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1 **Healthy diet associated with better asthma outcomes in elderly women of the French Asthma-E3N**  
2 **study**

3

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18

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24 **Abstract**

25 **Purpose:** The impact of a healthy diet on asthma prevention and management, particularly among  
26 elderly women, remains poorly understood. We investigated whether a healthy diet would be  
27 associated with fewer asthma symptoms, and, among women with asthma, with reduced  
28 uncontrolled asthma and metabolic-related multimorbidity.

29 **Methods:** We included 12,991 elderly women (mean age=63years) from the Asthma-E3N study, a  
30 nested case-control study within the French E3N cohort. Negative binomial regressions were used to  
31 analyse associations between a healthy diet (evaluated by the Alternate Healthy Eating Index-2010  
32 (AHEI-2010)) and a validated asthma symptom score, and logistic regressions to analyse associations  
33 between the AHEI-2010 with the asthma control test and multimorbidity profiles previously  
34 identified by clustering methods on medications used.

35 **Results:** After adjustment for potential confounders, a linear inverse association was found between  
36 the AHEI-2010 score and the asthma symptom score (mean score ratio (95% CI)=0.82 (0.75-0.90) for  
37 the highest versus lowest quintile; p for trend<0.0001). In addition, women in the highest versus  
38 lowest AHEI-2010 tertile were at a lower risk to belong to the "Predominantly metabolic  
39 multimorbidity-related medications profile" compared to the "Few multimorbidity-related  
40 medications" profile (OR=0.80 (0.63-1.00) for tertile 3; p for trend=0.05;n=3,474).

41 **Conclusion:** Our results show that a healthy dietary intake could play an important role in the  
42 prevention and management of asthma over the life course.

43

44 **Key words**

45 Alternate Healthy Eating Index-2010 (AHEI-2010); Asthma symptoms score; Asthma control;  
46 Metabolic multimorbidity-related medications; Body mass Index; Asthma prevention; Asthma  
47 management

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58

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63

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65 technical processes may be made by contacting the corresponding author at marie-  
66 christine.boutron@gustaveroussy.fr

67

68 **Code availability:** Statistical Analysis Software (SAS) were used.

69

70 **Authors' contributions:** WAH, MCB and RV designed and conducted the research; WAH, AB, SC, OD,  
71 NL, NLM, BL, CM, VS, MCB and RV provided essential reagents or provided essential materials; WAH  
72 and RV analysed data or performed statistical analysis; WAH, MCB and RV wrote the manuscript and  
73 had primary responsibility for final content; WAH, AB, OD, VS, MCB and RV were involved in  
74 interpreting the results and editing the manuscript for important intellectual content; All authors  
75 read, edited and approved the final manuscript.

76

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83

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## 87 **Introduction**

88 As recently underlined in the Lancet[1], given the immense societal and individual burden of asthma,  
89 there is an urgent need to further develop novel strategies to limit the disease and its consequences.  
90 Asthma control, the main objective of asthma management, remains suboptimal in roughly one  
91 asthma patient out of two, with even higher rates among women[2, 3]. In this context, investigating  
92 the role of modifiable lifestyle factors such as diet is key for the primary and secondary prevention of  
93 this highly prevalent disease. While there are promising findings for possible dietary intervention to  
94 reduce asthma in children[4], the impact of diet on asthma in adults, and even more so among the  
95 elderly, remains largely unknown.

96 Among adults over 65 years, the prevalence of asthma varied from 4 to 13% [5], a rate likely  
97 underestimated as it is frequently underdiagnosed in this age group[6]. The burden of asthma is  
98 more significant in the elderly than in their younger counterparts[7, 8] with more hospitalisations[6,  
99 8], and worse health-related quality of life[5, 6, 8] and asthma control[6]. Asthma in the elderly is a  
100 phenotype of interest, especially in women because asthma tends to be more prevalent (9.9% vs  
101 6.2%) and more severe in women than in men [9]. Obesity is now an established risk factor for  
102 asthma[10, 11], with recent studies supporting the hypothesis that obesity is causally related to  
103 asthma[12], and with a higher risk in elderly women as compared to men [13]. Furthermore,  
104 multimorbidity is common in asthma patients, especially in the elderly[13]. Besides obesity, allergic  
105 rhinitis, chronic obstructive pulmonary disease (COPD), gastroesophageal reflux, and sleep apnoea  
106 syndrome that are the most common asthma-related multimorbidities, recent studies have  
107 suggested that other chronic conditions such as cardiovascular diseases (CVD), metabolic syndrome,  
108 or type 2 diabetes mellitus are also involved[14].

109 Although published studies on the diet–asthma association have traditionally focused on specific  
110 nutrients or foods, it is important in terms of dietary recommendations to emphasize overall dietary  
111 patterns rather than specific foods and nutrients to account for synergistic effects on health of foods  
112 and nutrients within the overall diet[15]. Several dietary scores have been proposed to globally  
113 assess diet quality and among them, the Alternate Healthy Eating Index-2010 (AHEI-2010) score, that  
114 reflects a healthy diet, has been associated with a lower risk of chronic diseases[16]. Among middle-  
115 aged women and men, a better adherence to a healthy diet evaluated by the AHEI-2010 was  
116 associated with a lower asthma symptom score[17, 18] and better asthma control[18]. Being a  
117 continuous measure of asthma, the asthma symptom score that has been associated with new onset  
118 of asthma, provides more power to detect risk factors for asthma as compared to a dichotomous  
119 definition[19]. Up to now, the role of a healthy diet on asthma symptoms, asthma control, and

120 asthma-related metabolic and cardiovascular morbidities, which are commonly associated with both  
121 asthma[20] and an unhealthy diet[21], remains unknown among elderly women.

122 In a large study among elderly women, we aimed to investigate 1) the association between a healthy  
123 diet assessed with the AHEI-2010, and the asthma symptom score and, 2) among patients with  
124 asthma, the association of this dietary score with uncontrolled asthma and with specific metabolic  
125 and cardiovascular multimorbidity-related medications profile.

## 126 **Methods**

### 127 **Study population**

128 The E3N study (Etude Epidémiologique auprès des femmes de la Mutuelle Générale de l'Éducation  
129 Nationale [MGEN]) is a prospective cohort which was initiated in 1990 to investigate risk factors of  
130 major non-communicable diseases in among 98,997 women affiliated to the a French national health  
131 insurance plan covering mostly teachers [22]. Since 1990, information on lifestyle and medical history  
132 has been collected approximately every 2 years by means of self-administered questionnaires.

133 Current analyses were conducted on women participating in the Asthma-E3N study, a nested case–  
134 control study on asthma within the E3N study, conducted in 2011. Briefly, 7,100 cases, e.g., women  
135 who gave a positive answer to the single question “Have you ever had an asthma attack?” in the  
136 main questionnaires at least once between 1992 and 2008, and 14,200 age-matched women without  
137 asthma, i.e., women who never reported any asthma attack between 1992 and 2008. Out of the  
138 21,300 women invited to participate to the Asthma-E3N study, 19,404 women responded to the  
139 respiratory health questionnaire (91% response rate) (**Figure 1**). We excluded women who did not  
140 complete the food questionnaire in 1993 or in 2005, or had an implausibly high (top 1% of the ratio  
141 between energy intake and energy requirement (EIER)) or low (bottom 1% of the EIER ratio) total  
142 energy intake in 1993 or in 2005 (n=3,708). For the asthma symptom score, we further excluded  
143 women who did not answer to the asthma symptom questions (n=2,705). For “multimedication”  
144 profiles, we first selected women who reported “ever asthma” (n=3,474), and for asthma control, we  
145 further excluded women without current asthma (n=1,140). The analytic population included 12,991  
146 women for the asthma symptom score, 2,587 women for the asthma control, and 3,474 women for  
147 the “multimedication” profiles.

148 Comparison of excluded vs included participants is presented in **eTable 1**. Excluded participants  
149 because of missing dietary data (n=3,708) were older than included participants(n=12,991). After  
150 adjustment for age, excluded participants had a lower educational level, were less often married, and  
151 had more missing data for asthma outcomes, as compared to included women. When additionally  
152 excluding participants because of missing data for the asthma symptom score (n=2,705), excluded  
153 women were significantly older than included participants. After adjustment for age, excluded  
154 participants consumed slightly more energy intake, were less physically active, were more often  
155 current smokers, had a lower educational level, and were more often overweight or obese, as  
156 compared to included women.

157 The study protocol was approved by the French Institutional Ethics Committee, and all participants  
158 provided their written informed consents.

159 **Diet assessment**

160 We calculated the AHEI-2010 from two validated semi-quantitative food history questionnaires  
161 administered in 1993 and 2005. The first part of the questionnaire was semi-quantitative and  
162 assessed consumption frequencies and portion sizes for the eight potential daily meals (breakfast,  
163 morning snack, aperitif before lunch, lunch, afternoon snack, pre-dinner aperitif, dinner, and after  
164 dinner snack) for 66 foods or food groups. Frequency was quantified in eleven potential categories:  
165 never or less than once a month; 1, 2, or 3 times a month, and 1 to 7 times a week. To facilitate the  
166 estimation of portion sizes, a photo booklet was also sent [23]. The second part of the questionnaire  
167 was qualitative and allowed detailing the consumption of specific foods within the food groups  
168 mentioned in the first part of the questionnaire.

169 The AHEI-2010 has been previously described [16]. It includes 11 items: 6 items considered as  
170 beneficial (vegetables, fruits, whole grains, nuts and legumes, long-chain  $\Omega$ -3 fatty acids, and  
171 polyunsaturated fatty acids); 1 item for which moderate consumption is recommended (alcohol); and  
172 4 items for which avoidance or low consumption is considered best (sugar-sweetened beverages and  
173 fruit juices, red/processed meat, *trans* fat, and sodium). In our study, we calculated a modified AHEI-  
174 2010 including all items except *trans* fat, which was not available. The total score ranged from 0 to  
175 100, a higher score representing a healthier diet. When the sample size allowed it, we categorized  
176 the AHEI-2010 score in quintiles, otherwise in tertiles.

177

178 **Asthma outcome assessment**

179 The asthma symptom score has been previously proposed as a validated continuous measure of  
180 asthma in epidemiological studies[24, 25]. It is particularly relevant in epidemiological studies, as it  
181 can be used in participants both with and without asthma allowing integration of different asthma  
182 phenotypes and different levels of asthma prevention. It ranges from 0 to 5 according to the  
183 presence or absence of five respiratory symptoms during the past 12 months.

184 To assess asthma control, we used the asthma control test (ACT), a validated self-administered  
185 questionnaire based on five questions on activity limitations, frequency of symptoms, and frequency  
186 of use of quick-relief medication in the past 4 weeks[26]. The total ACT score ranges from 5 to 25 and  
187 we categorised asthma as controlled (ACT>19) versus uncontrolled ( ACT≤19)[26]. Due to the large  
188 sample size, we further distinguished participants with a score of 25 and participants with a score of  
189 20-24, as previously done [27]. Asthma control was therefore classified as: controlled asthma (ACT  
190 score =25), partly controlled asthma (ACT score between 20 and 24), and poorly controlled asthma  
191 (ACT score ≤19) [26].

192 Multimorbidity-related medication profiles in asthma were identified using latent class analysis (LCA)  
193 based on data from the exhaustive MGEN drug database, and personal characteristics (body mass  
194 index, sleep apnoea syndrome and smoking status), as previously described in detail[28]. Briefly,  
195 non-hospital-delivered drug classes were identified over the 2 years before the Asthma-E3N  
196 questionnaire from the exhaustive MGEN drug reimbursement database using the Anatomical  
197 Therapeutic Chemical (ATC) code. Latent class analyses (LCA), *i.e.* a data-driven approach, were  
198 performed to identify asthma groups characterised by specific multimorbidity-related medication  
199 profiles. Three profiles were identified: 1) the “Few multimorbidity-related medications” profile, 2)  
200 the “Predominantly allergic multimorbidity-related medications”, and 3) “Predominantly metabolic  
201 multimorbidity-related medications”.

202

### 203 **Covariates**

204 Self-administered questionnaires were used to collect data on socio-demographic and lifestyle  
205 characteristics. Energy intake was computed in 1993 and 2005 using a Food Composition Database  
206 derived from the French Information Center on Food Quality (CIQUAL) and expressed in  
207 kilocalories/day (kcal/day)[29]. Physical activity was assessed in 2005 using data from several  
208 questions on different activities[30] and expressed in metabolic equivalent of tasks (METs) per week  
209 (MET-hours/week). Smoking status, assessed in 2005, was categorized in 3 classes: never, former, or  
210 current smoker. Educational level (< high school diploma, high school to 2-level university, 3- to 4-  
211 level university,  $\geq$  5-level university) was collected in 1992. and marital status (married or not) was  
212 collected in 1990. Having farmer parents was collected in 2007[31].

213 Body Mass Index (BMI), based on self-reported current weight and height and expressed in kg/m<sup>2</sup>,  
214 was calculated in 2005 with the “last observation carried forward” method to take care of missing  
215 data, and analyzed as a continuous variable or three categories: <20 (low weight, 20–25 (normal  
216 weight) and  $\geq$ 25 kg/m<sup>2</sup> (overweight/obesity) using the WHO cut-off for overweight/obesity[32].

217

### 218 **Statistical analysis**

219 Since the asthma symptom score is a count outcome ranging from 0 to 5, with an excess of zero  
220 counts, the strength of the association between the average of the AHEI-2010 score (quintiles) and  
221 the asthma symptom score (count) was estimated by using negative binomial regression [33]  
222 expressed as mean score ratios (MSRs) and 95% confidence intervals (95% CI). Change in the asthma  
223 score is reported for an increase/decrease of 1 category (tertile or quintile) in the AHEI-2010 diet  
224 score. Our main model was adjusted for age, energy intake, leisure-time physical activity, smoking

225 status, education level, marital status, and having farmer parents. To account for the study case-  
226 control design, models were further adjusted for ever asthma; we also performed a stratified analysis  
227 on asthma status, and tested the interaction between quintiles of AHEI-2010 and ever asthma.

228 Among participants with asthma, associations between tertiles of the AHEI-2010 with uncontrolled  
229 asthma (binary outcome) and multimorbidity-related medications profiles (multicategorical  
230 outcome) were evaluated by binary logistic and multinomial logistic regressions adjusted for age,  
231 energy intake, leisure-time physical activity, smoking status, education level, marital status, and  
232 having farmer parents. To handle missing data on ACT (n=729), multiple imputation was performed,  
233 as previously reported[34]. The PROC MI SAS procedure was used to perform 20 imputations. We  
234 conducted several sensitivity analyses for ACT: 1) using non-imputed data, 2) considering asthma  
235 control in three categories (**eTable 2**), and 3) further adjusting for multimorbidity-related medication  
236 profiles.

237 As obesity is a major risk factor for asthma[35] and healthy diet directly affects obesity[36], it can be  
238 hypothesized that obesity acts as a mediator rather than a confounder in the diet-asthma  
239 association[37]; therefore, models were not adjusted for BMI. To evaluate effect modification by BMI  
240 in the diet-asthma association, we performed sensitivity analyses stratified on BMI and tested the  
241 statistical significance of the interaction term. In addition, we used generalized structural equation  
242 modeling (SEM), an exploratory approach that enables to test the existence of the hypothesized  
243 relationships (i.e. "paths") between diet, BMI, and the asthma symptom score (**eFigure 1**) and  
244 between diet, BMI, and asthma control (**eFigure 2**), while controlling for potential confounders[38],  
245 using the gsem command in STATA. The association of the BMI with the AHEI-2010 was obtained by  
246 linear regression model and measured by the difference in the expected BMI ( $\beta$ ) according to  
247 quintiles or tertiles of the AHEI-2010; associations between BMI and the AHEI-2010 with the asthma  
248 symptom score were obtained by negative binomial regression models, and with asthma control  
249 through logistic regression models. As obesity is one of the factors included in the LCA to derive the  
250 multimorbidity-related medication profiles, we did not stratify on BMI nor apply SEM for this  
251 outcome.

252 To account for potential residual confounding by smoking (never smokers, ex-smokers, current  
253 smokers), we also conducted stratified analyses on the smoking status, and tested the statistical  
254 significance of interaction term.

255 The test for trend across AHEI-2010 categories was calculated using quintile or tertile median values.  
256 All tests were two-sided and p-values <0.05 were considered statistically significant. Analyses were  
257 conducted using SAS version 9.4 (SAS Institute, Cary, NC, USA) or STATA version 14.

258 **Results**

259 *Participant characteristics*

260 Participant characteristics are shown in **table 1** according to quintiles of the AHEI-2010. Women were  
261 aged 63 years on average. Women in the highest quintile of the AHEI-2010 (i.e., healthier diet) were  
262 older than those in the lowest quintile (i.e., unhealthier diet). After adjustment for age, women in the  
263 highest quintile of the AHEI-2010 consumed fewer calories, were more physically active, less likely to  
264 be current smokers, had more often farmer parents, and were less often overweight or obese, as  
265 compared to women in the lowest quintile of the AHEI-2010.

266

267 *Association between the AHEI-2010 and the asthma symptom score*

268 Thirty-nine percent of women reported at least one asthma symptom. After adjustment for age  
269 (table 2), women with higher AHEI-2010 scores had lower asthma symptom scores with MSRs (95%  
270 CI) of 0.91 (0.83-0.99) for quintile 2 (Q2), 0.85 (0.78-0.93) for quintile 3 (Q3), 0.86 (0.79-0.94) for  
271 quintile 4 (Q4), and 0.77 (0.71-0.85) for quintile 5 (Q5) as compared with the first quintile (p trend  
272 <0.001). After adjustment for potential confounders (model 2), the association remained of similar  
273 magnitude. Further adjustment for ever asthma led to a similar result (model 3).

274 In analyses stratified on BMI, MSRs remained below one in each category, and the interaction term  
275 between the AHEI-2010 and BMI was not statistically significant (**figure 2**) (p for interaction=0.14).  
276 Using SEM, we still reported a negative association between the AHEI-2010 and the asthma symptom  
277 score, as well a significant negative dose-response relationship between the AHEI-2010 and BMI and  
278 a significant positive association between BMI and the asthma symptom score (**eFigure 1**).

279 In analyses stratified on smoking status, associations remained statistically significant and of similar  
280 magnitude in each category (**figure 2**) (p for interaction=0.98). Finally, when analyses were stratified  
281 according to the asthma status, similar associations were reported between the AHEI-2010 and the  
282 asthma symptom score among women with or without self-reported ever asthma (p for  
283 interaction=0.22).

284 *Association between AHEI-2010 and uncontrolled asthma*

285 Among women with current asthma, 23% had uncontrolled asthma. After adjustment for age (**table**  
286 **3**), only the second tertile of the AHEI-2010 was associated with a lower likelihood of uncontrolled  
287 asthma: OR (95% CI) was 0.73 (0.56-0.94) for tertile 2, and 0.82 (0.64-1.06) for tertile 3, as compared  
288 with tertile 1,  $p$  for trend=0.19. After adjustment for potential confounders (model 2), and further  
289 adjustment for multimorbidity-related medication profiles (model 3), associations became weaker.  
290 Similar findings were reported when using the non-imputed dataset ( $n=1,858$ ; table 2) or the ACT  
291 categorised into three categories (**etable 2**).

292 In analyses stratified on BMI, similar associations were reported, and the interaction term between  
293 the AHEI-2010 and BMI was not statistically significant (**figure 3**) ( $p$  for interaction= 0.34). Using SEM,  
294 we still reported no significant association between the AHEI-2010 and uncontrolled asthma, as well  
295 a significant negative relationship between the AHEI-2010 and BMI, and a significant positive  
296 association between BMI and uncontrolled asthma (**eFigure 2**).

297 After stratification for smoking, associations between the AHEI-2010 and uncontrolled asthma  
298 remained of similar magnitude in each category with no statistical significance, except for AHEI-  
299 tertile 2 in never smokers (**figure 3**) ( $p$  for interaction=0.64).

300

301 *Association between AHEI-2010 and multimorbidity-related medication profiles*

302 Among women with ever asthma, 44.7% belonged to the “Few multimorbidity-related medications “,  
303 33% belonged to the “predominantly metabolic multimorbidity-related medications” profile, and  
304 22.3% to the “predominantly allergic multimorbidity-related medications” profile. After adjustment  
305 for age (**table 4**), women with higher AHEI-2010 score were at a lower risk to belong to the  
306 “Predominantly metabolic multimorbidity-related medications” profile compared to the “Few  
307 multimorbidity-related medications” profile: ORs (95% CI) were 0.94 (0.76-1.17) for tertile 2 and 0.75  
308 (0.60-0.93) for tertile 3 vs. tertile 1 ( $p$  trend=0.01). Results remained similar after further adjustment  
309 for potential confounders. By contrast, the AHEI-2010 was not associated with the “predominantly  
310 allergic multimorbidity-related medications” profile.

311 After stratification for smoking (**figure 4**), similar associations were reported between the AHEI-2010  
312 and multimorbidity-related medication profiles among never and ever smokers, with no interaction  
313 between the AHEI-2010 and the smoking status ( $p=0.29$  for the allergic profile, and 0.23 for the  
314 metabolic profile).

## 315 **Discussion**

316 In this large study of more than 12,000 elderly women, a stronger adherence to a healthy diet  
317 evaluated by the AHEI-2010 was associated with a lower asthma symptom score, and among women  
318 with asthma, with disease characteristics associated with better asthma prognosis. These findings  
319 strengthen evidence supporting the promotion of a healthy diet to target reduction in asthma  
320 respiratory symptoms among elderly women, and confirm the importance of considering diet in the  
321 relation between asthma and comorbidities, especially cardiovascular diseases.

322 To our knowledge, five studies have investigated the association between the AHEI-2010 and asthma  
323 outcomes, among young[39] to middle-aged adults[17, 18, 40, 41], and using a dichotomous[39–41]  
324 or a continuous[17, 18] definition of asthma, and they reported mixed findings. The three studies  
325 that used a dichotomous definition of asthma, namely wheezing (yes/no), incident asthma (yes/no),  
326 or at least one out of three current asthma symptoms (yes/no), reported conflicting results. Using  
327 the asthma symptom score, two previous studies have investigated its association with the AHEI-  
328 2010 and reported that a healthy diet was associated with lower asthma symptoms[17, 18].  
329 Regarding other dietary scores (a priori approach), the most studied are the Mediterranean diet  
330 score based on recommended foods or nutrients for disease prevention (such as the AHEI-2010) and  
331 the Dietary Inflammatory Index (DII) which relates to pathophysiological processes relevant to  
332 asthma (i.e. inflammation). To our knowledge, only one cross-sectional study reported a positive  
333 association between the Mediterranean diet and the asthma symptoms score in adults[18]. For the  
334 DII, one case-control[42] and two cross-sectional studies[41, 43] investigated associations with  
335 asthma in adults, and they all reported that a higher DII was associated with asthma [42] or current  
336 asthma[41, 43]. By contrast, using the *a posteriori* approach to derive data-driven dietary patterns  
337 (i.e., statistically derived independently of their relevance to any disease), at least 13 studies have  
338 looked at the association between dietary patterns, mostly derived using principal component  
339 analysis (PCA), and asthma symptoms or incidence in adults, and they have yielded mixed findings  
340 [44–47]. Our current findings are consistent with those based on younger populations and support  
341 the likely impact of a healthy diet in the prevention of asthma in elderly women. They extend results  
342 obtained from younger population and provide first evidence for the impact of a healthy diet in the  
343 prevention of asthma over the life course.

344 Regarding the impact of an overall healthy diet as a disease modifier, a healthier diet was associated  
345 in our study with a lower risk of uncontrolled asthma but the association did not reach statistical  
346 significance. Five studies have been published so far, all among middle-aged adults and overall, they  
347 reported mixed findings: three studies reported an association between an overall healthy diet and  
348 lower uncontrolled asthma[48–50], one reported no association[51] and one reported a borderline

349 significant association in women[18]. Published studies are very heterogeneous in term of tools used  
350 to evaluate asthma control: two used the ACT[18, 50] and three used the asthma control  
351 questionnaire (ACQ)[48, 49, 51]; in terms of tools used to collect dietary data: three used 24-h  
352 dietary records[18, 48, 50], and two used a FFQ[49, 51]; and in terms of tools used to estimate the  
353 overall diet: one used the Dietary Approaches to Stop Hypertension (DASH) score[48], one used the  
354 DII[50], two used the Mediterranean diet score[49, 51], and one used the AHEI-2010[18]. All five  
355 studies used dietary data collected at the same time as asthma control data or in the year before. In  
356 our study, diet was evaluated by two validated semi-quantitative food history questionnaires  
357 administrated in 1993 and 2005 whereas asthma control was evaluated in 2011. The assessment of  
358 diet does not cover the ACT window of exposure and it is likely that participants may have modified  
359 their diet between 1993 and 2011. Anyhow, it has been shown that diet remains globally stable in  
360 this specific population, namely elderly women with a high level of education[52], and we used the  
361 average diet between 1993 and 2005 which is likely more representative of the usual diet as  
362 compared to one assessment only. Although diet might be a modifiable risk factor that could be  
363 targeted to help reduce asthma exacerbations and reach a good level of asthma control, its potential  
364 role on asthma control remains unclear, particularly among elderly women.

365 Besides allergic-related morbidities, that are common especially in childhood asthma, it has been  
366 more recently reported that mechanisms involved in adult-onset asthma also include several  
367 metabolic and inflammatory pathways also related to other chronic conditions such as obesity, the  
368 metabolic syndrome, type 2 diabetes mellitus, or CVD[53]. Although the underlying mechanisms  
369 remain unknown, it has been suggested that asthma and CVD share common risk factors such as  
370 smoking, obesity, or more recently reported, air pollution exposure[54], consistent with common  
371 etiological pathways. The AHEI-2010 is based on a comprehensive review to identify foods and  
372 nutrients that have been consistently associated with lower risks of CVD, cancer, and type 2 diabetes  
373 in clinical and epidemiological investigations[16]. The AHEI-2010 also captures additional information  
374 on diet quality that may further decrease the risk of metabolic diseases[16]. Our findings provide  
375 further evidence for a major role of an unhealthy diet as a common risk factor between asthma and  
376 CVD. A better understanding of the role of a healthy diet could lead to risk management strategies  
377 for patients with asthma to reduce their CVD risk.

378 Several hypotheses and mechanisms have been raised to explain the role of diet in asthma, including  
379 oxidative stress and inflammation, and more recently, vitamin D, epigenetic regulation, and  
380 imbalance in the gut microbiome [55]. Asthma is a chronic inflammatory disease of the airways, and  
381 endogenous reactive oxygen species have been implicated in its pathogenesis[56]. It has been  
382 extensively reported that a better quality diet (high in fruit, vegetables, whole grains, and legumes as

383 reflected by a high AHEI-2010) is associated with lower inflammatory biomarkers[57], and more  
384 recently with a reduction in short-chain fatty acids[58] (produced by bacteria in the gut during  
385 fermentation of fibre from dietary plant matter) known to reduce airway inflammation[59]. In this  
386 manner, a dietary intervention based on a fibre-rich healthy diet might be relevant for both primary  
387 and secondary prevention of asthma.

388 Diet, physical activity, and body composition are nutritional factors that are closely interrelated (at a  
389 given time  $t$ ) but also time-dependent, which makes it difficult to disentangle their separate effects  
390 on asthma outcome[60]. Regarding interrelations (at a given time  $t$ ), although obesity can result from  
391 multiple factors (e.g., genetic predispositions, certain disease status, or medication use), it is most  
392 often a result of unhealthy lifestyle, including excessive dietary energy intakes and insufficient  
393 physical activity[61]. It has been shown that individuals with lower overall diet quality have higher  
394 risk of obesity[36]. The established evidence that links diet to obesity and that links obesity to  
395 asthma[10] and asthma control[62] can illustrate the role of obesity as a potential mediator in the  
396 diet–asthma association[60]. In this context, several studies provided results with and, in addition,  
397 without adjustment for BMI[63, 64] but it could lead to biased results[65]. Some novel analyzing  
398 approaches, such as the counterfactual approach, provide a new tool to face the above issues in  
399 mediation analysis[66]. To our knowledge, only two studies were conducted in the context of  
400 nutritional factors and asthma, suggesting that BMI partly mediates the association between high  
401 cured meat intake and worsening asthma symptoms over time[67], but does not mediate the  
402 association between overall diet quality—assessed using the AHEI-2010—and improved asthma  
403 symptoms[17]. From a longitudinal perspective, interrelations between nutritional factors and  
404 asthma are also time-dependent. Indeed, in addition to the potential role of each nutritional factor at  
405 a given time  $t$  on asthma at a time  $t+1$ , asthma at time  $t - 1$  may have modified nutritional factors at  
406 time  $t$  (e.g., asthma can lead to a decrease in physical activity), and each nutritional factor at time  $t -$   
407  $1$  may have modified another nutritional factor at time  $t$  (e.g., overweight/obesity can lead to  
408 modifying dietary and/or physical activity behaviors). To our knowledge, only one study has been  
409 conducted in the context of the time-dependent associations between physical activity, BMI and  
410 asthma (also using data from the E3N study) and suggested an independent causal deleterious effect  
411 of overweight and obesity on current asthma, but no independent causal effect of physical activity  
412 on current asthma[30]. Although longitudinal data are warranted to fully address these issues, our  
413 models stratified according to BMI yielded to similar associations between diet and asthma  
414 outcomes, and estimates obtained from SEM and those obtained from standard models are very  
415 close, showing that BMI is unlikely a major modifier or mediator in the association between diet with  
416 asthma outcomes.

417 Our study has several strengths and limitations. First, our analysis was cross-sectional. However, our  
418 findings are based on a large sample size, which allows accounting for several potential confounders  
419 and performing stratified analyses to address the robustness of the findings. Secondly, diet was  
420 evaluated in 1993 and 2005, and asthma in 2011. Although participants may have modified their diet  
421 between 1993 and 2011, it has been shown that the diet remains globally stable in this specific  
422 population of elderly women with a high level of education[52]. Although a substantial proportion of  
423 women did not complete the ACT (28%), analyses with and without imputed data yielded similar  
424 results. Besides, associations were consistent in several sub-populations and after adjustment for  
425 many potential confounders. In addition, we used validated tools to estimate asthma symptoms[24,  
426 25] and asthma control[26] as well as the diet[68]. Secondly, we acknowledge that the main source  
427 of disease misclassification among this population of elderly women is probably misdiagnosis of  
428 COPD, and that potential overlap between asthma and COPD may have contributed to the  
429 association between the AHEI-2010 and asthma. However, we used the asthma symptom score that  
430 measures specific symptoms of asthma (and not of COPD) rather than a dichotomous definition of  
431 asthma which is more likely to include COPD patients. Moreover, similar associations were observed  
432 whatever the smoking status, especially among never smokers who are less likely to suffer from  
433 COPD. We also acknowledge that the association between a healthy diet and the “Predominantly  
434 metabolic multimorbidity-related medications” profile might be due in part, to obesity. Indeed,  
435 obesity (e.g., BMI  $\geq 30$  kg/m<sup>2</sup>) was included in the LCA to identify asthma groups, and whereas the  
436 “Few multimorbidity-related medications” profile and the “Predominantly allergic multimorbidity-  
437 related medications” include respectively 3.4% and 1.5% of obese women, the “Predominantly  
438 metabolic multimorbidity-related medications” profile includes 34% of obese women. Finally, the  
439 relative homogeneity of the studied population (e.g., elderly women with mostly high educational  
440 levels) actually helps with causal inferences about the relation between healthy diet and asthma  
441 outcomes because the comparability of the high and low dietary score groups will be higher than in a  
442 more heterogeneous populations (i.e., less potential for residual confounding).

443

444 In this elderly female population, we observed that a healthier dietary intake was associated with  
445 lower asthma symptoms, and among women with asthma, lower risk to belong to a metabolic  
446 multimorbidity-related medication profile. Overall, our findings show that a healthy diet may play an  
447 important role in the prevention and management of asthma over the life course. There is a need for  
448 longitudinal studies and RCTs to help understand better the role of the AHEI-2010 diet for the  
449 primary and secondary prevention of asthma among elderly. More studies are also warranted to  
450 better understand the role of a healthy diet as a common risk factor for patients with asthma to

451 better reduce their CVD risk, which could lead to risk management strategies among those patients.  
452 Lastly, as the investigation of nutritional factors as a whole (diet, physical activity, obesity) is highly  
453 relevant in the etiology of asthma and its control, both in terms of understanding the underlying  
454 mechanisms and in terms of guiding efficient multidimensional public health interventions, there is a  
455 crucial need for further prospective studies to address this issue.

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652

**Table 1** Baseline characteristics of women according to quintiles of the AHEI-2010 diet score (n=12,991)

	AHEI-2010 diet score					P for trend	P for trend#
	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5		
AHEI-2010 diet score, min-max	13.0-40.4	40.5-45.3	45.4-49.5	49.6-54.3	54.4-77.0		
AHEI-2010 diet score	35.9 (3.8)	43.1(1.4)	47.4 (1.2)	51.8 (1.3)	58.8 (3.7)		
Component score of AHEI-2010							
Vegetables, servings/d	5.4 (2.7)	6.2 (2.6)	6.6 (2.5)	6.9 (2.6)	7.4 (2.5)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Fruits, servings/d	1.4 (0.8)	1.7 (0.9)	1.9 (1.0)	2.1 (1.0)	2.5 (1.1)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Cereal fibers, g/d	2.2 (2.5)	2.7 (2.9)	3.2 (3.2)	3.8 (3.4)	4.7 (3.7)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Sugar-sweetened drinks and fruit juice, servings/d	0.8 (0.7)	0.6 (0.6)	0.5 (0.6)	0.4 (0.5)	0.3 (0.4)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Nuts and legumes, servings/d	0.5 (0.5)	0.7 (0.6)	0.7 (0.7)	0.8 (0.7)	1.0 (0.8)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Red and processed meat, servings/d	1.4 (0.6)	1.2 (0.6)	1.1 (0.5)	1.0 (0.5)	0.9 (0.5)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Long-chain n-3 fatty acids, mg/d	38.8 (24.3)	41.9 (27.0)	43.4 (28.9)	45.2 (30.0)	50.3 (36.3)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
PUFA, % of energy	5.1 (1.3)	5.5 (1.3)	5.9 (1.4)	6.3 (1.5)	6.9 (1.7)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Sodium, mg/d	2,971 (841)	2,816 (795)	2,729 (763)	2,605 (719)	2,460 (679)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Alcohol, drinks/d	2.1 (2.2)	1.6 (1.7)	1.4 (1.4)	1.2 (1.1)	1.0 (0.8)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Age (years)	63.1 (6.2)	63.1 (6.1)	63.4 (6.2)	63.6 (6.2)	63.4 (5.9)	<b>0.01</b>	
Energy intake (kcal/d)	2,413 (565)	2,345 (549)	2,274 (525)	2,206 (494)	2,166 (480)	<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Leisure-time physical activity (METs/week)	58.8 (50.7)	61.1 (50.4)	61.9 (52.1)	60.5 (48.1)	63.3 (49.2)	<b>0.03</b>	<b>0.01</b>
Smoking status						<b>&lt;0.0001</b>	<b>&lt;0.0001</b>
Never smoker	1,205 (46.6)	1,291 (49.7)	1,237 (47.8)	1,269 (49.3)	1,324 (50.0)		
Occasional ex-smoker	276 (10.7)	299 (11.5)	311 (12.0)	307 (11.9)	284 (10.7)		
Regular ex-smoker	647 (25.0)	659 (25.4)	722 (27.9)	749 (29.1)	784 (29.6)		
Occasional current smoker	35 (1.4)	32 (1.2)	30 (1.2)	24 (1.0)	34 (1.3)		
Regular current smoker	172 (6.7)	147 (5.7)	123 (4.8)	83 (3.2)	105 (3.9)		
Missing	249 (9.6)	170 (6.5)	162 (6.3)	142 (5.5)	119 (4.5)		

Educational level						0.14	0.07
< high school diploma	256 (9.9)	251 (9.7)	239 (9.3)	445 (9.4)	498 (8.0)		
High school to 2-level university	1,257 (48.7)	1,286 (49.5)	1,301 (50.3)	1,343 (52.2)	1,340 (50.5)		
3- to 4-level university	504 (19.5)	471 (18.1)	480 (18.6)	477 (18.5)	517 (19.5)		
≥ 5-level university	481 (18.6)	522 (20.1)	483 (18.7)	445 (17.3)	498 (18.8)		
Missing	86 (3.3)	68 (2.6)	82 (3.1)	66 (2.6)	84 (3.2)		
Marital status						0.19	0.65
No	438 (17.0)	424 (16.3)	379 (14.7)	400 (15.5)	428 (16.2)		
Yes	2,044 (79)	2,098 (80.8)	2,112 (81.7)	2,098 (81.5)	2,123 (80.1)		
Missing	102 (4.0)	76 (2.9)	94 (3.6)	76 (3.0)	99 (3.7)		
Having farmer parents						<0.0001	<0.0001
No	2,304 (89.1)	2,253 (86.7)	2,202 (85.2)	2,228 (86.6)	2,249 (84.9)		
Yes	206 (8.0)	269 (10.4)	298 (11.5)	278 (10.8)	332 (12.5)		
Missing	74 (2.9)	76 (2.9)	85 (3.3)	68 (2.6)	69 (2.6)		
BMI (kg/m <sup>2</sup> )						<0.0001	<0.0001
< 20	288 (11.1)	309 (11.9)	312 (12.1)	314 (12.2)	362 (13.6)		
20-24.9	1,354 (52.4)	1,408 (54.2)	1,435 (55.5)	1,468 (57.0)	1,570 (59.3)		
25-29.9	716 (27.7)	673 (25.9)	658 (25.5)	628 (24.4)	594 (22.4)		
≥ 30	226 (8.8)	208 (8.0)	180 (6.9)	164 (6.4)	124 (4.7)		
BMI (kg/m <sup>2</sup> )	24.3 (4.1)	24.1 (4.0)	24.0 (4.0)	23.8 (3.7)	23.4 (3.5)	<0.0001	<0.0001
Asthma symptom score¶						<0.0001	<0.0001
0	1502 (58.1)	1540 (59.3)	1560 (60.3)	1581 (61.4)	1704 (64.3)		
1	680 (26.3)	733 (28.2)	693 (26.8)	661 (25.7)	678 (25.6)		
2	178 (6.9)	170 (6.5)	185 (7.2)	180 (7.0)	129 (4.9)		
≥3	224 (8.7)	155 (6.0)	147 (5.7)	152 (5.9)	139 (5.2)		
Asthma control test+						0.20	0.13
>19		490 (75.3)		501 (79.2)	440 (76.7)		
≤19		161 (24.7)		132 (20.8)	134 (23.3)		

Multimorbidity-related medication profiles+				0.07	<b>0.03</b>
“Few multimorbidity-related medications”	510 (43.3)	508 (44.0)	532 (46.6)		
“Predominantly allergic multimorbidity-related medications”	391 (33.2)	380 (32.9)	376 (32.9)		
“Predominantly metabolic multimorbidity-related medications”	276 (23.5)	267 (23.1)	234 (20.5)		

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Data are presented as n (%) or mean (SD) unless otherwise stated. P for trend were calculated using the quintile median values.

# Age-adjusted models.

¶ Asthma symptom score: number of respiratory symptoms during the past 12 months: 1) breathless while wheezing; 2) woken up with chest tightness; 3) attack of shortness of breath at rest; 4) attack of shortness of breath after exercise; and 5) woken by attack of shortness of breath. Each item is scored from zero to one and the total asthma symptom score ranges from zero to five [21].

+ For ACT and multimorbidity-related medications profiles, distributions are given according to tertiles of the AHEI-2010 diet core (instead of quintiles).

ACT: asthma control test, based on five questions in the last 4 weeks on: 1. activity limitations (does asthma keep you from getting as much done at work, school or at home, “some of the time” to “all of the time”); 2. shortness of breath (“three to six times per week” to “more than once daily”); 3. woken up by asthma symptoms at night (“once per week” to “every night”); 4. use of a  $\beta$ -agonist inhaler (“two times per week” to “three or more times daily”); and 5. self-rated asthma control (“somewhat controlled” to “not controlled at all”). Each item is scored from one to five and the total ACT score ranges from five to 25. Asthma control was classified into two categories based on ACT score (>19: controlled vs.  $\leq$ 19: uncontrolled) [22].

Multimorbidity-related medications profiles: using a clustering method, we previously identified three multimorbidity-related medication profiles among women with asthma: a “Few multimorbidity-related medications” profile, a “Predominantly allergic multimorbidity-related medications” profile, and a “Predominantly metabolic multimorbidity-related medications” profile [23].

**Table 2** Association between the AHEI-2010 diet score (quintiles) and the asthma symptom score (n=12,991)

			Age-adjusted model 1	Multivariable-adjusted model 2#	Multivariable-adjusted model 3¶
	N	AHEI-2010, mean (sd)	MSR (95% CI)	MSR (95% CI)	MSR (95% CI)
<b>AHEI-2010 diet score</b>	12,991				
Quintile 1	2,584	35.9 (3.8)	1.00 (ref)	1.00 (ref)	1.00 (ref)
Quintile 2	2,598	43.1 (1.4)	<b>0.91 (0.83-0.99)</b>	<b>0.92 (0.84-1.00)</b>	<b>0.92 (0.85-1.00)</b>
Quintile 3	2,585	47.4 (1.2)	<b>0.85 (0.78-0.93)</b>	<b>0.85 (0.78-0.93)</b>	<b>0.87 (0.80-0.95)</b>
Quintile 4	2,574	51.8 (1.3)	<b>0.86 (0.79-0.94)</b>	<b>0.87 (0.79-0.95)</b>	<b>0.90 (0.82-0.98)</b>
Quintile 5	2,650	58.8 (3.7)	<b>0.77 (0.71-0.84)</b>	<b>0.80 (0.73-0.88)</b>	<b>0.82 (0.75-0.90)</b>
P for trend			<b>&lt; 0.0001</b>	<b>&lt; 0.0001</b>	<b>&lt; 0.0001</b>

MSR: mean score ratio.

P for trend were calculated using the quintile median values.

# Multivariable-adjusted model 2 includes age, energy intake, physical activity, smoking, educational level, marital status and having farmer parents.

¶ Multivariable-adjusted model 3 includes model 2 variables (see above) plus ever asthma.

**Table 3** Association between the AHEI-2010 diet score (tertiles) and uncontrolled asthma

	n	AHEI-2010, mean (sd)	Age-adjusted model 1	Multivariable-adjusted model 2#	Multivariable-adjusted model 3*
			OR (95% CI)	OR (95% CI)	OR (95% CI)
<b>Uncontrolled asthma</b> (after imputation)¶	2,587				
AHEI-2010 tertile 1	895	38.3 (4.4)	1.00 (ref)	1.00 (ref)	1.00 (ref)
AHEI-2010 tertile 2	884	47.4 (2.0)	<b>0.73 (0.56-0.94)</b>	<b>0.72 (0.55-0.94)</b>	<b>0.73 (0.55-0.98)</b>
AHEI-2010 tertile 3	808	56.1 (4.3)	0.82 (0.64-1.06)	0.84 (0.65-1.10)	0.86 (0.65-1.12)
P for trend			0.11	0.19	0.24
<b>Uncontrolled asthma</b> (before imputation)	1,858				
AHEI-2010 tertile 1	651	38.2 (4.5)	1.00 (ref)	1.00 (ref)	1.00 (ref)
AHEI-2010 tertile 2	633	47.4 (2.0)	<b>0.76 (0.57-1.00)</b>	<b>0.75 (0.57-1.00)</b>	<b>0.76 (0.57-1.00)</b>
AHEI-2010 tertile 3	574	55.9 (4.2)	0.87 (0.66-1.14)	0.89 (0.67-1.18)	0.92 (0.69-1.2)
P for trend			0.32	0.39	0.51

P for trend were calculated using the tertile median values.

¶ Analysis after using multiple imputation to estimate ACT missing values, as in previous analyses in this population [40]. See online supplement for details.

# Multivariable-adjusted model 2 includes age, energy intake, physical activity, smoking, educational level, marital status and having farmer parents.

\* Multivariable-adjusted model 3 includes model 2 variables (see above) plus multimorbidity-related medication profiles.

**Table 4** Association between the AHEI-2010 diet score (tertiles) and multimorbidity-related medication profiles (n = 3,474)

	Multimorbidity-related medication profiles								
	“Few multimorbidity-related medications” profile			“Predominantly allergic multimorbidity-related medications” profile			“Predominantly metabolic multimorbidity-related medications” profile		
	n	AHEI-2010, mean (sd)	OR (95% CI)	n	AHEI-2010, m (sd)	OR (95% CI)	n	AHEI-2010, m (sd)	OR (95% CI)
Age-adjusted model 1	1,550			1,147			777		
AHEI-2010 tertile 1	510	38.7 (4.1)	1.00 (ref)	391	38.1 (4.5)	1.00 (ref)	276	38.1 (4.3)	1.00 (ref)
AHEI-2010 tertile 2	508	47.3 (2.0)	1.00 (ref)	380	47.5 (2.1)	0.97 (0.80-1.17)	267	47.3 (2.0)	0.94 (0.76-1.17)
AHEI-2010 tertile 3	532	56.4 (4.4)	1.00 (ref)	376	56.2 (4.3)	0.91 (0.75-1.10)	234	55.5 (4.2)	<b>0.75 (0.60-0.93)</b>
P for trend						0.32			<b>0.01</b>
Multivariable-adjusted model 2#	1,550			1,147			777		
AHEI-2010 tertile 1	510	38.7 (4.1)	1.00 (ref)	391	38.1 (4.5)	1.00 (ref)	276	38.1 (4.3)	1.00 (ref)
AHEI-2010 tertile 2	508	47.3 (2.0)	1.00 (ref)	380	47.5 (2.1)	0.99 (0.81-1.20)	267	47.3 (2.0)	0.96 (0.77-1.21)
AHEI-2010 tertile 3	532	56.4 (4.4)	1.00 (ref)	376	56.2 (4.3)	0.96 (0.79-1.17)	234	55.5 (4.2)	<b>0.80 (0.63-1.00)</b>
P for trend						0.64			<b>0.05</b>

P for trend were calculated using the tertile median values.

#+ Multivariable-adjusted model 2 includes age, energy intake, physical activity, smoking, educational level, marital status and having farmer parents.

## Figure legends

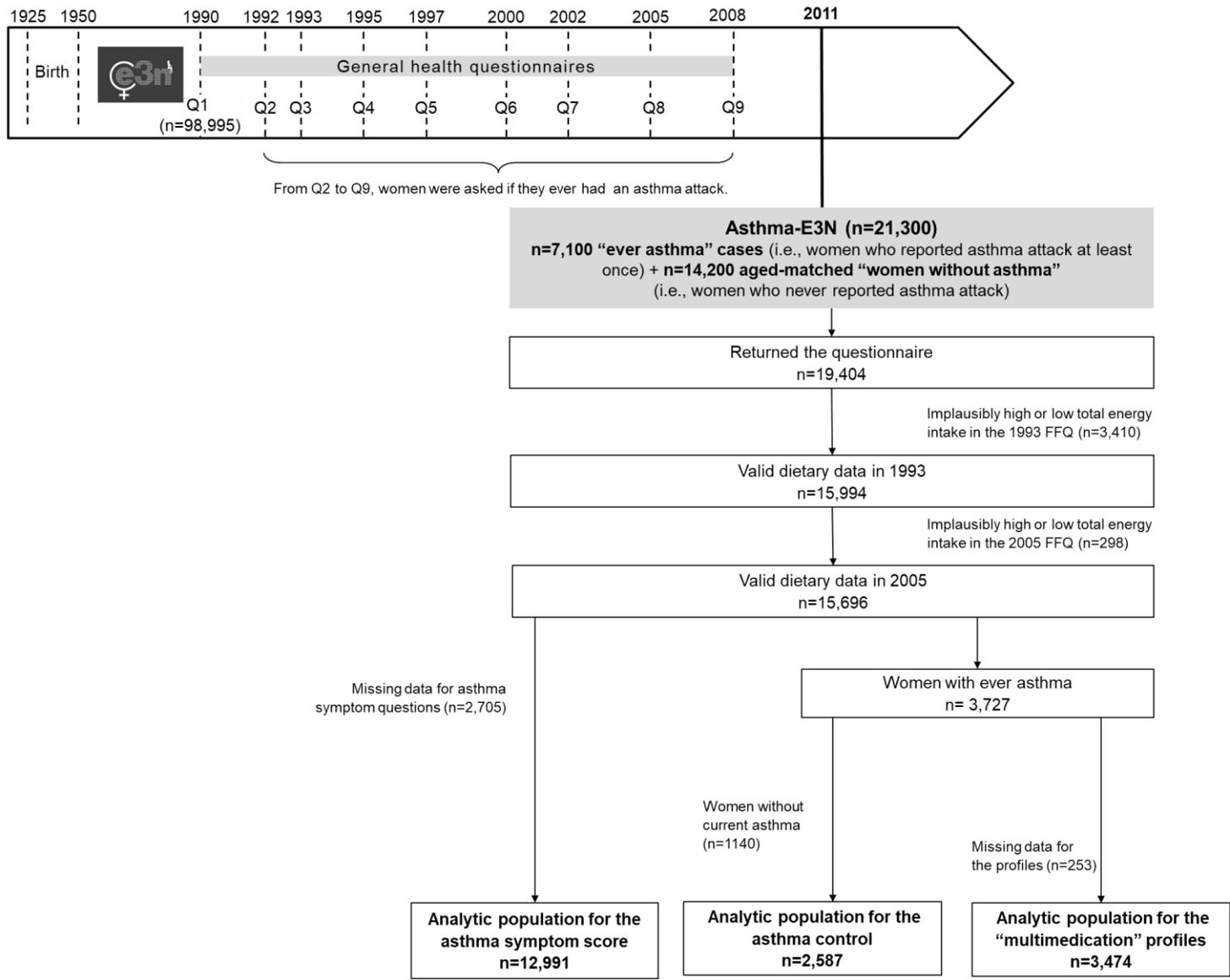
**Figure 1** Study design and flow chart of participants

**Figure 2** Associations between quintiles of the AHEI-2010 diet score and the asthma symptom score, stratified according to smoking status, body mass index (BMI) and ever asthma. Models were adjusted for age, energy intake, physical activity, smoking (excepted in models stratified by smoking status), educational level, marital status, and having farmer parents. The first quintile (Q1) serves as reference. Asthma symptom score ranges from 0 to 5.

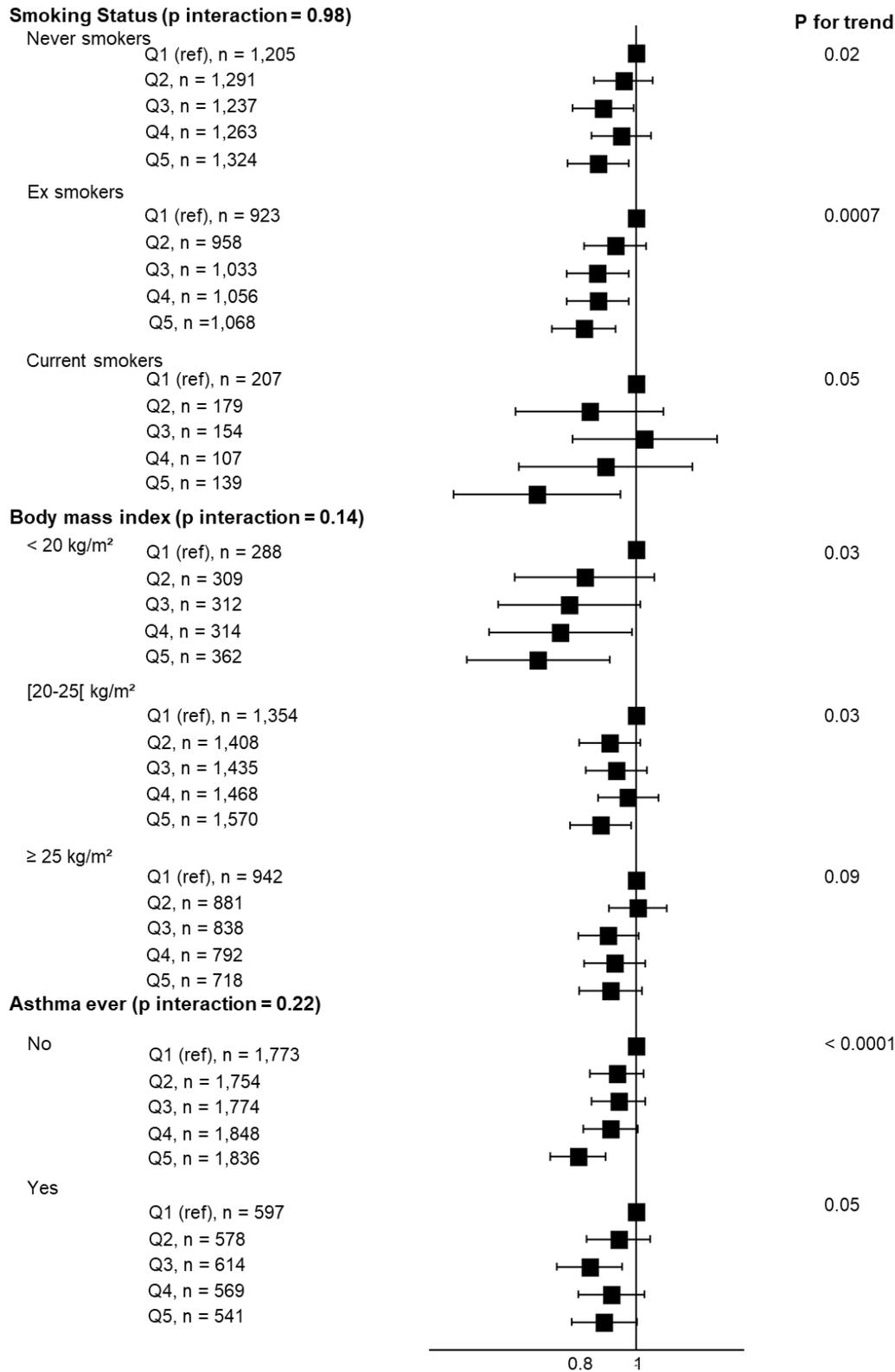
**Figure 3** Associations between tertiles of the AHEI-2010 diet score and uncontrolled asthma, stratified according to smoking status and BMI. Models were adjusted for age, energy intake, physical activity, educational level, marital status and having farmer parents. The first tertile (T1) serves as reference.

**Figure 4** Associations between tertiles of the AHEI-2010 diet score and multimorbidity-related medication profiles, stratified according to smoking status. Models were adjusted for age, energy intake, physical activity, educational level, marital status and having farmer parents. The first tertile (T1) serves as reference.

**Figure 1** Study design and flow chart of participants

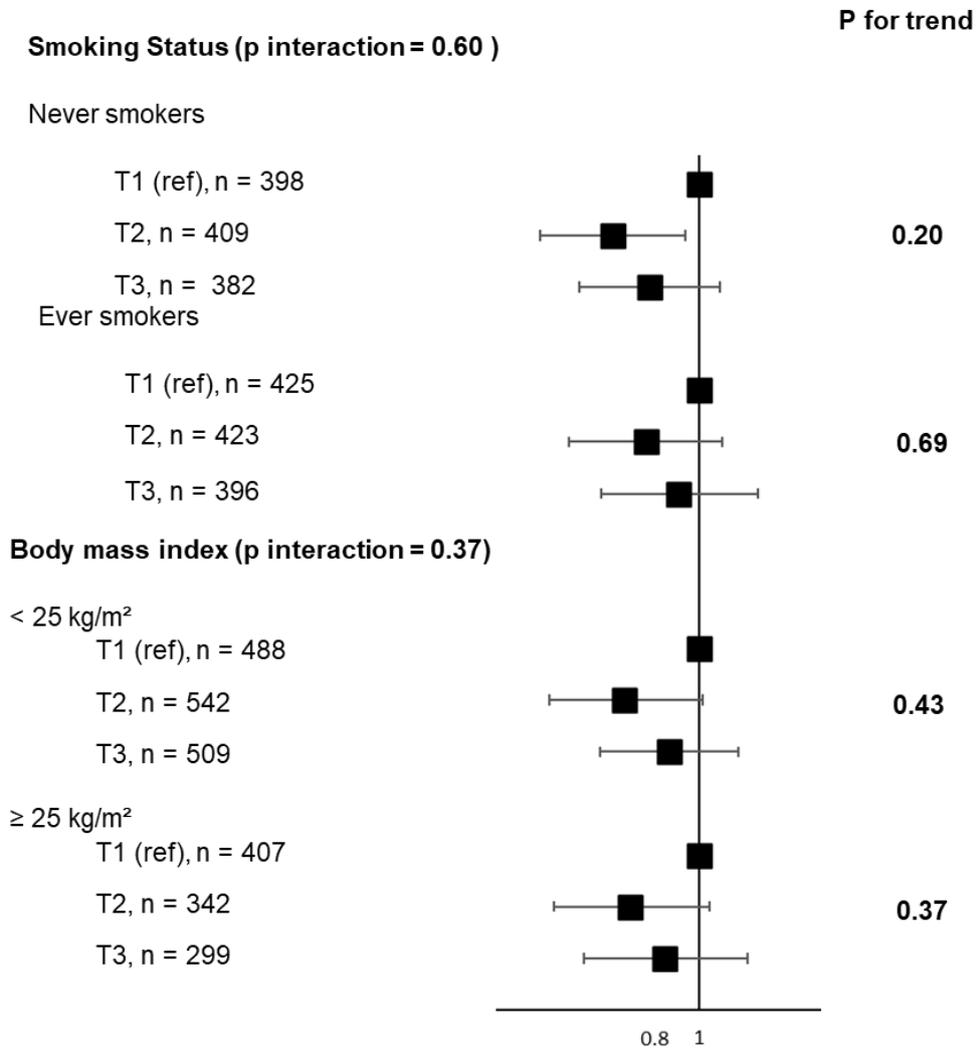


**Figure 2** Associations between quintiles of the AHEI-2010 diet score and the asthma symptom score, stratified according to smoking status, body mass index (BMI) and ever asthma



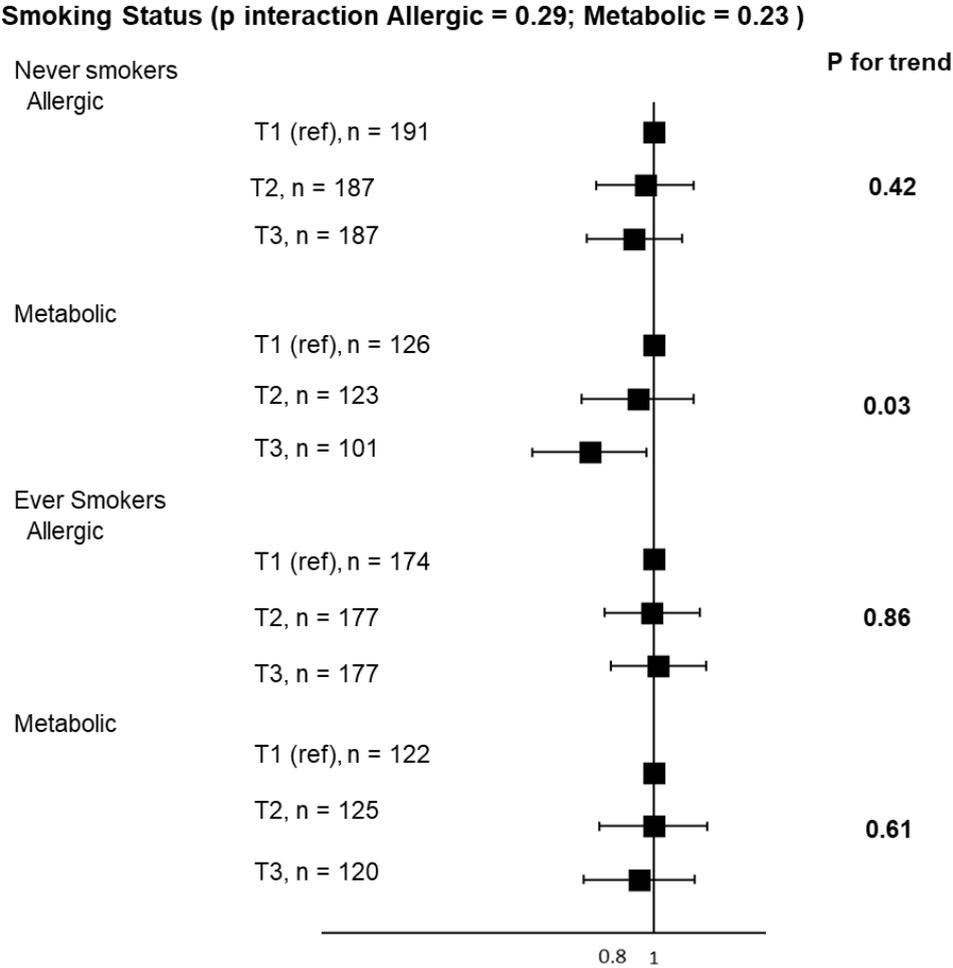
Models were adjusted for age, energy intake, physical activity, smoking (excepted in models stratified by smoking status), educational level, marital status, and having farmer parents. The first quintile (Q1) serves as reference. Asthma symptom score ranges from 0 to 5.

**Figure 3** Associations between tertiles of the AHEI-2010 diet score and uncontrolled asthma, stratified according to smoking status and BMI



Models were adjusted for age, energy intake, physical activity, smoking (excepted in models stratified by smoking status), educational level, marital status and having farmer parents. The first tertile (T1) serves as reference

**Figure 4** Associations between tertiles of the AHEI-2010 diet score and multimorbidity-related medication profiles, stratified according to smoking status



Models were adjusted for age, energy intake, physical activity, educational level, marital status and having farmer parents. The first tertile (T1) serves as reference.