their micrometer-scale size, a high degree  
493 of size polydispersity, and a negatively charged surface. The final concentrations ranged from 1 × 10<sup>7</sup>  
494 to 4 × 10<sup>9</sup> MBs/mL for Albumin-shelled MBs and 1.2 × 10<sup>10</sup> MBs/mL the Definity<sup>®</sup> MBs, versus 2 × 10<sup>8</sup>  
495 MBs/mL for the SonoVue<sup>®</sup> and Vevo MicroMarker<sup>®</sup> MBs. The high concentrations of MBs used in  
496 some of these studies<sup>14,16,18</sup> were striking, compared to those used for common applications of MB-  
497 assisted US such as neurology, oncology, and cardiology. The elevated concentrations were all the  
498 more surprising given that, in most cases, MBs were administered locally. While MB concentration  
499 has been positively correlated with enhanced cell and tissue permeabilization<sup>85,86</sup>, excessively high  
500 concentrations may, conversely, hinder US propagation and limit tissue permeabilization. To our  
501 knowledge, no *in-vivo* study to date has specifically investigated the effect of MB concentration on

502 the permeabilization of the RWM. Among delivery strategies, co-administration was the most  
 503 commonly used<sup>14,18,21,71,80,83</sup>, followed by sequential administration<sup>16,17,19,84</sup>, and drug-loaded MB  
 504 approaches<sup>79</sup>. Notably, one preclinical study did not deliver any therapeutic agent, focusing instead  
 505 on assessing the safety of US-mediated RWM permeabilization<sup>20</sup>. Only one study investigated drug-  
 506 loaded MBs. Regarding the route of administration, nearly all studies employed local delivery of MBs  
 507 and therapeutic agents: nine via the retroauricular route and two via transtympanic injection. Only  
 508 one study investigated co-administration via the intravenous route to investigate therapeutic delivery  
 509 to the inner ear<sup>83</sup>.

510 Table 3. Characteristics of MBs used in *in-vivo* sonoporation studies (n=12)

Reference	Drug	MBs type	Shell composition	Gas type	Surface Charge	Diameter (µm)	Concentration (MBs/mL)	Volume (mL)	Route of administration
He <i>et al.</i> , (2024) <sup>83</sup>	Fe <sub>3</sub> O <sub>4</sub> or CUR or DSP	PEG-shelled	DSPC/DSPE-PEG2000	SF <sub>6</sub>	Negative	1.50 ± 0.50	1.44 × 10 <sup>6</sup>	0.5 mL/kg	IV
Kerneis <i>et al.</i> , (2023) <sup>20</sup>	No drug	Vevo MicroMarker™	PEG/ Phospholipids	C <sub>4</sub> F <sub>10</sub> / N <sub>2</sub>	Negative	2.30 - 2.90	2 × 10 <sup>8</sup>	0.9	Middle ear cavity
Liao <i>et al.</i> , (2022) <sup>79</sup>	IGF-1	Albumin-shelled	Albumin	C <sub>3</sub> F <sub>8</sub>	Negative	1.06 ± 0.04 to 3.01 ± 0.06	1.2 × 10 <sup>7</sup>	NA	Middle ear cavity
Liao <i>et al.</i> , (2021) <sup>21</sup>	Dexamethasone-P407	Albumin-shelled	Albumin	C <sub>3</sub> F <sub>8</sub>	Negative	2.70 ± 0.17	4.2 × 10 <sup>7</sup>	0.2	ITT
Lin <i>et al.</i> , (2021) <sup>19</sup>	CSAuNPS	SonoVue®	DSPC/DPPG/ PA	SF <sub>6</sub>	Negative	1.90	2-5 × 10 <sup>8</sup> *	0.5 - 0.6	Middle ear cavity
Lin <i>et al.</i> , (2021) <sup>84</sup>	IGF-1	SonoVue®	DSPC/DPPG/ PA	SF <sub>6</sub>	Negative	1.90	2-5 × 10 <sup>8</sup> *	0.2	Middle ear cavity
Liao <i>et al.</i> , (2020) <sup>80</sup>	Gentamicin	Albumin-shelled	Albumin	PFC	Negative	1.02 ± 0.11	1.4 × 10 <sup>7</sup>	0.2	ITT
Lin <i>et al.</i> , (2020) <sup>17</sup>	Biotin-FITC	SonoVue®	DSPC/DPPG/ PA	SF <sub>6</sub>	Negative	1.90	2-5 × 10 <sup>8</sup> *	0.2	Middle ear cavity
Zhang <i>et al.</i> , (2020) <sup>16</sup>	AAV2/Anc80L65	Definity®	DPPC/DPPA/ 5000-DPPE	C <sub>3</sub> F <sub>8</sub>	Negative	1.10 - 3.30	1.2 × 10 <sup>10</sup> *	NA	Middle ear cavity
Shih <i>et al.</i> , (2019) <sup>71</sup>	Dexamethasone	SonoVue® or Albumin-shelled	DSPC/DPPG/ PA or Albumin	SF <sub>6</sub> or C <sub>3</sub> F <sub>8</sub>	Negative	1.90 or 7.09	2-5 × 10 <sup>8</sup> or 2.9 × 10 <sup>8</sup>	0.2	Middle ear cavity
Shih <i>et al.</i> , (2013) <sup>18</sup>	Biotin-FITC or gentamicin	Albumin-shelled	Albumin	PFC	Negative	NA	4 × 10 <sup>9</sup>	0.2	Middle ear cavity
Liao <i>et al.</i> , (2012) <sup>14</sup>	Biotin-FITC	Albumin-shelled	Albumin	PFC	Negative	NA	4 × 10 <sup>9</sup>	0.2	Middle ear cavity

Abbreviations: Fe<sub>3</sub>O<sub>4</sub>: Iron oxide III; CUR: Curcumin; DSP: Dexamethasone Sodium Phosphate; DSPC: Di-Stearoyl-Phosphatidyl-Choline; DSPE-PEG 2000: Di-Stearoyl-Phosphoryl-Ethanolamine-PolyEthylene Glycol 2000; SF<sub>6</sub>: Sulfur hexafluoride; IV: Intravenous; PEG: PolyEthylene glycol; C<sub>4</sub>F<sub>10</sub>: Perfluorobutane; N<sub>2</sub>: Nitrogen; IGF-1: Insulin-like growth factor-1; C<sub>3</sub>F<sub>8</sub>: Perfluoropropane; P407: Poloxamer 407; ITT: Injection Trans-Tympanique; CS-AuNPs: Nano-chitosan capped gold nanoparticles; DPPG: Di-Palmitoyl-PhosphoGlycerol; PA: Palmitic Acid; PFC: Perfluorocarbon; Biotin-FITC: Fluorescein IsoThioCyanate conjugated biotin; DPPC: Di-Palmitoyl-Phosphatidyl-Choline; DPPA: Di-Palmitoyl-Phosphoric Acid; 5000-DPPE : 500-Di-Palmitoyl-Phosphatidyl-Ethanolamine; HSA: Human Serum Albumin; NA : Non-available

\* Stock solution

511  
 512 Shih *et al.*<sup>71</sup> investigated the efficacy of US-mediated trans-RWM delivery of DEX using both  
 513 SonoVue® and albumin-shelled MBs, as well as its preventive effects against noise-induced hearing  
 514 loss in animal models. DEX, a glucocorticoid, is widely used in the treatment of hearing disorders such  
 515 as SNHL, acoustic trauma, and Ménière's disease. Therapeutic efficacy strongly depends on achieving  
 516 sufficient concentrations in the perilymph. To enhance perilymphatic DEX concentration, the authors  
 517 filled the tympanic bulla of guinea pigs with a mixture of DEX and MBs, followed by US exposure. Two  
 518 hours later, the animals were subjected to white noise exposure. Compared to passive diffusion, DEX  
 519 delivery was enhanced by ~ 3.0- to 11.2-fold using SonoVue® MBs and by 2.4- to 7.9-fold with  
 520 albumin-shelled MBs. Based on these results, the authors selected SonoVue® MBs to further evaluate

521 therapeutic efficacy. Their findings demonstrated that US-mediated DEX delivery provided significant  
522 cochlear protection and attenuated noise-induced hearing loss. The study underscored the  
523 importance of comparing different MB formulations to identify the most effective carrier for  
524 therapeutic delivery.

525 Liao *et al.*<sup>79</sup> designed therapeutic MBs loaded with recombinant IGF-1 protein and confirmed  
526 their ability to deliver IGF-1 across the RWM using a Franz diffusion cell system. They further  
527 evaluated the *in-vivo* efficacy of their strategy by introducing IGF-1-loaded MBs into the middle ear  
528 cavity, positioning an US transducer on the bony fenestration of the tympanic bulla, and exposing the  
529 target region to US. Two hours post-treatment, lymphatic fluid was collected to quantify IGF-1  
530 concentration. The MB-assisted US resulted in a 16-fold increase in IGF-1 levels compared to control  
531 conditions. Immunohistochemical analysis of IGF-1 biodistribution revealed a markedly enhanced  
532 presence of IGF-1 in the basal, second, and third turns of the cochlea relative to controls. Additionally,  
533 this strategy facilitated significant IGF-1 distribution in regions containing inner hair cells.  
534 Histopathological evaluation and auditory brainstem response (ABR) recordings demonstrated that  
535 MB-assisted US caused no detectable structural or functional damage to the inner ear. The study  
536 demonstrated the efficacy and safety of acoustically mediated IGF-1 delivery using IGF-1-loaded MBs,  
537 although the therapeutic benefit of this strategy was not assessed.

538 Surprisingly, He *et al.*<sup>83</sup> opted for intravenous administration of both laboratory-made MBs  
539 and therapeutic agents, whereas all other preclinical studies employed local administration routes  
540 (*i.e.*, retroauricular or transtympanic injection). Specifically, they aimed to transiently permeabilize  
541 the blood-labyrinth barrier using MB-assisted US in order to deliver DEX and curcumin (CUR) in a  
542 guinea pig model of cisplatin-induced ototoxicity. MBs with either dexamethasone (DEX) or curcumin  
543 (CUR) were co-administered intravenously, followed by US exposure of the auditory canals. One-hour  
544 post-treatment, perilymph samples were collected, and the concentrations of DEX and CUR were  
545 quantified using high-performance liquid chromatography system coupled with spectrophotometer.  
546 The MB-assisted US resulted in a 2-fold increase in DEX and CUR concentrations in the perilymph  
547 compared to administration of DEX and CUR without US. ABR and immunohistological analyses were  
548 subsequently conducted to assess the protective effects of DEX and CUR against cisplatin-induced  
549 ototoxicity. Acoustically mediated delivery of DEX and CUR resulted in significantly better  
550 preservation of hearing, with ABR thresholds of 60 dB and 70 dB respectively, compared to higher  
551 thresholds observed following administration of DEX (75 dB) and CUR (90 dB) alone, or no treatment  
552 (105 dB). Immunohistological evaluation of the cochlear membrane further confirmed these findings  
553 by demonstrating improved integrity of outer hair cells. Collectively, these results demonstrate that  
554 MB-assisted US is an effective strategy for delivering both hydrophobic (DEX) and hydrophilic (CUR)  
555 agents to the inner ear via intravenous administration.

556 In conclusion, the preclinical *in-vivo* findings corroborate the *in-vitro* results and clearly  
557 demonstrate that MB-assisted US represents a potent and effective modality for targeted delivery of  
558 therapeutic agents in the treatment of hearing disorders. While both *in-vitro* and *in-vivo* studies have  
559 clearly demonstrated that US enables the delivery of a wide range of therapeutic agents—including  
560 corticosteroids, antibiotics, nucleic acids, recombinant proteins, and viral vectors for gene therapy—  
561 very few have systematically investigated and compared the physicochemical (*e.g.*, shell composition,

562 gas core, surface charge, size, concentration, etc.) and pharmacological (e.g., pharmacokinetics,  
563 biodistribution, etc.) properties of MBs. Furthermore, no *in-vivo* comparative investigations have  
564 been conducted to evaluate the differences between co-administration or sequential administration  
565 of MBs with therapeutic agents, and the use of drug-loaded MBs carrying the same agents, nor to  
566 systematically assess the impact of different administration routes on delivery efficiency and  
567 therapeutic outcomes.

#### 568 4. DISCUSSION

569 Targeted delivery of therapeutic agents using MB-assisted US holds significant promise as a  
570 clinically viable strategy for enhancing local therapies in otology. An increasing number of *in-vitro* and  
571 preclinical studies have demonstrated the effectiveness of MB-assisted US in facilitating the delivery  
572 of therapeutic agents, as well as the therapeutic benefits of this approach in various experimental  
573 models of hearing disorders.

574 However, direct comparison of outcomes across these studies is challenging due to substantial  
575 heterogeneity in MB formulations, including variations in their physicochemical and pharmacological  
576 properties, and the absence of standardized MB-related parameters (e.g., concentration, route of  
577 administration, etc.). Notably, clinically approved MBs such as SonoVue® and Definity® have been  
578 utilized in only 36% of existing studies<sup>16,17,19,71,84</sup>, despite their potential relevance for facilitating  
579 clinical translation of this US modality. Note that these MBs were originally developed for diagnostic  
580 US imaging and have not been specifically optimized for drug delivery applications<sup>54</sup>. Moreover, any  
581 adverse effects observed during therapeutic protocols may compromise future diagnostic use of  
582 these clinically approved MBs. This consideration partly explains why more than half of recent *in-vitro*  
583 and preclinical studies used lab-made MBs<sup>14,18,21,71,78–81,83</sup>. Lab-made MBs are specifically formulated  
584 to enable control over a broad range of physicochemical and pharmacological parameters and are  
585 intended to be optimized for drug delivery purposes. The typical profile of the most commonly used  
586 lab-made MBs consists of a gaseous perfluorocarbon core encapsulated by a biocompatible albumin  
587 shell. These MBs generally carry a negative surface charge, exhibit polydispersity in size, and have a  
588 mean diameter ranging from 1 to 3 μm. However, translating these lab-made MBs to clinical use  
589 would require extensive and costly characterization and validation to meet regulatory and health  
590 authority standards, thereby delaying their clinical application in therapeutic settings. It is important  
591 to note that none of the reviewed studies have employed targeted MBs. However, recent advances  
592 in inner ear drug delivery have introduced other classes of targeted or engineered carriers, such as  
593 hollow mesoporous silica@ZIF capsules for local gentamicin delivery<sup>87</sup> and metal–organic  
594 framework-based systems designed for the treatment of noise-induced hearing loss<sup>88</sup>. Although  
595 these nanocarriers do not rely on MB-assisted US, they illustrate the diversity of emerging strategies  
596 for improving cochlear drug targeting and highlight the need to position MB-based approaches within  
597 this broader landscape. With regard to US protocols, the majority of studies applied a single set of  
598 MB-related parameters to demonstrate therapeutic efficacy. These protocols often lack clarification  
599 on whether the parameters were selected based on systematic optimization or derived empirically  
600 from existing literature. Furthermore, only a limited number of studies have rigorously investigated  
601 and compared the physicochemical (e.g., shell composition, gas core, surface charge, size,

602 concentration, etc.) and pharmacological (e.g., pharmacokinetics, biodistribution, etc.) properties of  
603 MBs <sup>71,79–81</sup>. While the former approach is more suitable for optimizing the safety and efficacy of US  
604 protocols, it is associated with high costs and may raise ethical concerns related to the extensive use  
605 of animal models required for such comprehensive investigations. Although the physicochemical,  
606 acoustic, and pharmacokinetic properties of MBs are well characterized in blood, no study has yet  
607 evaluated these parameters in perilymphatic fluid. Because perilymph differs substantially from  
608 blood in viscosity, protein concentration, ionic composition, and passive diffusion dynamics, MB  
609 behavior in this compartment may deviate from what has been described in the systemic circulation.  
610 As a result, the mechanistic insights derived from blood-based investigations cannot be directly  
611 extrapolated to the inner ear environment. This constitutes an important limitation of the current  
612 literature and highlights the need for dedicated biophysical studies to characterize MB dynamics  
613 within inner ear fluids. This extensive heterogeneity also prevented the application of any  
614 standardized risk-of-bias framework, as no validated tool is appropriate for such diverse preclinical  
615 *in-vitro* and *in-vivo* studies; this constitutes an inherent methodological limitation of our review.

616 Beyond differences in formulation, the intrinsic physicochemical properties of MBs—particularly  
617 their size distribution, shell composition, and surface charge—play a decisive role in shaping US-  
618 mediated effects and may partly explain the variability observed across studies. MB size governs  
619 resonance frequency, cavitation thresholds, oscillation amplitude, and destruction dynamics;  
620 polydisperse formulations inevitably lead to heterogeneous oscillation behaviors, reducing the  
621 reproducibility and efficiency of RWM permeabilization. Monodisperse MBs, although not yet  
622 clinically approved, offer more predictable cavitation profiles and could improve the consistency of  
623 drug delivery outcomes. Likewise, shell viscoelasticity critically influences MB stability and acoustic  
624 responsiveness: soft lipid-shelled MBs generally exhibit stronger oscillations and higher  
625 permeabilization potential, whereas stiffer protein- or polymer-shelled MBs provide greater stability  
626 but attenuated cavitation activity. Finally, surface charge affects interactions with biological barriers,  
627 drug loading efficiency for nucleic acid delivery, and biodistribution. Together, these parameters  
628 strongly modulate therapeutic performance and highlight the need for systematic optimization of  
629 MB design in the context of inner ear drug delivery.

630 Furthermore, co-administration or sequential administration of MBs and therapeutic agents  
631 has been employed in ~ 85% of recent studies <sup>14,16–21,71,80,81,83,84</sup>. This strategy appears to be the most  
632 promising for clinical translation, particularly when it involves the use of clinically approved  
633 therapeutic agents and MBs. It also offers the advantage of independently adjusting the  
634 concentration and volume of each compound prior to *in-vitro* incubation or *in-vivo* administration.  
635 However, the success of acoustically mediated drug delivery using this approach relies heavily on the  
636 sufficient accumulation of both components at the biological target site. Due to differences in their  
637 physicochemical properties, MBs and therapeutic agents do not necessarily exhibit similar  
638 pharmacokinetics and biodistribution profiles. To address this limitation, drug-loaded MBs have been  
639 developed, enabling the co-localization of both agents at the target site and facilitating localized drug  
640 release upon US exposure. Despite their potential, this approach has been explored in only 15% of  
641 existing studies <sup>78,79</sup>, primarily for the delivery of therapeutic proteins and nucleic acids. Drug-loaded  
642 MBs may offer the greatest therapeutic benefit by ensuring the simultaneous presence of both the

643 drug and the MBs at the site of action. Nevertheless, their clinical translation is hindered by the need  
644 for extensive and costly characterization, as well as rigorous regulatory evaluation prior to approval.  
645 Currently, no *in-vivo* comparative investigations have been conducted to evaluate the differences  
646 between co-administration or sequential administration of MBs with therapeutic agents and the use  
647 of drug-loaded MBs carrying the same agents, or to systematically assess the impact of different  
648 administration routes on delivery efficiency and therapeutic outcomes.

649 Recent advances in cochlear gene therapy have further emphasized the need for efficient, safe,  
650 and targeted delivery strategies to the inner ear. Several studies have demonstrated the therapeutic  
651 potential of adeno-associated viral (AAV) vectors and other genetic modalities for treating SNHL,  
652 including approaches aiming to restore hair cell function, promote synaptic repair, or replace  
653 defective genes. However, the clinical translation of these strategies remains hindered by the  
654 anatomical and physiological barriers of the inner ear—particularly the RWM and the BLB—which  
655 limit vector penetration and often require invasive delivery methods. In this context, MB-assisted US  
656 emerges as a promising non-invasive or minimally invasive modality capable of enhancing local gene  
657 transfer. Recent work has shown that MB cavitation can facilitate AAV passage across the RWM and  
658 improve cochlear gene transduction efficiency. These findings support the relevance of integrating  
659 MB-assisted US into future gene therapy protocols for hearing restoration. The potential of this  
660 combined approach is further reinforced by recent breakthroughs in inner ear gene editing and  
661 regeneration strategies, which underline the importance of optimizing delivery tools to enable  
662 broader clinical application<sup>89,90</sup>.

663 To date, the local administration of MBs—either drug-loaded or co-administered with  
664 therapeutic agents—represents the preferred route for the treatment of hearing disorders. Indeed,  
665 this mode of administration was employed in 92% of preclinical studies<sup>14,16–21,71,78–81,84</sup>. It includes  
666 both direct delivery into the middle ear (used in 75% of recent studies) and transtympanic injection  
667 (used in 17% of studies). The choice of this route is largely dictated by the anatomy and  
668 vascularization of the inner ear. The inner ear is an anatomically isolated structure and is generally  
669 regarded as a poorly vascularized organ relative to other tissues. It is primarily supplied by a single  
670 terminal artery—the labyrinthine artery<sup>91,92</sup>. This vascular configuration, which lacks significant  
671 collateral circulation, renders the inner ear particularly susceptible to ischemia. Furthermore, the  
672 inner ear has a relatively low blood flow, and the presence of the BLB<sup>93</sup>—analogous to the blood-  
673 brain barrier—significantly limits the diffusion of therapeutic agents. These characteristics  
674 collectively contribute to the challenge of achieving effective pharmacological access to this region.  
675 Local administration of MBs and therapeutic agents generally requires surgical intervention to access  
676 the target region. However, surgery is not trivial and carries inherent risks, including the potential for  
677 infection, localized pain, and facial paralysis if the facial nerve is affected. To overcome these  
678 limitations, the intravenous route should be considered a preferable alternative. Indeed, this route  
679 represents the simplest and safest clinical approach for administering both MBs and therapeutic  
680 agents. To date, only a single preclinical study<sup>83</sup> has successfully demonstrated the use of the  
681 intravenous route to treat hearing disorders. Given the limited vascularization of the inner ear,  
682 additional preclinical investigations are necessary to validate the relevance and efficacy of  
683 intravenous administration. Moreover, it would be highly valuable to conduct comparative preclinical

684 studies assessing local *versus* intravenous delivery in acoustically mediated therapeutic strategies for  
685 treatment of hearing disorders. Although most studies investigating RWM sonoporation rely on local  
686 administration of MBs and therapeutic agents, a small number of preclinical investigations have used  
687 the i.v. route to evaluate whether vascular-accessible MBs can also support US-mediated  
688 permeabilization of the RWM. In these studies, the US application site remained strictly focused on  
689 the RWM region, and the objective was still to induce transient permeabilization of this membrane.  
690 For this reason, i.v. based protocols were included in our analysis, as they offer insight into alternative  
691 delivery pathways that may hold relevance for future clinical translation.

692 Interpretation of the *in-vivo* findings must also consider the marked anatomical and  
693 physiological differences between animal models. Most available studies were conducted in mice  
694 and guinea pigs, whose middle and inner ear structures differ considerably from humans in terms of  
695 cochlear size, bone density, and RWM thickness<sup>94</sup>. Such differences can influence US propagation,  
696 MB oscillation, and RWM permeability, potentially amplifying the effects observed in small animals  
697 compared with the human inner ear. In contrast, the sheep model displays cochlear dimensions,  
698 RWM morphology, and middle ear configuration that more closely resemble those of humans,  
699 making it a valuable intermediate model for assessing the safety and translational relevance of MB-  
700 assisted US<sup>95,96</sup>. These interspecies differences should therefore be taken into account when  
701 extrapolating preclinical results to clinical applications.

702 Another important factor influencing the variability of permeabilization outcomes is the lack  
703 of systematic evaluation of US parameters. Frequency, peak negative pressure, pulse duration, duty  
704 cycle, and total exposure time each exert independent effects on MB oscillation behavior, cavitation  
705 thresholds, and the magnitude of microstreaming and shear forces acting on the RWM. These  
706 acoustic variables are known to modulate the amplitude and stability of MB oscillations, thereby  
707 affecting the extent and reversibility of RWM permeabilization and the subsequent delivery of  
708 therapeutic agents. Yet, most preclinical studies rely on empirically selected ultrasound settings, with  
709 limited justification or optimization. The absence of standardized acoustic protocols likely  
710 contributes to the heterogeneity of reported results and represents a key methodological gap that  
711 should be addressed in future investigations. As the aim of the present review was to focus primarily  
712 on MB formulations and their biological and pharmacological implications, a detailed analysis of US  
713 parameter optimization was beyond its intended scope.

714 Beyond the biological and acoustic considerations, several translational constraints must also  
715 be acknowledged. First, most lab-made MB formulations used in preclinical studies are produced  
716 with low-throughput methods that lack the scalability and batch-to-batch reproducibility required  
717 for clinical-grade manufacturing. Microfluidic technologies enable the production of monodisperse  
718 therapeutic MBs but remain costly, technically demanding, and not yet optimized for industrial-scale  
719 output. Second, drug-loaded MBs introduce additional manufacturing complexity, as dual-quality  
720 control is required for both the carrier and the therapeutic payload, which increases production costs  
721 and regulatory burden. Finally, while diagnostic MBs such as SonoVue® and Definity® already benefit  
722 from established approval pathways, therapeutic MBs—especially those incorporating drugs, nucleic  
723 acids, or proteins—would require full evaluation as novel medicinal products. These constraints

724 underline the need for streamlined manufacturing processes, improved cost-effectiveness, and early  
725 regulatory planning to ensure successful clinical translation of MB-assisted US for inner ear therapies.

726 Ultimately, a key question in the development of MB-assisted US for drug delivery—  
727 particularly concerning MB design to treat hearing disorders is: What are clinicians' expectations  
728 regarding therapeutic efficacy? Indeed, therapeutic efficacy objectives remain insufficiently defined  
729 in current *in-vitro* and preclinical studies. Establishing these objectives with greater clarity is essential,  
730 as the design of therapeutic strategies, US devices, and associated protocols—including both US  
731 parameters and MB characteristics—will inevitably vary depending on the intended therapeutic  
732 outcomes.

733 5. FUTURE PERSPECTIVES

734 Future progress in MB-assisted US for inner ear drug delivery will depend on overcoming  
735 several key challenges. First, the substantial heterogeneity in MB formulations, including size  
736 distribution, shell viscoelasticity, and surface characteristics, demands systematic optimization to  
737 identify MB profiles that maximize safety and therapeutic efficacy. Second, the absence of data  
738 describing MB behavior in perilymphatic conditions remains a major knowledge gap, as most  
739 mechanistic insights derive from blood-based studies. Third, the lack of standardized US protocols,  
740 combined with limited *in-vivo* comparisons of administration routes and delivery strategies, hinders  
741 the reproducibility and interpretation of outcomes across studies. In addition, translational  
742 implementation will require scalable, cost-effective manufacturing of therapeutic-grade MBs and  
743 clear regulatory pathways for drug-loaded formulations. Addressing these challenges through  
744 coordinated methodological frameworks, advanced MB engineering, and well-designed comparative  
745 preclinical studies will be essential to unlock the full potential of MB-assisted US for future clinical  
746 application in otology.

747  
748 6. CONCLUSION

749 MB-assisted US represents an innovative and promising modality for the efficient and safe  
750 delivery of therapeutic agents to the inner ear for treatment of hearing disorders. Both *in-vitro* and  
751 preclinical studies have reported encouraging outcomes regarding the efficacy and safety of this  
752 modality. These studies clearly highlight that the physicochemical and pharmacological properties of  
753 MBs play a critical role in determining the overall performance and biocompatibility of this modality.  
754 The promising results obtained thus far suggest that MB-assisted US has the potential to transform  
755 clinical management of inner ear pathologies in the near future. However, further research is needed  
756 to establish standardized protocols and to validate the approach using clinically relevant pathological  
757 animal models prior to clinical translation.

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762 Declaration of Competing Interest

763 The authors declare that they have no known competing financial interests or personal relationships  
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766 Data availability

767 Data will be made available on request.

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