

Quality of survival and cognitive performance in children treated for medulloblastoma in the PNET 4 randomized controlled trial

Hugo Câmara-Costa, Kim Bull, Colin Kennedy, Andreas Wiener, Gabriele Calaminus, Anika Resch, Virginie Kieffer, Clémence Lalande, Geraldina Poggi, Katja von Hoff, et al.

▶ To cite this version:

Hugo Câmara-Costa, Kim Bull, Colin Kennedy, Andreas Wiener, Gabriele Calaminus, et al.. Quality of survival and cognitive performance in children treated for medulloblastoma in the PNET 4 randomized controlled trial. Neuro-Oncology Practice, 2017, 4 (3), pp.161-170. 10.1093/nop/npw028. inserm-03896202

HAL Id: inserm-03896202 https://inserm.hal.science/inserm-03896202

Submitted on 14 Dec 2022

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Quality of Survival and cognitive performance in children treated for medulloblastoma in the PNET 4 randomized controlled trial

Hugo Câmara-Costa, PhD^{1†}, Kim S. Bull, PhD^{2,} Colin Kennedy, MBBS, MD², Andreas Wiener³, Gabriele Calaminus, MD³, Anika Resch, MSc⁴, Virginie Kieffer, MSc⁵, Clémence Lalande MSc⁶, Geraldina Poggi, MD⁸, Katja von Hoff^{4,} Jacques Grill, MD, PhD^{6,7}, François Doz, MD⁹, Stefan Rutkowski, MD⁴, Maura Massimino, MD¹⁰, Rolf-Dieter Kortmann, MD¹¹, Birgitta Lannering, MD, PhD¹², Georges Dellatolas, MD, PhD¹, Mathilde Chevignard, MD, PhD¹³ on behalf of the Quality of Survival working group of the Brain Tumour Group of SIOP-Europe.

¹ Université Paris-Saclay, Université Paris-Sud, UVSQ, CESP, INSERM, Villejuif, France

² University of Southampton, Faculty of Medicine, Southampton, SO16 6YD, UK

³ University of Bonn, Paediatric Oncology, Bonn and University Hospital Muenster, Paediatric Oncology, Münster, Germany

⁴ University Medical Center Hamburg-Eppendorf, Hamburg, Germany

⁵ Hôpitaux de Saint Maurice, Saint Maurice; Groupe de Recherche Clinique Handicap Cognitif et Réadaptation; UPMC Paris 6, France

⁶ Gustave Roussy, Villejuif 94805, France

⁷ Université Paris Saclay, Villejuif 94805, France.

⁸ Scientific Institute, IRCCS Eugenio Medea, Bosisio Parini, Lecco, Italy

⁹ Institut Curie and University Paris Descartes, Sorbonne Paris Cité, Paris, France

¹⁰ Fondazione IRCCS Istituto Nazionale Tumori, Milan, Italy

¹¹University of Leipzig, Department of Radiation Therapy, Leipzig, Germany

¹²University of Gothenburg, Department of Paediatric Oncology, Gothenburg, Sweden

¹³ Saint Maurice Hospitals, Rehabilitation Department for children with acquired neurological injury;
F-94410 Saint Maurice, France; and Sorbonne Universités, UPMC Université Paris 06, INSERM,
CNRS, LIB, F-7013 Paris, France

[†]Corresponding author

QUESTIONNAIRE-BASED QOS AND COGNITIVE PERFORMANCE

Corresponding author: Hugo Câmara-Costa, CESP INSERM U1018 (Secteur Jaune, Porte 45, 1er

étage), 16, avenue Paul Vaillant Couturier, 94807 Villejuif, France. Tel: (+33) (0)1 45 59 52 48; E-

mail: hugocamaracosta@gmail.com

Running title: Questionnaire-based QoS and cognitive performance

Word count: text 3,492 (abstract 249; text 3117, references). Tables: 4.

Funding

This study was funded by The Brain Tumour Charity, the Children's Cancer and Leukaemia Group,

Piam Brown Charitable Fund Southampton, the German Ministry of Health and Education (BMBF

01GI9958/5), The European Union's Seventh Framework Programme (FP7 2007-2013) under the

project European Network for Cancer Research in Children and Adolescents (ENCCA) grant

agreement no. 261743, the German Children's Cancer Foundation (Deutsche Kinderkrebsstiftung),

Fördergemeinschaft Kinderkrebszentrum Hamburg e.V., the French Ministry of Health, the French

National Cancer Institute (PHRC), the Swedish Childhood Cancer Foundation, Wessex Medical

Research, and Cancer Research UK.

Conflict of interest: none

2

Abstract

Background: The relationship between direct assessments of cognitive performance and questionnaires assessing quality of survival (QoS) is reported to be weak-to-nonexistent. Conversely, the associations between questionnaires evaluating distinct domains of QoS tend to be strong. This pattern remains understudied.

Methods: In the HIT-SIOP PNET4 randomized controlled trial, cognitive assessments, including Full Scale, Verbal and Performance IQ, Working Memory, and Processing Speed, were undertaken in 137 survivors of standard risk medulloblastoma from four European countries. QoS questionnaires, including self and/or parent reports of the Behavior Rating Inventory of Executive Function, the Health Utilities Index, the Strengths and Difficulties Questionnaire, and the Pediatric Quality of Life Inventory, were completed in 151survivors. Correlations of direct cognitive assessments, QoS questionnaires, and clinical data were examined in participants with both assessments available (n=86).

Results: Correlations between direct measures of cognitive performance and QoS questionnaires were weak, except for moderate correlations between the BRIEF Metacognition index (parent-report) and working memory (r=.32) and between health status (self-report) and cognitive outcomes (.35-.44). Correlations among QoS questionnaires were moderate to strong both for parent and self-report (.39-.76). Principal Component Analysis demonstrated that questionnaires and cognitive assessments loaded on two separate factors.

Conclusions: We hypothesize that the strong correlations among QoS questionnaires is partially attributable to the positive/negative polarity of all questions of the questionnaires, coupled with the relative absence of disease-specific questions. These factors may be influenced by respondents' personality and emotional characteristics, unlike direct assessments of cognitive functioning, and should be taken into account in clinical trials.

Key words: Medulloblastoma, outcome, intellectual ability, everyday executive functioning, Quality of Survival.

INTRODUCTION

Medulloblastoma (MB), the most common primary malignant brain tumor of the central nervous system (CNS) during childhood ¹⁻³, carries long-term implications for patients' survivorship, such as neurological and cognitive deficits ^{4,5}, auditory and endocrine impairments ^{6,7}, and the perception of reduced health-related quality of life (HRQoL)⁸. Increased survival rates of patients with MB have led to the recognition of the importance of comprehensive assessments aimed at providing a more complete description of survivors' quality of survival (QoS) across several domains of functioning including an individual's perception of his or her cognitive performance, health status, behavior, and HRQoL. Thus, besides progression-free survival and treatment-related effects, assessments of clinical outcomes should incorporate not only direct measures of performance and clinician reports, but also questionnaires reflecting the patients and caregivers' perspectives of outcomes ⁹.

Awareness of the importance of multidimensional assessments based on different informants has led to efforts among European countries to reach consensus regarding the domains of functioning and measures to be included in clinical trials ¹⁰ aimed at evaluating the effects of brain tumors and their treatment. This international agreement was intended to increase robustness of data collection in clinical trials to support better-informed treatment and rehabilitation decisions. This consensus established that the assessments to be performed in clinical trials should include demographic, endocrine, and other medical information, along with direct measures of cognitive functioning and questionnaire-based assessments of health status, behavior, HRQoL, and executive functioning in everyday life¹⁰.

Although an increasing number of studies of the effects of brain tumors have included information about direct assessments of cognitive functioning together with questionnaire measures of QoS, there remains a dearth of information regarding the specific associations between direct assessments of cognitive functioning and questionnaire-based assessments of QoS¹¹. When the associations of questionnaire-based reports of the patient's health status, HRQoL, behavior, and neurocognitive functioning with cognitive performance measured through direct assessments have been examined, they generally tend to be absent or weak^{12–15}. Furthermore, the associations between assessments of the same cognitive functions with standardized tests and with self- or parent/caregiver-questionnaires,

are generally absent, weak or moderate ^{9,14–17}. For example, a divergence between direct assessment and questionnaire-based scores of executive function has been consistently observed in patients with traumatic brain injury ¹⁸ and cancer ^{19–21}. Previous reports have nevertheless highlighted the usefulness of questionnaire-based assessments in the screening of cognitive deficits in survivors of childhood brain tumors ²². On the other hand, correlations between scores on different questionnaires assessing various domains of QoS have been moderate or strong. For example, a previous study of participants in the same clinical trial from which the current study sample is drawn found strong correlations between questionnaires assessing different constructs of QoS, ranging from .56 (parent-report of behavior vs. health status) to .85 (self-report of HRQOL vs. health status)²³.

Taking into account the scarcity of studies examining the specific association between direct assessments of cognitive functioning and questionnaire-based assessments of QoS, the present exploratory study aimed to contribute to the extant literature in two ways. First, we sought to explore the extent to which scores on direct measures of cognitive functioning were associated with scores on questionnaire-based measures of QoS, including health status, behavior, HRQoL, and executive function. More specifically, we wanted to examine the associations between directly measured and questionnaire-based measures of cognitive functioning. Further, given the importance of cognitive impairments following childhood MB and their impact on academic achievement and overall independence in adult life, we wished to determine whether scores on direct measures of cognitive function were correlated with HRQoL. Second, we aimed to analyze the pattern of associations between questionnaire-based reports assessing different constructs of QoS. According to a previous report²³, we expected these correlations to be strong and, therefore, we sought to present a reasonable hypothesis for this pattern of associations.

MATERIALS AND METHODS

Patients

The participants were selected from the HIT-SIOP PNET4 phase 3 European randomized controlled treatment trial (RCT) for M0 MB conducted in 10 countries between 2001 and 2006²⁴. This cross-sectional study aimed to evaluate QoS by means of questionnaires assessing health status, behavior, HRQoL, and executive function. For this purpose, 244 event-free survivors at the time of the cross-

sectional follow-up^{23,25} were eligible for participation. Details of these participants have been described elsewhere^{23,25}. Although the original PNET4 protocol did not include systematic cognitive assessment, four countries (France, Germany, Italy, and Sweden) collected prospective or cross-sectional direct measures of cognitive function between 2004 and 2013²⁵. From the original sample of 244 event-free survivors, 137 (56%) participants from France, Germany, Italy, and Sweden had direct cognitive measures and 151 (62%) had data on at least one of the questionnaire-based measures. The analyses reported in the present work were based on participants with both direct cognitive assessment and questionnaire based QoS data available (n=86).

Procedure

As part of PNET 4, all participating countries obtained ethical approval and eligible participants provided informed consent to undergo cognitive and questionnaire assessments.

Measures

The questionnaire-based assessments were collected in a similar way in the four participating countries. Information regarding standard demographics and secondary clinical outcomes was obtained through the Medical Examination Form addressed to clinicians and the Medical Educational Employment and Social²⁶ (MEES) questionnaire addressed to parents and adult participants. Executive function, health status, behavior, and HRQoL in participants aged <18 years at assessment were measured through parent-report booklets containing the Behavior Rating Inventory of Executive Function²⁷ (BRIEF, normative Mean (Standard Deviation) [M(SD)] = 50(10), clinical cut-off for cognitive impairments: \geq 65); the Health Utilities Index²⁸ (HUI3, scale fixed points: 0 = "dead", 1 ="perfect health"); the Strengths and Difficulties Questionnaire $^{29}(SDQ, M(SD) = 8.4(5.8), clinical cut$ off for behavioral difficulties - high to very high: $\geq 90^{th}$ percentile); and the Pediatric Quality of Life Inventory³⁰ (PedsQL, M(SD) = 81.3(15.9), clinical cut-off for low HRQoL: $\leq 65.42^{31}$), and through self-report booklets containing the HUI3, SDQ, and PedsQL, if participants were aged 11 to 17 years; for participants aged ≥18 years, these assessments comprised self-report booklets of the BRIEF-A and the HUI3. The European Organization for Research and Treatment of Cancer Quality of Life measure (EORTC QLQ-C30)³² was also used, but was not analyzed in the present work due to the small sample size (n=22).

The direct assessments of cognitive outcomes were different, although comparable, according to the participant's age and country²⁵. For France, Italy, and Sweden, the assessments of cognitive performance were conducted with the age-appropriate Wechsler Intelligence Scales^{33–36}. For Germany, patients' cognitive performance, verbal, and working memory abilities were evaluated using Raven's Colored and Standard Progressive Matrices^{37,38}, the vocabulary subtests of the Wechsler Scales or Kaufmann Assessment Battery for Children³⁹(K-ABC I/II, Riddles subtest), and the Number Recall test of the K-ABC I/II, respectively. In accordance with the methodology employed in previous reports²⁵, five measures of cognitive performance [(normative M(SD) = 100(15)] were drawn from these assessments, specifically: Full Scale Intelligence Quotient (FSIQ), Verbal IQ (VIQ), and Performance IQ (PIQ), as well as Working Memory Index (WMI) and Processing Speed Index (PSI).

Statistical Analyses

Pearson's *r* was used to examine correlations among the parent- and the self-report versions of the questionnaires, and between parent- and self-reports and the direct assessments of cognitive functioning. For the purpose of the present study, we opted to change the sign of the standardized scores of the HUI3 and the PedsQL questionnaires. Hence, higher scores in all the questionnaires indicated poorer levels of health status, behavior, executive functioning, and HRQoL, as opposed to IQ assessments in which higher scores reflected superior levels of intellectual functioning. For the BRIEF, we opted to analyze not only the Global Executive Composite (GEC), but also the Behavioral Regulation (BRI) and the Metacognition Indices (MI), which allowed us to consider separately the cognitive and behavioral aspects assessed by the BRIEF. However, for participants aged >18 years, the associations of the self-report version of the BRIEF with the cognitive outcomes and the remaining QoS questionnaires were not explored due to the small number of participants over 18 years with available BRIEF self-reports.

Subsequently, we performed a Principal Component Analysis (PCA) with varimax rotation using the six questionnaire-derived measures (parent- and self-reports of BRIEF GEC, BRI and MI, HUI3, SDQ, and PedsQL) together with the four directly assessed cognitive outcomes (VIQ, PIQ, WMI, and PSI). We then converted the standardized scores of the questionnaires into a single composite *z*-score where mean = 0 and standard deviation = 1. Univariate analyses (*t*-tests) were then used to examine

differences in this composite z-score and in FSIQ according to secondary clinical outcomes derived from the Medical Examination form²⁴. Specifically, clinical complications included reports of post-surgical ataxia of any kind and the presence of cerebellar mutism. We adopted a p<.01 for statistical significance to adjust for multiple testing, although values between p>.01 and p<.05 were considered to be marginally significant.

RESULTS

From the 86 participants for whom FSIQ was directly assessed, VIQ, PIQ, WMI, and PSI were available for 73 (84.8%), 85 (98.8%), 83 (96.5%), and 64 (74.4%), respectively. BRIEF parental questionnaires were obtained in 65 (75.6%) participants and 17 (19.8%) through self-reports. The self-report forms of the HUI3 were available for 62 (72.1%) of the participants for whom FSIQ data were available, while 58 (67.4%) participants had available parental reports. The SDQ and the PedsQL were obtained from 64 (74.4%) and 67 (77.9%) of the participants by parent-report, while 54 (62.8%) and 56 (65.1%), respectively, were obtained through self-reports.

The distribution of the five direct measures of cognitive outcomes and the six QoS outcomes derived from the four questionnaires [Executive functioning – BRIEF GEC, BRI and MI; health status (HUI3); behavior (SDQ); HRQoL (PedsQL)] used in the analyses described subsequently indicated considerable variability and the number of observations for each outcome was large enough to deduce meaningful results (Table 1). The HUI3 scores tend to be highly skewed and, therefore, both Pearson and Spearman correlation procedures were used whenever this outcome was used in the analyses. The questionnaire-based indicators and the cognitive outcomes assessed directly were similar with respect to gender, country, age at diagnosis, and age at assessment (data not shown). The interval between direct cognitive measures and QoS questionnaire assessments ranged from 0 to 4.4 years and 43 participants (50%) had both assessments performed within one year range.

There were moderate to strong statistically significant positive correlations between all the QoS questionnaires assessed either by parent- or self-reports (Table 2). The exception to this pattern was observed for the weak association of marginal significance observed between parent-reports of the HUI3 and the BRIEF Metacognition Index. The associations between the VIQ, PIQ, WMI and PSI were moderate to strong (r range .33 to .66, p<.01 in all cases, results not shown).

The correlations between the questionnaires and the direct assessments of cognitive function were generally weak and non-significant (r< -.30, cf. Table 3). For parent reports, the correlation coefficients ranged from weak to moderate, albeit marginally significant, between the BRIEF Behavioral Regulation Index and FSIQ (r = -.25), and between the WMI and the BRIEF Global Executive Composite (r = -.29), the Behavioral Regulation (r = -.26) and Metacognition Indexes (r = -.32), as well as the HUI3 scores (r = -.28). For self-reports, there were moderate statistically significant correlations between the HUI3 scores and FSIQ, VIQ, PIQ, WMI and PSI (r range -.35 to -.44). A moderate correlation of marginal significance was also observed between the SDQ scores and the PSI. Correlations between the PedsQL and any of the directly assessed measures of cognitive functioning were weak and fell short of statistical significance.

Further, correlations between direct testing (WMI) and parent-reports (BRIEF-Working Memory subscale) of a single specific cognitive construct, namely working memory, was moderate (r=.-46, p<.001), and the correlations between WMI (direct testing) and overall health status assessed through parent-report and through self-report were weak and moderate respectively (HUI3; r=-.28; p=.036 and r=-.39; p=0.002).

We also undertook an analysis of the correlations taking into account the delay between direct and questionnaire assessments. The pattern of results was unchanged from that presented in Table 3 and is not reported here. Specifically, in the group of 43 participants who had both assessments performed within one year range, the correlations remained weak.

Principal component analysis (PCA) of the questionnaire-based measures and the direct assessments of cognitive performance

The PCA of five of the questionnaire-derived outcomes (BRIEF BRI, BRIEF MI, HUI3, SDQ, and PedsQL) together with four of the cognitive outcomes (VIQ, PIQ, WMI, and PSI) revealed two separate factors (Table 4). The five questionnaire-based outcomes loaded heavily onto the first factor, while the four direct measures of cognitive outcomes loaded heavily on to the second factor. These two factors together accounted for 67% of the total variance (Factor 1 = 45% and Factor 2 = 22%). Based on the results of the PCA, we computed a composite *z*-score from the combined scores of all the questionnaires. The internal consistency of this composite score was excellent (normalized Cronbach's

alpha reliability = .88). The same analyses were performed separately for parent- and self-reports and results remained unchanged.

Differences in questionnaire-based measures and direct assessments of cognitive performance according to secondary clinical outcomes assessed directly

The results of the univariate analyses indicated a moderate difference in the FSIQ according to the presence vs. absence of post-surgical ataxia of any kind before radiotherapy [Mean (SD) = 86.1(19.05) vs. 95.8(17.7), difference 9.7, 95% confidence interval (CI): -1.03 to -18.4, t = -2.2, p = .03] or presence vs. absence of post-operative cerebellar mutism [77.2(12.5) vs. 90.5(19.9), difference 13.4, 95% CI: -2.99to 29.8, t = 1.6, p = .1]. There were non-significant differences in the composite z-scores reflecting all the questionnaires according to the presence or absence of post-surgical ataxia [M(SD)=1.1(3.2) vs. -.6(3.9), difference 1.7, 95% CI: -.4 to 3.7, t = -1.61, p = .12] or post-operative cerebellar mutism [M(SD)=1.9(4.2) vs. 3(3.9), difference 2.2, 95% CI: -2.5 to 6.9, t = -.94, p = .35]. In addition, individual analyses of the questionnaires indicated a marginal association between the presence or absence of ataxia and the BRIEF Behavioral Regulation Index ([M (SD) = 55.84 (11.39) vs. 50 (11.24), difference 5.83, 95% CI: .38 to 11.29, t = 2.14, t = 2.04).

DISCUSSION

This study found few relationships between directly measured cognitive functioning and the majority of questionnaire-based measures of QoS, specifically executive function, health status, behavior, and HRQoL. Contrary to our expectations, we did not find strong relationships between directly measured cognitive functioning and HRQoL. Self-reported health status was moderately related to the different domains of directly measured cognitive functioning, while parent-reported health status was weakly related to directly measured working memory which in turn was weakly related to parent-report of executive functioning. The relationship between direct assessments and questionnaire responses of single domains, such as working memory, was moderate and of similar magnitude to the relationship between directly measured working memory and self-report health status.

These results align well with the findings from several studies of patients treated for brain tumors^{8,13,14,40} and might suggest that self-report measures of general health such as the HUI3 could provide a parsimonious screening tool for the identification of patients for more comprehensive

cognitive assessments⁴¹. Other studies have reported a significant association between questionnaire scores and direct assessments⁴² or proposed the use of QoS questionnaires as screening tools for the presence of neuropsychological deficit^{22,43}. However, this was based on observations in a mixed sample of children (malignant and benign brain tumors⁴², brain tumors and healthy controls^{22,43,44} which may have increased the estimates of sensitivity and specificity of questionnaires compared to that which applies to a population of medulloblastoma survivors. Several reports have underlined the absence of significant intercorrelations^{8,9,14,45} between direct assessments and questionnaire scores and it seems that patients whose direct assessments suggest cognitive compromise do not necessarily present behavioral or cognitive difficulties on questionnaires by self- or proxy-report.

This lack of association contrasts with the strong correlations observed between the different domains conceptualized under the term QoS, which typically includes questionnaire-based information relative to health status, behavior, executive function, and HRQoL^{10,23}. The robust association between these different constructs suggests the existence of a common factor sustaining the significant co-variance observed among these measures. An analogy can be traced with IQ, in which all specific IQ measures (e.g., verbal, performance, working memory, processing speed) tend to be highly correlated because they are supposed to share a common factor of "general intelligence" measured by the FSIQ. The results from the present exploratory study allow us to hypothesize that questionnaire-based measures might share a common factor, which could be related to common characteristics of all the items of all the QoS questionnaires used in the present study.

Firstly, these questionnaire-based measures are structured with a positive/negative wording of all the items: presence/absence or degree of a presence of a difficulty or a symptom, or a desirable trait. For example, respondents are typically asked to rate a particular symptom according to their positive or negative character (i.e. absence or presence of symptoms). Secondly, the questionnaires used to assess QoS in the present study were developed to cover a broad range of concerns and to be used with a variety of clinical populations. Therefore, they include a collection of symptoms, some of which are general and not specific to medulloblastoma (e.g. "having hurts or aches" [PedsQL] vs. "able to hear with or without hearing aid" [HUI3]). In the same vein, the items of these questionnaires overlap

frequently, as do the scale scores computed from these items, such as the emotional indices derived within the HUI3, PedsQL, SDQ, and BRIEF scoring metrics.

Given these shared characteristics, respondent-related factors could explain the associations between QoS questionnaires. Personality and emotional factors, which tend to relate poorly with direct assessments of cognitive difficulties, have been shown to influence symptom reporting ^{19–21,46,47}. Such respondent-related factors might underlie the robust associations observed among the questionnaire-based measures of QoS, when questionnaires are completed by the same respondent or even different respondents that share the same context (e.g. family).

Previous studies have provided empirical support for this argument. In women with breast cancer following chemotherapy, Biglia et al. 19 demonstrated that the patient's emotional status influenced both symptom reporting and self-reported cognitive dysfunction, but not direct assessment of cognitive function. In the same vein, Pullens et al.²¹ observed that anxiety, depression, and psychological distress were the main factors associated with self-reported cognitive dysfunction, but not with direct assessments of executive functioning. Similar findings have also been observed when parental questionnaires were used to assess children's cognitive functioning. In a recent study of children with neurofibromatosis type 1, the overall positive or negative view of the parents with respect to the child's abilities and difficulties was strongly associated with a number of questionnairebased measures, but not with the results of the comprehensive neuropsychological assessment⁴⁸. Hooper et al. 49 presented evidence that parents of children with encephalitis exhibited clinical levels of anxiety and depression, and that these factors were strongly associated with their own perception of cognitive dysfunction in their children. Hermelink et al. 50 observed that patients' symptom reporting is influenced by "negative affectivity", a personality trait characterized by the stable tendency to experience negative emotions. The patients exhibiting higher levels of negative affectivity tended to manifest more pessimistic self-appraisals of cognitive functioning, independently of the presence of cognitive dysfunction assessed directly. An opposite pattern can be observed in individuals that tend to focus on positive outcomes of stressful past events (i.e. positive thinking), who evidence increased reports of perceived well-being compared to individuals characterized by negative thinking⁵¹. Interestingly, negative affectivity has been reported to influence symptom reporting, particularly if

these symptoms are vague ⁵⁰. On the contrary, when the disease and its treatment symptoms were clear, distinct, and non-overlapping with vague symptoms (e.g. headaches, cough, lapses), patients tended to report disease-specific symptoms accurately and independently of the presence or absence of negative affectivity ⁴⁶.

The influence of personality and psychological factors on symptom reporting has been perceived by the authors of some of these questionnaires. The BRIEF, for instance, includes validity scales that acknowledge that a high degree of negativity underlying the respondent's answers may cast doubt on its validity. In addition, efforts have also been made to render some instruments (e.g. the PedsQL⁵²) specific to certain clinical populations.

The influence of these factors on questionnaire-based assessments might contribute to a reduction in the specificity of these questionnaires intended to assess distinct dimensions of QoS, such as health status, behavior, HRQoL, or executive function, and also provides a plausible explanation for the lack of association between questionnaire-reported QoS and direct assessments of cognitive functioning. Direct testing and questionnaires represent very different approaches towards assessment of outcomes following childhood medulloblastoma. Most studies looking at the links between cognitive tests of executive functioning and the BRIEF questionnaire (designed to assess everyday executive functioning) have consistently reported similar results 16,17. Indeed, although both aspects are significantly impacted by brain injury, they are not correlated even though they were developed to measure the same construct. The authors of a comprehensive literature review conducted on this topic 16 concluded that this often-cited absence of interrelations indicates that they evaluate different underlying aspects of executive functioning. While direct assessments are more likely to capture processing efficiency in optimal conditions, reports of cognitive functioning might provide a more accurate indication of executive performance in everyday situations of real-life environments. Although the use of questionnaires provide less information regarding core cognitive processes, they might provide a more global and ecologically-valid picture of everyday functioning ¹⁷. Hence, both methods of measurement should be conceptualized as distinct but complementary measures of cognitive functioning. For instance, it is important to assess the parent's point of view about their child when cognitive impairments are detected, as rehabilitation interventions will require parental

collaboration. It is also important to bear in mind respondent characteristics when questionnaire-based measures only are used in clinical trials.

The relatively high quality of life scores of cancer patients in self-reports has been partially attributed to response-shift^{53,54}, that is, the adjustment of the internal norm by patients experiencing extreme negative situations, such as cancer. For instance, when patients with cancer are asked to judge their well-being, they tend to choose a comparative reference group of patients whose clinical situation is worse. A consequence of this shift of the internal norm is that QoL or psychological distress are not measured on the same scale in patients and in healthy controls. It is worth noting that in a recent study⁴³, HRQoL tended to increase with time in children treated for medulloblastoma, in contrast with the well-known decrease of IQ over time in the same population^{55,56}.

Some limitations should be taken into account regarding the interpretation of these results. The direct assessments of cognitive functioning were different in the participating countries and, therefore, they might be tapping separate underlying constructs of cognitive function. Consensus regarding the standardized instruments used to directly assess cognitive functioning should follow the one reached regarding the domains of QoS to be assessed in European clinical trials¹⁰. Further, the rate of participants who had both cognitive and questionnaire based assessments available was relatively low (35%). This reduced sample size limited our analysis of the relationships among the different questionnaires assessing QoS, results of cognitive assessments, and clinical data. In addition, the noninclusion of observations referring to HROoL in participants aged > 18 years limited the analysis of these data and the reliability of our findings in these subgroups. It was not possible to analyze the directionality of effects between respondent's personality and emotional characteristics and children's outcomes, such as the possibility that children's poor health status, emotional and behavioral difficulties, executive dysfunction, and lower quality of life may have a negative effect on the respondents' reporting of symptoms. Finally, the exploratory approach used in the present study might benefit from confirmatory studies. However, our findings are consistent with studies of patients with comparable pathologies 13-15,18,20,23.

Future studies examining the clinical outcomes of patients treated for medulloblastoma could first include multiple informants (e.g. patients, parents and teachers) in order to help identify co-variance

among different measures completed by the same respondent or by respondents who share a single context (e.g. the home environment) and second include measures of respondent factors, such as emotional distress of patients and caregivers, contributing to variance in questionnaire scores. In addition, the possible role of vague questions in generic questionnaires suggests that the development of disease-specific instruments should be pursued.

The implications of the weak associations observed between direct measures and QoS questionnaires may suggest dilemmas of practical clinical importance: when, for example, questionnaire and cognitive assessment scores point to conflicting conclusions in a clinical trial, which conclusion should be preferred?

Funding

This study was funded by The Brain Tumour Charity, the Children's Cancer and Leukaemia Group, Piam Brown Charitable Fund Southampton, the German Ministry of Health and Education (BMBF 01GI9958/5), The European Union's Seventh Framework Programme (FP7 2007-2013) under the project European Network for Cancer Research in Children and Adolescents (ENCCA) grant agreement no. 261743, the German Children's Cancer Foundation (Deutsche Kinderkrebsstiftung), Fördergemeinschaft Kinderkrebszentrum Hamburg e.V., the French Ministry of Health, the French National Cancer Institute (PHRC), the Swedish Childhood Cancer Foundation, Wessex Medical Research, and Cancer Research UK.

Acknowledgments

The authors wish to thank the participant families, the PNET4 committee, and all the PNET4 investigators.

References

- 1. Armstrong GT. Long-term survivors of childhood central nervous system malignancies: the experience of the Childhood Cancer Survivor Study. *Eur J Paediatr Neurol*. 2010;14(4):298-303.
- 2. Bartlett F, Kortmann R, Saran F. Medulloblastoma. Clin Oncol. 2013;25(1):36-45.
- 3. Boman KK, Hovén E, Anclair M, Lannering B, Gustafsson G. Health and persistent functional late effects in adult survivors of childhood CNS tumours: A population-based cohort study. *Eur J Cancer*. 2009;45(14):2552-2561.
- 4. Frange P, Alapetite C, Gaboriaud G, et al. From childhood to adulthood: long-term outcome of medulloblastoma patients. The Institut Curie experience (1980–2000). *J Neurooncol*. 2009;95(2):271-279.
- 5. Ribi K, Relly C, Landolt MA, Alber FD, Boltshauser E, Grotzer MA. Outcome of medulloblastoma in children: long-term complications and quality of life. *Neuropediatrics*. 2005;36(6):357-365.
- 6. Walker DA, Pillow J, Waters KD, Keir E. Enhanced cis-platinum ototoxicity in children with brain tumours who have received simultaneous or prior cranial irradiation. *Med Pediatr Oncol*. 1989;17(1):48-52.
- 7. Schmiegelow M. Endocrinological late effects following radiotherapy and chemotherapy of childhood brain tumours. *Dan Med Bull*. 2006;53(3):326-341.
- 8. Maddrey AM, Bergeron JA, Lombardo ER, et al. Neuropsychological performance and quality of life of 10 year survivors of childhood medulloblastoma. *J Neurooncol*. 2005;72(3):245-253.
- 9. Dirven L, Armstrong TS, Taphoorn MJB. Health-related quality of life and other clinical outcome assessments in brain tumor patients: challenges in the design, conduct and interpretation of clinical trials. *Neuro-Oncol Pract*. 2015;2(1):2-5.
- 10. Limond JA, Bull KS, Calaminus G, Kennedy CR, Spoudeas HA, Chevignard MP. Quality of survival assessment in European childhood brain tumour trials, for children aged 5 years and over. *Eur J Paediatr Neurol*. 2015;19(2):202-210.
- 11. Pace, A, Villani, V, Zucchella, C, Maschio, M. Quality of life of brain tumour patients. *Eur Assoc NeuroOncology Mag.* 2012;2(3):118-122.
- 12. Lannering B, Marky I, Lundberg A, Olsson E. Long-term sequelae after pediatric brain tumors: Their effect on disability and quality of life. *Med Pediatr Oncol*. 1990;18(4):304-310.
- 13. Boele FW, Zant M, Heine ECE, et al. The association between cognitive functioning and health-related quality of life in low-grade glioma patients. *Neuro-Oncol Pract.* 2014;1(2):40-46.
- 14. Aaronson NK, Taphoorn MJB, Heimans JJ, et al. Compromised health-related quality of life in patients with low-grade glioma. *J Clin Oncol*. 2011;29(33):4430-4435.
- 15. Gehring K, Taphoorn MJB, Sitskoorn MM, Aaronson NK. Predictors of subjective versus objective cognitive functioning in patients with stable grades II and III glioma. *Neuro-Oncol Pract*. 2015;2(1):20-31.
- 16. Toplak ME, West RF, Stanovich KE. Practitioner review: do performance-based measures and ratings of executive function assess the same construct? *J Child Psychol Psychiatry*. 2013;54(2):131-143.

- 17. Chevignard MP, Soo C, Galvin J, Catroppa C, Eren S. Ecological assessment of cognitive functions in children with acquired brain injury: A systematic review. *Brain Inj.* 2012;26(9):1033-1057.
- 18. Stulemeijer M, Vos PE, Bleijenberg G, van der Werf SP. Cognitive complaints after mild traumatic brain injury: things are not always what they seem. *J Psychosom Res*. 2007;63(6):637-645.
- 19. Biglia N, Bounous VE, Malabaila A, et al. Objective and self-reported cognitive dysfunction in breast cancer women treated with chemotherapy: a prospective study. *Eur J Cancer Care (Engl)*. 2012;21(4):485-492.
- 20. Hutchinson AD, Hosking JR, Kichenadasse G, Mattiske JK, Wilson C. Objective and subjective cognitive impairment following chemotherapy for cancer: a systematic review. *Cancer Treat Rev.* 2012;38(7):926-934.
- 21. Pullens MJJ, De Vries J, Roukema JA. Subjective cognitive dysfunction in breast cancer patients: a systematic review. *Psychooncology*. 2010;19(11):1127-1138.
- 22. Bull KS, Liossi C, Peacock JL, Yuen HM, Kennedy CR. Screening for cognitive deficits in 8 to 14-year old children with cerebellar tumors using self-report measures of executive and behavioral functioning and health-related quality of life. *Neuro-Oncol*. 2015;17(12):1628-1636.
- 23. Kennedy C, Bull K, Chevignard M, et al. Quality of Survival and Growth in Children and Young Adults in the PNET4 European Controlled Trial of Hyperfractionated Versus Conventional Radiation Therapy for Standard-Risk Medulloblastoma. *Int J Radiat Oncol*. 2014;88(2):292-300.
- 24. Lannering B, Rutkowski S, Doz F, et al. Hyperfractionated Versus Conventional Radiotherapy Followed by Chemotherapy in Standard-Risk Medulloblastoma: Results From the Randomized Multicenter HIT-SIOP PNET 4 Trial. *J Clin Oncol*. 2012;30(26):3187-3193.
- 25. Câmara-Costa H, Resch A, Kieffer V, et al. Neuropsychological Outcome of Children Treated for Standard Risk Medulloblastoma in the PNET4 European Randomized Controlled Trial of Hyperfractionated Versus Standard Radiation Therapy and Maintenance Chemotherapy. *Int J Radiat Oncol Biol Phys.* 2015;92(5):978-985.
- 26. Glaser A, Kennedy C, Punt J, Walker D. Standardized quantitative assessment of brain tumor survivors treated within clinical trials in childhood. *Int J Cancer Suppl.* 1999;12:77-82.
- 27. Gioia, GA, Isquith, PK, Guy, SC, Kenworthy, L. *Behavior Rating Inventory of Executive Function*. (Lutz, FL, ed.). Psychological Assessment Ressources; 2000.
- 28. Feeny D, Furlong W, Barr RD, Torrance GW, Rosenbaum P, Weitzman S. A comprehensive multiattribute system for classifying the health status of survivors of childhood cancer. *J Clin Oncol*. 1992;10(6):923-928.
- 29. Goodman R. The Strengths and Difficulties Questionnaire: a research note. *J Child Psychol Psychiatry*. 1997;38(5):581-586.
- 30. Varni JW, Seid M, Rode CA. The PedsQL: measurement model for the pediatric quality of life inventory. *Med Care*. 1999;37(2):126-139.
- 31. Varni JW, Burwinkle TM, Seid M, Skarr D. The PedsQL 4.0 as a pediatric population health measure: feasibility, reliability, and validity. *Ambul Pediatr*. 2003;3(6):329-341.
- 32. Aaronson NK, Ahmedzai S, Bergman B, et al. The European Organization for Research and Treatment of Cancer QLQ-C30: a quality-of-life instrument for use in international clinical trials in oncology. *J Natl Cancer Inst*. 1993;85(5):365-376.

- 33. Wechsler, D. *The Wechsler Intelligence Scale for Children*. 3rd edition. San Antonio (TX): The Psychological Corporation; 1991.
- 34. Wechsler, D. Wechsler Intelligence Scale for Children. 4th edition. San Antonio (TX): Harcourt Assessment; 2003.
- 35. Wechsler, D. *Wechsler Adult Intelligence Scale*. 3rd edition. San Antonio (TX): The Psychological Corporation; 1997.
- 36. Wechsler, D. Wechsler Adult Intelligence Scale. 4th edition. San Antonio (TX): Pearson; 2008.
- 37. Raven, J, Raven, JC, Court, JH. *Manual for Raven's Progressive Matrices and Vocabulary Scales. Section 2: The Coloured Progressive Matrices.* San Antonio (TX): Harcourt Assessment; 1998.
- 38. Raven, J, Raven, JC, Court, JH. *Manual for Raven's Progressive Matrices and Vocabulary Scales. Section 3: The Standard Progressive Matrices.* San Antonio (TX): Harcourt Assessment; 1998.
- 39. Kaufman, AS, Kaufman, NL. *Kaufman Assessment Battery for Children*. 2nd edition. Circle Pines (MN): American Guidance Service; 2004.
- 40. Penn A, Shortman RI, Lowis SP, et al. Child-related determinants of health-related quality of life in children with brain tumours 1 year after diagnosis. *Pediatr Blood Cancer*. 2010;55(7):1377-1385.
- 41. Le Galès C, Costet N, Gentet JC, et al. Cross-cultural adaptation of a health status classification system in children with cancer. First results of the French adaptation of the Health Utilities Index Marks 2 and 3. *Int J Cancer Suppl*. 1999;12(Suppl):112-118.
- 42. Kuhlthau KA, Pulsifer MB, Yeap BY, et al. Prospective Study of Health-Related Quality of Life for Children With Brain Tumors Treated With Proton Radiotherapy. *J Clin Oncol*. 2012;30(17):2079-2086
- 43. Bull KS, Liossi C, Culliford D, Peacock JL, Kennedy CR, Children's Cancer and Leukaemia Group (CCLG). Child-related characteristics predicting subsequent health-related quality of life in 8- to 14-year-old children with and without cerebellar tumors: a prospective longitudinal study. *Neuro-Oncol Pract*. 2014;1(3):114-122.
- 44. Howarth RA, Ashford JM, Merchant TE, et al. The utility of parent report in the assessment of working memory among childhood brain tumor survivors. *J Int Neuropsychol Soc.* 2013;19(4):380-389.
- 45. McAuley T, Chen S, Goos L, Schachar R, Crosbie J. Is the behavior rating inventory of executive function more strongly associated with measures of impairment or executive function? *J Int Neuropsychol Soc.* 2010;16(3):495-505.
- 46. Mora PA, Halm E, Leventhal H, Ceric F. Elucidating the relationship between negative affectivity and symptoms: the role of illness-specific affective responses. *Ann Behav Med Publ Soc Behav Med*. 2007;34(1):77-86.
- 47. Pennebaker, J. Psychological factors influencing the reporting of physical symptoms. In: Stone, A, Turkkan, A, Bachrach, C, Jobe, J, Kurtzman, H, Cain, V, eds. *The Science of Self-Report: Implications for Research and Practice*. New Jersey: Lawrence Erlbaum Associates; 2000:299-315.
- 48. Coutinho V, Câmara-Costa H, Kemlin I, Billette de Villemeur T, Rodriguez D, Dellatolas G. The Discrepancy between Performance-Based Measures and Questionnaires when Assessing Clinical Outcomes and Quality of Life in Pediatric Patients with Neurological Disorders. *Appl Neuropsychol Child*. 2016:1-7. doi:10.1080/21622965.2016.1146141.

OUESTIONNAIRE-BASED OOS AND COGNITIVE PERFORMANCE

- 49. Hooper L, Williams WH, Sarah EW, Chua K-C. Caregiver distress, coping and parenting styles in cases of childhood encephalitis. *Neuropsychol Rehabil*. 2007;17(4-5):621-637.
- 50. Hermelink K, Küchenhoff H, Untch M, et al. Two different sides of "chemobrain": determinants and nondeterminants of self-perceived cognitive dysfunction in a prospective, randomized, multicenter study. *Psychooncology*. 2010;19(12):1321-1328.
- 51. Goodhart DE. Some psychological effects associated with positive and negative thinking about stressful event outcomes: was Pollyanna right? *J Pers Soc Psychol.* 1985;48(1):216-232.
- 52. Palmer SN, Meeske KA, Katz ER, Burwinkle TM, Varni JW. The PedsQL Brain Tumor Module: initial reliability and validity. *Pediatr Blood Cancer*. 2007;49(3):287-293.
- 53. Breetvelt IS, Van Dam FSAM. Underreporting by cancer patients: The case of response-shift. *Soc Sci Med*. 1991;32(9):981-987.
- 54. Sprangers MA, Schwartz CE. Integrating response shift into health-related quality of life research: a theoretical model. *Soc Sci Med.* 1999;48(11):1507-1515.
- 55. Mulhern RK, Palmer SL, Merchant TE, et al. Neurocognitive Consequences of Risk-Adapted Therapy for Childhood Medulloblastoma. *J Clin Oncol*. 2005;23(24):5511-5519.
- 56. Palmer SL, Goloubeva O, Reddick WE, et al. Patterns of intellectual development among survivors of pediatric medulloblastoma: a longitudinal analysis. *J Clin Oncol*. 2001;19(8):2302-2308.

Table 1

Demographic characteristics and descriptive statistics of direct and questionnaire-based outcomes

		N	Mean	SD	Min	Max	
Age at diagnosis (years)		86	9.1	3.2	4	16.3	
Age at direct neuropsychological	assessment (years)	86	13.9	4.4	6.2	24.9	
Time since diagnosis (years)		86	4.8	2.6	0.6	9.7	
Interval between direct and ques	tionnaire						
assessments (years)		86	1.5	1.5	0	4	
Males, n (%)		60 (69.7)					
Direct measures							
FSIQ		86	89.7	19.7	40	137	
VIQ		73	96.9	18.4	47	140	
PIQ		85	90.1	19.4	40	140	
WMI		83	92.1	14.7	56	120	
PSI		64	78.5	16.0	50	103	
Questionnaire-based measures							
Executive function (BRIEF)							
	Parent report	65	55.8	10.3	34	87	
Global Executive Composite	Self-report	17	48.8	11.7	34	72	
Dehavional Decadation Index	Parent report	65	53.9	11.9	36		
Behavioral Regulation Index	Self-report	17	50.2	12.5	35	70	
Metacognition Index	Parent report	65	56.0	10.2	34	78	
Metacognition Index	Self-report	17	47.9	9.9	36	70	
Health status (HUI3)	Parent report	58	0.8	.2	0.1	1	
Health status (HOI3)	Self-report	62	0.8	.2	0.1	1	
Behavior (SDQ)	Parent report	64	9.7	5.3	0	23	
Deliavior (SDQ)	Self-report	54	9.7	5.5	0	21	
HRQoL (PedsQL)	Parent report	67	67.5	17.7	26.1	100	
III(VOL (I CUSQL)	Self-report	56	74.3	16.7	34.8	100	

BRIEF: Behavior Rating Inventory of Executive Function; HUI3: Health Utilities Index; SDQ: Strengths and Difficulties Questionnaire; PedsQL: Pediatric Quality of Life Inventory; FSIQ: Full Scale Intelligence Quotient; VIQ: Verbal Intelligence Quotient; PIQ: Performance Intelligence Quotient; WMI: Working Memory Index; PSI: Processing Speed Index.

Table 2

Pearson's correlations among questionnaire-based measures of executive function, health status, behavior and Health-Related Quality of Life

					Parent-rep	ort sco	res			
	BRIEF BRI		BRIEF MI HUI3			3	SDÇ	PedsQL		
	r	n	r	n	r	n	r	n	\overline{r}	n
BRIEF GEC	.82***	65	.91***	65	.45***	54	.63***	61	.64***	64
BRIEF BRI			.58***	65	.54***	54	.68***	61	.66***	64
BRIEF MI					.33*	54	.56***	61	.57***	64
HUI3							.55***	57	.70***	57
SDQ									.76***	64
					Self- repo	ort score	es			
BRIEF GEC	N/A	1	N/A	Λ	N/A	<u> </u>	N/A		N/A	
BRIEF BRI			N/A	Λ	N/A	L	N/A		N/A	
BRIEF MI							N/A		N/A	
HUI3							.59***	54	.67***	53

SDQ

.66***

53

^{*}p< .05; ****p< .001; N/A=not analyzed due to small sample sire of BRIEF self-reports for patients aged > 18 years (n=17); BRIEF: Behavior Rating Inventory of Executive Function; GCE: Global Executive Composite; BRI: Behavioral Regulation Index; MI: Metacognition Index; HUI3: Health Utilities Index; SDQ: Strengths and Difficulties Questionnaire; PedsQL: Pediatric Quality of Life Inventory.

Table 3

Pearson's correlations between parent-reports and direct measures of cognitive function

					Pa	rent-re _l	port scores						
	BRIEF GEC		BRIEF BRI		BRIEF	BRIEF MI		HUI3		SDQ		PedsQL	
	r	n	r	\overline{n}	r	n	r	\overline{n}	r	n	r	n	
FSIQ	13	65	25 *	65	07	65	24	58	12	64	18	67	
VIQ	19	56	24	56	15	56	21	50	14	56	15	59	
PIQ	07	65	18	65	05	65	18	58	05	64	10	67	
WMI	29 *	63	26 *	63	32 *	63	28 *	57	22	63	23	66	
PSI	.004	54	.06	54	06	54	25	51	02	56	01	56	
					S	elf-repo	ort scores						
FSIQ	N/A	A	N/A	4	N/A	A	41***	62	08	54	23	56	
VIQ	N/A	A	N/A	A	N/A	A	44***	54	22	46	21	48	
PIQ	N/A	A	N/A	A	N/A	A	35**	62	.01	54	14	56	
WMI	N/A	Α	N/A	A	N/A	A	39**	60	22	53	24	55	
PSI	N/A	A	N/A	4	N/A	A	44***	54	32*	47	21	46	

^{*} p< .05; **p< .01; ***p< .001; N/A=not analyzed due to small sample sire of BRIEF self-reports for patients aged > 18 years (n=17); BRIEF: Behavior Rating Inventory of Executive Function; GCE: Global Executive Composite; BRI: Behavioral Regulation Index; MI: Metacognition Index; HUI3: Health Utilities Index; SDQ: Strengths and Difficulties Questionnaire; PedsQL: Pediatric Quality of Life Inventory; FSIQ: Full Scale Intelligence Quotient; VIQ: Verbal Intelligence Quotient; PIQ: Performance Intelligence Quotient; WMI: Working Memory Index; PSI: Processing Speed Index.

Higher scores in all the questionnaires indicate poorer levels of executive functioning, health status, behavior, and HRQoL.

Table 4

Principal Component Analysis with varimax rotation of the questionnaire- and the performance-based outcomes

	Factor1	Factor2
BRIEF BRI	0.83	-0.13
BRIEF MI	0.68	-0.07
HUI3	0.76	0.16
SDQ	0.88	-0.15
PedsQL	0.89	0.15
VIQ	-0.18	0.88
PIQ	-0.04	0.83
WMI	-0.28	0.77
PSI	-0.06	0.71
Proportion of variance explained	45%	22%

BRIEF: Behavior Rating Inventory of Executive Function; BRI: Behavioral Regulation Index; MI: Metacognition Index; HUI3: Health Utilities Index; SDQ: Strengths and Difficulties Questionnaire; PedsQL: Pediatric Quality of Life Inventory; FSIQ: Full Scale Intelligence Quotient; VIQ: Verbal Intelligence Quotient; PIQ: Performance Intelligence Quotient; WMI: Working Memory Index; PSI: Processing Speed Index.