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### ▶ To cite this version:

Joanne A Hoogerland, Bart Staels, David Dombrowicz. Immune–metabolic interactions in homeostasis and the progression to NASH. Trends in Endocrinology and Metabolism = Trends in Endocrinology & Metabolism , 2022, pp.S1043-2760(22)00132-1. 10.1016/j.tem.2022.07.001. inserm-03751936

## HAL Id: inserm-03751936 https://inserm.hal.science/inserm-03751936

Submitted on 16 Aug2022

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Title
Immune-metabolic interactions in homeostasis and the progression to NASH
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Key words
Liver; immunology; NAFLD; NASH; metabolism
Abstract
The incidence of Non-Alcoholic Fatty Liver Disease (NAFLD) has tremendously increased over
the past 2 decades. NAFLD ranges from simple steatosis (NAFL) to Non-Alcoholic
Steatohepatitis (NASH) and predisposes to fibrosis and hepatocellular carcinoma. The
importance of the immune system in hepatic physiology and in the progression of NAFLD is
increasingly recognized. At homeostasis, the liver participates in the immune defense against
pathogens and in tolerance towards gut-derived microbial compounds. Hepatic immune cells
also respond to metabolic stimuli and play a role in NAFLD progression to NASH. We first
discuss how metabolic perturbations affect immune cell phenotype and function in NAFL

- and NASH. Then, we focus on the role of immune cells in liver homeostasis and in the
- 26 development of NASH.

#### 27 The liver as an immunological organ

28 The liver plays a central role in glucose and lipid homeostasis as well as in cholesterol and 29 bile acid synthesis. Hepatocytes account for about 70% of the total liver cell content and 30 execute most hepatic metabolic processes [1]. The remaining liver cells are non-31 parenchymal cells such as cholangiocytes, liver sinusoidal endothelial cells (LSECs), hepatic 32 stellate cells (HSCs), and resident innate and adaptive immune cells. The main hepatic blood 33 supply (~80%) comes from the gut via the portal vein, passes through a network of liver 34 sinusoids, and leaves the parenchyma via the central vein. The liver is therefore constantly 35 exposed to metabolites and non-self antigens derived from nutrients and gut microbiota. 36 When pathogens invade the liver, neutrophils are the first responders. They are mobilized 37 from a large bone marrow pool, circulate via the blood stream, extravasate into tissue where 38 they immobilize and kill pathogens. Neutrophils act through phagocytosis, production of 39 reactive oxygen species (ROS), release of Neutrophil Extracellular Traps (NET), and secretion 40 of a variety of cytokines. In addition, the antigen-rich portal blood is "probed" by antigen-41 presenting cells (APCs) and lymphocytes for the presence of non-self compounds as well as 42 for pathogens. In the metabolically healthy liver, this process contributes to the 43 establishment of (antigen-specific) tolerance. This immune tolerant state implies that the 44 liver actively inhibits an overt immune response to harmless food-derived antigens and 45 microbial products. However, to maintain homeostasis, the liver has also to allow efficient 46 responses against infectious agents. APCs, such as dendritic cells (DCs) (represented as 47 plasmacytoid DCs (pDCs) and classical DCs (cDCs)), and Kupffer cells (KCs), the resident 48 hepatic macrophages, are predominantly located in the periportal and pericentral areas. 49 They capture antigens that pass through the liver, process and present them through major 50 histocompatibility complex class-I or II (MHC-I/II) molecules. Immunoglobulin-producing B,

51 and T lymphocytes are dispersed throughout the parenchyma being present in the portal 52 tracts as well. Various subsets of T cells recognize presented antigens using highly variable T 53 Cell antigen Receptors (TCRs), resulting – in non-inflammatory conditions – in tolerance 54 induction rather than enhancement of T cell responses. In line, in these conditions, antigen 55 presentation to naïve CD4<sup>+</sup> T cells induces differentiation of regulatory T cells (Tregs) rather 56 than T helper (Th) 1 (Th1) or 17 (Th17) cells. In addition, CD8<sup>+</sup> T cells are highly effective in 57 killing cells infected by intracellular pathogens and damaged cells. Next to conventional CD4<sup>+</sup> 58 and CD8<sup>+</sup> T cells, non-conventional T cells (natural killer T (NKT) cells) are present in the liver 59 and contribute to immune homeostasis by recognizing specific non-peptide antigens (Figure 60 1).

61 In an altered metabolic environment, as occurring in NAFLD, the hepatic immune system can 62 be triggered by 'metabolic overload', leading to an inappropriate immune response. The 63 major risk factor for NAFLD is overnutrition, associated with obesity and type 2 diabetes. 64 Metabolic overload already occurs in early stages of NAFLD characterized by benign steatosis 65 (NAFL) with no evidence of hepatocellular injury [2]. Continuous metabolic overload by high caloric diets generates toxic lipids, such as free cholesterol, free fatty acids, diacylglycerols, 66 67 and ceramides [3,4]. Nutrient overload causes oxidative and ER stress and hepatocyte 68 apoptosis [5], thereby driving NAFLD progression. The strong association between NAFLD 69 and type 2 diabetes indeed shows that persistent nutrient (glucose) overload affects hepatic 70 cell function. Drastic reduction of caloric intake, such as after bariatric surgery, improves all 71 stages of NAFLD, proving that metabolic overload is a main driver of NAFLD [6]. Metabolic 72 overload first affects hepatic non-immune cells, which, at homeostasis, also exert immune-73 modulatory functions by participating in antigen presentation contributing to hepatic 74 immune tolerance (Box 1 and 2). Inflammation, the central feature in the progression from NAFL to NASH is subsequently triggered by metabolic overload and ensuing hepatocyte
injury [7]. Pathological NASH develops when fat accumulation in hepatocytes is accompanied
by lobular inflammation and hepatocellular ballooning [8,9]. The final stages of NASH are
advanced fibrosis/cirrhosis, hepatocellular carcinoma, and liver failure.

In the present review, we will discuss liver immunity at homeostasis and during development and progression of NASH. We will focus on 1) the effects of the altered metabolic environment, predisposing to NASH, on hepatic immune cells, and 2) the role of hepatic immune cells in the development and progression of NASH.

83

#### 84 Triggers of the immune response in NAFLD

The altered metabolic environment in NAFLD affects immune cell phenotype and function, hence promoting NASH progression. Indeed, immune cells sense environmental and metabolic cues, such as nutrient and oxygen availability, and microbial metabolites (Box 3), and adapt their metabolic programs accordingly. This part of the review describes the current knowledge about the effects of NAFLD-related alterations in lipid-, glucose-, and cholesterol metabolism on hepatic immune cells.

91

#### 92 Lipid metabolism

Hepatic steatosis results from an imbalance between hepatic triglyceride input and output. Hepatic free fatty acid influx rates and *de novo* lipogenesis are increased in NAFLD [10], affecting hepatocytes as well as immune cell function. Accumulation of (toxic) lipids in hepatocytes causes hepatocellular lipotoxicity, which leads to metabolic dysfunction and cellular oxidative and ER stress, and eventually cell death. Injured and dying hepatocytes release apoptotic bodies and extracellular vesicles (EVs) containing RNA, membrane,

cytosolic and nuclear proteins, lipids, and damage-associated molecular patterns (DAMPs)
[11], thereby inducing monocyte recruitment and macrophage activation. For example,
danger signals, such as histidine-rich glycoprotein (HRG), induce macrophage polarization
towards a pro-inflammatory phenotype [12].

103 Next to hepatocyte-mediated effect of lipids, some studies show a direct effect of lipids on 104 hepatic immune cells. Hepatic macrophages from mice fed a high fat diet display 105 intracellular lipid accumulation which was associated with altered expression of genes 106 involved in lipid synthesis, oxidation, uptake and secretion, and the increased production of 107 inflammatory mediators [13]. Furthermore, in vitro assays showed that LPS-mediated 108 activation of these lipid-loaden macrophages promote the recruitment of CD4<sup>+</sup> and CD8<sup>+</sup> T 109 and B lymphocytes, which is a hallmark of NASH. MRS1, the key macrophage receptor for 110 clearance of circulation lipoproteins, was recently suggested to be critical for the uptake of 111 saturated fatty acids and the subsequent proinflammatory response [14]. These studies do 112 not allow to discriminate between recruited and resident macrophages (or KCs), as the most 113 specific markers for KCs, such as CLEC4F, were not used. However, it is likely that at least 114 some hepatic macrophage subsets can be directly activated by lipid accumulation. More 115 recent studies using single-cell RNAseq have identified distinct macrophage subtypes in 116 livers mice fed a high-fat diet [15,16]. It was suggested that hepatic lipid accumulation 117 promotes the recruitment of a subset of monocyte-derived lipid-associated macrophages 118 (LAMs) – but not resident KCs [15]. Studies on activation of the newly identified macrophage 119 subsets and the potential effects of lipids on their phenotype and function are still awaiting.

Dendritic cells (DCs) are also sensitive to extracellular fatty acids during innate immune activation [17]. High fatty acid concentrations enhance the TLR-mediated innate activation of DCs by inhibiting the activity of hexokinase, the initial enzyme of glycolysis. Consequently,

123 the glycolytic reprogramming of DCs is impaired and mitochondrial reactive oxygen species 124 (ROS) production is increased. ROS affects the unfolded protein response and induces a 125 specific inflammatory program, characterized by increased IL-23 production [17]. Notably, IL-126 23 expression is enhanced in livers of mice fed a NASH diet [18]. Finally, a few studies have 127 investigated the effects of specific fatty acids on T cells. Generally, high concentrations of 128 fatty acids are toxic to T cells, and non-toxic concentrations induce proliferation and 129 cytokine production [19-21]. The mechanisms by which fatty acids affect T cell function, 130 especially in NAFLD/NASH, is still unclear and deserves further research.

131

#### 132 Glucose metabolism

133 Hepatic steatosis promotes liver insulin resistance ultimately leading to diabetes, itself a 134 major risk factor for NAFLD. Glucose availability and uptake are critical determinants in 135 directing macrophage differentiation. As DCs, macrophages integrate signals of overnutrition 136 and accordingly reprogram their metabolism [22]. The transcription factor HIF1 $\alpha$  plays a key 137 role in the induction of the glycolytic adaptation in inflammatory macrophages. HIF1a 138 expression is regulated both by inflammatory signals, such as pro-inflammatory cytokines, 139 inducing the NF-KB signaling pathway [23], and growth factors, such as GM-CSF, triggering 140 the AKT/mTOR pathway [24,25]. HIF1 $\alpha$ , in turn, regulates the expression of glycolytic enzymes and the glucose transporter GLUT1, thereby facilitating rapid glucose uptake. 141 142 Glucose entry and its metabolization into lactate directly promotes inflammatory 143 macrophage gene expression and activation [26]. Inflammatory macrophages further 144 contribute to hepatic steatosis and inflammation by secretion of cytokines such as TNF-a 145 and IL-1β [27].

146 Similar as macrophages, T cells are also dependent on the import of extracellular glucose to 147 meet increased metabolic needs during activation. Naïve, resting T cells rely on oxidative 148 phosphorylation to maintain housekeeping functions and cell survival, which is promoted by 149 IL-4 and IL-7 [28]. Upon activation, T cells switch from a quiescent state to proliferative 150 expansion, which requires uptake of essential metabolites to support anabolic growth [29]. T 151 cell activation involves the costimulatory receptor CD28, which induces glucose uptake and 152 glycolysis in T cells to a level that is sufficient for proliferation and function [30]. Glucose 153 availability seems to (partly) control immune cell function since activated immune cells have 154 high metabolic demands. However, more studies are needed to investigate the impact of a 155 nutrient-rich NAFLD environment on immune cell function, not only at the single cell level, 156 but also in cell-cell interactions.

157

#### 158 Cholesterol metabolism

159 Elevated cholesterol levels in hepatocytes are associated with NAFLD risk and severity [4,31]. 160 Cholesterol is synthesized *de novo* from acetyl-CoA or imported *via* lipoprotein uptake. 161 Macrophages are important for cholesterol efflux and reverse cholesterol transport from 162 peripheral tissues to the liver via high-density lipoprotein (HDL) particles. LXRa is a critical 163 regulator of cholesterol and lipid metabolism in KCs, and links metabolism and inflammation 164 by reducing the production of pro-inflammatory mediators upon stimulation [32,33]. 165 Moreover, cholesterol is a precursor for bile acid synthesis, and (microbial) metabolites of 166 bile acids were recently shown to control the adaptive immune response in the gut [34–36] 167 (Box 3). However, studies about the effect of specific bile acids on hepatic immunity are 168 lacking. Plasma bile acid levels and profiles are reported to be altered in NAFL and NASH 169 [37–40], and a large inter-individual variation in human bile acid pool size and composition

exists [39]. It will be important to further investigate the signaling functions of bile acids and their metabolites in the control of immune cell phenotypes and functions, including interindividual differences in adaptive immunity.

173

#### 174 Several liver immune cell types are involved in NAFLD onset and progression

175 NASH is characterized by hepatic inflammatory infiltrates and lobular inflammation. Immune 176 cell profiling and transcriptomic analysis identified a hepatic immune- and inflammation-177 related gene signature in NASH patients, which reversibly associates with NAFL to NASH 178 transition and is characterized by pathways related to antigen presentation and CD8<sup>+</sup> T cell 179 activation [18]. Yet a wide range of hepatic immune cell populations have been associated 180 with NAFL progression to NASH, including macrophages, DCs, neutrophils, natural killer cells, 181 (non-conventional) T cells and B cells.

182

#### 183 Macrophages are activated in the early phase of liver injury

184 KCs, the resident macrophages in the liver, are the most abundant hepatic immune cells 185 accounting for ~35% of the non-parenchymal cells. These cells originate from yolk sac-186 derived erythromyeloid progenitors in the fetal liver. KCs are enriched in mid regions in 187 human and in periportal regions in mouse livers [41,42] and are located along LSECs in the 188 sinusoids, with a significant part of the KC inside the space of Disse to ensure close contact 189 with hepatocytes, HSCs and LSECs [43]. In the metabolically healthy liver, KCs clear common 190 gut-derived antigens, including bacterial antigens and fungal pathogens, from blood by 191 phagocytosing, processing, and presenting antigens to maintain immune homeostasis [44-192 47]. Both resident (KC) and infiltrating monocyte-derived macrophages play important roles 193 in the development of hepatic steatosis and NASH. Analysis of liver biopsies of patients with

194 different stages of NAFLD show (portal) macrophage infiltration even at the stage of 195 steatosis, before inflammation or fibrosis develop [48-50]. Macrophages are mainly 196 observed around damaged hepatocytes, where they form hepatic crown-like structures 197 (hCLS) [15,51–53]. In hCLS, activated macrophages aggregate and surround hepatocytes 198 containing large lipid droplets and cholesterol crystals. The number of hCLS positively 199 correlates with liver fibrosis [51], suggesting a pathophysiological role in NASH progression. 200 Moreover, several studies in HFD-fed mice show that (selective) depletion of macrophages 201 by gadolinium chloride (GdCl<sub>3</sub>) [27,54] or clodronate [55] improves hepatic steatosis, 202 hepatocyte insulin resistance, and inflammation. However, as the employed depletion 203 methods target all types of macrophages, firm conclusions about the exact role of KCs in 204 NASH development cannot be drawn from these studies.

205 Upon liver damage macrophages encompass several heterogeneous populations [56]. 206 Resident KCs represent the largest macrophage population in the liver. When activated, KCs 207 express high levels of inflammatory markers and are the major source of liver-derived MCP-1 208 (also known as CCL2), which plays an important role in the progression of simple steatosis to 209 NASH) in HFD-fed mice [57]. The second most abundant population are monocyte-derived 210 infiltrating macrophages which express high levels of LY6C and C-C motif chemokine 211 receptor 2 (CCR2), and acquire macrophage characteristics over time [57]. Bonnardel et al. 212 showed, in a KC-specific depletion model, that activated HSCs and LSECs induce the expression of monocyte-attracting chemokines and adhesion molecules [43]. Within a short 213 214 time-frame – 24 h after KC depletion – monocytes colonize the liver and acquire LXR $\alpha$  and 215 ID3 expression, which are an important part of the KC-specific identity program [58]. Expression of LXRa, via the DLL4-Notch pathway, and ID3 are respectively induced upon 216 217 interaction with LSECs and hepatocytes, underlining the contribution of different hepatic cell

218 types in shaping the KC phenotype. Another study, using a similar KC-specific depletion 219 model, confirmed this rapid differentiation of recruited monocytes and showed that tissue 220 environment signals, such as DLL4, TGF- $\beta$  and desmosterol, induce differentiation by 221 regulating the expression of KC identity transcription factors [59]. In NAFLD, monocyte-222 derived macrophages are recruited to the liver by the CCL2/CCR2 axis where they promote 223 NASH development. Indeed, monocyte-derived infiltrating macrophages with a pro-224 inflammatory phenotype are abundant in HFD-fed mice, in ob/ob mice [60] and in humans 225 with NAFLD [61]. Pharmacological inhibition of CCR2 decreased monocyte recruitment in the 226 liver and ameliorated NASH in mice [62–64]. Three recent studies aimed to further identify 227 resident and infiltrating macrophages in mice fed either Western-type (high fat and 228 cholesterol) [65], long term NASH-inducing (high fat, high cholesterol, high fructose) [16], or 229 methionine and choline-deficient (MCD) [66] diets (Box 4). Collectively, these studies 230 showed that chronic steatohepatitis leads to permanent changes in the KC pool, with 231 potential long-lasting impact on liver homeostasis and function, especially on the liver 232 response to lipid overload.

The use of different mouse models and protocols to induce steatosis and NASH (*e.g.* with or without additional fructose, choline-deficiency, study duration) with different phenotypic stages of hepatic NAFLD [67] complicates comparison of the identified populations. These studies also underscore the need for a consensus on the macrophage nomenclature. However, the heterogenous macrophage populations clearly play diverse roles in NASH. Functionally characterizing each subset will be of importance to develop more selective therapeutic (immune-based) strategies.

240

241 The various subsets of dendritic cells (DCs) are differentially affected in NASH

242 NASH is associated with the accumulation of intrahepatic DCs and alterations in the 243 proportions of DC subsets. DCs are the major APCs in the liver and in the metabolically 244 healthy liver they control the induction of antigen-specific adaptive immune responses as 245 well as the induction of central and peripheral tolerance to auto-antigens [68,69]. Hepatic 246 DCs (~1% of the non-parenchymal cells) are represented by three populations: plasmacytoid 247 DCs (pDCs), classical type 1 DCs (cDC1s, expressing XCR1 and CD103), priming the CD8<sup>+</sup> T cell 248 response, and classical type 2 DCs (cDC2s, expressing CD11b and Sirp $\alpha$ ), priming the CD4<sup>+</sup> T 249 cell response [70–72]. At homeostasis, hepatic DCs are mostly immature and tolerogenic 250 [73]. However, upon liver injury, activated DCs migrate to the periportal and pericentral 251 areas and draining lymph nodes, secrete pro-inflammatory cytokines, such as IL-6, IL-12, and 252 TNF- $\alpha$ , and express co-stimulatory molecules CD40, CD80 and CD86 [74,75]. The cDC1 253 (XCR1<sup>+</sup>)/cDC2 (CD172a<sup>+</sup>) ratio is decreased in livers of High Fat, High Cholesterol, High 254 Sucrose diet fed mice, mainly due to a proportional (% of CD45<sup>+</sup> cells) increase in cDC2 and a 255 slight reduction in cDC1 cells [18]. Moreover, cDC2 positively associate with NASH, while 256 cDC1 negatively correlate with NASH. Surprisingly, the increase in cDC2 cells is paralleled by 257 an increase in hepatic CD8<sup>+</sup> T cells, suggesting that changes in the cDC1/cDC2 ratio might 258 fine tune CD8<sup>+</sup> T cell activation, likely through complex mechanisms. Indeed, T cell activation 259 by cDC1 cells is classically MHC-I restricted. However, cDC1 cells also regulate the anti-tumor 260 function of CD8<sup>+</sup> T cells through MHC-II-dependent regulation of CD4<sup>+</sup> helper T cells [76]. 261 Another study reported elevated numbers of both cDC1 and cDC2 cells in mice fed Western, 262 choline-deficient high fat, or MCD diets, while only the abundance of cDC2 cells was 263 increased in high fat diet-fed or *db/db* and *ob/ob* obese mice [77]. The use of different diets 264 and treatment durations to induce NASH-like pathologies, with variable relatedness to the

human pathology [67,78], likely account for the observed differences in cDC1 and cDC2
abundance and ratio.

267 Immune cell profiling of blood and liver biopsies from NAFLD patients also revealed a NASHdependent elevation of CD141<sup>+</sup> cells, corresponding to murine cDC1 cells [77]. Furthermore, 268 269 an enrichment in (activated) migratory  $CCR7^+$  DC (mDC) upon NASH induction was observed. In line, increased interactions between cDCs with NASH-associated CD8<sup>+</sup> T cells were 270 observed in liver-draining lymph nodes of MCD-diet fed mice. A recent study, using Batf3<sup>-/-</sup> 271 272 mice, which genetically lack cDC1 cells, identified murine CD103<sup>+</sup> cDC1 as a protective DC subtype during NASH development [79]. Adoptive transfer of these cells in  $Batf3^{-/-}$  mice 273 274 attenuated inflammation and reduced high sucrose diet-induced metabolic disturbances. Finally, specific cDC1 deletion, using XCR1-Cre<sup>DTA</sup> transgenic mice, protected mice for MCD-275 276 induced NASH [77]. The authors suggested that increased cDC1 numbers cause 277 inflammation-related liver damage (NASH), in part via their interaction with T cells, while 278 non-inflammatory accumulation of hepatic lipids (simple steatosis) is associated with 279 increased numbers of cDC2 cells. However, lack of cDC2-specific depletion tools prevented 280 so far the functional assessment of their role in NAFL and/or NASH development.

281

#### 282 Infiltrating neutrophils facilitate NASH development

Bone-marrow-derived neutrophils mainly circulate *via* the blood stream for immune surveillance and are activated and attracted by inflammation or infection-activated endothelial cells [80]. Neutrophils infiltrate, as first responders, into tissues where they immobilize and kill pathogens acting through the production of reactive oxygen species (ROS), secretion of a variety of cytokines [81], such as IFNy, TNF- $\alpha$ , IL-4, and IL-10, and the formation of neutrophil extracellular traps (NETs) [82,83]. NETs are formed when neutrophils actively release their nuclear DNA content, while still maintaining membrane
 integrity, chemotaxic responsiveness and phagocytosis [84].

291 Infiltration of neutrophils, mainly around steatotic hepatocytes and in the portal tract [50], is 292 associated with the early stage of NAFL/NASH in patients and animal models [80,85-88]. 293 Neutrophils contribute to NAFLD progression and the exacerbation of the inflammatory 294 state by the production of neutrophil-derived myeloperoxidase (MPO), which accelerates 295 ROS production and induces DNA damage [52,89]. NET formation (NETosis) also attracts 296 monocyte-derived macrophages and subsequently induces steatosis-to-NASH progression 297 [87]. In NASH patients, circulating neutrophils suppress the proliferation and activation of 298 CD4<sup>+</sup> and CD8<sup>+</sup> T cells [90]. Over time, this may induce an inadequate immune-surveillance of 299 liver damage, making patients more prone to the progression of liver damage. Although 300 neutrophils are generally believed to promote NASH, they can also play a beneficial role. 301 Indeed, neutrophils highly express microRNA-223, which upon transfer to hepatocytes elicits 302 anti-inflammatory and anti-fibrotic responses [91]. However, antibody-mediated neutrophil 303 depletion improved hepatic inflammation and fibrosis, and ameliorated HSC activation in 304 high fat, high cholesterol-induced NASH in mice, indicating a detrimental role of neutrophils 305 in NASH. Subsequent chow diet feeding, to study the role of neutrophils during 306 (spontaneous) NASH resolution, showed that neutrophil depletion exacerbated fibrosis, HSC 307 activation, and myeloid cell infiltration during resolution, demonstrating that neutrophils 308 also play a pro-resolutive role in liver injury and fibrosis [92].

309

310 The role of conventional  $CD4^{+}$  Th1 and Th17 lymphocytes in the contribution to NASH 311 development deserves further study

The main hepatic T cell populations in a healthy liver are conventional CD4<sup>+</sup> and CD8<sup>+</sup>  $\alpha\beta$  T cells. Upon activation by APCs [93], they play a critical role in the hepatic immune response. Although the contribution of CD8<sup>+</sup> T cells to NAFL and NASH development has been recently extensively studied, data about the precise role of CD4<sup>+</sup> T cells are limited.

316 CD4<sup>+</sup> T helper 1 (Th1) and T helper 17 (Th17) cells are increased in peripheral blood of NAFL 317 [94] and NASH [18,95–98] patients. Th1 cells are important drivers of inflammation and 318 contribute to NAFLD development by secreting IFN<sub>Y</sub> [99]. Hepatic infiltration of and IL-17A 319 production by Th17 cells increase steatosis, liver injury and fibrosis [98], while Th17 320 depletion in mice protects against NASH development [100]. The role of CD4<sup>+</sup> regulatory T 321 cells (Tregs) in NASH development still remains controversial. Tregs are reported to be 322 reduced in high-fat diet-fed mice [101], while choline-deficient high-fat and high-fat high-323 carbohydrate diets increase intrahepatic Tregs in mice [102,103]. Mechanistically, circulating 324 IL-10<sup>+</sup> Tregs positively correlate with NASH features. However, as IL-10 is considered to be anti-inflammatory, this likely reflects a counter-adaptive immunoregulatory response 325 326 [18,104]. A better understanding of the contribution of Tregs to NASH development will 327 provide more insight in the hepatic immune regulation upon a metabolic challenge. Finally, 328 currently no studies mechanistically addressed the role of CD4<sup>+</sup> T cells in NASH. Recently it 329 was shown that Th2 cells negatively correlate with NASH activity [18], but the role of Th2 330 cells in NASH remains also to be investigated.

331

332 CD8<sup>+</sup> cytotoxic T lymphocytes: the ultimate effectors in NASH?

Livers of high fat (and high cholesterol) and MCD diet fed mice are characterized by a hepatic infiltration of T cells, which is also observed in livers and peripheral blood of NAFL and NASH patients [18,50,105–108]. CD8<sup>+</sup> cytotoxic T cells play a key role in NASH progression, as

336 administration of neutralizing anti-CD8 antibodies decreased hepatic steatosis and 337 inflammation [106,107]. Our previous work in NAFLD patients showed a strong correlation 338 between circulating and hepatic cytotoxic (perforin and IFN $\gamma$ -expressing) CD8<sup>+</sup> T cells and 339 hepatic markers of inflammation in NASH [18]. Moreover, the cytotoxic potential of CD8<sup>+</sup> T 340 cells in NASH was increased as illustrated by the increased expression of perforin, a pore-341 forming protein, and the cytotoxic proteins granzyme A, a tryptase, and granzyme B, a serine 342 protease, which are key for the effector function of cytotoxic T cells. Regression of NASH-343 specific features, such as lobular inflammation and ballooning, correlated with a decrease in 344 cytotoxic CD8<sup>+</sup> T cells [18]. In line, Dudek et al. reported hepatic accumulation of tissue 345 resident (CXCR6<sup>+</sup>) CD8<sup>+</sup> T cells in patients with NASH and in choline-deficient high-fat diet-346 fed mice, which contribute to liver damage [109]. These short-lived tissue-resident CXCR6<sup>+</sup> 347 CD8<sup>+</sup> T cells co-express programmed cell death protein 1 (PD1), which is associated with 348 exhaustion. Furthermore, they express genes associated with apoptosis and with effector 349 function, such as granzyme B, Tox, TNF- $\alpha$ , and IFN $\gamma$ . In the healthy liver, CXCR6 expression in 350 CD8<sup>+</sup> T cells is inhibited by FOXO1. However, in NASH patients and mouse models of NASH, 351 serum levels of the FOXO1-inhibitor IL-15 are increased, resulting in a higher expression of 352 CXCR6 on T cells. In an environment with specific stimuli, such as TNF- $\alpha$  or acetate – a short-353 chain fatty acid which is elevated in NASH livers -, CXCR6<sup>+</sup> CD8<sup>+</sup> T cells acquire the potential 354 of auto-aggression [109]. CXCR6<sup>+</sup> CD8<sup>+</sup> T cells were shown to contribute to liver damage by 355 non-specific, MHC-I independent, killing of hepatocytes. This was triggered by ATP released 356 from dying hepatocytes which, via the purinergic receptor P2X7, enhances calcium influx in 357 CXCR6<sup>+</sup> CD8<sup>+</sup> T cells initiating auto-aggression. Tissue damage due to auto-aggression by 358 CD8<sup>+</sup> T cells, and the upregulation of PD1 in these cells, can promote the development of 359 liver cancer upon chronic liver damage [110–112]. Although the activation of auto-aggressive

CXCR6<sup>+</sup> CD8<sup>+</sup> T cells is antigen-independent, the strong association between elevated hepatic
 CD8<sup>+</sup> T and cDC1 cells, as discussed above, also points toward a likely role of antigen
 presentation in CD8<sup>+</sup> T cell activation in NASH [18,77] (Figure 4). Future studies will be
 needed to further elucidate the respective contribution of antigen (in)dependent stimulated
 CD8<sup>+</sup> T cells in the progression of NAFL to NASH.

365 Interestingly, the metabolic environment affects both CD8<sup>+</sup> T cell phenotype and function in NASH development. Hepatic CD8<sup>+</sup> T cells in Western diet-fed Low Density Lipoprotein 366 367 Receptor-deficient mice, which display an obese/hyperlipidemic NASH phenotype, express 368 IL-10, TNF- $\alpha$ , and IL-6, and induce hepatic inflammation, macrophage accumulation and HSC 369 activation [113]. Although IL-10 has anti-inflammatory properties, it was hypothesized that 370 IL-10 secretion from CD8<sup>+</sup> T cells promotes CD8<sup>+</sup> T cell survival and TIMP-1 expression in 371 macrophages, hence stimulating NASH progression. Whereas CD8<sup>+</sup> T cells are the primary 372 regulators of HSC activation selectively in an obese/hyperlipidemic environment, CD8<sup>+</sup> T cells 373 play a minimal role in NASH development in non-obese animals [113]. Indeed, hepatic CD8<sup>+</sup> 374 T cells in a lean NASH model, i.e. MCD diet-fed mice, exhibited a different phenotype and 375 expressed low levels of IL-10, IFN $\gamma$ , and granzyme B. Moreover, these CD8<sup>+</sup> T cells did not 376 impact on NASH-associated inflammation nor HSC activation. These findings, indicating that 377 metabolic conditions influence CD8<sup>+</sup> T cell phenotype and function, deserve further 378 investigation in additional, more relevant (non-)obese NASH models.

379

#### 380 The role of non-conventional T lymphocytes in NASH is understudied

381 The healthy liver contains, next to innate lymphoid cells (Box 5), a substantial number of 382 non-conventional T cells: natural killer T (NKT) cells, mucosal-associated invariant T (MAIT) 383 cells and  $\gamma\delta$  T cells. NKT cells are the most abundant hepatic non-conventional T cells in mice

384 [114]. Two types of NKT cells are found: type I NKT cells expressing a semi-invariant TCR 385 (invariant (i)NKT cells), and type II NKT cells, expressing a diverse TCR repertoire [115]. NKT 386 cells recognize lipid antigens presented by CD1d and can rapidly respond by secreting 387 multiple cytokines (IFNy, IL-4, IL-10 and IL-17A) [116]. CD1d is an MHC-I-like molecule that is 388 reactive to self-derived or microbial lipid antigens, including glycolipids and phospholipids 389 [114]. As CD1d is expressed by antigen presenting cells, such as DCs and KCs [117], NKT cells 390 form a bridge between the innate and adaptive immune systems. NKT cell numbers change 391 during the onset of NAFL and progression to NASH. A reduction in hepatic NKT cell numbers 392 was reported in mouse models on short-term MCD, choline-deficient L-amino acid-deficient 393 (CDAA), or high-fat diets [118,119]. Decreases in hepatic NKT cell numbers can be due to 394 steatosis-induced KC-derived IL-12 and Tim-3/Gal-9 signaling, which promotes NKT cell 395 apoptosis. By contrast, NKT cell numbers increase in advanced NASH. Mice fed a high fat, 396 high cholesterol diet for 16 weeks showed increased NKT cells in liver [106]. NKT cell 397 depletion protected against NASH progression, as these mice exhibited lower NAFLD activity 398 scores (NAS) and AST/ALT levels, less hepatic infiltrating macrophages and decreased 399 expression of genes related to fibrosis [106]. Similarly, a study combining choline-deficiency 400 with a high fat diet for 6 months showed a significant increase in hepatic NKT cells [107]. A 401 possible explanation for the increase in NKT cell numbers is the activation of the Hedgehog 402 pathway in NASH. This results in higher levels of the NKT cell chemokine CXCL16, the 403 adhesion molecule VCAM-1, and IL-15, all promoting NKT viability [120-122]. Several NKT-404 operated mechanisms can contribute to NASH progression. Activated intrahepatic NKT cells 405 enhance hepatocyte lipid uptake via secretion of LIGHT, thus promoting steatosis [107]. 406 Moreover, NKT cells produce IL-17 and IL-22 at the beginning of the steatosis phase, 407 becoming IFNy/IL-4/IL-13- secreting cells at a later stage of the disease [123]. The differential

activation and cytokine secretion by NKT cells results in an evolving immune response during
disease progression. Moreover, the synergistic action of NKT and CD8<sup>+</sup> T cells contributes to
inflammation and fibrosis [106,123].

411 MAIT cells are a population of innate-like T lymphocytes that recognize a limited array of 412 microbial-derived antigens and vitamin B metabolites presented by MHCI-I-related molecule 413 1 (MR1) [124]. In the metabolically healthy liver, they are important for antimicrobial 414 immunity against bacteria and yeast, and exert cellular cytotoxicity against target cells by 415 producing pro-inflammatory cytokines such as IFN $\gamma$  and TNF- $\alpha$  [125]. MAIT cells accelerate 416 inflammation and fibrosis in models of CCL<sub>4</sub>- or bile duct ligation-induced liver injury and 417 accumulate in livers of NASH patients [126]. By contrast, MAIT cells were found to be 418 protective against liver inflammation in MCD diet-induced NASH models [127]. Beyond the 419 fact that very different experimental models were studied, it is difficult to speculate about 420 possible mechanisms accounting for these opposing results.

421 γδ T cells express a TCR γ-chain and a δ-chain and are a heterogenous population consisting 422 of multiple subsets. They function as the first line of immune defense against infections and 423 cancer *via* cytotoxic effects and production of IFNγ and IL-17 [128,129]. The liver contains γδ 424 T cell precursors, which primarily develop into IFNγ<sup>+</sup> γδ T cells, but their role in immune 425 homeostasis and NAFL/NASH progression still needs to be determined [129].

426

#### 427 B lymphocytes and antibody production promotes NASH development

B cells are the largest population of hepatic lymphocytes (~20% of all CD45<sup>+</sup> cells in mice) and are important for both humoral immunity, by producing antibodies, and cellular immunity, by functioning as APCs. They are located close to the bile ducts and are reported to function as APCs for MAIT cells by presenting gut-derived bacterial antigens *via* MR-1

432 [131,132]. Most hepatic B cells are mature follicular B cells, which survey for antigens. In the
433 human liver, immature, "classical" B2, "non-conventional" B1, and antibody-producing
434 plasma cells have also been detected, although their precise function at steady state is
435 unclear [133,134].

436 Growing evidence suggests an essential role for B cells in NAFL and NASH development. B 437 cells accumulate in the portal tract of livers of patients with NASH [50,135], and serum levels 438 of IgA [136] and B cell-activating factor (BAFF), promoting B cell differentiation and survival, 439 are increased in NASH patients [137]. Accumulated B cells in livers of HFD- or NASH-diet fed 440 mice are pro-inflammatory and secrete cytokines such as IL-6 and TNF- $\alpha$  [137–139]. The 441 (innate) TLR-associated Myeloid Differentiation primary response protein 88 (MyD88) pathway is required for B cell activation and maturation in NASH [139] and for their pro-442 443 fibrotic role, as shown in a mouse model with CCl<sub>4</sub>-induced liver fibrosis [140]. Moreover, 444 microbiota-derived metabolites draining into the liver as a result of increased gut 445 permeability activate intrahepatic B cells during NASH [139].

446 B2 cells mature towards IgG producing plasma cells, that promote the presentation of 447 oxidative stress-derived antigens to CD4<sup>+</sup> T cells and support NASH progression [135]. IgA 448 producing plasma cells also contribute to NASH progression, as mice lacking IgA developed 449 less liver injury and fibrosis upon a HFD [141]. Moreover, B cell-harboring, but antibody-450 deficient mice (IgMi mice) fed a high fat diet were protected from the development of 451 hepatic steatosis and inflammation [142], suggesting a role for 'pathogenic' antibodies. 452 Altogether, while hepatic B cell infiltration in NASH is established, the exact activation 453 mechanisms and effector functions are yet to be determined.

454

#### 455 Concluding remarks; an integrated and perspective view of immune dysregulation in NASH

456 NAFL and its progression towards NASH are closely associated with environmental factors, 457 such as overnutrition, and with dysregulation of energy metabolism, such as insulin 458 resistance and obesity. Therefore, dietary and lifestyle interventions are the primary strategy 459 to reduce the prevalence of this most common liver disease. Weight loss improves hepatic 460 steatosis, and there is evidence that diets low in saturated and high in monounsaturated fats 461 and dietary fiber (Mediterranean diet) are beneficial in NAFLD [10,143]. Lowering hepatic 462 steatosis also decreases the risk for progression towards NASH. The fact that bariatric 463 surgery and subsequent great and sustained weight loss can reverse pathological liver 464 features in all stages of NAFLD, including fibrosis [6], proves that energy balance control is 465 beneficial in NAFLD. Still, pharmacological therapies are eagerly awaited and several clinical 466 trials are running to evaluate the effectiveness of different pharmacological targets on the 467 reversal of NASH and/or fibrosis, assessing histological steatosis, hepatocyte ballooning, 468 lobular inflammation and fibrosis stage.

469 Inflammation is considered a key element of disease progression. The presence of a wide 470 diversity of immune cells in NAFLD livers and their emerging roles in the progression to 471 NASH makes the hepatic immune compartment an attractive target for therapeutic 472 approaches. However, the complexity of different immune cell subsets in the sequential 473 NAFL/NASH stages, and the knowledge gap about cell-cell interactions modifying disease 474 progression, complicates the development of new therapies. An integration of the current 475 knowledge will highlight unanswered questions on the role of immune cells in NASH, which 476 need to be investigated in the future to develop specific immune-modulatory therapeutics 477 for NAFLD (Figure 5, Outstanding questions).

478 Accumulation of hepatic fat (steatosis), as occurs in energy substrate overload conditions 479 such as diabetes, induces hepatocyte (mitochondrial, oxidative and ER) stress, which

480 promotes the progression of NAFL towards NASH. Due to the altered metabolic 481 environment, these damaged, necrotic or apoptotic hepatocytes initially induce the 482 recruitment of monocytes, via the CCL2/CCR2 axis or other, yet unknown, metabolic and 483 tissue environmental signals. The recruited monocyte-derived macrophages replace resident 484 KCs, whose abundance decreases due to impaired self-renewal. Phenotypically diverse 485 macrophage populations are present in NASH, but how dysregulation of the hepatic 486 macrophage compartment impacts NASH development is yet unknown. Importantly, 487 macrophages are the most abundant hepatic immune cells, but the impact of their altered 488 phenotype on the immune response in NASH still remains to be investigated. It is tempting 489 to speculate that alterations in macrophage subtypes affect antigen presentation, and/or DC 490 and T cell function and phenotype, which are modified in NASH. DC abundance increases in 491 NASH livers, whereas the cDC1/cDC2 ratio decreases. The exact mechanism behind the 492 altered cDC1/cDC2 ratio, as well as its effect on CD8<sup>+</sup> T cell activation and the function of 493 cDC2 in NASH are still unknown. However, cDC1 cells interact with CD8<sup>+</sup> T cells in NASH, 494 enhancing their inflammatory signature. Moreover, antigen presentation by DCs contributes 495 to the increase in (proinflammatory) Th1 and Th17 CD4<sup>+</sup> T cells. As DCs are important 496 hepatic antigen presenting cells, the impact of the altered cDC1/cDC2 ratio in NASH 497 development awaits further investigation. Alterations in CD4<sup>+</sup> T cell abundance may 498 subsequently affect the activation B cells, whose abundance is increased in NASH livers. In 499 turn, activated B cells secrete proinflammatory cytokines, such as TNF- $\alpha$  and IL-6, as well as 500 IgA and IgG, and recruit T cells. The exact role of B cells in NASH development, the potential 501 differential role of various B cell subsets, and the possible antigen specificity in NASH remain 502 to be investigated. In a NASH environment, CD8<sup>+</sup> T cells acquire the ability to kill 503 hepatocytes, and to activate HSC, hence likely enhancing fibrogenesis. Moreover, their

504 synergistic interaction with NKT cells also promotes hepatic inflammation and fibrosis. Of 505 note, NKT cell abundance is increased in NASH, probably due to IL-15 and CXCL16 secreted 506 by macrophages. LIGHT secretion by NKT cells further enhances hepatocyte lipid 507 accumulation, thus further promoting hepatic steatosis and contributing to NAFLD 508 progression. The cellular interaction between NKT cells and hepatocytes shows integrated 509 communication between parenchymal cells and the immune compartment. As described, 510 major hepatic non-immune cell populations do play an important role in hepatic immunity. 511 This role is fairly well documented at metabolic homeostasis, but information in a 512 metabolically altered context is largely lacking. Further in depth understanding of the 513 important, complex roles of both hepatic immune cells and parenchymal cells in NAFLD 514 progression is necessary for a better understanding of the pathophysiology of this disease 515 and the subsequent development of effective therapeutic strategies.

516

#### 517 Targeting cDC-T cell interactions: an immune based therapeutic perspective in NASH.

518 Major recent publications [18,77,109,112] have highlighted the key association of cDCs and 519 CD8<sup>+</sup> T cells upon progression of NAFL to NASH. While aberrant activation of CD8<sup>+</sup> T cells and 520 development of an auto-aggressive exhausted phenotype appeared independent of antigen 521 presentation, recent data from mice fed a MCD diet to induce NASH show that (mature or 522 migratory) cDC1 and CD8<sup>+</sup> T cells physically interact in liver-draining lymph nodes [77]. Since 523 physical interactions are a prerequisite for antigen presentation, it is likely that 524 (conventional) antigen presentation to  $CD8^+$  T cells takes place and plays a yet to be 525 established role in NASH development. In line, cDC1 depletion significantly decreased NASH development, and immune cell infiltration, suggesting a functional role for these cells in 526 527 NASH pathology. Finally, indoleamine 2,3-dioxygenase 1 (IDO1) expressing cDC1 cells

528 correlated with a prognostic liver signature of NAFLD progression. Inhibition of IDO1, the 529 rate-limiting enzyme that converts L-tryptophan to L-kynurenine, in an *in vitro* system 530 decreased the expression of several of the prognostic NAFLD liver signature genes [144]. 531 While the molecular identification of (a) NASH-specific antigen(s) is awaiting, these data 532 strongly suggest that blocking cDC-CD8<sup>+</sup> T cell interactions and thus antigen presentation 533 might represent a promising therapeutic strategy in NASH. Moreover, identification of 534 NASH-specific antigens could open new options for antigen-specific based therapies.

535

#### 536 Acknowledgements

537 This work was supported in part by grants from ANR (EGID ANR-10-LABX-0046, ANR-16-538 RHUS-0006 PreciNASH and ANR-18-CE15-0024-03 (NASHILCCD8)) (to BS & DD). BS is a 539 recipient of an Advanced European Research Council (ERC) grant (number 694717). 540 References

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983		

984 Text boxes

#### 985 **Box 1 – Hepatocytes exert an immune function at homeostasis**

986 While most antigens in the liver are taken up and processed by non-parenchymal APCs, 987 parenchymal cells also possess antigen presentation capacities. Hepatocytes are lined by 988 LSECs, which provide an hepatic barrier to protect the hepatocytes against portal-delivered 989 gut-derived pathogens. However, hepatocytes are accessible for naïve CD8<sup>+</sup> T lymphocytes 990 via T cell cytoplasmic extensions penetrating the endothelial fenestrations that perforate the 991 LSECs [145,146]. This is of importance for immune surveillance and, for example, for the 992 detection of Hepatitis B Virus-infected hepatocytes by virus-specific CD8<sup>+</sup> T cells [146]. 993 Hepatocytes constitutively express MHC-I molecules allowing them to cross-present antigens 994 and to prime naïve CD8<sup>+</sup> T cells directly [147], or *via* LSEC-mediated cross-presentation [148]. In a healthy liver, hepatocyte activation of CD8<sup>+</sup> T cells generally leads to an abortive 995 996 immune response and early T cell apoptosis [149], maintaining liver tolerance.

997

#### 998 Box 2 – LSEC, cholangiocytes, and HSC act as antigen presenting cells at homeostasis

999 Liver sinusoidal endothelial cells (LSECs) are, contrary to hepatocytes, in direct contact with 1000 the blood. Their numerous fenestrae and absence of basal membrane allow efficient 1001 filtration from the sinusoidal lumen to the space of Disse [150]. LSECs play an important role 1002 in the clearance of circulating microorganisms [151,152] and molecules [153], as well as in 1003 mediating immune tolerance [154] under physiological conditions. Antigens that are taken 1004 up and processed by LSECs can be presented via MHC-II to CD4<sup>+</sup> T cells, and via MHC-I to 1005 naïve CD8<sup>+</sup> T cells. Priming of naïve CD4<sup>+</sup> T cells mainly induces the development of 1006 CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> Tregs [155], which is an important mechanism for maintaining hepatic 1007 tolerance. Antigen presentation by LSECs to CD8<sup>+</sup> T cells results – at low antigen

1008 concentrations – in the downregulation of IFN $\gamma$  and IL-2 cytokine production by CD8<sup>+</sup> T cells, 1009 leading to antigen-specific T cell tolerance [156,157].

1010 Hepatic stellate cells (HSCs) constitute 8-10% of total liver cells. HSCs are located at the 1011 space of Disse, between the hepatocytes and the LSECs. In a healthy liver, HSCs are 1012 quiescent, serve as the primary storage site for vitamin A, and are essential in the regulation 1013 of retinoic acid homeostasis. Moreover, they are the main collagen- and extracellular matrix 1014 producing cells in liver fibrosis. Recent studies have also identified a role of HSCs in hepatic 1015 immunology. Antigen uptake and presentation by HSCs in mice is low [158], although they 1016 express MHC-II, costimulatory molecules such as CD40 and CD80 [159,160], and they 1017 produce various growth factors, cytokines [161] and chemokines [162]. A recent study using 1018 single-cell RNA sequencing identified two HSC subpopulations in human liver with one of 1019 them displaying a gene signature that is reminiscent of antigen-presenting cells [163]. 1020 Although it has been suggested that HSCs can present antigens to T cells [159,164], this 1021 function is still controversial. However, HSCs do contribute to hepatic immune tolerance via 1022 indirect priming of DC-activated Tregs [165,166]. Treg induction and Th17 inhibition is 1023 mediated through the release of retinoic acid [167] during priming of T cells by DCs [165]. At 1024 homeostasis HSCs thus regulate hepatic immune reactivity mainly indirectly by modulating 1025 the outcome of T cell activation by other APCs.

1026 *Cholangiocytes*, or biliary epithelial cells (BECs), line the bile duct and are constantly exposed 1027 to bile content. Apart from their function in the regulation of bile flow, composition, and pH, 1028 they also play a role in antigen presentation. On the apical side, BECs are exposed to bile and 1029 (lipid) antigens present in bile. On the basolateral side, BECs are in close contact with hepatic 1030 immune cells. Disorders associated with immune damage of the bile ducts, such as primary 1031 biliary cholangitis (PBC) [168,169], are associated with increased basolateral MHC-II

1032 expression by BECs, although in vitro assays failed to demonstrate CD4<sup>+</sup> T cell-activation 1033 [170]. BECs also constitutively express MHC-I molecules [171] and are capable of presenting 1034 antigens via MR1 [131] and CD1d [172] to unconventional T cells such as MAIT and NKT cells. 1035 NKT cells react to lipid antigens presented by CD1d expressed on the basolateral side, and in 1036 vitro studies have shown that murine and human cholangiocyte cell lines are able to activate 1037 NKT cells upon exposure to lipids and endogenous antigens [172]. Interestingly, CD1d 1038 expression was reported to be a characteristic of healthy BECs, being downregulated in PBC 1039 and alcoholic cirrhosis. This suggests that CD1d-dependent antigen presentation and the 1040 potential ensuing activation of NKT cells is important to maintain immune tolerance in a 1041 healthy liver. However, more studies are needed to fully investigate the role of BECs in 1042 hepatic immune homeostasis.

1043

#### **Box 3 – Microbial metabolites trigger the immune response**

1045 The gut microbiota is increasingly recognized to contribute to NAFLD development and 1046 progression towards fibrosis [173,174]. Diet-induced microbial dysbiosis damages the gut-1047 vasculature barrier function [175]. Bacterial translocation into the blood and subsequently in 1048 the liver leads to hepatic inflammation and NASH development [175,176]. Moreover, 1049 microbial-derived compounds, such as short-chain fatty acids, branched-chain amino acids, 1050 and secondary bile acids, affect the pathogenesis of NAFLD [177]. Interestingly, three recent 1051 studies show that (microbial) metabolites of bile acids, which are produced from hepatic 1052 cholesterol, control the adaptive immune response in the gut [34–36] (Figure 2). A bile acid 1053 metabolite library screen for T cell modulatory effects identified two derivatives of 1054 lithocholic acid (LCA) which affect Th17 and Treg differentiation [35]. 3-oxoLCA, on the one 1055 hand, inhibits Th17 differentiation by physically interacting with the transcription factor

1056 retinoic acid receptor-related orphan receptor yt (RORyt). RORyt is a key transcription factor 1057 required for induction of IL-17 transcription [178]. 3-oxoLCA inhibits its transcriptional 1058 activity and thus Th17 differentiation. Conversely, isoalloLCA promotes Treg differentiation 1059 by enhancing mitochondrial ROS production and increasing histone acetylation at the FoxP3 1060 promoter region. Overall, the LCA metabolites 3-oxoLCA and isoalloLCA exert anti-1061 inflammatory actions by directly affecting CD4<sup>+</sup> T cell differentiation [179]. Similarly, Song et 1062 al. reported that bile acids induce (immunosuppressive) RORyt-expressing Tregs residing in 1063 the lamina propria of the colon [36]. The authors speculate that the most abundant 1064 intestinal primary bile acid species, such as cholic acid and chenodeoxycholic acid, act via the 1065 vitamin D receptor (VDR) to maintain a healthy colonic RORyt<sup>+</sup> Treg pool (Figure 2). Recently, 1066 the secondary bile acid isoDCA was found to indirectly induce peripheral Tregs in the colon 1067 by inhibiting FXR activity in DCs [34]. Collectively, these studies identify an immune 1068 suppressive role for bile acid metabolites in the control of the adaptive immune response in 1069 the colon. Although these studies are not performed in a NASH context and do not directly 1070 relate to the hepatic immune system, they underline the important immune regulatory 1071 function of bile acids (and metabolites). NASH is associated with changes in bile acid 1072 metabolism and pool composition and altered plasma bile acid levels and profiles [37]. 1073 However, so far studies about the effect of altered bile acid profiles or specific bile acid 1074 species on hepatic immunity and NASH development are lacking.

1075

#### 1076 Box 4 - Macrophages in NASH: beyond the M1/M2 paradigm

1077 As for macrophages in other tissues, in the past, KCs were subdivided into M1 (classically 1078 activated, immunogenic) and M2 (alternatively activated, tolerogenic) cells. However, it is 1079 increasingly recognized that KCs exhibit a high plasticity, are highly dynamic and can

1080 differentiate into a wide range of polarization or activation states. Depending on the 1081 context, KCs exhibit specific metabolic signatures [180] and M1 and M2 KCs only represent 1082 the extreme phenotypes of a wide spectrum.

1083 Upon Western diet feeding, 4 abundant macrophage populations were identified in the liver, 1084 including resident KCs and 3 subsets of recruited macrophages: monocyte-derived KCs, 1085 macrophages becoming monocyte-derived KCs (termed pre-moKCs), and hepatic lipidassociated macrophages (LAMs). In agreement with other studies [15], resident KCs were 1086 1087 gradually lost during NAFLD progression and replaced by monocyte-derived KCs. 1088 Interestingly, monocyte-derived KCs resembled resident KCs, while the transcriptome of 1089 hepatic LAMs largely differed from that of resident KCs. LAMs expressed lower levels of 1090 immune activation-associated genes, such as II18, Fpr2, Tlr4 and CD38, and had the distinct 1091 ability to metabolize lipids. LAMs were only identified in later stages of NAFLD and express 1092 Spp1 (next to Trem2, Cd9, Cd63, and Gpnmb), encoding the chemokine osteopontin. 1093 Osteopontin was found to be a good biomarker of NASH [181] and is thought to contribute 1094 to fibrosis [182]. Moreover, Daemen et al. showed that LAMs preferentially localize to HSC 1095 expansion regions, where they aggregate into hepatic crown-like structures (hCLS) [15], 1096 suggesting a role for hepatic LAMs in NASH development and liver fibrosis. Another recent 1097 study identified low numbers of LAMs already in periportal regions of healthy livers, and 1098 showed accumulation of more mature LAMs in pericentral regions in steatotic mouse livers 1099 [42]. The exact function of LAMs in the NASH liver is as yet unclear.

A more detailed sc-RNAseq analysis based on transcriptional differences identified 5 macrophage clusters in mice fed control or NASH diets [16]: healthy KCs, NASH KCs, recruited macrophages, LY6C<sup>hi</sup> recruited macrophages and LY6C<sup>lo</sup> recruited macrophages (Figure 3). NASH KCs and recruited macrophages were predominantly found in NASH livers,

while healthy livers consisted almost entirely of healthy KCs. Both LY6C<sup>hi&lo</sup> recruited 1104 1105 macrophages were also predominantly found in NASH livers and differed in gene expression 1106 and zonation from recruited macrophages. While recruited macrophages were mainly located in the liver sinusoid, in contact with the LSECs, LY6C<sup>hi&lo</sup> recruited macrophages 1107 1108 reside proximal to the large portal and central vein vessels in contact with vascular 1109 endothelium. These observations underline that disease-promoting metabolic and tissue 1110 environmental signals can instruct macrophages to acquire distinct gene expression programs. Mechanistically, MCD diet-induced NASH was associated with impaired KC self-1111 1112 renewal and disease progression with increased recruitment of monocyte-derived KCs [66]. 1113 Moreover, death of NASH KCs, possibly induced by increased ER stress, modifies the KC 1114 niche and enables repopulation by monocyte-derived KCs. Monocyte-derived KCs are 1115 enriched in genes involved in cellular stress and activation, limited hepatic triglyceride 1116 storage and increased inflammation.

1117

#### 1118 Box 5 – Hepatic innate lymphoid cells in NAFLD: a controversial role

Liver innate lymphoid cells mostly include natural killer (NK) cells and closely-related group 1 lymphoid cells (ILC1s). They both lack antigen-specific receptors and produce the signature cytokine IFNγ [114,183] in response to IL-12, IL-15, or IL-18 secreted by DCs, macrophages and T lymphocytes [184,185]. Mouse hepatic NK, unlike ILC1, require the transcription factor EOMES for their development, while ILC1 express the transcription factor Zpf683. Moreover, the development of hepatic ILC1s from its liver-resident progenitor cells is promoted by IFNγ production by ILC1s themselves [186].

1126 In the metabolically healthy liver, NK cells rapidly recognize and kill viral-infected or tumor-1127 transformed cells in the absence of specific immunization by releasing perforin and

granzymes [185]. Hepatic ILC1s exhibit cytotoxicity mediated through granzyme A [187] and B, perforin, TRAIL, and FasL [188]. By expressing inhibitory receptors, such as PD-1 (programmed cell death-1) and lymphocyte-activation protein-3 (LAG-3), ILC1s regulate the anti-viral activity of hepatic T cells [189], thereby preventing hepatic virus infection.

1132 Conflicting data exist on changes in ILC1 and NK cell numbers and proportions in blood and 1133 livers of NAFL/NASH patients [190-192] and in livers of mouse NAFLD models [193-196], 1134 probably due to differences in disease stage and the use of different NASH-inducing 1135 protocols. On the one hand, NK cells have a detrimental role in NAFL to NASH progression as 1136 TRAIL-producing NK cells were shown to promote a pro-inflammatory environment at an 1137 early NAFLD stage [197]. On the other hand, NK cells were reported to exert anti-fibrotic 1138 effects [193,195] and to actively contribute to limiting NASH-associated tissue damage and 1139 fibrosis by killing activated HSCs, the main collagen producing cells in fibrosis [198]. A better 1140 characterization of (tissue resident) NK cells and the seemingly controversial role of NK cells 1141 as contributors or modulators of NASH progression should be further investigated.

1142 Figure legends

1143 *Figure 1.* Hepatic immunity in healthy liver.

At homeostasis the liver exhibits an immune tolerant state, while it also allows efficient responses against infectious agents. LSECs prime naïve CD4<sup>+</sup> T cells and induce Treg differentiation. KCs are important to maintain immune homeostasis by clearing common gut-derived antigens. CD8<sup>+</sup> effector T cells scan and recognize MHC-I/peptide complexes presented by hepatocytes to respond to intracellular pathogens and damaged cells.

BEC; biliary epithelial cells, MHC-I/II; major histocompatibility complex, class I/II, TCR; T cell receptor, HSC; hepatic stellate cell, KC; Kupffer cell, neutro; neutrophils, Treg; regulatory T cell, CD4; CD4<sup>+</sup> T cell, CD8; CD8<sup>+</sup> T cell, NKT; natural killer T cell, pDC; plasmacytoid dendritic cell, cDC; conventional dendritic cell.

1153

*Figure 2.* The immune suppressive role of bile acids and their metabolites affect T cell
homeostasis in the colonic lamina propria and control the adaptive immune response.

The most abundant primary bile acids (CA and CDCA) maintain a healthy colonic RORyt Treg pool *via* VDR signaling. 3-oxo-LCA, which is formed by the gut microbiota, inhibits Th17 differentiation by physical interaction with RORyt. IsoalloLCA promotes Treg differentiation by enhancing mitoROS and FoxP3 acetylation. isoDCA indirectly induces pTreg generation by inhibiting FXR transcriptional activity in DCs.

CA; cholic acid, CDCA; chenodeoxycholic acid, 3-oxo-LCA; 3-oxo-litocholic acid, isoalloLCA;
isoallolitocholic acid, isoDCA; isodeoxycholic acid, mitoROS; mitochondrial reactive oxygen
species, VDR; vitamin D receptor, RORγt; retinoid-related orphan receptor γt, FoxP3;
forkhead box P3, FXR; farnesoid X receptor, Treg; regulatory T cell, Th17; T helper 17, pTreg;
peripheral Treg.

1166

*Figure 3.* Kupffer cell populations in healthy and NASH livers, according to Seidman *et al.* [16]
and Bonnardel *et al.* [43]

1169 KC-H cells are enriched in healthy liver. KC-H loss upon NASH-induced liver injury, most 1170 probably due to apoptosis, induces TNFa and IL-1-dependent receptor activation of HSCs 1171 and LSECs. VCAM, SEL-E, and CCL2 upregulation results in monocyte recruitment. LSEC DLL4 1172 expression drives monocyte differentiation and supports niche specialization via the DLL4-1173 Notch pathway. KC-hepatocyte interactions induce ID3 expression, LSECs and HSCs induce 1174 LXRa expression. 4 macrophage populations are enriched in NASH liver: KC-N, KN-RM (both localized in liver sinusoid), Ly6C<sup>hi</sup>-RM, and Ly6C<sup>lo</sup>-RM (both localized around portal and 1175 1176 central vein vasculature).

HSC; hepatic stellate cell, TNFα; tumor necrosis factor α, IL-1; interleukin-1, VCAM; vascular
cell adhesion molecule, SEL-E; selectin-E, DLL4; delta like canonical notch ligand 4, LXRα; liver
X receptor alpha, ID3; inhibitor of differentiation 3, KC-H; healthy Kupffer cells, KC-N; NASHKupffer cells, KN-RM; recruited macrophages, Ly6C<sup>hi</sup>-RM; Ly6C<sup>hi</sup> recruited macrophages,
Ly6C<sup>lo</sup>-RM; Ly6C<sup>lo</sup> recruited macrophages.

1182

1183 *Figure 4.* Cytotoxic CD8<sup>+</sup> T cells in NASH.

(a) IL-15-driven transcriptional (re)programming and metabolic stimuli promote autoaggressive CXCR6<sup>+</sup> CD8+ T cells displaying enhanced expression of PD1 and GZMB. Autoaggression is MHC-I-independent, as the purinergic receptor P2X7 responds to ATP released
from dying hepatocytes. Calcium influx is enhanced to initiate auto-aggression. (b) GZMA
and perforin expressing CD8<sup>+</sup> T cells associate with NASH. The link between the altered
cDC1/cDC2 ratio and antigen dependent CD8<sup>+</sup> T cell activation remains to be investigated.

GZMA; granzyme A, GZMB; granzyme B, PD1; programmed cell death protein 1, CXCR6; C-XC motif chemokine receptor 6, IL-15; interleukin 15, FOXO1; forkhead box O1, P2X7;
purinergic receptor P2X 7, MHC-I; major histocompatibility complex, class I, TCR; T cell
receptor, cDC1; conventional dendritic cells 1, cDC2; conventional dendritic cells 2.

1194

1195 *Figure 5.* Immune dysregulation in NASH liver.

1196 Macrophages are recruited via the CCL2/CCR2 axis or by other (environmental) signals and replace resident KCs. DC abundance increases in NASH livers and cDC1 cells enhance the 1197 1198 inflammatory signature of CD8<sup>+</sup> T cells. The cDC1/cDC2 ratio decreases, but its effect on 1199 CD4<sup>+</sup> and CD8<sup>+</sup> T cell activation and the function of cDC2 in NASH is unknown. B cells secrete 1200 proinflammatory cytokines, such as TNFa and IL-6, as well as IgA, although the exact role of B 1201 cells in NASH remains to be investigated. CD8<sup>+</sup> T cells in a NASH environment acquire the 1202 ability to kill hepatocytes, and to induce HSC activation, thereby enhancing the development 1203 of fibrosis. The synergistic action of CD8<sup>+</sup> T cells with NKT cells might lead to NASH 1204 progression. LIGHT secretion by NKT cells enhances hepatocyte lipid accumulation, thus 1205 promoting hepatic steatosis, while the secretion of proinflammatory cytokines, such as IFNy, 1206 may recruit and activate other immune cell types, enhancing immune dysregulation and 1207 NASH progression. NASH-related metabolites, such as excess glucose and fatty acids and 1208 increase in plasma bile acid levels also affects phenotype and function of the hepatic 1209 immune compartment.

HSC; hepatic stellate cells, CCL2; C-C motif chemokine ligand 2, CCR2; C-C motif chemokine
receptor 2, ATP; adenosinetriphosphate, NKT; natural killer T cells, IFNγ; interferon γ, IL;
interleukin, MPO; myeloperoxidase, NETosis; NET (neutrophil extracellular traps) formation,
CXCR6; C-X-C motif chemokine receptor 6, cDC1; classical type 1 DCs, cDC2; classical type 2

- 1214 DCs, DCs; dendritic cells, moKC; monocyte-derived Kupffer cells, Th1; T helper 1, Th17; T
- 1215 helper 17, IgA; immunoglobuline A, TNFα; tumor necrosis factor α, ER stress; endoplasmic
- 1216 reticulum stress, KC; Kupffer cells, FA; fatty acids, chol; cholesterol, BA; bile acids.
- 1217
- 1218 Figures are created with BioRender.com



- Efficient response against infectious agents
- T cell tolerance induction
- Regulatory T cell development







	302	2002	20 c	202	
Cell population	KC-H (healthy Kupffer cells)	KC-N (NASH-Kupffer cells)	KN-RM (recruited macrophage occupying the KC-N nice)	Ly6C <sup>hi</sup> -RM (Ly6C <sup>hi</sup> recruited macrophage)	Ly6C <sup>lo</sup> -RM (Ly6C <sup>lo</sup> recruited macrophage)
Enriched in	Healthy liver	NASH liver	NASH liver	NASH liver	NASH liver
Localization	Liver sinusoid	Liver sinusoid	Liver sinusoid	Around portal and central vein vasculature	Around portal and central vein vasculature
Identity genes	F4/80, Maf, Cd163, Clec4f, Timd4	F4/80, Maf, Clec4f, Cd5l, Fabp7, Ccl24	F4/80, Maf, Clec4f Cd63, Cdh5	Ly6c2, Ccr2, Itgam	Cd209a, Cd7, Itgam



NASH-related metabolites (FA/glucose/chol/BA)



- 1 Highlights

3	•	The altered metabolic environment in non-alcoholic fatty liver disease (NAFLD) promotes
4		hepatocyte metabolic dysfunction and cellular stress, affecting the highly diverse hepatic
5		immune compartment.
6	•	Resident macrophages are activated in the early phase of liver injury and disease-
7		promoting metabolic and tissue environmental signals alter their gene expression
8		programs resulting in an identity loss.
9	•	In a NASH environment, cDC and CD8 $^{\star}$ T cell populations are altered. CD8 cells with an
10		"exhausted phenotype" are activated and kill hepatocytes, hence promoting tissue
11		damage.
12	•	The phenotype and function of hepatic immune cells is reshaped during the progression
13		of NAFL to non-alcoholic steatohepatitis (NASH), but the role of the cellular interactions
14		in disease progression is only starting to be uncovered.

- 1 Outstanding questions
- 2

3 1. How does the dysregulation of the macrophage compartment impact NASH4 development?

- 5 2. Does an altered cDC1/cDC2 ratio rather than absolute numbers play a role in NASH?
- 6 3. Is antigen-dependent activation of CD8 T lymphocytes playing a role in NASH?
- 7 4. Do various B cell subsets play a differential role in NASH development?