

Delivery of Anticancer Drugs Using Microbubble-Assisted Ultrasound in a 3D Spheroid Model

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Delivery of Anti-Cancer Drugs using Microbubble-

Assisted Ultrasound in a 3D Spheroid Model

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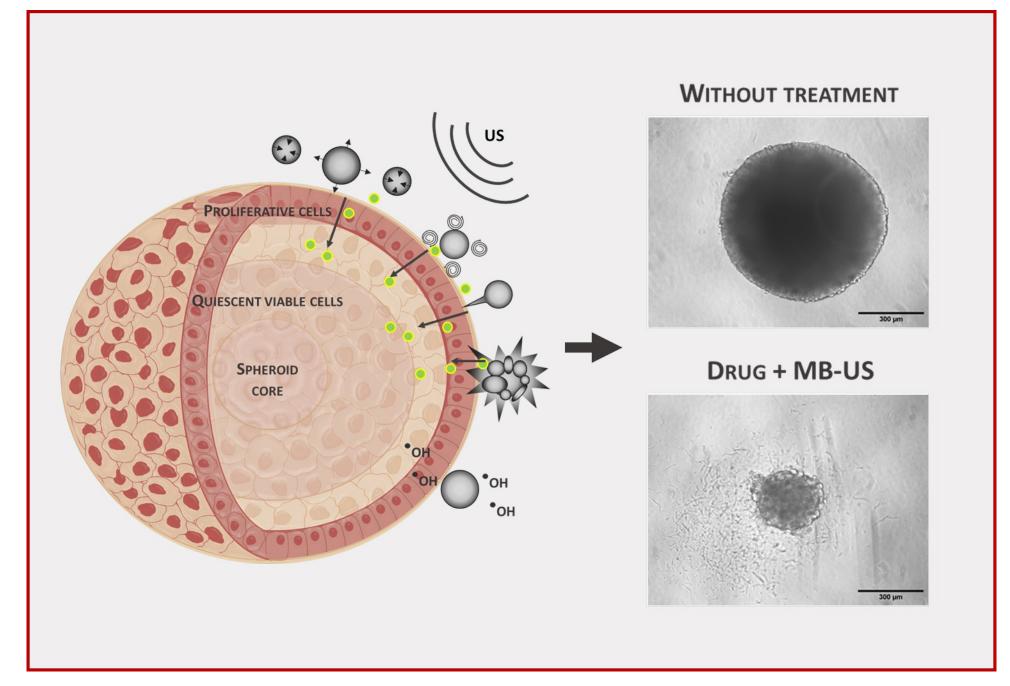
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ABSTRACT (200 WORDS)

Tumor spheroids are promising 3D *in vitro* tumor models for the evaluation of drug delivery methods. The design of noninvasive and targeted drug methods is required to improve the intratumoral bioavailability of chemotherapeutic drugs and to reduce their adverse off-target effects. Among such methods, microbubble-assisted ultrasound (MB-assisted US) is an innovative modality for noninvasive targeted drug delivery. The aim of the present study is to evaluate the efficacy of this US modality for the delivery of bleomycin, doxorubicin and irinotecan in colorectal cancer (CRC) spheroids. MB-assisted US permeabilized the CRC spheroids to propidium iodide, which was used as a drug model, without affecting their growth and viability. Histological analysis and electron microscopy revealed that MB-assisted US affected only the peripheral layer of CRC spheroids. The acoustically mediated bleomycin delivery induced a significant decrease in CRC spheroid growth in comparison to spheroids treated with bleomycin alone. However, this US modality did not improve the therapeutic efficacy of doxorubicin and irinotecan on CRC spheroids. In conclusion, this study demonstrates that tumor spheroids are a relevant approach to evaluate the efficacy of MB-assisted US for the delivery of chemotherapeutics.

53 KEYWORDS: Microbubble – Ultrasound – Sonoporation – Drug delivery – Spheroid – Colorectal

54 Cancer.



INTRODUCTION

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Ethical considerations in animal experimentation have led researchers to consider and design new 3D in vitro tumor models for drug screening, drug design, drug targeting and drug toxicity, especially when the promising results obtained in 2D in vitro tumor models (e.g., cell monolayers, coculture systems, etc.) have not been reproduced in animal tumor models. Among these 3D models, tumor spheroids are the most exploited for the design and validation of anticancer strategies. As reported in [1], tumor spheroids are 3D aggregates of tumor cells that may or may not be associated with other cell types, such as fibroblasts or immune cells. These cells interact not only with themselves but also with an extracellular matrix (i.e., endogenous or exogenous), thus creating a dense molecular and cellular network, which limits drug access to tumor cells [2,3]. The main physiological consequence of such gradients is the establishment of a peripheral layer of proliferative cells, an intermediate layer of quiescent cells and a necrotic core, as described for tumors in vivo [4,5]. Thus, these spheroids partially mimic in vivo tumor physiology, heterogeneity, microenvironment, and drug resistance [6]. These intrinsic properties of the tumor and its microenvironment restrict the extravasation, penetration, targeting and retention of drugs into the tumor tissue, thus resulting in low therapeutic efficacy and severe off-target effects. To overcome these limitations, the design and evaluation of efficient and targeted drug delivery modalities are required to increase the local dose of anticancer drugs at the desired site while reducing side effects to healthy tissues.

Among these modalities, microbubble-assisted ultrasound (MB-assisted US) is a promising physical method for the noninvasive and targeted delivery of various types of anticancer drugs, including chemotherapeutic drugs, nucleic acids (*e.g.*, plasmid DNA, mRNA, siRNA), immunotherapeutics, kinase inhibitors, tumor sensitizers and oncolytic viruses [7–10]. These

therapeutics and MBs (*i.e.*, bare or targeted ones) can be administered intratumorally and intravenously depending on the pharmacological properties of both agents and/or the desired therapeutic effect [11]. The therapeutics are either coadministered or administered successively with MBs *in vivo* and can also be charged on or into the MBs and be administered together. Then, US is applied to the tumor tissue when a quantity of MBs and drugs are sufficiently accumulated [12]. In response to US waves, MBs oscillate close to biological barriers, such as the plasma membrane of tumor cells (after their intratumoral administration) or the blood-tumor barrier (after their intravenous administration), therefore promoting acoustic phenomena (*e.g.*, pulling/pushing processes, microstreaming, shock waves, microjet) [13]. These phenomena transiently permeabilize these barriers to the therapeutics through the stimulation of intracellular (*e.g.*, formation of membrane pores and endocytosis), paracellular (*e.g.*, disruption of tight junctions) and transcellular (*e.g.*, transcytosis) pathways. Thus, this US modality enhances the therapeutic efficacy of anticancer drugs by improving their intratumoral bioavailability while minimizing their off-target effects [14].

Since the advent of spheroids, fewer than ten published *in vitro* studies have used spheroids to either design and evaluate US protocols and new formulations of drugs and MBs or to investigate the influence of the tumor microenvironment on therapeutic efficacy [1,15,16]. In this context, the aims of the present study are (i) to evaluate the influence of acoustic pressure and MB concentration on the delivery of a drug model into colorectal cancer (CRC) spheroids and (ii) to investigate whether MB-assisted US is able to deliver different types of chemotherapeutic drugs in these CRC spheroids.

EXPERIMENTAL SECTION

101 Cell culture

The IVISbrite® HCT-116 Red F-luc tumor cell line (Perkin-Elmer®, Codolet, France) is a bioluminescence-producing cell line derived from HCT-116 human colorectal carcinoma. These cells were stably transduced with the redshifted firefly luciferase gene from *Luciola Italica*. They were cultured in McCoy's 5A (Thermo Fisher Scientific, Waltham, MA) supplemented with 10% fetal calf serum (FCS; Eurobio, Courtaboeuf, France) and 1% penicillin/streptomycin (Thermo Fisher Scientific), and they were incubated at 37 °C in a humidified atmosphere with 5% CO₂. This cell line has been chosen because it is the most common cell line used to generate CRC spheroids uniformly and reproducibly. In addition, the physiological properties of these spheroids are well documented [17,18]

Spheroid formation

Adapted from Griseti et al., [19] the cells were seeded at a density of 500 cells/well in a 96-well clear round bottom ultralow attachment (ULA) microplate (Dutscher, Bernolsheim, France) and centrifuged at $500 \times g$ for 3 min. Then, the microplate was incubated at 37 °C in a humidified atmosphere in a 5% CO2 incubator. The plastic surface of these wells is treated to prevent the attachment of cells to this surface and to promote their aggregation. This ULA surface enables uniform and reproducible 3D multicellular spheroid formation after 3 days. In a preliminary study, a groupwise analysis of spheroid area using a Kruskal-Wallis test showed no significant difference in spheroid uniformity in microplates under our experimental conditions (p > 0.05; n > 400 spheroids from 6 independent experiments). In addition, the intragroup coefficients of variation of spheroid area for each experiment are small (4.6 to 4.8) indicating a greater reproducibility of spheroids in our experimental conditions. The spheroids were spherical and cohesive and have with an average diameter of $300 \pm 10 \,\mu m$.

Anticancer drugs and microbubbles

Bleomycin sulfate (B1141000; Sigma–Aldrich, St Louis, MO) and doxorubicin (D2975000; Sigma Aldrich, St Louis, MO) were dissolved in Dulbecco's phosphate-buffered saline solution (DPBS; Thermo Fisher Scientific) at a 1 mM stock solution. Irinotecan (Accord Health care AG, Bottmingen, Switzerland) was a generous gift from Dr. Virginie André (Regional Center of Cancerology, Henry Kaplan, CHRU de Tours, France), and its concentration was 20 mg/mL. Vevo MicroMarker[®] contrast agents were purchased from VisualSonics-Fujifilm Inc. (Toronto, Canada) [20,21]. These agents are MBs consisting of a gaseous core of nitrogen and a perfluorobutane mixture encapsulated in by a PEGylated phospholipid shell [22]. As previously reported in our *in-vitro* and *in-vivo* studies, these MBs are the most effective in delivering therapeutic molecules including anticancer drugs and plasmid DNA[21–23]. They were prepared according to the manufacturer's instructions at a final concentration of 2.109 MB/mL.

Ultrasound device

A 1-MHz single-element transducer (IBMF014; NDT Systems, Nashua, NH, USA) was used for membrane permeabilization and drug delivery. The transducer had a diameter of 12.7 mm, a natural focal distance at 27 mm and a focal spot of 6 mm. An arbitrary waveform generator (Agilent, Santa Clara, CA) was used to generate an electrical sinusoidal signal with a central frequency of 1 MHz, pulse repetition frequency (PRF) of 10 kHz and a duty cycle of 40%. The signal was then amplified using a power amplifier (AAP-500-0.2-6-D; ADECE, Veigné, France) before its transmission to the transducer. A separate system using a calibrated capsule hydrophone (HGL-0085; ONDA Corporation, Sunnyvale, CA, USA) was used to determine the lateral and axial acoustic profiles and the sensitivity curve of the transducer. The peak negative pressures (PNP) measured in the cuvette on the axis of transducer were 126, 252, 378 and 504 kPa while these PNP were 100, 200, 300 and 400 kPa in water, respectively.

Spheroid permeabilization

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following the protocols described below.

Propidium iodide (PI, P4864; Sigma-Aldrich) was used as a drug model (669.39 Da; hydrodynamic radius of 0.6 nm) to investigate the influence of peak negative pressure (PNP; 100, 200, 300 and 400 kPa) and MB concentration (2.10⁷, 4.10⁷ and 8.10⁷ MB/mL) on spheroid permeabilization. This molecule is a non-permeant and fluorescent DNA intercalating agent, which is commonly used as a membrane integrity marker to investigate the membrane permeabilization [24–27]. This fluorescent dye has a very low fluorescent intensity in an aqueous solution, while its quantum yield is 1000-fold enhanced after its intracellular uptake and its binding to DNA. A suspension of 5 spheroids in 1.5 mL of McCoy's 5A medium supplemented with 1 % FCS was placed in a dedicated plastic cuvette. Then, a solution of PI (100 µM final concentration) and MBs was added to the spheroid suspension just before US exposure. The center of the cuvette was immersed in a deionized and degassed water tank at 37°C, and its center was positioned at the focal distance of the transducer (Figure 1). Subsequently, the spheroids were exposed to 1 MHz sinusoid US waves with a pulse repetition period (PRP) of 100 µs, 40 cycles per pulse (i.e., 40 % duty cycle) and for 1 min. Ten minutes later, the penetration and intracellular accumulation of PI into spheroids were assessed using a fluorescence microscope (EVOS, M5000, Thermo Fisher Scientific). A semi-quantitative analysis of microscopic images was performed with ImageJ software (NIH, Bethesda, MA) in order to determine the integrated intensity of PI (a.u./µm²). Representative confocal images of permeabilized spheroids were obtained using LEICA SP8 gSTED confocal microscope (Leica, Wetzlar, Germany). The spheroids were exposed to MB-assisted US in the absence of PI in order to assess the

effects of acoustically mediated membrane permeabilization on the spheroid growth and viability

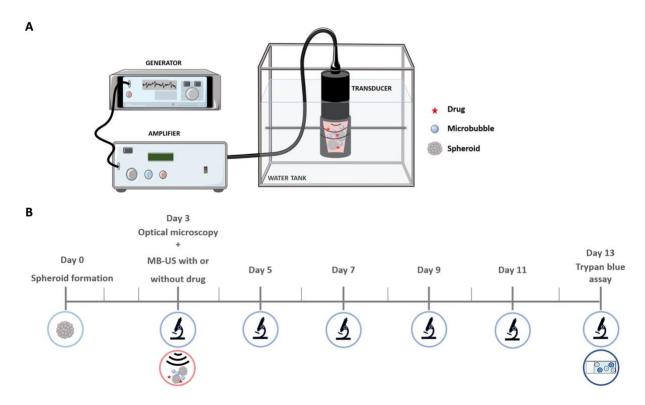


Figure 1. Set up and experimental timeline. (A) *In vitro* US setup. (B) Timeline of acoustically mediated drug delivery experiments on CRC spheroids.

Drug Delivery

Three chemotherapeutic drugs, including bleomycin (0.1 μ M and 1 μ M), doxorubicin (1 μ M and 10 μ M) and irinotecan (0.1 μ g/mL and 1 μ g/mL), were acoustically delivered (1 MHz, 100 μ s PRP, 40 cycles/pulse for 1 min in the presence of 4.10⁷ MB/mL) into the spheroids using the same US protocol and setup described above for spheroid permeabilization. The concentrations of drugs were selected based on our *in vitro* preliminary studies. Ten minutes after US exposure, the spheroids were transferred to a 96-well ULA microplate. The final concentrations of FCS and antibiotics were increased to 10% and 1%, respectively. Finally, the microplate was incubated at 37 °C in a humidified atmosphere in a 5% CO₂ incubator for spheroid growth.

Spheroid growth

Spheroid growth was monitored by optical microscopy (EVOS, M5000) every two days for ten days after US exposure. Then, the spheroid area was measured using ImageJ software.

Spheroid viability

On the 13th day post-US exposure, spheroid viability was assessed using the trypan blue exclusion assay. Briefly, the spheroids were harvested and washed with PBS. The spheroids were pooled in two groups and incubated with 50 µL of Accumax (Thermo Fisher Scientific) for 15 min at 37 °C in a humidified atmosphere in a 5% CO₂ incubator for enzymatic dissociation. Then, they were dissociated mechanically (rapid pipetting) to generate a cell suspension. One volume of cells was mixed with an equal volume of trypan blue. Finally, the concentration of viable cells was measured using a CountessTM automated cell counter (Invitrogen, Waltham, MA).

Transmission electron microscopy

As previously reported [28], the spheroids were fixed in 1% glutaraldehyde and 4% paraformaldehyde (Sigma–Aldrich) in 0.1 M phosphate buffer (pH 7.2) for 24 h. Then, the samples were washed in PBS and postfixed in 2% buffered osmium tetroxide (Agar Scientific, Stansted, UK) for 1 h. After dehydration in a graded series of ethanol solutions (70% and 90% v/v) and propylene oxide (100%), an impregnation step was performed with a mixture of propylene oxide/Epon resin (1:1; Sigma–Aldrich) and then left overnight in pure resin. The samples were then embedded in Epon resin (Sigma–Aldrich), which was allowed to polymerize for 48 h at 60 °C. Thin (250 nm) and ultrathin sections (90 nm) of these blocks were generated with a Leica EM UC7 ultramicrotome (Leica, Wetzlar, Germany). Thin sections were stained with 0.5% toluidine blue (Sigma–Aldrich), and ultrathin sections were stained with 2% uranyl acetate (Agar Scientific Ltd, Stansted, UK) and 5% lead citrate (Sigma–Aldrich). The microscopic observations were made with a transmission electron microscope (JEOL 1011, Tokyo, Japan).

207 Statistical analysis

All quantitative data were analyzed using Kruskal–Wallis's test and Dunn's multiple comparison test for spheroid permeabilization and viability and two-way ANOVA and Dunnett's test for spheroid growth (significance was defined as p < 0.05) with GraphPad Prism v.9.5.1 (GraphPad Software Inc., La Jolla, CA).

RESULTS

CRC spheroid permeabilization to small molecules

To evaluate the influence of PNP and MB concentration on the permeabilization level of CRC spheroids, the membrane permeabilization of tumor cells was monitored using a small and nonpermeant drug model, PI, and the permeabilization level was assessed by epifluorescence microscopy.

As depicted in **Figures 2A** and **2B**, the simple incubation of spheroids with PI revealed the presence of fluorescence labeling $(1.7 \pm 0.3 \times 10^6 \text{ a.u./}\mu\text{m}^2)$ inside the spheroids corresponding to the presence of dead cells. The exposure of spheroids to 100 kPa in the presence of MBs $(4 \times 10^7 \text{ MB/mL})$ significantly increased their permeabilization to PI compared to PI incubation alone (***p < 0.001; $3.3 \pm 0.4 \times 10^6$ versus $1.7 \pm 0.3 \times 10^6$ a.u./ μ m²). The increase in the acoustic pressure from 200 to 300 kPa caused spheroid permeabilization similar to that at an acoustic pressure of 100 kPa (p > 0.05; $3.4 \pm 0.6 \times 10^6$ and $4.1 \pm 0.9 \times 10^6$ a.u./ μ m², respectively). However, the exposure of spheroids to 400 kPa induced a 2.5-fold increase in their permeabilization in comparison to their exposure to 100 kPa (****p < 0.0001; $8.5 \pm 1.2 \times 10^6$ versus $3.3 \pm 0.4 \times 10^6$ a.u./ μ m²).

The influence of this range of PNP (from 100 to 400 kPa) on spheroid growth and viability was investigated by optical microscopy over 13 days and using a trypan blue exclusion assay on

the 13th day of spheroid growth, respectively. The increase in PNP had no significant influence on spheroid growth (**Figure 2C**) or viability (**Figure 2D**).

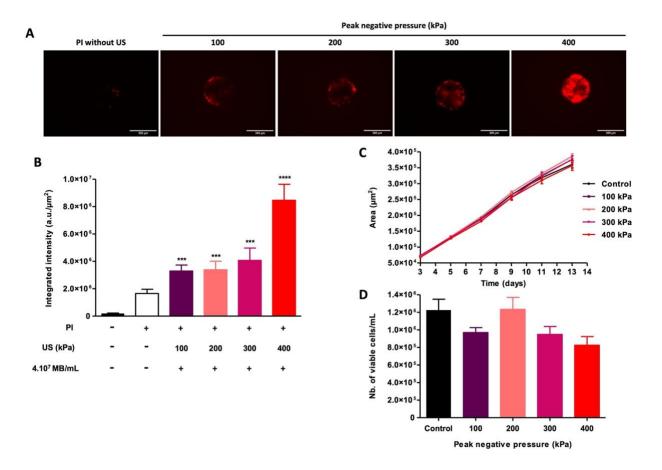


Figure 2. Influence of peak negative pressure (PNP) on CRC spheroid permeabilization, growth and viability. CRC spheroids were incubated with 100 μM PI alone or with MB-assisted US at 100 to 400 kPa for 1 min. (A) Representative fluorescence images of permeabilized spheroids. The scale bar indicates 300 μm. (B) Quantification of PI fluorescence intensity of permeabilized spheroids. Data expressed as mean \pm SEM was calculated from 18 CRC spheroids. Statistical analysis was performed using Kruskal–Wallis's test and Dunn's multiple comparison test. Significance was defined as p < 0.05 (***p < 0.001, ****p < 0.0001). Then, CRC spheroids were exposed to MB-assisted US at 100 to 400 kPa for 1 min. (C) The spheroid growth was assessed over time using optical imaging. Data expressed as mean \pm SEM was calculated from 18 CRC spheroids. Statistical analysis was performed using Two-way ANOVA test and Dunnett's test. Significance was defined as p < 0.05 (D) The spheroid viability was evaluated on the 13th day post-US exposure, using trypan blue dye exclusion assay. Data expressed as mean \pm SEM was calculated

from 18 CRC spheroids. Statistical analysis was performed using Kruskal-Wallis's test and Dunn's multiple comparison test. Significance was defined as p < 0.05.

Then, the influence of MB concentration (2.10⁷, 4.10⁷ and 8.10⁷ MB/mL) on spheroid permeabilization to PI was investigated at the given PNP of 400 kPa using the same experimental strategy as shown in **Figures 3A** and **3B**. As described above, the coincubation of spheroids with PI stained the dead cells, thus causing an increase in the associated fluorescence intensity $(1.7 \pm 0.3 \times 10^6 \text{ a.u./µm}^2)$. The insonation of spheroids in the presence of MBs at 2.10^7 MB/mL led to a significant permeabilization of spheroids compared to PI incubation alone (****p < 0.0001; $7.1 \pm 1 \times 10^6$ versus $1.7 \pm 0.3 \times 10^6$ a.u./µm²). Surprisingly, the doubling or quadrupling of the MB concentration resulted in similar permeabilization of spheroids as for an MB concentration of 2.10^7 MB/mL (p > 0.05; $8.5 \pm 1.1 \times 10^6$ and $7.2 \pm 1 \times 10^6$ a.u./µm², respectively). In addition, the increase in MB concentration from 2.10^7 to 8.10^7 MB/mL did not affect either spheroid growth over time (**Figure 3C**) or viability on the 13^{th} day (**Figure 3D**). Altogether, these data show that MB-assisted US permeabilizes CRC spheroids without affecting their growth and viability under our experimental conditions.

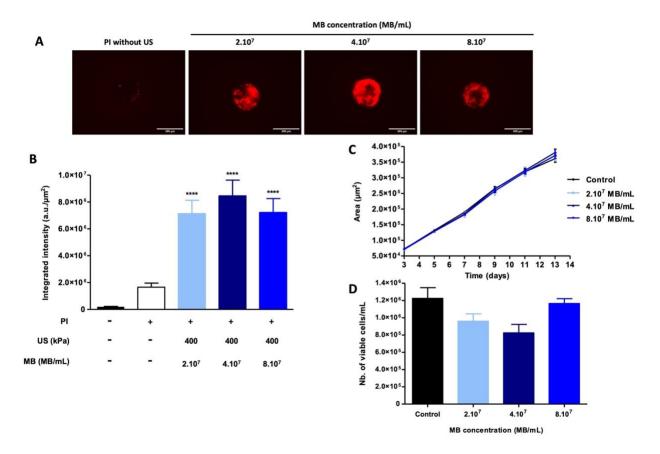


Figure 3. Influence of MB concentration on CRC spheroid permeabilization, growth and viability. CRC spheroids were incubated with 100 μM PI alone or with MB-assisted US at 400 kPa for 1 min in the presence of 2.10^7 , 4.10^7 or 8.10^7 MB/mL. (A) Representative fluorescence images of permeabilized spheroids. The scale bar indicates 300 μm. (B) Quantification of PI fluorescence intensity of permeabilized spheroids. Data expressed as mean ± SEM was calculated from 18 CRC spheroids. Statistical analysis was performed using Kruskal–Wallis's test and Dunn's multiple comparison test. Significance was defined as p < 0.05 (****p < 0.0001). CRC spheroids were incubated with 100 μM PI alone or with MB-assisted US at 400 kPa for 1 min in the presence of 2.10^7 , 4.10^7 or 8.10^7 MB/mL. (C) The spheroid growth was assessed over time using optical imaging. Data expressed as mean ± SEM was calculated from 18 CRC spheroids. Statistical analysis was performed using Two-way ANOVA test and Dunnett's test. Significance was defined as p < 0.05 (D) The spheroid viability was evaluated on the 13^{th} day post-MB-assisted US exposure, using trypan blue dye exclusion assay. Data expressed as mean ± SEM was calculated from 18 CRC spheroids. Statistical analysis was performed using Kruskal–Wallis's test and Dunn's multiple comparison test. Significance was defined as p < 0.05.

In addition, our confocal microscopic observations of permeabilized spheroids revealed heterogeneous permeabilization between the spheroids and within each spheroid. Indeed, the spheroids showed a low or high number of fluorescent cells predominantly located in the outer cell layer of spheroids (Figure 4).

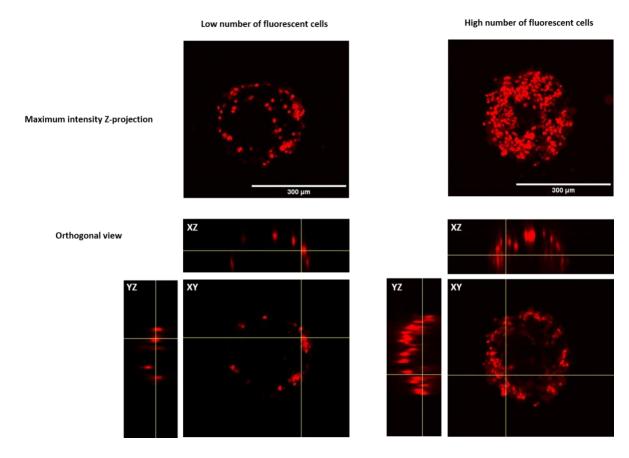


Figure 4. Representative confocal images of permeabilized spheroids. The scale bar indicates 300 µm.

Impact of US-MB on spheroid structure

Using the same setup and experimental conditions (400 kPa PNP, 4.10⁷ MB/mL) previously described for spheroid permeabilization, ultrastructural modifications of CRC spheroids were investigated using both histology after toluidine blue staining (**Figures 5A and 5B**) and transmission electron microscopy (TEM; **Figure 5C**) immediately and 20 min after MB-assisted US (in the absence of PI). Optical images of control spheroids (no MB-assisted US

exposure) revealed no visually apparent effects on spheroid morphology (Figure 5A). In the control condition, few intercellular disruptions were observed in the peripheral layers of tumor cells, which could be attributed to the putative artifactual effect of sample preparation and handling (Figures 5A and 5B). However, the spheroids appeared less cohesive in structure immediately after MB-assisted US exposure compared to the control spheroids (Figure 5A). The intercellular junctions of tumor cells located in the peripheral layers of spheroids were significantly disrupted in comparison to the control spheroids (**p < 0.01; 37 ± 2 % versus 12 ± 2 %; Figure 5B). A slight but nonsignificant decrease in this acoustically disrupted area was observed 20 min after US-assisted MB exposure (p > 0.05; 32 ± 3 % versus 37 ± 2 %; Figure 5B). One noticed that MBassisted US did not seem to affect the structure of the intermediate layer or the core of spheroids under our experimental conditions. Moreover, TEM analysis confirmed these histological observations. The sonicated spheroids exhibited significant changes in cell morphology and organization (i.e., wide intercellular gaps) in the peripheral layer of spheroids, which resulted in loss of spheroid cohesion in this layer compared to the control (Figure 5C). These results demonstrate that MB-assisted US affects only the peripheral layer of CRC spheroids.

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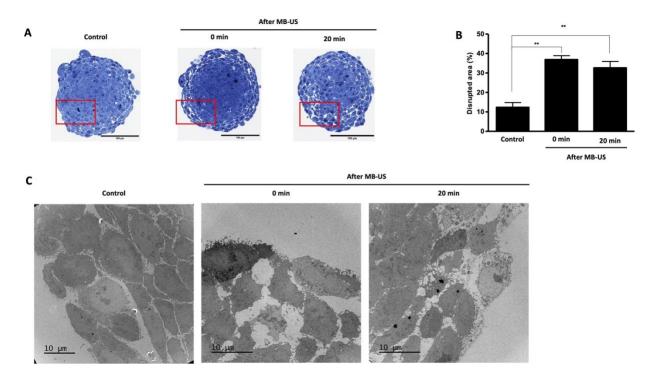


Figure 5. Effects of MB-assisted US on spheroid structure. (A) Representative optical images of CRC spheroid slices stained with toluidine blue, immediately or 20 min after MB-assisted US exposure (MB concentration: 4.10^7 MB/mL, US parameters: 400 kPa for 1 min). The scale bar indicates 100 µm. The red square shows peripheral layer of CRC spheroids. (B) Percentage of the disrupted spheroid area after MB-assisted US exposure. Data expressed as mean \pm SEM was calculated from 12 CRC spheroids. Statistical analysis was performed using Kruskal–Wallis's test and Dunn's multiple comparison test. Significance was defined as p < 0.05 (**p < 0.01). (C) Representative TEM images of disrupted area of CRC spheroids. The scale bar indicates 10 µm.

Drug delivery using MB-assisted US

Three anticancer drugs, bleomycin, doxorubicin and irinotecan, were acoustically delivered at two different concentrations inside CRC spheroids using the US setup and parameters (400 kPa PNP, 4.10⁷ MB/mL). The cytotoxic effects of such drugs were investigated by monitoring spheroid growth over 13 days under optical microscopy and by assessing spheroid viability on the 13th day using a trypan blue exclusion assay.

Figure 6A displays microscopy images of control spheroids (i.e., no treatment) and spheroids treated once either with bleomycin alone or with bleomycin delivered using MB-assisted US (i.e., 0.1 and 1 µM bleomycin). As shown in this figure, the spheroid size and morphology were highly uniform between all treatment groups on the 3rd day (i.e., images acquired before the treatment). This good reproducibility of our spheroid model enabled us to clearly assess their cytotoxic effects over time; here, only the last day of follow-up is shown. Control spheroids exhibited linear growth, and the spheroid-doubling time was close to 5 days (Figure 6B). The incubation of spheroids with 0.1 µM bleomycin significantly reduced spheroid growth over 13 days without inducing spheroid destruction compared to the control condition (****p < 0.0001). Consequently, the spheroid-doubling time increased to 6 days. The acoustically mediated delivery of bleomycin at 0.1 µM led to an additional and significant reduction in spheroid growth (without inducing spheroid destruction) in comparison with bleomycin treatment alone (****p < 0.0001). This reduction in spheroid growth was associated with the prolongation of spheroid-doubling time, i.e., 7 days. The increase in bleomycin concentration from 0.1 to 1 µM significantly accentuated the slowdown of spheroid growth (****p < 0.0001; Figure 6B) without causing spheroid destruction and increased the spheroid-doubling time to 9 days. One noticed that the therapeutic efficacy of acoustically mediated delivery of bleomycin at 0.1 µM is close to that obtained with bleomycin treatment alone at 1 μ M (p > 0.05). The exposure of spheroids to MB-assisted US in the presence of 1 µM bleomycin completely inhibited their growth compared to the bleomycin treatment alone (****p < 0.0001). On the 13th day, the trypan blue exclusion assay confirmed these results (Figure 6C). The acoustically mediated delivery of 0.1 µM bleomycin induced a 2-fold decrease in spheroid viability compared to bleomycin treatment alone (**p < 0.01). This reduction in spheroid viability was similar to that caused by the treatment of spheroids with 1 µM bleomycin

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alone (p > 0.05). As expected, the delivery of 1 μ M bleomycin using MB-assisted US significantly decreased spheroid viability compared to bleomycin treatment alone at 1 μ M (**p < 0.01). These results show that MB-assisted US potentiates the cytotoxic effect of bleomycin on CRC spheroids.

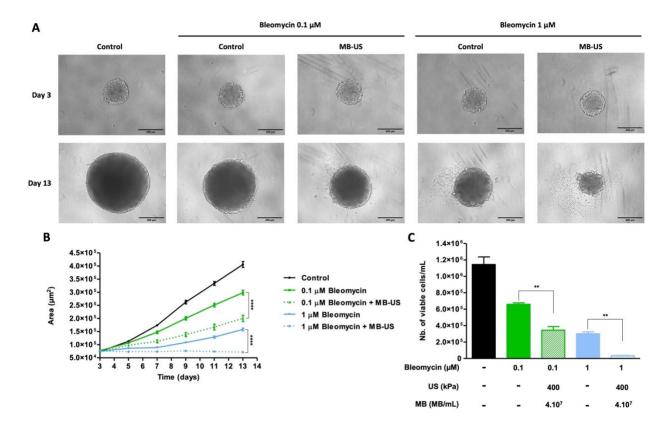


Figure 6. Bleomycin delivery using MB-assisted US. CRC spheroids were incubated with 0.1 or 1 μ M bleomycin alone or with MB-assisted US at 400 kPa for 1 min in the presence of 4.10⁷ MB/mL. (A) Representative optical images of CRC spheroids before (Day 3) and 10 days after treatment (Day 13). The scale bar indicates 300 μ m. (B) The spheroid growth was assessed over time using optical imaging. Data expressed as mean \pm SEM was calculated from 8 CRC spheroids. Statistical analysis was performed using Two-way ANOVA test and Dunnett's test. Significance was defined as p < 0.05 (****p < 0.0001). (C) The spheroid viability was evaluated on the 13th day post-MB-assisted US exposure, using trypan blue dye exclusion assay. Statistical analysis was performed using Kruskal–Wallis's test and Dunn's multiple comparison test. Significance was defined as p < 0.05 (**p < 0.01).

As shown in **Figure 7**, the cytotoxic effect of the acoustically mediated delivery of doxorubicin (*i.e.*, 1 and 10 µM) was investigated following the same protocol reported above. As

previously described, control spheroids displayed linear growth with a doubling time close to 5 days (Figures 7A and 7B). Doxorubicin treatment alone at 1 µM induced complete inhibition of spheroid growth (****p < 0.0001; Figures 7A and 7B), while treatment with a 10-fold higher concentration induced a significant reduction in spheroid area related to an almost complete destruction of the spheroid compared to control spheroids (****p < 0.0001; Figures 7A and 7B). Regardless of the doxorubicin concentration, its cytotoxic effect was not potentiated when this anticancer drug was delivered using MB-assisted US inside the spheroids (p > 0.05). These data were also confirmed by trypan blue exclusion assay on Day 13 (Figure 7C). Doxorubicin treatment alone at 1 µM led to a 3.8-fold decrease in spheroid viability compared to the control condition (***p < 0.001; 2.6 \pm 0.4 \times 10⁵ versus 1.0 \pm 0.08 \times 10⁶ viable cells/mL). The acoustically mediated delivery of 1 µM doxorubicin did not result in an additional reduction in spheroid viability in comparison to doxorubicin treatment alone (p > 0.05). Similarly, 10 μ M doxorubicin delivered or not using MB-assisted US induced a comparable decrease in spheroid viability $(p > 0.05; 6.0 \pm 1.2 \times 10^4 \text{ versus } 4.5 \pm 1.8 \times 10^4 \text{ viable cells/mL})$. Altogether, these data reveal that under our experimental conditions, MB-assisted US does not increase the cytotoxic effect of doxorubicin on CRC spheroids at either selected concentration.

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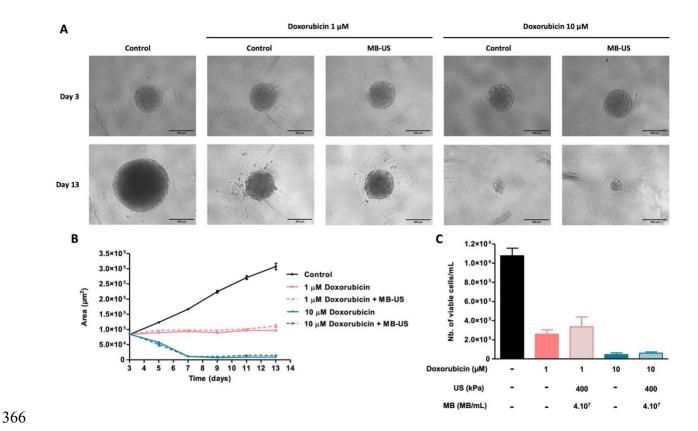


Figure 7. Doxorubicin delivery using MB-assisted US. CRC spheroids were incubated with 1 or 10 μM doxorubicin alone or with MB-assisted US at 400 kPa for 1 min in the presence of 4.10^7 MB/mL. (A) Representative optical images of CRC spheroids before (Day 3) and 10 days after treatment (Day 13). The scale bar indicates 300 μm. (B) The spheroid growth was assessed over time using optical imaging. Data expressed as mean \pm SEM was calculated from 8 CRC spheroids. Statistical analysis was performed using Two-way ANOVA test and Dunnett's test. Significance was defined as p < 0.05. (C) The spheroid viability was evaluated on the 13^{th} day post- MB-assisted US exposure, using trypan blue dye exclusion assay. Statistical analysis was performed using Kruskal–Wallis's test and Dunn's multiple comparison test. Significance was defined as p < 0.05.

Moreover, the CRC spheroids were treated with irinotecan at 0.1 μ g/mL or 1 μ g/mL alone or delivered using MB-assisted US. Once again, the spheroids exhibited linear growth with a doubling time close to 5 days under control conditions (**Figures 8A** and **8B**). At a 0.1 μ g/mL concentration, slower spheroid growth was observed compared to the control condition (****p < 0.0001; **Figures 8A** and **8B**). The acoustically mediated delivery of such irinotecan

concentration induced a slightly more important reduction of spheroid growth compared to the $0.1 \,\mu g/mL$ irinotecan treatment alone, but this result was not significant (p > 0.05). The incubation of spheroids with 1 µg/mL irinotecan alone resulted in a complete inhibition of spheroid growth without generating their destruction in comparison to the control condition (****p < 0.0001; Figures 8A and 8B). Unfortunately, the delivery of such irinotecan concentrations using MBassisted US did not lead to an additional and significant decrease in spheroid growth compared to irinotecan treatment alone (p > 0.05). The trypan blue exclusion assay supported these results (Figure 8C). The acoustically mediated delivery of 0.1 µg/mL irinotecan caused a slight but nonsignificant decrease in spheroid viability in comparison to 0.1 µg/mL irinotecan treatment alone (p > 0.05; $4.0 \pm 0.3 \times 10^5$ versus $5.5 \pm 0.6 \times 10^5$ viable cells/mL). No significant decrease in spheroid viability was observed between their treatment with 1 µg/mL irinotecan alone or delivered using MB-assisted US (p > 0.05; $6.0 \pm 1.5 \times 10^4$ versus $5.5 \pm 0.7 \times 10^4$ viable cells/mL). These results demonstrate that under our experimental conditions, MB-assisted US does not potentiate the cytotoxic effect of irinotecan on CRC spheroids regardless of its selected concentration.

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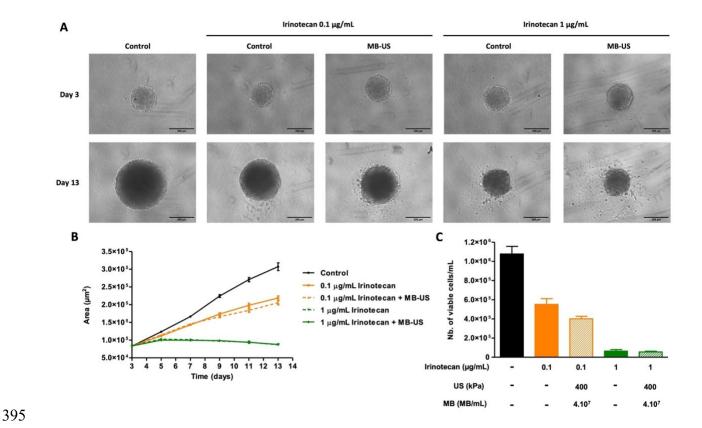


Figure 8. Irinotecan delivery using MB-assisted US. CRC spheroids were incubated with 0.1 or 1 μg/mL irinotecan alone or with MB-assisted US at 400 kPa for 1 min in the presence of 4.10^7 MB/mL. (A) Representative optical images of CRC spheroids before (Day 3) and 10 days after treatment (Day 13). The scale bar indicates 300 μm. (B) The spheroid growth was assessed over time using optical imaging. Data expressed as mean \pm SEM was calculated from 8 CRC spheroids. Statistical analysis was performed using Two-way ANOVA test and Dunnett's test. Significance was defined as p < 0.05. (C) The spheroid viability was evaluated on the 13th day post-MB-assisted US exposure, using trypan blue dye exclusion assay. Statistical analysis was performed using Kruskal–Wallis's test and Dunn's multiple comparison test. Significance was defined as p < 0.05.

DISCUSSION

The aim of the present study was to evaluate the efficacy of MB-assisted US for the delivery of anticancer drugs to CRC spheroids. First, the influence of PNP and MB concentration on the permeabilization of these spheroids to small molecules was assessed using PI as a drug model. Our results show that MB-assisted US significantly increases the delivery of this drug model into CRC

spheroids (Figures 2A and 2B). As previously reported for cell suspensions or in vivo, the permeabilization efficiency depends on PNP [29-31]. The increase in PNP induces a gradual permeabilization of spheroids, and a maximum permeabilization efficiency is reached at a PNP of 400 kPa. In future experiments, it could be relevant to investigate in depth the influence of other US parameters on the permeabilization of spheroids. Surprisingly, the increase in MB concentration did not affect the permeabilization efficiency of spheroids (Figures 3A and 3B). The data available in the literature showed that the permeabilization of cells and tissues is dependent on the MB concentration [32–34]. In the near future, the influence of a wider range of MB concentrations as well as the type of MBs (i.e., soft- vs. hard-shelled MBs, bare vs. targeted MBs, poly- vs. mono-disperse MBs, etc.) on spheroid permeabilization will be evaluated with our US setup. The 3D view of permeabilized spheroids using confocal fluorescence microscopy allowed accurate location of permeabilized cells in the peripheral layer of spheroids (Figure 4). Such spheroid phenotype with more or less significant number of permeabilized cells is the most frequently observed phenotype in previous studies. Nevertheless, Paškevičiūtė et al.[35] reported that MB-assisted US enhanced the permeabilization of peripheral and middle layers to doxorubicin (anticancer fluorescent drug, 544 Da) in lung and breast cancer spheroids. This difference observed between our study and those published may be related to the US setup and parameters, MBs (e.g., type, dose, etc.), tumor cell line and the dye used as the model drug.

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Subsequently, we investigated the bioeffects of MB-mediated US on the architecture of spheroids using TEM and histology after toluidine blue staining. MB-assisted US strongly altered the peripheral cell layers of spheroids. The shape of the tumor cells was modified, and the intercellular junctions were disrupted from the spheroid surface to a depth of 70 nm immediately after US exposure as well as 20 min later (**Figure 5**). These results suggest that the mechanical

actions of cavitating MBs take place on the surface and in the outer layers of the spheroids. Due to their micrometric size, the MBs would not diffuse inside the spheroids. To confirm this hypothesis, spheroid permeabilization in the presence of fluorescent MBs and PI could be conducted in real time under a confocal microscope. In addition, our TEM results confirmed our confocal microscopic observations of permeabilized spheroids. This preliminary electron microscopy study is, to our knowledge, the first of its kind in the field of acoustically mediated drug delivery into spheroids.

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Moreover, we assessed the ability of MB-assisted US to deliver chemotherapeutic drugs, including bleomycin, doxorubicin and irinotecan, into CRC spheroids. These spheroids were treated with two different drug concentrations and then exposed or not to MB-assisted US. The results showed that the cytotoxic effect of bleomycin was potentiated when this drug was delivered using MB-assisted US (Figure 6). In agreement with published data, these results indirectly demonstrated that the increased cytotoxicity of bleomycin could be ascribed to an enhancement in its intracellular uptake through acoustically induced hydrophilic pores [36,37]. The transport of bleomycin across the plasma membrane of tumor cells is governed by the receptor-mediated endocytosis process [38]. The low number of these membrane receptors exposed at the cell surface limits the intracellular accumulation of bleomycin and therefore its cytotoxicity. The exposure of spheroids to MB-assisted US leads to an increased membrane permeability of tumor cells and enables the direct access of bleomycin to their cytoplasm, where its molecular targets are located. These results are in agreement with previous studies conducted in vitro on different human and murine tumor cell lines (i.e., gingival squamous carcinoma, melanoma, glioblastoma and colorectal cancer) [39–41] and in vivo (i.e., gingival squamous carcinoma and melanoma) [39,40]. The acoustically mediated delivery of bleomycin induces a 50% to 80% decrease in cell viability

regardless of the cell line used and experimental conditions (*i.e.*, cell suspension *versus* adherent cells, type and dose of MBs, bleomycin concentration and US parameters). *In vivo*, Iwanaga et al. reported that the repeated delivery of a low bleomycin dose (10 µg) using MB-assisted US led to a near disappearance of the tumor in a murine squamous carcinoma model without inducing severe side effects [39]. Altogether, these results suggest that spheroids could be a suitable predictive and complementary tumor model between *in vitro* and *in vivo* models.

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Surprisingly, MB-assisted US did not potentiate the cytotoxic effects of doxorubicin and irinotecan on CRC spheroids under our experimental conditions (Figures 7 and 8). The first hypothesis, which could explain these results, relies on the intrinsic properties of these anticancer drugs (Table 1). Doxorubicin and irinotecan are low molecular weight molecules (< 600 Da) that can passively cross the plasma membrane of tumor cells, while bleomycin (> 1 400 Da) requires a receptor-mediated endocytosis process. In addition, the lipophilicity of these anticancer molecules is also a physico-chemical parameter, which must be considered because it plays a major role in their pharmacological properties (pharmacokinetics, pharmacodynamics, tissue biodistribution, etc.) [42]. Doxorubicin and irinotecan are more lipophilic than bleomycin [43,44]. As a result, MB-assisted US would not significantly enhance the intracellular concentrations of doxorubicin and irinotecan under our experimental conditions. However, previous investigations reported that this US modality significantly increased the intracellular uptake of both drugs and their cytotoxic effects in vitro and in vivo [20,22,45,46]. If we consider doxorubicin first, the acoustically mediated delivery of free doxorubicin (as opposed to doxorubicin loaded in MBs or liposomes) enhances the membrane permeability of tumor cells to this drug, thus resulting in a significant increase in its cytotoxic effects on cell suspensions or monolayers [47,48]. The in vitro studies conducted on the tumor spheroids depicted contradictory results [15,35,49]. Indeed, Misra

et al. showed that this US modality increased the penetration of doxorubicin (50 µM) inside mammary tumor (MDA-MB-231) spheroids and its intracellular uptake. Surprisingly, these results were not correlated with a significant inhibition of spheroid growth compared to doxorubicin treatment alone. The use of a high concentration of doxorubicin, i.e., 50 µM, could explain these results. Bourn et al. described a positive correlation between an increase in the intracellular uptake of doxorubicin and a decrease in the viability of CRC/fibroblast (HCT-116/HFFF2) spheroids after acoustically mediated delivery of doxorubicin at 3 µM. This last study demonstrates that MBassisted US can potentiate the therapeutic efficacy of low concentrations of doxorubicin (i.e., < 5 μM) on spheroids, thus partly explaining our results obtained at 10 μM doxorubicin. Indeed, we failed to improve the cytotoxic effects of low concentrations of doxorubicin (< 1 µM) on CRC spheroids in the present study but also in preliminary studies (data not shown), suggesting that HCT-116 cells would be highly sensitive to doxorubicin. In their study, Bourn et al. exploited CRC spheroids made up of human colorectal cancer HCT-116 cells (as reported in the present study) and human HFFF2 fibroblasts. It is now widely known that such coculture decreases the sensitivity of tumor cells to anticancer drugs [16,49-51], thus explaining why Bourn et al. succeeded in potentiating the therapeutic efficacy of low concentrations of doxorubicin on their spheroids and not us. In the present study, we can only conclude that MB-assisted US did not potentiate the cytotoxic effects of doxorubicin on HCT-116 spheroids. In the near future, these experiences will have to be reproduced on spheroids made-up of different types of cancer cells cocultured with or without other cell lines such as fibroblast, in order to conclude on the efficacy of MB-assisted US to enhance the therapeutic efficacy of doxorubicin on tumor spheroids. We note that the efficacy of acoustically mediated delivery of free doxorubicin has only been described in a few in vivo tumor models [46,52,53]. Indeed, it is mainly the delivery of its liposomal formulation (Doxil® or

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Caelyx®) as well as doxorubicin-loaded MBs, which has been reported *in vivo* due to the strong cardiotoxicity of free doxorubicin [54–56]. The use of liposomal doxorubicin will be more relevant in our further investigations on tumor spheroids.

Table 1. Description of chemotherapeutic drugs and propidium iodide.

	Molecule	Structure	Molecular weight (g/mol)	Mechanisms of action
THERAPEUTIC MOLECULES	Bleomycin		1 415.551	 ROS generation Intercalation into DNA Topoisomerase II inhibition
	Doxorubicin		543.52	ROS generation Intercalation into DNA Topoisomerase II inhibition
	Irinotecan	0-	586.678	Topoisomerase I inhibition
DRUG	Propidium iodide	NAT————————————————————————————————————	668.403	N/A

Regarding the acoustically mediated delivery of irinotecan, several proofs of concept showed that MB-assisted US efficiently delivered free irinotecan *in vitro* [20] and *in vivo* in healthy and tumor brain tissues [20,45,57]. We demonstrated that the therapeutic efficacy of a 500 µg/mL irinotecan concentration can be achieved by delivering a 1000 times lower concentration by MB-assisted US in human glioblastoma (U-87 MG) cells [20]. *In vivo*, the acoustically mediated delivery of free irinotecan in a glioblastoma (U-87 MG) murine model resulted in a significant decrease in tumor growth compared to irinotecan treatment alone. To the best of our knowledge, spheroids have never been exploited to evaluate the acoustically mediated delivery of free irinotecan. However, they are used to assess new formulations of therapeutic MBs, in which irinotecan is loaded alone [58,59] or with other anticancer drugs [59,60]. Indeed, Gao et al.

demonstrated that MB-assisted US using irinotecan-loaded MBs ($50~\mu M$) induced a significant decrease in the viability of pancreatic tumor spheroids compared to irinotecan-loaded MB treatment alone. As opposed to our irinotecan delivery strategy, the encapsulation of irinotecan inside MBs limits its intracellular uptake and therefore its cytotoxic effects. The exposure of tumor cells and irinotecan-loaded MBs to US induces the release of irinotecan from MBs and increases the membrane permeability of tumor cells to this drug, thus potentiating its therapeutic efficacy. Regardless of the type of tumor cells and drug delivery protocol, the loading of irinotecan inside the MBs is the main reason why these authors observed a significant difference in spheroid viability between their experimental and control conditions, contrary to our present study.

In addition to the further improvements described above, future developments would consist of the use of vascularized tumor spheroid-on chip where the spheroids will be formed from patient-derived cancer cells and have different sizes [61–63]. This strategy will be more relevant to design and to assess protocols (*e.g.*, US parameters, MB and drug-related parameters, therapeutic schemes, *etc.*) for acoustically mediated delivery of therapeutic molecules of different molecular weights in a pathophysiological context close to that encountered *in vivo*.

CONCLUSION

In summary, the present results suggest that MB-assisted US enhanced the therapeutic efficacy of bleomycin on CRC spheroids but not that of doxorubicin and irinotecan. Nevertheless, further improvements are required to potentiate their therapeutic efficacies. The exploitation of tumor spheroids should be a complementary approach to design and validate innovative protocols for acoustically mediated drug delivery.

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ABBREVIATIONS

- 548 2D, two-dimensional; 3D, three-dimensional; CRC spheroid, colorectal cancer spheroid;
- 549 DPBS, Dulbecco's phosphate-buffered saline solution; FCS, fetal calf serum; MB-assisted US,
- microbubble-assisted ultrasound; MBs, microbubbles; PI, propidium iodide; PNP, peak negative
- pressure; PRP, pulse repetition period; SEM, mean standard deviation; TEM, transmission electron
- microscopy; ULA, ultra-low attachment; US, ultrasound.

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