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# BMJ Open Assessment of serological techniques for screening patients for COVID-19 (COVID-SER): a prospective, multicentric study

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## ABSTRACT

**Introduction** The COVID-19 pandemic caused by SARS-CoV-2 threatens global public health, and there is an urgent public health need to assess acquired immunity to SARS-CoV-2. Serological tests might provide results that can be complementary to or confirm suspected COVID-19 cases and reveal previous infection. The performance of serological assays (sensitivity and specificity) has to be evaluated before their use in the general population. The neutralisation capacity of the produced antibodies also has to be evaluated.

**Methods and analysis** We set up a prospective, multicentric clinical study to evaluate the performance of serological kits among a population of healthcare workers presenting mild symptoms suggestive of SARS-CoV-2 infection. Four hundred symptomatic healthcare workers will be included in the COVID-SER study. The values obtained from a control cohort included during the prepandemic time will be used as reference. A workflow was set up to study serological response to SARS-CoV-2 infection and to evaluate antibody neutralisation capacity in patients with a confirmed SARS-CoV-2 infection. The sensitivity and specificity of the tests will be assessed using molecular detection of the virus as a reference. The measurement of IgM and IgG antibodies will be performed once per week for 6 consecutive weeks and then at 6, 12, 18, 24 and 36 months after the diagnosis. The kinetics of IgM and IgG will determine the optimal period to perform serological testing. The proportion of false negative PCR tests in symptomatic subjects will be determined on the basis of subsequent seroconversions.

**Ethics and dissemination** Ethical approval has been obtained from the national review board for biomedical research in April 2020 (Comité de Protection des Personnes Sud Méditerranée I, Marseille, France) (ID RCB 2020-A00932-37). Results will be disseminated through presentations at scientific meetings and publications in peer-reviewed journals.

**Trial registration number** NCT04341142.

## Strengths and limitations of this study

- This study is a high-throughput evaluation of serological kits used to detect antibodies against SARS-CoV-2 in order to identify kits with the best performance.
- This is a prospective study designed to monitor the development of humoral response against SARS-CoV-2 infection in a population of healthcare workers.
- Long-term memory follow-up will be addressed up to 3 years postdiagnosis.
- Seroneutralisation techniques will assess acquired immunity against SARS-CoV-2.
- The study will assess the proportion of false negatives using the gold standard quantitative PCR in subjects with mild symptoms and with detected seroconversion.

## INTRODUCTION

The COVID-19 outbreak that started in December 2019 has rapidly spread worldwide, and important efforts have been undertaken to contain the pandemic. The aetiological agent of COVID-19 was identified as related to severe acute respiratory syndrome coronavirus, known as SARS-CoV-2. Although the majority of SARS-CoV-2-infected individuals appear to have only mild to moderate symptoms, this virus is also responsible for severe and fatal cases. As of 25 August 2020, 813 207 deaths have been reported worldwide (<https://coronavirus.jhu.edu/map.html>). The development of immunity is important to reduce the transmission rate of SARS-CoV-2 and the associated mortality.<sup>1</sup> There is an urgent public health need to assess acquired immunity to SARS-CoV-2.<sup>2</sup>



Serological assays, including binding assays such as ELISA or lateral flow assays, are essential tools in the management of infectious diseases, including diagnosis of infection, measurements of protective antibodies after vaccination and immunity assessment in a population.<sup>3</sup> In addition, serological tests allow understanding of antibody responses after SARS-CoV-2 infection and evaluation of immune protection against reinfection.<sup>4</sup> The duration of antibody response and the correlation of binding antibodies with virus neutralisation assay and with protection against reinfection are some of the questions that serological tests may be able to answer.<sup>5</sup> Serological tests may have additional value in the management of patients with COVID-19 to confirm suspected cases or to reveal previous infection in cases of false negative PCR results.<sup>6</sup> All of these elements are important to understanding the immunity conferred after infection and the immune status of the population against SARS-CoV-2.<sup>7</sup> They may also allow workers at high risk of coronavirus exposure (such as in healthcare settings) to work safely knowing their immunity against the risk of reinfection.<sup>8</sup>

As there is an urgent need for a long-spectre testing method for SARS-CoV-2, an antigen-based system that meets the criteria of fast time-to-results and low-cost detection<sup>9</sup> seems appropriate. However, the performance of serological kits has not been assessed. These tests might present heterogeneous sensitivity and specificity,<sup>10</sup> and assessment of their performance is required in order to select a reliable kit to use in the population.

In brief, there are many uncertainties associated with serological testing.<sup>11</sup> They are less efficient than reverse transcription PCR for diagnosis during the acute phase of the disease. Antibodies are detectable in a time-delayed manner, after the onset of symptoms, and their persistence over time is variable. Antibody kinetics have been studied mostly in hospitalised populations with signs of severity, but appear to be less rapid and with less amount of antibodies in populations with mild symptoms. Most notably, it is still unknown to what extent detectable antibodies imply immunity.<sup>11</sup>

## RATIONALE

Acquired immunity to SARS-CoV-2 against reinfection still needs to be determined. Serological assays are required to measure the presence of SARS-CoV-2 antibodies and its correlation with immune protection. The COVID-SER project aims to assess the performance of different serological kits used to detect anti-SARS-CoV-2 antibodies and their neutralising capacity. The ultimate goal is to identify kits with higher performance in detecting IgM and IgG antibodies in order to measure the prevalence of SARS-CoV-2 infection and immunisation in the population. The detection of early IgM antibodies could be complementary to the PCR test used to diagnose the infection. It could allow a broader screening of symptomatic subjects. The later but sustained production of IgG

antibodies could determine the immune protection of individuals against SARS-CoV-2.

## OBJECTIVES

The objectives of the COVID-SER project are (1) to assess the performance of different serological kits in detecting anti-SARS-CoV-2 antibodies in a population of infected healthcare workers; (2) to monitor the development of humoral response against SARS-CoV-2 infection up to 36 months after diagnosis; (3) to evaluate the neutralising capacity of the antibodies produced; (4) to evaluate the false negative rate of the PCR tests; and (5) to assess the duration of the presence of SARS-CoV-2 in nasopharyngeal samples and its infectious potential.

## DELIVERABLES

The objective is to identify the serological kits with the best performance in order to provide the population with a reliable and rapid screening tool. The expected collective benefit is to provide better understanding and management of the progression of the pandemic in the population.

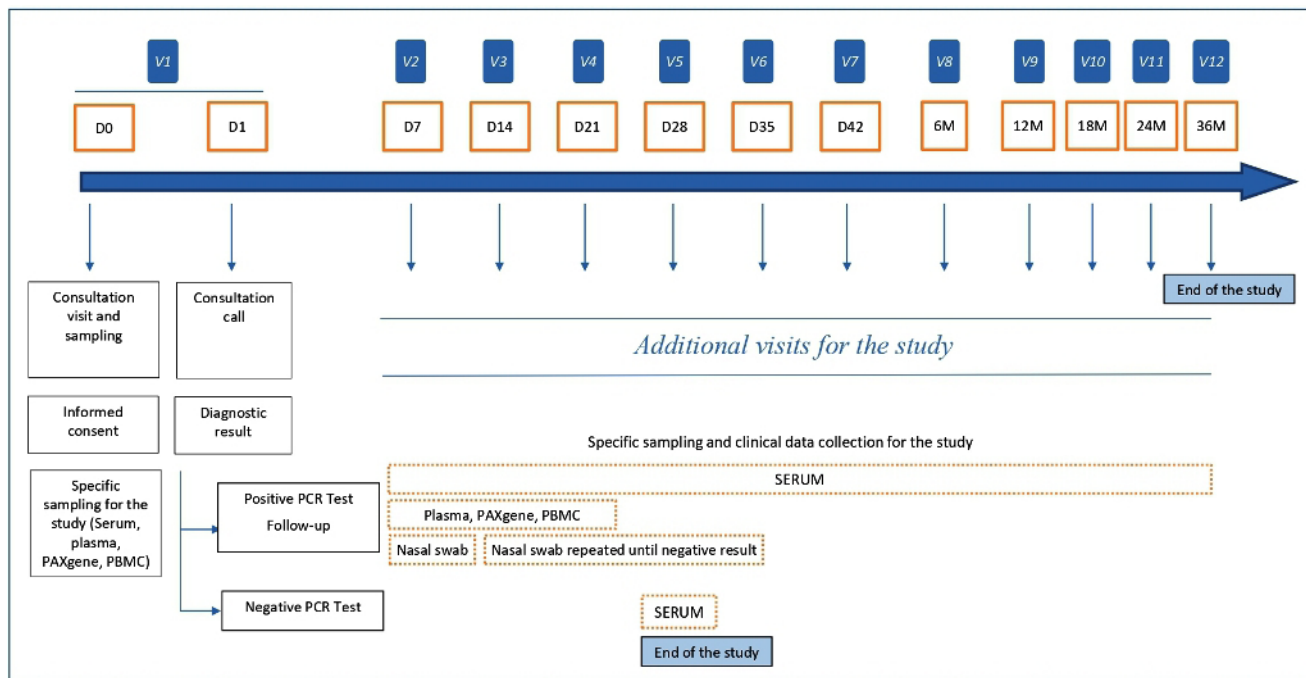
## METHODS AND ANALYSIS

The COVID-SER project is a prospective, longitudinal, multicentre clinical study conducted in a consortium of 13 university hospitals in Lyon, France (*Hospices Civils de Lyon*; 23 000 workers; <https://www.chu-lyon.fr/en>).

## Study population

COVID-SER will include healthcare workers (N=400) with symptoms suggestive of SARS-CoV-2 infection in whom a SARS-CoV-2 PCR test on a nasopharyngeal sample will be performed to diagnose the infection. The project is expected to include 130 positive subjects to meet the expected objectives. Participants must be over 18 years of age, provide informed consent and be affiliated to a social security system. The only criterion of exclusion is pregnancy or breast feeding, in accordance with French research regulations. Subjects have the right to withdraw from the study at any time if desired.

During March 2020, 30%–50% of symptomatic healthcare workers attending screening centres were tested PCR-positive for SARS-CoV-2 infection. Therefore, the initial period of inclusion was calculated up to 3 months, starting in April 2020, and the total duration of the study was initially expected to be 9 months. From 20 April 2020, amendments to the research protocol have been proposed to adapt to the evolution of the pandemic. The duration of inclusion was extended to 12 months, and the duration of follow-up (participation of each COVID-19-positive subject) was extended to 36 months. The serum samples of healthy volunteers (n=90) banked from a pre-pandemic period will be used as the reference negative cohort for the COVID-SER project.



**Figure 1** Schematic design of the COVID-SER project illustrating the various time points of the study and the type of collected sample at each visit. PBMC, peripheral blood mononuclear cell; V, visit.

### Sampling schedule

At the first visit (V1), the study will be explained to the patient and the first sample will be taken. Patients with a positive PCR result at V1 will come back for the following visits: at day 7 (V2), 14 (V3), 21 (V4), 28 (V5), 35 (V6) and 42 (V7), and at 6, 12, 18, 24 and 36 months (V8–V12) for serum samples. PCR tests will be performed at each visit until a negative result is obtained. Patients with a negative PCR result at V1 will come back only at day 28 (V5) for a serum sample. The sampling schedule is illustrated in figure 1.

### Endpoints

To address the objectives, the primary endpoint will be to assess the performance of the serological kits in detecting anti-SARS-CoV-2 antibodies in patients with symptoms (V1) and with positive PCR results. This will be done by evaluating the IgM and IgG production kinetics in the infected population during the additional visits (V2–V12) as well as the seroneutralisation capacity of the produced antibodies. The second endpoint will be to evaluate the PCR false negative rate in symptomatic subjects (V1) with negative PCR results compared with their serological response at V5.

### Biobanking

If patient agrees to participate in the biocollection, a specific informed consent will be signed, and five types of blood samples will be collected, with additional 18.5 mL of blood per visit and patient. Refusal to participate in the biocollection does not compromise participation in the study and only 8 mL of blood will be collected. This study will provide the opportunity to establish a biobank,

enabling further exploration of innovative biomarkers: (1) EDTA plasma biobank to study viral reactivation markers and soluble host biomarkers; (2) peripheral blood mononuclear cell isolated from the blood collected in EDTA tube; and (3) RNA biobank to study new transcriptomic host biomarkers (RNA will be extracted from whole blood collected in PAXgene tubes).

### Serological tests

A description of the serological tests to be evaluated in the study is shown in table 1.

#### Antibody titre assessment

Titres will be assessed as recommended by the manufacturers and described in table 1.

#### Virus neutralisation assay

A 10-fold dilution of each serum specimen in Dulbecco modified Eagle medium containing antibiotics and 2% fetal calf serum will first be heated for 30 min at 56°C in order to avoid complement-linked reduction of viral activity. The virus to be used in these experiments (RoBo strain) will be a clinical strain isolated on VERO-E6 cells from a patient hospitalised at the University Hospital of Saint-Etienne for severe COVID-19 infection; it will be diluted in the same medium to obtain 100–500 tissue culture infectious doses at 50% (TCID<sub>50</sub>) per 150 µL. Virus infectivity controls will be included in each test. Serial twofold dilutions (tested in duplicate) of the specimens will be mixed with the diluted virus at equal volume (100 µL each). After gentle shaking and a contact of 30 min at room temperature in plastic microplates, 150 µL of the mix will be transferred to 96-well microplates

**Table 1** Description of the serological tests to be evaluated in the study

Manufacturer	System	Product	Principle	Titre assessment
Abbott	ARCHITECT	SARS-CoV-2 IgG	CMIA	Index: sample/calibrator RLU
bioMérieux	VIDAS	VIDAS SARS-CoV-2 IgG VIDAS SARS-CoV-2 IgM	ELFA	Ratio: patient RFV/standard RFV
Bio-Rad	Manual or automated ELISA systems	Platelia SARS-CoV-2 Total Ab	ELISA	Ratio: sample OD/mean cut-off control OD
DiaSorin	LIAISON XL	LIAISON SARS-CoV-2 S1/S2 IgG	CLIA	AU/mL
Euroimmun	Manual or automated ELISA systems	ELISA SARS-CoV-2 IgA	ELISA	Ratio: sample OD/calibrator OD
Siemens	Atellica IM	SARS-CoV-2 Total	CLIA	Index: sample/calibrator RLU
Wantai	Manual or automated ELISA systems	WANTAI SARS-CoV-2 Ab ELISA WANTAI SARS-CoV-2 IgM ELISA	ELISA	Ratio: sample OD/cut-off OD
AAZ	None	COVID-PRESTO (RT COVID-19 IgG/IgM)	LFIA	Qualitative
Biosynex	None	BIOSYNEX COVID-19 BSS (IgG/IgM)	LFIA	Qualitative
SD Biosensor	None	STANDARD Q COVID-19 IgM/IgG Combo	LFIA	Qualitative

AU, arbitrary unit; CLIA, chemiluminescence luminescence immuno assay; CMIA, chemiluminescence microparticle luminescence immunoassay; ELFA, enzyme linked fluorescence assay; LFIA, lateral flow immunochromatographic assay; OD, optical density; RFV, relative fluorescence value; RLU, relative light unit.

covered with VERO-E6 cells. The plates will be placed at 37°C in a 5% CO<sub>2</sub> incubator. The reading will be evaluated microscopically 5–6 days later when the cytopathic effect of the virus control reaches 100 TCID<sub>50</sub>/150 µL. A seroprotection will be recorded if more than 50% of the cells are preserved. The protection titre will be expressed as the inverse of the higher serum dilution that spared the cells. The threshold of positivity for protective antibodies will be 10. All the experiments will be performed in an L3 facility.

### Sample size and data analysis plan

#### Study design and sample size

A prospective cohort of healthcare workers will be used to assess the sensitivity of different serological tests in detecting SARS-CoV-2 antibodies and to monitor the IgG and IgM kinetics. Serum samples from healthy volunteers (n=90) banked from a pre-pandemic period will be used to assess the specificity of the serological tests. The inclusion of 130 PCR-positive healthcare workers at V1 will provide 80% power to detect a sensitivity higher than 70%, and the inclusion of serum samples from 90 healthy volunteers will provide 80% power to detect a specificity higher than 80%. The number of subjects required to determine the expected threshold for the serological kits tested was defined using the function binDesign (binGroup package, 'R' software) and the Wilson methodology to build the confidence range.

#### Statistical methods

The characteristics of the positive and negative PCR samples will be described and quantified as median (IQR). To evaluate the primary endpoint, the sensitivity of the different serological tests in the infected population will be assessed according to the threshold established by the

manufacturers, with CI obtained by the Wilson method. The specificity of the serological tests will be assessed by the same method on the healthy serum samples. The sensitivity and specificity of the different serological kits will be compared using McNemar test. The sensitivity of the best performing kits will be modelled through logistic regression to quantify the delay on the quantification of antibodies from the beginning of the symptoms or a specific therapy. Factors acting on the sensibility will be quantified as OR (95% CI). To evaluate the evolution of antibody production (IgM and IgG), a mixed-effects linear regression will be modelled. Antibody production will be assessed by optical density ratios determined according to the manufacturer's recommendation. Analyses will be conducted with the latest version of 'R' software.

### Ethics and dissemination

#### Ethics approval

The study is registered with the French Commission for Individual Data Protection and Public Liberties (CNIL) of Lyon's University Hospital (number 20-120). Ethical approval has been obtained from the national review board for biomedical research in April 2020 (Comité de Protection des Personnes Sud Méditerranée I, Marseille, France) (ID RCB 2020-A00932-37).

#### Informed consent

The subjects included in the study will be kept anonymous and their identification protected by a cryptographic code. Data will be anonymously extracted from medical records (HCL Software). Informed and signed consent will be registered on the computerised record of each subject. Full information of the objectives and the workflow of the study will be provided and the possibility of refusal to participate or to withdraw from the study

whenever they choose will be explained to the subjects. A comprehensive notice summarising the protocol and study follow-up will be distributed to the subjects. Participants will be informed at the time of inclusion in the study that the interpretation of results is limited by the current state of knowledge and that a positive serological test does not mean that they are immune to the virus.

### Dissemination

Results will be communicated at scientific meetings and submitted for publication in peer-reviewed journals.

### Safety of participants

This study includes no serious foreseeable risk to the health of the subjects involved. The only potential risk is related to blood sample collection (maximum of 212 mL collected over all time points—6 months). However, this aspect of nursing is part of daily practice. Blood samples will be taken under the same safety conditions as currently used for common diagnostic tests.

### Patient and public involvement

No patient was involved in the design or implementation of this study. Study participants will be individually informed about their results during scheduled medical visits and will be given access, on demand, to the final publication of the study results.

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