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Characterization of the viscoelastic properties of *in vitro* crystalline lens samples using ultrasound elastography

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With aging, the stiffening of the crystalline lens^{1,2} can hinder accommodation and reduce near-1 2 vision in more than, 75% of individuals above 40 year old³, an impairment known as presbyopia. 3 Mapping lens elasticity using shear wave elastography holds significant promise for monitoring 4 potential treatments for presbyopia. However, because of the transparency of the lens to 5 ultrasound, the tracking of waves can be performed only on its boundaries. The goal of this study 6 is to characterize the viscoelastic properties of in vitro crystalline lens samples with a curvilinear 7 harmonic method based on noise correlation algorithms. This procedure consists of precise 8 measurements of the dispersion of surface waves across a large frequency range (0.1-3.5 kHz), 9 thus allowing for clear identification of the wave properties needed to correctly estimate the 10 elasticity. The proposed method was applied to gelatin phantoms and excised porcine lens 11 samples. This enabled the observation of two regions in the dispersion curves: a sharp decrease in 12 dispersion at low frequencies (< 1 kHz), which was partly due to guided waves, and a smoother 13 slope at high frequencies (> 1 kHz), which was attributed to viscoelastic dispersion. In contrast to 14 previous studies, shear elasticity and viscosity moduli were computed at higher frequencies with 15 a Kelvin–Voigt model. If our approach confirms the shear viscosity of lenses, then the shear elastic 16 moduli of lenses are almost an order of magnitude greater than the results of previous studies.

17

18 Presbyopia, the most common vision impairment, affected approximately 1.8 billion people 19 worldwide in 2015³. It progressively degrades near vision primarily as the aging of the crystalline lens 20 decreases its deformability, as evidenced by a lens-spinning method^{2,4,5}. Compression tests and dynamic mechanical analysis revealed increases in both the shear modulus and viscosity in aging lens⁶⁻ 21 22 ⁸. Studies using microindentors revealed an elasticity gradient in the lens, with the center being stiffer than the cortex^{1,9,10}. The only noninvasive method suitable for clinical use is Brillouin spectroscopy, 23 24 which requires only incident light and enables local in vivo measurements of the compressional wave 25 speed c_L in the lenses of human patients^{11–13}. The c_L is related to both the longitudinal and shear

26 moduli. However, in soft biological tissues, the shear modulus is three orders of magnitude smaller 27 than the longitudinal modulus. Although both moduli are typically correlated in biological systems, 28 Brillouin spectroscopy alone does not allow for quantitative measurements of Young's modulus¹⁴. 29 Elastography allows noninvasive mapping of the shear modulus. However, speckle tracking methods 30 are not directly applicable in the lens because of its transparency to ultrasound and light. To overcome 31 this challenge, some teams chose to create an artificial speckles in the form of laser-induced 32 microbubbles^{15–17}. Young's moduli were calculated from the maximum displacement of the bubbles, 33 created by acoustic radiation force impulse (ARFI) and its relaxation time. Other groups chose to focus on the only available backscattered signal from the interface of the lens. Displacement was induced by 34 35 ARFI and tracked by ultrasound imaging or optical coherence tomography (OCT). The same type of computation was performed^{18,19}, and wave speeds were measured by time of flight^{18,20-22}. The shear 36 elasticity and viscosity moduli were deduced by assuming the nature of waves propagating in the 37 38 lens²³. Rayleigh or Scholte waves are usually used to describe surface waves, depending on the 39 boundary conditions. However, it is essential to consider the excitation frequency for small objects, 40 which is often overlooked.

Here, we present a method to analyze lens elasticity *in vitro*. Based on noise correlation algorithms, this method performs harmonic curvilinear analysis of surface waves, studying their speed across a large frequency range. This method identifies dispersive phenomena, aiding in the identification of wave propagation regimes. It was applied to numerical simulations and experimental models, including flat gelatin phantoms and beads. Finally, we conducted *in vitro* studies on young porcine lenses.

47 The setup included a mechanical vibrator (APA100M, Cedrat Technologies) in contact with a 48 1.3 cm thick, 6 cm diameter agarose gel sample holder (2% w/v). The holder had a hollow inclusion at 49 its center (Figure 1A). A function generator (Keysight Technologies) emitted a 0.1-3.5 kHz sweep of 400 50 ms at 3.5 Vpp. The signal was amplified by 20 dB (LC75B, LA75A, Cedrat Technologies) and delivered 51 to the vibrator. A 128-element linear imaging array centered at 15 MHz (L22-14vX, Vermon) was 52 positioned above the sample and connected to an ultrasound scanner (Vantage, Verasonics). The 53 acquisition of 4500 plane wave images was performed at a frame rate of 9000 fps. Figure 1B shows the 54 B-mode image of a lens sample embedded in the agarose holder, revealing artifacts from backscattering interfaces. Implementing plane wave compounding could enhance image quality²⁴. 55 56 However, scanner limitations prevented simultaneous implementation of compounded imaging and a 57 high frame rate. Further investigation is needed to evaluate potential artifact-induced errors. The 58 excitation bandwidth was chosen close to the Shannon limit of 4.5 kHz, allowing for investigation of 59 multiple wave regimes. The vibrator was in contact with the agarose phantom adjacent to the sample, 60 enabling propagation of transverse waves along the lens interface, which was imaged by the

61 ultrasound probe. Three sample types were: flat gelatin phantom of 10% (w/v) and 15% (w/v) 62 (thickness: 1.5–1.8 cm, diameter: 6 cm); 10% and 15% gelatin beads (1 cm diameter); and 11 lenses 63 excised from 6-month-old pigs. Their maximum thickness was measured by ultrasound-imaging 64 approximately to 7.51 \pm 0.56 mm, which is very close to the study of I.Sanchez et al.²⁵. A 2% agarose 65 gel was poured around the sample for optimal mechanical coupling, with ultrasound coupling provided 66 by an iso-osmotic organoculture medium for lenses (CorneaMax[®], EurobioSientific).



FIG. 1. (A): Experimental setup: vibrator-induced displacements in the agarose sample holder and
sample. A linear array connected to an ultrafast scanner was immersed in water during data
acquisition. (B): B-mode image of the lens sample in the agarose phantom.

71

67

72 Figure 1B shows a representative ultrasound image of a lens embedded in the agarose sample holder,

73 revealing the transparency of the lens except on its anterior interface where the waves were tracked.

74 To test our method, a finite difference simulation of the 2-D elastic wave equation in the time domain

75 was conducted. The simulation involved a homogenous soft solid with 0.8 cm diameter (c_T=4 m/s,

76 ρ =1000 kg/m³, viscosity μ_2 =0.6 Pa.s) embedded in "quasi water" (c_T=0.001 m/s). An unrealistically low 77 Poisson's ratio of 0.4 was chosen to prevent instability issues in the simulation. The purpose of this simulation was to assess the ability of the algorithm to extract the wave speed from surface waves. 78 79 The simulation parameters included a 600 Hz source at the surface in a 251x251 grid with a sampling 80 of 80 µm and time steps of 2.5 µs.

Induced displacements on the sample surface (Figure 2A) were reconstructed using a phase 81 tracking algorithm calculating the phase variation between two successive frames²⁶ (Figure 2B). Points 82 83 were selected along a curvilinear line for lenses, gelatin beads, and the simulated inclusion. For flat 84 phantoms, a straight line was chosen corresponding to the middle of the normal to both interface 85 contours. The abscissa, whether curvilinear or linear, was calculated for each point. Interpolation was applied to ensure constant intervals between points, resulting in a vector of displacements (Figure 2C). 86 87





89

90 FIG. 2. (A) B-mode of ultrasound scattering at the anterior interface of the lens. The blue area 91 represents the tracked region. (B) Two successive displacement snapshots; wave fronts were observed 92 on the interface. (C) Two successive snapshots of the curvilinear displacement field after 1D post-93 processing. Black arrows indicate the propagation direction.

94

95 Analysis of the displacement field was based on a noise correlation algorithm^{27,28}. The main principle 96 was to compute the time-reversed field ψ^{TR} of the displacement field ϕ , which was directly related to 97 the cross-correlation function of ϕ : 98

$$\psi^{TR}(\vec{r},t) = \phi(\vec{r_0},t) \otimes_t \phi(\vec{r_0} - \vec{r},-t), \tag{1}$$

99 where $\vec{r_0}$, \vec{r} are the location of the receiver point and the distance between the source and this 100 receiver, respectively, and \otimes_t represents the time convolution product.

101 Figures 2B and 2C demonstrate that the displacement field was directionally oriented along the

102 interface. Notably, the correlation algorithm was not biased by plane waves²⁹.

103 The wavelength λ can be calculated from the time-reversed field. For a monochromatic plane wave, 104 $\phi(r,t) = Ae^{ikr} e^{i\omega t}$. Thus, the time-reversed field can be written as:

105
$$\psi^{\mathrm{TR}}(r,-t) = A^2 \overline{\psi^{\mathrm{TR}}(r,-t)}, \qquad (2)$$

106 with $\overline{\psi^{TR}(r,-t)} = e^{ikr} e^{i\omega t}$, the normalized time-reversed field.

107 Similarly, the second derivative of ψ^{TR} can be written as:

108
109

$$\frac{\partial^2 \psi^{TR}(r,0)}{\partial r^2} = -k^2 \psi^{TR}(r,0),$$
109

$$\Leftrightarrow \frac{\partial^2 \psi^{TR}(r,0)}{\partial r^2} \times \frac{1}{\sqrt{TR}(r,0)} = -k^2,$$

109
$$\Leftrightarrow \frac{\partial^2 \psi^{TR}(r,0)}{\partial r^2} \times \frac{1}{\psi^{TR}(r,0)} = -k^2, \tag{3}$$

111
$$\Leftrightarrow \frac{\partial^2 A^2 \overline{\psi^{\text{TR}}(r,0)}}{\partial r^2} \times \frac{1}{A^2 \overline{\psi^{\text{TR}}(r,0)}} = \frac{-4\pi^2}{\lambda^2}$$

112
$$\Leftrightarrow \frac{\partial^2 \Psi^{\mathrm{TR}}(r,0)}{\partial r^2} \times \frac{1}{\Psi^{\mathrm{TR}}(r,0)} = \frac{-4\pi^2}{\lambda^2}.$$

113 To obtain λ , we computed the previous equation at the source, r = 0:

114
$$\lambda^2 = -4\pi^2 \left(\frac{\partial^2 \overline{\psi^{\text{TR}}(0,0)}}{\partial r^2}\right)^{-1} . \tag{4}$$

115 The wavelength was calculated by analyzing the phase of the time-reversed field. In practice, fast 116 Fourier transform of the displacement vector was used, followed by independent cross-correlation of 117 each monochromatic signal. In the Fourier domain, the time-reversed field can be written as:

118
$$\overline{\Psi^{\mathrm{TR}}}(\omega) = \mathcal{R}e\left\{TF(\overline{\Psi^{\mathrm{TR}}}(r,t)\right\}.$$
 (5)

119 In this study, $\overline{\psi^{\text{TR}}}(\omega)$ is called the correlation spectroscopy. Similarly, the wavelength can be retrieved 120 from the curvature of the correlation spectroscopy:

121
$$\lambda^{2}(\omega) = -4\pi^{2} \left(\frac{\partial^{2} \overline{\psi^{\mathrm{TR}}(\omega)}}{\partial^{2} \mathrm{r}}\right)^{-1}.$$
 (6)

The correlation spectroscopy was spatially averaged, considering the distance between points along the interface. A sliding window of 10 Hz was used for averaging. Figure 3A shows the correlation spectroscopy of the 1D displacement along the flat interface of a 2% agarose phantom. It represents the spatial correlation of the displacement field in relation to frequency. The second derivative of the spatial correlation reveals the wavelength-frequency relationship (Eq. 6), indicating a decrease in wavelength with increasing frequency. To quantitatively study the dispersion of the medium, the wavelength was derived from the correlation spectroscopy matrix by computing the curvature of the correlation profile. The second derivative of the spatial correlation was numerically calculated: $f''(x) = (f(x + \delta x) + f(x - \delta x) - 2f(x)) / \delta x^2$, where f is the function to differentiate, and δx is the maximum derivative step corresponding to the full width at half maximum. Figure 3B shows correlation profiles at four frequencies. The speed was computed at each frequency by the relationship $c = \lambda$. ν , where ν is the frequency. For waves of different natures, a rheological model can be selected to determine the elastic moduli. In

a purely elastic, isotropic and infinite solid, shear waves are nondispersive, and their speed depends on only the density ρ and the shear modulus μ given by the relationship $c = \sqrt{(\mu/\rho)}$. In viscoelastic solids, the Kelvin-Voigt model incorporates the viscosity term, resulting in a frequency-dependent change in the speed of shear wave $(c_T)^{30}$ and rewritten as follows:

139
$$c_T(\omega) = \sqrt{\frac{2(\mu_1^2 + \omega^2 \mu_2^2)}{\rho(\mu_1 + \sqrt{\mu_1^2 + \omega^2 \mu_2^2})}}.$$
 (7)

The Kelvin–Voigt method is suitable for biological tissue³¹ and has been used in a study on the lens²³. In our experiment, the waves can be approximated as Scholte waves due to the presence of the fluid-medium boundary. The speed of these waves, denoted as c_{sh} , is proportional to the shear wave speed and can be estimated as $c_{sh} \approx 0.84 c_T^{32}$. By substituting c_T with Equation (7), the modified rheological model for Scholte waves is obtained. Waves can be guided within a solid structure, and in the case of guided waves, the speed depends on both the elasticity term μ_1 and the waveguide thickness.



agarose phantom: spatial correlation as a function of the frequency. (B) Correlation profile at multiple frequencies in a 2% agarose phantom. (C) Dispersion curves of the 2% agarose, 10% gelatin, and 15% gelatin phantoms and fitted curves of the Kelvin–Voigt model for Scholte waves. For gelatin phantoms, the averaged data for 4 samples are presented as solid curves, and the standard deviation is presented as shaded areas.

162

163 Figure 3C shows the speed-frequency relationship for various flat phantoms: 2% agarose, 10% gelatin 164 and 15% gelatin. Two propagation regimes were observed: low frequencies (transient regime) 165 exhibited large variations due to the increase in speed, starting from almost 0, and normal modes, 166 related to the dimensions of the medium. At wavelengths close to half the medium thickness (below 167 1.5 kHz for agarose and below 0.4 kHz for gelatin), waves became boundary-sensitive. Beyond these frequencies, dispersion stabilized, indicating a viscoelastic regime. These observations aligned with the 168 findings of Laloy-Borgna et al.³³ for thin gelatin phantoms, where shear, Rayleigh, or Scholte waves 169 170 propagates, and a viscoelastic rheological model could be applied. At higher frequencies, fluctuations 171 are observed. They could possibly be explained by normal modes, or by a low signal to noise ratio in 172 the measurement. Modeling the elastic moduli of different phantoms involved fitting a Kelvin-Voigt

model (Eq. 7) adapted to Scholte waves in the viscoelastic regime while disregarding normal modes

above 3 kHz for agarose and 2 kHz for gelatin. Quantitative results, along with data from the literature, are displayed in Table 1^{31,34}.

Sample	Frequency range (kHz)	μ_1 (kPa)	μ_2 (Pa.s)
2% Agarose	1.5–3.0	156	1.49
10% Gelatin 10% (N = 4)	0.4–2.0	10.5 ± 3.25	0.75 ± 0.12
15% Gelatin (N = 4)	0.4–2.0	21.2 ± 0.63	1.27 ± 0.56
10% Gelatin ³⁴	0.1–0.6	5.34 ± 0.48	0.13 ± 0.50
15% Gelatin ³⁴	0.1–0.6	8.40 ± 0.59	1.22 ± 0.78
2% Agar – 3% gelatin ³¹	0.1–0.5	5.67 ± 0.02	0.22 ± 0.01

Table 1: Elasticity measured in flat phantoms and comparison with results from the literature

These results build upon previous studies that validated the assessment of phantom elasticity through

surface wave tracking^{32,35,36}. To improve the representation of the lens geometry and size, numerical

simulations of homogeneous inclusions and gelatin bead experimental models were developed. Figure

4 presents the results for these models and compares them to in vitro studies of porcine lenses.



192

193 FIG. 4. (A): Numerical simulation of a cylindrical inclusion (yellow) with c_T =4 m/s and μ 1=0.6 Pa.s, 194 surrounded by a medium (blue) with $c_{\tau} \approx 0$ m/s. Simulated data (dotted orange curve) and the adapted Kelvin–Voigt model (solid black) are shown. Quantitative values of μ_1 and μ_2 resulting from fitting the 195 196 model are displayed in the lower right corner. (B) Experimental results in 10% and 15% gelatin beads. The results for each concentration (orange dotted curve for 10%, red dotted curve for 15%) and the 197 198 standard deviations for 4 samples (shaded areas) are presented. (C): Experimental results for porcine 199 lens samples. Averages of data for 3 repeated measurements (dotted curve), standard deviation 200 (shaded area) and the model (solid black line) are shown. μ_1 and μ_2 resulting from fitting the model are displayed in the lower right corner. 201

A simulation of a cylindrical inclusion exhibits a dispersion curve similar to the findings for a flat phantom. At low frequencies, a speed increase indicated a guided wave regime, and the presence of peaks indicated a transient regime, likely attributed to the occurrence of normal mode at these frequencies. Theoretical predictions suggest that the first normal mode in a free disc should occur 206 around 300 Hz³⁷. However, due to the viscoelastic nature and immersion of the disc, this first 207 resonance shifts to a lower frequency (approximately 250 Hz), as indicated by the black arrow in Figure 208 4A. The variations are less important at high frequencies due to the viscosity attenuation. As the 209 frequency increased, the velocity stabilized toward the initial simulation parameters, indicating a 210 viscoelastic regime where the wavelength was smaller than the diameter of the inclusion. Fitting a Kelvin–Voigt model yielded quantitative values ($\mu_1 = 17.0 \ kPa$, $c_T = 4.12 \ m/s$, $\mu_2 = 0.62 \ Pa.s$) 211 212 close to the simulation parameters. Figure 4B shows the results obtained from gelatin beads. A single 213 representative example is displayed for each concentration, along with the standard deviation calculated from the four samples. The speed decreases at low frequencies, indicative of guided waves 214 215 regime, can be observed. The bead's curves exhibit several peaks and significant variations among samples of the same concentration, attributed to experimental variables such as inhomogeneity in the 216 217 gelatin mixture, imperfect molding, and imperfect mechanical coupling between the bead and the 218 sample holder. Following the same protocol used for the crystalline lens (embedding the sample inside 219 the holder) was impossible because of gelatin bead melting by the hot agarose mixture. Additionally, 220 some peaks might be explained by the presence of structural resonances around the bead, such as in 221 the sample holder. Precisely explaining all the peaks and simulating the bead's configuration is difficult and outside the scope of this experiment that aims at measuring a difference in speed between the 222 223 two concentrations. Figure 4C demonstrates curvilinear elastography applied to a single porcine lens sample, with three repeated measurements. Dispersion curves similar to those in previous 224 225 experiments were observed. Our interpretation suggests the presence of normal modes and guided 226 wave dispersion in the lower frequency range (0.01-1.3 kHz), while the speed was more stable in the 227 range of 1.3–3.5 kHz. Comparisons could be made to flat phantoms and simulation results, with the 228 first range corresponding to guided waves and the second to Scholte wave dispersion. Fewer normal 229 modes were observed for the lens than the gelatin beads, likely due to the inherent asymmetry 230 between the anterior and posterior radii of curvature of the lens. Based on these observations and 231 using the Kelvin-Voigt model (Eq. 7) adapted to Scholte conditions, the dispersion curve was fit in the 232 1.3-3.0 kHz range, assuming that the observed dispersion was solely due to viscosity. The average shear 233 elasticity modulus for 11 lens samples was 20.5 ± 6.40 kPa, and the average shear viscosity modulus was 0.81 ± 0.40 Pa.s. 234

Our spectrometric approach, inspired by noise correlation algorithms, enabled surface wave speed evaluation in the 0.1-3.5 kHz range for various models: flat gelatin phantoms, gelatin beads, a numerical inclusion (2D) and porcine lens samples. It provided valuable insights into the viscoelasticity of certain models, such as flat gelatin phantoms, numerical inclusions, and porcine lenses. Due to the complexity and multitude of normal modes, the method could not accurately determine viscoelasticity 240 values of the gelatin beads. Nevertheless, the method confirmed the presence of guided waves in the 241 low-frequency range, which was consistent with observations of thin gelatin plates and simulations. In 242 the viscoelastic regime, where waves were not influenced by the boundaries of the medium, a Kelvin-243 Voigt model was fitted, and the results were consistent with the literature (Table 1). Input viscoelastic 244 parameters were retrieved from numerical simulations. Based on these findings and the similar shapes of the dispersion curves in the lens samples, quantitative values of viscoelasticity were computed 245 246 within the viscoelastic regime. The average elasticity was 20.5±6.4 kPa, and the viscosity was 0.81±0.40 247 Pa.s. The results from the literature were converted to the shear elasticity modulus, μ_1 , assuming incompressibility ($\mu_1 = E/3$, where E is Young's modulus). The shear viscosity moduli in the samples 248 249 from the youngest subjects were slightly larger than those reported by Zhang et al.²³ at 0.55 \pm 0.04 250 Pa.s. The average shear elasticity moduli for lens samples in our study were larger than those reported 251 in the literature. However, comparisons were challenging due to various uncontrolled parameters, 252 such as animal models, measurement protocols, frequency range, and temperature. Static methods 253 such as the microindentation method measured shear moduli of 0.2-0.7 kPa for young porcine lens 254 samples cut in half^{1,9,10}. The method, which was based on displacements of local bubbles by ARFI, 255 measured shear moduli of 0.4-2 kPa (gradient from the center to the cortex of young porcine lenses)¹⁵⁻ ¹⁷. Dynamic methods measuring elastic wave velocities found typical shear moduli of 2–3 kPa for the 256 young porcine model^{20–23}. These studies assumed the propagation of surface waves such as Rayleigh 257 258 or Scholte waves regardless of the studied frequency. This highlighted that reporting the excitation 259 frequency is crucial for estimating viscoelastic properties. In our study, we described the wave 260 dispersion in the lens and observed guided waves propagating at low frequencies, which resulted in 261 decreases in velocity and apparent elasticity moduli. This suggested that methods relying on guided 262 waves (low frequency) may be biased. Specifically, in the viscoelastic study by Zhang et al.²³, the 263 dispersion curves did not exhibit the two regimes observed in our study, possibly due to the frequency spread of the ARFI excitation signal, which can smooth results over a frequency range. The hardness 264 of the capsule (~ 1 MPa)^{38,39} may impact measurements, and the influence of the membrane on 265 266 elasticity measurements must be further studied. Additionally, to confirm the hypothesis that 267 dispersion in the viscoelastic regime is solely due to viscosity, the influence of an elasticity gradient on 268 speed measurement must be considered. Our method offers fast measurements (500 ms) over a broad frequency range, while other studies, especially those using OCT imaging, require repeated and 269 synchronized excitations for each A-mode of the image^{18,20–23}. A limitation of our study was the need 270 271 to excise the lens from the eye and embed it in a gel for optimal mechanical coupling. Working at 272 frequencies above the transient regime is crucial for accurate quantitative characterization, requiring 273 high-frequency transmission to the lens in situ. Alternative excitation methods, such as ARFI or bubble-274 induced tissue motion, may be more suitable than a mechanical vibrator. However, working at low

275	frequencies in the transient regime may enable the use of pulsatile blood flow and provide a
276	noninvasive approach.
277	In conclusion, our in vitro study demonstrated the application of the harmonic analysis of
278	surface waves propagating on a crystalline lens to assess viscoelastic parameters of porcine lenses.
279	Higher frequencies (>1 kHz) were crucial to prevent dispersion from guided waves and derive
280	quantitative values of elasticity. Elastic moduli of porcine lenses were larger than those reported in the
281	literature. Future research should focus on differentiating between lenses of various ages to detect
282	presbyopia and developing noninvasive protocols for data collection.
283	
284	AUTHORS' CONTRIBUTIONS
285	AG wrote the versions of the manuscript that were subsequently edited by SC, ML, and FL. AG,
286	FL, SC and ML designed the experimental plan. AG performed the experiments and processed the
287	experimental data. GLB, AG and FL developed the method for data analysis. FL performed the
288	simulations. OBM, FM and SP collected the biological samples. GT, PG, CL, ML and SC provided the
289	project administration and funding.
290	
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293	
294	AIP PUBLISHING DATA SHARING POLICY
295	The data that support the findings of this study are available from the corresponding author
296	upon reasonable request.
297	
298	
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