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Serum and Pulmonary Uric Acid in Pulmonary Arterial Hypertension

Running title: Savale et al.; Role of Urate in PAH

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UA level is associated with poor prognosis at first follow-up in PAH. Local UA production is increased in the remodeled pulmonary vasculature and inhibition of UA incorporation in PA-SMCs reduces their proliferation and mildly reduces experimental PH.

Abstract

Rationale – Earlier studies have suggested an association between uric acid (UA) and pulmonary arterial hypertension (PAH) severity, but it remains unknown whether UA contributes to the disease pathogenesis.

Objectives – To study the prognostic values of circulating UA at era of current management of PAH and <u>investigate</u> the role of UA in the pulmonary vascular remodelling.

Methods – Serum UA levels were determined in idiopathic, heritable, or anorexigen PAH at baseline and first re-evaluation in the French PAH registry. We studied protein levels of xanthine oxidase (XO) and the URATv1 transporter in lungs of control and PAH patients and of monocrotaline (MCT) and sugen/hypoxia (SuHx) rats. Functional studies were performed using human pulmonary artery smooth muscle cells (PA-SMCs) and two animal models of pulmonary hypertension (PH).

Results – High serum UA levels are associated with a poor prognosis at first follow-up, but not at baseline. Both the generating enzyme XO and URATv1 are upregulated in the wall of remodeled pulmonary arteries in iPAH patients and MCT and SuHx rats. High UA concentrations promote a mild increase in cell growth in iPAH PA-SMCs, but not in control PA-SMCs. Consistent with these observations, we demonstrate that oxonic acid-induced hyperuricemia did not aggravate MCT-induced PH in rats. Finally, we show that chronic treatments of MCT and SuHx rats with benzbromarone mildly attenuate pulmonary vascular remodelling.

Conclusions – UA levels in iPAH patients is associated with impaired clinical and hemodynamic profile and might be used as a noninvasive indicator of clinical prognostic during follow-up. Our findings also indicate that metabolism of UA is disturbed in remodeled pulmonary vascular walls in both experimental and human PAH.

Key words

Pulmonary hypertension • Smooth muscle cell • Proliferation • Urate • URATv1/SLC2A9

Introduction

Pulmonary arterial hypertension (PAH) is a severe and devastating condition characterized by a progressive structural and functional remodelling of distal pulmonary arteries leading to progressive right heart failure, functional decline, and, ultimately, death [1]. Recent therapeutic advances in PAH management underlined the need to develop new tools for risk stratification at baseline and during follow-up [2]. Therefore, there is increasing interest in the use of biomarkers for delineating the severity and prognosis of disease, and for monitoring the course of PAH and its response to therapy [3].

The process underlying the irreversible remodelling of the pulmonary vasculature in PAH is ascribed to the increased proliferation, migration and survival of pulmonary vascular cells within the pulmonary artery wall, including of endothelial and smooth muscle cells in the pulmonary vascular wall [4]. Although the exact mechanisms remain unclear, accumulating data suggest that oxidative stress and metabolic dysfunction are the major contributors for the development and progression of PAH. Despite the association of serum uric acid (UA) levels, the end product of the metabolism of purine compounds, with several cardiovascular diseases [5] including PAH [6-11], it remains controversial as to whether UA may modulate pathogenic mechanisms underlying the progression of these diseases. Elevated UA levels have indeed long been known to be a poor prognostic sign in idiopathic PAH, before the era of current therapeutic management [9]. Recently, circulating UA levels have also been documented as a prognostic factor in other forms of PAH, such as PAH associated with connective tissue disease [7]. Moreover, evidence supporting a direct pathogenic role for UA in PAH pathophysiology has accumulated over the years. A recent metabolomic analysis identified xanthine, an immediate precursor of UA, as a prognostic factor in PAH independent of renal function and diuretic use [12]. Nevertheless, it is unknown whether UA acts directly on pulmonary artery smooth muscle cells (PA-SMCs) contributed to pulmonary vascular remodelling.

The objectives of this translational study were to analyze the prognostic impact of UA at baseline and during follow-up in the French PH registry in patients with idiopathic, heritable, or anorexigen-

associated PAH and to determine whether UA and its voltage-driven urate transporter 1 (URATv1) contributes to the pulmonary vascular remodelling in PAH.

Methods

Study population

All experimental studies using human samples comply with the Declaration of Helsinki and were approved by the local ethics committee (*Comité de Protection des Personnes* [CPP] Ile-de-France VII). All patients gave informed consent before the study. The data collected were anonymized and complied with the requirements of the '*Commission Nationale Informatique et Libertés*', the organization dedicated to privacy, information technology and civil rights in France. The committee approved the methods used to collect and analyze the data on 24 May 2003 (approval number 842063).

Incident, treatment naïve patients with idiopathic, heritable or anorexigen-induced PAH recorded in the French Pulmonary Hypertension Network registry from 2006 to 2016 were retrospectively reviewed and enrolled if a dosage of circulating UA was available at baseline and/or at the time of the first follow-up. Patients were eligible for inclusion if they had a baseline right heart catheterization confirming newly diagnosed precapillary pulmonary hypertension (known as PAH) defined by a resting mean pulmonary artery pressure (mPAP) ≥ 25 mmHg, pulmonary artery wedge pressure ≤ 15 mmHg and pulmonary vascular resistance (PVR) > 3 Wood units, in the absence of other known causes of pulmonary hypertension (PH). Characteristics, including demographics, cardiovascular comorbidities and variables related to PAH (Modified New York Heart Association functional class, physical examination, routine blood tests, non-encouraged 6-min walk test and standard cardiopulmonary hemodynamic variables assessed by right heart catheterization), were recorded at the same time of UA level measurement. Risk assessment was performed according to the 2015 ESC/ERS pulmonary hypertension guidelines [13]. We evaluated the presence of four low-risk criteria which were defined as 1) WHO/NYHA functional class I or II, 2) 6-min walk distance (6-MWD) >440 m, 3) right atrial pressure (RAP) <8 mmHg and 4) cardiac index (CI) $\ge 2.5 \text{ L}\cdot\text{min}^{-1}\text{m}^{-2}$ as previously described [2]. Patients were classified according to the number of low-risk criteria present at baseline (*i.e.* at the time of PAH diagnosis) and at the time of re-evaluation.

Animals and in vivo treatment

The in vivo protocol was approved by the Animal Ethics Committee of the Univ. Paris-Saclay, Le Plessis-Robinson, France. Young male Wistar rats (100 g, Janvier Labs, Saint Berthevin, France) were injected with monocrotaline (MCT) or were subjected to the VEGF receptor antagonist SU5416 followed by 3 weeks of hypoxia (SuHx) to induce severe forms of PH. Male rats were used to minimize hormonal effects (e.g., of estrogen). For the MCT model, a single subcutaneous injection of vehicle or MCT (40 mg/kg; Sigma-Aldrich) was performed. Then, rats were assigned to either an untreated control group or a group that received a daily oral administration of vehicle (dimethyl sulfoxide:water, 1:4, v:v) or benzbromarone (BBR; 10mg/kg/day for 3 weeks) (Figure 6A). BBR is a uricosuric agent that exerts its hypouricemic action solely by blocking tubular reabsorption of UA by inhibiting the URATv1 transporter. An additional group of MCT-injected rats was daily treated by oxonic acid (OA) at the dose of 750 mg/kg/day (drinking water) for 3 weeks. OA is an inhibitor of uricase leading to an increase in UA level in rats. OA treatment was initiated 1 week before MCT injection to ensure an optimal increase in serum UA level (Figure 5A). To validate our findings obtained in the MCT rat model, a second rat model of severe PH was used. Briefly, rats received a single subcutaneous injection of SU5416 (20mg/kg) and were exposed to normobaric hypoxia for 3 weeks before to return to room air for 5 weeks. At 5-weeks post-SU5416 injection, pulsed-wave doppler during transthoracic echocardiography was used to validate the presence of established PH by assessing pulmonary artery acceleration time (AT) to right ventricular ejection time (ET) ratio, using Vivid E9 (GE Healthcare, Velizy-Villacoublay, France). Then, SuHx rats were randomized to receive vehicle or BBR (10 mg/kg/day for 3 weeks).

At the end of treatment protocols, rats were blindly analyzed under inhalation of isoflurane (2.0% in room air), and a polyvinyl catheter was introduced into the right jugular vein and pushed through the right ventricle into the pulmonary artery to measure the mPAP. Cardiac output (CO) in rats was measured using the thermodilution method. After measurement of hemodynamic parameters, the

thorax was opened and the left lung immediately removed and frozen. The right lung was fixed in the distended state with formalin buffer. The right ventricular hypertrophy was assessed by the Fulton Index and the percentage of wall thickness [(2 × medial wall thickness/ external diameter) × 100] and percentages of wall thickness and of muscularized vessels were determined.

Uric Acid Assay, Western blot, and Immunostaining

Serum UA concentrations were evaluated using a specific uric acid assay kit (QuantiChrom™ Uric Acid Assay Kit, BioAssays Systems, Hayward, CA) according to the manufacturer instructions. Human lung tissue samples were obtained from Hôpital Marie Lannelongue, Le Plessis Robinson, France. For the preparation of whole lung homogenates, tissues were homogenized and sonicated in RIPA buffer containing protease and phosphatase inhibitors and 30 µg of protein was used to detect URATv1 (1:400) (MBL, Cat#:BMP027) and xanthine oxidase (XO) (1:400) (Santa Cruz, Cat#:SC20991), and β -actin (1:50,000). Immunohistochemistry staining for URATv1 and XO were performed in human and rat lung paraffin sections. Briefly, lung sections (5-um thickness) were deparaffinized and stained with H&E (Sigma-Aldrich), Sirius red, or incubated with retrieval buffer. Then sections were saturated with blocking buffer and incubated overnight with specific antibodies, followed by corresponding secondary fluorescent-labeled antibodies (Thermo Fisher Scientific). Mounting was done using ProLong Gold Antifade reagent containing DAPI (Thermo Fisher Scientific Inc.). Images were taken using an LSM700 confocal microscope (Zeiss). Other lung sections were used for immunochemistry using a VECTASTAIN ABC kit according to the manufacturer's instructions (Vector Laboratories) and counterstained with hematoxylin (Sigma-Aldrich). Images were taken using an Eclipse 80i microscope (Nikon Instruments).

Isolation and culture of human pulmonary artery-smooth muscle cells (PA-SMCs) and pulmonary endothelial cells (ECs)

Human PA-SMCs were isolated from explants of small pulmonary arteries (0.5 mm internal diameter) microdissected from lung explants of PAH patients (n=11) or from lung specimens obtained during lobectomy or pneumonectomy at a distance from the tumor foci in control subjects (Hôpital Marie

Lannelongue, Le Plessis Robinson, France) (n=10). Preoperative echocardiography was performed in these control patients to rule out PH and the absence of tumoral infiltration was retrospectively established in all tissue sections by the histopathological analysis. Briefly, as previously described [14], small pieces of freshly isolated arteries were cultured in DMEM media supplemented with 10% of fetal calf serum (FCS), 2 mM L-glutamine and antibiotics. The isolated pulmonary PA-SMCs were strongly positive for alpha-smooth muscle actin (α -SMA), smooth muscle specific SM22 protein and calponin and negative for von Willebrand factor and CD31. Human pulmonary ECs were isolated and cultured as previously described [3-5]. The isolated ECs were strongly positive for acetylated lowdensity lipoprotein coupled to Alexa 488 (Alexa488-Ac-LDL), von Willebrand factor (vWF), CD31, and for Ulex europaeus agglutinin-1 (UEA-1) and negative for alpha-smooth muscle actin (α -SMA). Cells were used at passage < 7.

Cell Proliferation Assays

Cells proliferation was measured by 5-bromo-2-deoxyuridine (BrdU) incorporation and by cell counting. BrdU staining was measured by the DELFIA Cell proliferation kit (PerkinElmer, Courtaboeuf, France) and a time-resolved fluorometer EnVision[™] Multilabel Reader (PerkinElmer). To suppress URATv1 expression, PA-SMCs were transfected using lipofectamine RNAiMAX with 100 nM of URATv1 siRNA or with a scrambled sequence (Invitrogen, Cergy-Pontoise, France). The cells were studied within 3 days after transfection. Suppression of URATv1 levels was documented 72 hours after transfection.

Measurement of Reactive Oxygen Species (ROS)

The superoxide indicator dihydroethidium (DHE; Thermo Fisher Scientific, Saint Aubin, France) was used to evaluate intracellular ROS in cultured human PA-SMCs. Cells (10,000 cell/mL) were seeded in a 4-well Lab-Tek chamber slides and treated with increasing doses of UA (3, 6, 9, 12 mg/dL in media containing 0.1% bovine serum albumin (BSA) for 30min at 37°C. DHE (10µM) was added and incubated for 20 min at 37°C. After incubation, the cells were washed twice with PBS and the Lab-Tek is mounted with ProLong Gold Antifade reagent containing DAPI (Thermo Fisher Scientific Inc.)

and the mean of fluorescence, that is proportional to the intracellular superoxide production, was determined.

Statistical analyses

Continuous variables were expressed as mean ± standard deviation (SD) or standard error (SEM) for normally distributed variables or median (interquartile range, IQR) for non-normally distributed variables as indicated in figure and table legends. Categorical variables were expressed as number of patients and relative frequencies (percent). For each experiment, the ranges of n in all groups was expressed in figure legends (n=min-max). Differences in continuous variables were compared using the independent Student's t-test for normally distributed variables and the Mann–Whitney U test for non-normally distributed variables. All comparisons were two sided with a p-value <0.05 considered significant.

Data were collected from the web-based French Registry (PAH Tool; Inovultus, Santa Maria da Feira, Portugal) and were stored in a personal computer-based data spreadsheet. Median value of UA at baseline was determined distinctly in males and females. Patients were classified according to the level of circulating UA higher or lower than the median value at first evaluation. Clinical characteristics, comorbidities and hemodynamics were compared between the two groups. Overall survival time was calculated from the date of PAH diagnostic or first re-evaluation to the date of last follow-up or death. The Kaplan-Meier method was used to estimate the proportion of patients surviving at each time point. Survival curves were compared with log-rank test.

Univariable analysis based on the Cox proportional hazards model was used to examine the relationship between survival and selected demographic, medical history and variables including UA level at baseline and at first re-evaluation after PAH therapies initiation. Multivariable analyses based on the Cox proportional hazards regression model were used to examine the independent effect of each variable on survival, controlling for possible confounding variables. We incorporated into the multivariable models modifiable and non-modifiable prognostic parameters commonly used in PAH risk assessment as well as variables that can impact UA level. Potential confounders of the relationship between UA and survival were limited to 1 per 10 events. Variables were eligible for entry

into the multivariable models only if they were not highly correlated (absolute value of Pearson's or Spearman's *rho* <0.6) with other continuous variables and if <25% of individuals had missing values for that variable. Results with p values of less than 0.05 were considered statistically significant.

Results

Between January 1, 2006 and March 30, 2016, we identified 330 incident patients with idiopathic (iPAH) (n=238), heritable (hPAH) (n=38) or anorexigen-induced PAH (n=54) enrolled in the French registry who had both a baseline measurement of UA and a baseline evaluation including right heart catheterization, 6-MWD and WHO/NYHA functional class. At baseline, the median value or UA level was 8.2 mg/dL (IQR; 6.9-9.7) in male and 7.3 mg/dL (IQR; 5.9-8.8) in female. One hundred and sixty-three patients had a re-evaluation of PAH within one year after diagnosis and a serum UA measurement. The median time between baseline and first re-evaluation was 4.4 months (IQR; 3.8-6.5). At first follow-up, median value of UA was 8.3 mg/dL (IQR; 7.2-9.8) in male and 6.8 mg/dL (IQR; 5.4-7.9) in female.

Uric acid and PAH severity

Comparison of baseline characteristics between patients with UA levels higher and lower than the median value is reported in **Table 1**. Patients with high serum UA level at time of PAH diagnosis had a significant higher body mass index (BMI) (p=0.03), had more frequently a diabetes (p=0.007) and a significantly lower 6-MWD (p=0.005). Hemodynamic measurements showed a higher RAP (p=0.002) and a lower cardiac index (CI) (p=0.006) and biological measurements showed a higher proportion of high level of BNP or NT-proBNP (p=0.03) in patients with high level of UA. At diagnosis, the proportion of patients with no or one low-risk criteria was 55% in the group with low level of UA and 66% in the group of high level of UA respectively (**Figure 1A**).

At first re-evaluation, patients with high level of UA were significantly older (p=0.01) and had more frequently diabetes (p<0.001) and hypertension (p=0.01). 6-MWD was significantly lower in patients with persistent high level of UA (p=0.001). High level of UA was also associated with a higher proportion of patients with no or one low-risk criteria (30% *versus* 22%) (Figure 1B).

Uric Acid and survival

The median time of follow-up after PAH diagnosis was 58 months (IQR; 35-77). During this period, 137 events were recorded including 7 lung transplantations and 133 deaths. UA level higher than median value at time of PAH diagnosis was not associated with higher mortality or transplantation (p=0.33) (**Figure 1C**). Univariable analysis for clinical, hemodynamic and biological variables including UA are reported in supplemental table 1. UA, analysed as continuous variable was not associated with prognosis (p=0.18). Moreover, no relationship between UA and survival without transplantation was found after adjustment for demographic characteristics and comorbidities (*i.e* age, sexe, BMI, diabetes and hypertension), for hemodynamic variables (RAP, PCWP, mPAP and CI), for non-invasive prognostic factors listed in ESC/ERS guidelines (NYHA-FC, 6 MWD and BNP/NT-proBNP) and for therapies (diuretics and PAH therapies) **(Table 2)**.

The median time of follow-up after first re-evaluation was 57 months (IQR; 35-72). During this period, 60 events were recorded including 7 lung transplantations and 53 deaths. UA level higher than median value was associated with higher mortality at first re-evaluation (p=0.02) (**Figure 1D**). In addition, univariable analysis found a significant relationship between UA, analysed as continuous variable, and survival (HR 1.004, 95% IC 1.002-1.006, p=0.003) (Supplemental table 1). Prognostic value of UA was attenuated after adjustment for age, sex and comorbidities (HR 1.002, 95% IC 1.000-1.005, p=0.067), persisted after adjustment for hemodynamic variables (HR 1.004, 95% IC 1.001-1.006, p=0.0019) or for therapies (HR 1.003, 95% IC 1.001-1.005, p=0.004). However, prognostic value of UA did not persist after adjustment for non-invasive prognostic factors listed in ERS/ESC guidelines (6 MWD, NYHA-FC and BNP/NT-proBNP) **(Table 2).**

Local UA production in the pulmonary vasculature of patients with idiopathic PAH

To test whether dysfunctional pulmonary vascular cells in iPAH produce UA *in vivo*, confocal microscopic analyses and double labeling with either xanthine oxidase (XO) and for the efflux transporter URATv1 (GLUT9/SLC2A9) was performed in paraffin-embedded lung specimens from iPAH and control patients. We found strong staining for XO, the enzyme known to catalyze the hydroxylation of hypoxanthine to xanthine and xanthine to UA, and URATV1 in the smooth muscle cell layer of remodeled distal pulmonary arteries iPAH when compared with controls (**Figure 2A**), suggesting an abnormal local UA production and overabundance in iPAH. These *in situ* observations were replicated *in vitro*, with cultured PA-SMCs from patients iPAH exhibiting increased protein levels of XO and URATV1 compared with control PA-SMCs (**Figure 2B**). Consistent with an upregulation of the local UA production in iPAH, we found higher UA levels in conditioned media from cultured human PA-SMCs derived from iPAH patients compared to those of control PA-SMCs (**Figure 2C**). Consistent with our results obtained with iPAH PA-SMCs, a higher intracellular UA level was noted in PA-ECs in iPAH combined with an increased protein level of XO. However, a decreased protein level of URATv1 was found in iPAH pulmonary ECs compared with control pulmonary ECs (**Sup. Figure**

1).

High UA concentrations promote cell growth and ROS production in iPAH PA-SMCs

Since proliferation and oxidative stress of PA-SMCs contribute to vascular remodelling in PAH [4, 15, 16], we next questioned whether this local overabundance of UA in the remodeled pulmonary vasculature could directly modulate the PA-SMC behaviors. We thus examined the effect of increasing doses of UA proliferation of cultured PA-SMCs derived from iPAH patients (n=4) and controls (n=4). Although UA had no effects on cell growth in control PA-SMCs, brdU incorporation was increased 1.2-, 1.2-, 1.4-, 1.5-fold in iPAH PA-SMCs exposed to UA at 3, 6, 9, and 12 mg/dL, respectively (**Figure 3A**). We also found that BBR (30μ M), a uricosuric agent and non-competitive inhibitor of urate transporters, and URATv1 siRNA attenuated iPAH PA-SMC proliferation induced by 9 mg/dL of UA (**Figure 3B-C**).

To monitor intracellular oxidative stress, we next used the redox-sensitive fluorophore hydroethidine (dihydroethidium) and noted a significant increase in intracellular ROS production in cultured iPAH PA-SMCs exposed to high UA concentrations (**Sup. Figure 2**).

Local UA production and secretion are increased in two animal models of severe PH

To better understand the role of UA in the pathogenesis of the disease, we next examined serum UA levels and XO and URATv1 protein levels in lungs of rats with severe PH induced by MCT and SuHx. Consistent with our data obtained in human samples, circulating UA levels were found to be significantly elevated 3-weeks after MCT injection compared with control rats, while UA level was similar to control at Day-1, -7 and -14 (Figure 4A and Sup. Figure 3 and 4). Interestingly, the increase in circulating UA levels were also found in SuHx rats with established PH compared with control rats (Figure 4B). As observed in human lung specimens, we also found increased levels of XO and URATV1 proteins in lungs of MCT-injected and SuHx rats compared with control rat lungs (Figure 4C-D-E and Sup. Figure 3 and 4). A Day-1, -7 and -14, XO and URATv1 expression were similar in rats treated by MCT and controls (Figure 4D).

Oxonic acid-induced hyperuricemia did not aggravate the progression of MCT-induced PH in rats

Based upon the above observations, subsequent studies were carried out to determine whether increasing UA levels with the uricase inhibitor oxonic acid (OA) would result in worsening of MCT-induced PH in rats. We therefore induced mild hyperuricemia in rats by providing low doses of oxonic acid for 1 week before to randomize and assign these rats to either a vehicle-treated control group or a group that received a single subcutaneous injection of MCT to induce experimental PH (Figure 5A-B). After 3 weeks with oxonic acid treatment, pulmonary hemodynamic and structures were then studied. A similar decrease in AT/ET ratio and increase in mPAP, TPVR and Fulton index was observed between MCT-injected rats treated with OA compared to vehicle-treated MCT rats (Figure 5C-D). Consistent with these results, a similar increase in the percentages of medial wall thickness and of muscularized distal pulmonary arteries were noted between MCT-injected rats treated with OA

compared to vehicle-treated MCT rats (Figure 5E-F). Taken altogether, these results support the notion that the increase in serum UA levels has no effect on pulmonary vascular remodelling in PAH.

Chronic treatments of MCT-injected and SuHx rats with benzbromarone (BBR) mildly attenuate PH

To assess the role of the increased local UA production in the pulmonary vasculature in PAH, we next tested the effect of BBR, an uricosuric agent and inhibitor of XO, in the two preclinical models of severe PH (Figure 6). First, we examined the efficacy of BBR treatments against the development of PH in the MCT rat model (preventive protocol) and we next studied its efficacy against the progression of PH in the SuHx rat model (curative protocol) (Figure 6A). At the end of these protocols, we found a significant reduction in serum UA concentrations in MCT-injected and SuHx rats treated with BBR compared with MCT-injected and SuHx rats treated with vehicle (Figure 6B). Three- and 8- weeks post-SU5416 injection, pulsed-wave Doppler during transthoracic echocardiography indicate a decrease in pulmonary AT/ET ratio in MCT-injected and SuHx rats treated with vehicle, respectively. However, chronic treatment of MCT-injected and SuHx rats with BBR significantly attenuates the decrease pulmonary AT/ET ratio (Figure 6C). Right heart catheterization indicates that the increase in TPVR in MCT-injected and SuHx rats treated with BBR is less pronounced compared with rats MCT-injected and SuHx rats treated with vehicle (Figure 6D). However, no significant difference in mPAP, CO, and Fulton index was observed between MCT-injected and SuHx rats treated with BBR and those treated with vehicle (Figure 6D). Consistent with these observations, a mild reduction in the muscularization of pulmonary arteries was observed in MCT-injected and SuHx rats treated with BBR compared with MCT-injected and SuHx rats treated with vehicle in the MCT rat model (Figure 6E-F). These results indicate a mild protective effect of BBR treatment in these two animal models of severe PH.

Discussion

Although PAH is often associated with hyperuricemia, it remains unknown whether serum UA levels may be always useful for risk stratification at era of modern management at baseline and during follow-up as well as if UA may contribute to progression of the pulmonary vascular remodelling. In the present study, our data indicate that high serum UA levels in patients with PAH was associated with a significant poor prognosis at first follow-up after PAH therapies initiation, but not at baseline. We also obtained additional evidence supporting the notion that UA may represent a biomarker for PH severity, whose metabolism is disturbed in the remodelling pulmonary vessels of PAH patients.

At present the brain natriuretic peptide (BNP) and its N-terminal prohormone fragment (NT-proBNP) are the only two biomarkers that have been listed in the last guidelines for PAH risk stratification at baseline and during follow-up [13]. Therefore, there is a clear need to develop new biomarkers that could be routinely used in clinical practice. Because earlier studies have suggested an association between serum UA levels and the severity of PAH [6-11], the prognostic value of circulating UA levels at baseline and during follow-up has been studied in our large cohort of PAH patients. Our data show that patients with high level of UA at baseline had more severe hemodynamic impairment (i.e. higher level of RAP and lower CI), as well as more severe 6-MWD impairment. Paradoxically, high UA level at baseline was not associated with poorer prognosis, in contrast to what it has been previously described before the modern management of PAH [8, 9]. However, the persistence of a high UA level at first follow-up after the initiation of PAH-targeted therapies is associated with more severe prognosis. It is important to underline that initial treatment strategy may explain the lack of independent association between baseline UA level and outcomes, given that disease severity has likely influenced treatment decisions. Similarly, it has been also observed that hemodynamic variables are useful to guide the choice of first line therapy but are now more effective for risk stratification at first follow-up after PAH-targeted therapies initiation [2, 17]. We also analyzed by univariable and multivariable analysis the usefulness of UA in the risk stratification method using hemodynamics, NYHA-FC and 6-MWD at first follow-up. UA was independently associated with patient prognosis when adjusted for hemodynamic variables. In a non-invasive approach including BNP/NT-proBNP,

NYHA-FC and 6-MWD, UA was not an independent predictive factor of mortality. In this method of risk stratification, BNP/NT-proBNP seem to be more robust to predict survival of patients.

We recognize that there are limitations inherent to the retrospective nature of this study and the lack of data for noninvasive variables in 23% of patients that can limit the powerful of the multivariable analysis. Moreover, a substantial proportion of patients had UA measurement at baseline but not at follow-up. Another limitation of our study is the lack of information on renal function that could also influence the level of UA.

UA is a heterocyclic compound that is produced during the breakdown of purines, which represents the last product of purine metabolism in humans. A high-purine diet, obesity, insulin resistance, dyslipidemia, diabetes, use of diuretics, chronic renal failure, and high alcohol intake are known common causes of hyperuricemia [18-21]. PAH registries and clinical trials indicated that the proportion of elderly patients with cardiovascular risk factors progressively is increasing [22-24]. Elevated UA level is also associated with higher frequency of cardiovascular risk comorbidities, as hypertension or diabetes, that could also influence the prognosis of patients. Because UA is higher in men and postmenopausal women, due to estrogen-induced increase in renal urate elimination [25], we separately analyzed the median value of UA in each cohort according to gender of patients.

Both vasoconstriction and enhanced accumulation of PA-SMCs within the vascular wall contribute to the progressive course of PH/PAH. Interestingly, it has been reported that induction of mild hyperuricemia in rats induced by oxonic acid is associated with development of small-vessel disease in the kidney consisting of thickening of the preglomerular arteries with smooth muscle cell proliferation [26, 27]. These observations strongly suggest that UA may contribute to progressive renal disease. Based on these findings and the observation that an elevated UA is associated with the severity of PAH, we questioned whether UA may have a direct pathogenic role and contribute to the pulmonary vascular remodelling associated with PAH. In line with this notion, it was reported that UA could stimulate SMC proliferation by increasing the production of Ang-II, COX2, ET-1, PDGF, and ROS and activation of different pathways including among others the extracellular signal-regulated protein kinase (ERK) and p38 kinase pathways, the NF-κB and c-Jun/AP-1 pathways [27-35].

However, our *in vitro* studies indicate that UA exert a significant but rather small pro-proliferative effect on PA-SMCs derived from iPAH patients and has no effect on control PA-SMCs. In order to explain these differences between control and iPAH PA-SMCs, we explore the expressions of XO and URATv1 and found an upregulation of OA and URATv1 in the smooth muscle layer of remodeled vessels in iPAH and in the two animal models of severe PH. Hypoxia, TNF, IFN-gamma, IL-6, IL-1, and dexamethasone are known modulators of XO expression and activity [36-39], but further studies are needed to identify the mechanisms underlying these XO and URATv1 upregulation in remodeled vessels in PAH. Our results indicate that pulmonary ECs derived from iPAH patients also exhibited higher intracellular UA levels together with elevated XO levels and lower URATv1 levels. Since high UA concentrations has been reported to modulate the amount of NO release and the expression eNOS protein, but also on the production of ROS, the secretion of inflammatory cytokines (IL-6 and TNF- α) and the expression of adhesion molecules (ICAM-1 and VCAM-1) in HUVECs and other EC types [40-42], further work is needed to investigate the role of UA in pulmonary endothelial dysfunction in PH/PAH.

To assess the role of elevated UA levels in the progression of PAH, we next treated MCT-injected rats with oxonic acid and studied the effect of mild hyperuricemia on the severity of experimental PH by assessing pulmonary hemodynamics and vascular remodelling. Our data indicate that oxonic acid-induced hyperuricemia did not aggravate the progression of MCT-induced PH in rats. A similar increase in the percentages of medial wall thickness and of muscularized distal pulmonary arteries were found in MCT-injected rats treated with OA compared to vehicle-treated MCT rats, supporting that *in vivo* high UA concentrations do not contribute to the MCT-induced vascular remodelling. Since no SuHx rats were treated with OA, we cannot exclude that oxonic acid-induced hyperuricemia would have accelerate vascular remodeling in SuHx rats. In line with this notion, we found that chronic administration of BBR in MCT-injected and SuHx rats mildly attenuate PH. BBR is a known uricosuric agent and a non-competitive inhibitor of XO [43]. In the MCT rat model, chronic BBR treatment partially prevented the increase in total pulmonary vascular resistance in MCT-injected rats, with a trend toward a decrease in mPAP and an increase in cardiac output. These data were consistent with the observation that chronic administration of BBR in SuHx rats with established PH leads to a trend

toward improvement in hemodynamic parameters and significant reductions in the percentages of medial wall thickness and of muscularized arterioles. However, recent evidence suggests that these observed beneficial effects of BBR treatment could be also due to inhibition of the Ca²⁺ activated Cl⁻ channel, TMEM16A [44]. BBR is indeed an inhibitor of TMEM16A at micromolar concentrations [45]. Recently, Papp *et al.* [44] have reported that chronic administration of BBR partially reverses PH in both the chronic hypoxia mouse and MCT rat models through inhibition of TMEM16A. Using cultured PAH PA-SMCs, these authors have demonstrated that BBR normalizes membrane potential and blocks the PDGF induced proliferation. Despite the fact that BBR has multiple modes of action, our results indicate that elevated UA has a negligible role on the pulmonary vascular remodelling in PAH.

In summary, we obtained several evidence showing that UA is increased in patients with PAH and correlated with survival after PAH therapies initiation and might be used as a noninvasive indicator of disease severity. Although experimental hyperuricemia did not influence pulmonary vascular remodeling in monocrotaline-injected rats, our findings indicate that production and metabolism of UA are disturbed in the wall of remodeled pulmonary arteries in MCT and SuHx rats as well as in iPAH patients.

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Legend of figures

Figure 1. Number of low risk criteria and survival according to serum uric acid (UA) level at baseline (A-C) and at first follow up (B-D) in incident patients with idiopathic, heritable or anorexigen-associated pulmonary arterial hypertension (PAH).

Figure 2. Increased expressions of xanthine oxidase (XO) and URATv1 in human pulmonary artery smooth muscle cells (PA-SMCs) in idiopathic pulmonary arterial hypertension (iPAH). (A) Representative images of XO (red) and URATv1 (red) in PA-SMCs (positive for alpha-smooth muscle actin (α -SMA); green) in lungs from control subjects and patients with iPAH (n=3-5). (B) Representative Western blots and quantification of the XO:GADPH and URATv1:GAPDH ratios in cultured PA-SMCs derived from control and iPAH patients (n=10-12). (C) Conditioned media from 24 h serum starved PA-SMCs were measured for secreted UA levels using a specific uric acid assay. Data are presented as mean \pm SEM (n=6-7). Comparisons were made using the nonparametric Mann-Whitney U test. * p-value < 0.05 *versus* control PA-SMCs. Scale bar = 100 µm in all sections. AU = arbitrary unit; DAPI = 4',6-diamidino-2-phenylindole.

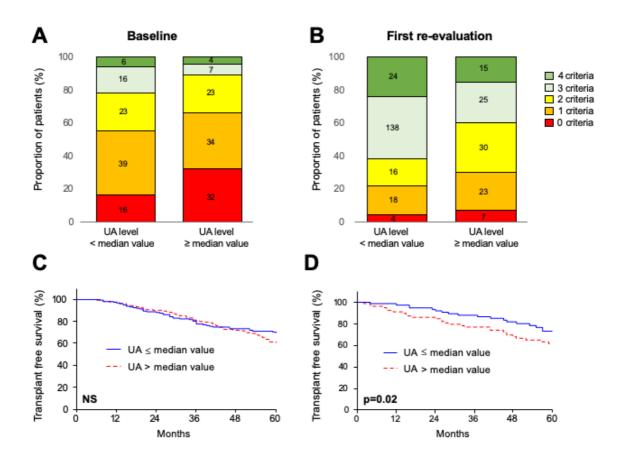
Figure 3. High uric acid (UA) concentrations promote cell growth in pulmonary artery smooth muscle cells (PA-SMCs) derived from patients with idiopathic pulmonary arterial hypertension (iPAH). (A) Proliferation of PA-SMCs derived from control subjects (n=4) and patients with iPAH (n=4) in response to increasing doses of uric acid (UA). Proliferation of iPAH PA-SMCs in response to 9 mg/dL of UA in presence of 30 μ M of BBR (B) or 48 hours after transfection with URATv1 siRNA or scrambled sequence (C) assessed by BrdU incorporation (n=7 and n=4, respectively). Data are presented as mean ± SEM. Comparisons were made using 1-way ANOVA with Tukey's post hoc tests. * p-value < 0.05; ** p-value < 0.01; *** p-value < 0.001; **** p-value < 0.0001 *versus* vehicle-treated cells. ## p-value < 0.01 *versus* PA-SMCs treated with 9 mg/dL of UA. Scale bar = 20 μ m in all sections. AU = arbitrary unit. ns = non significant.

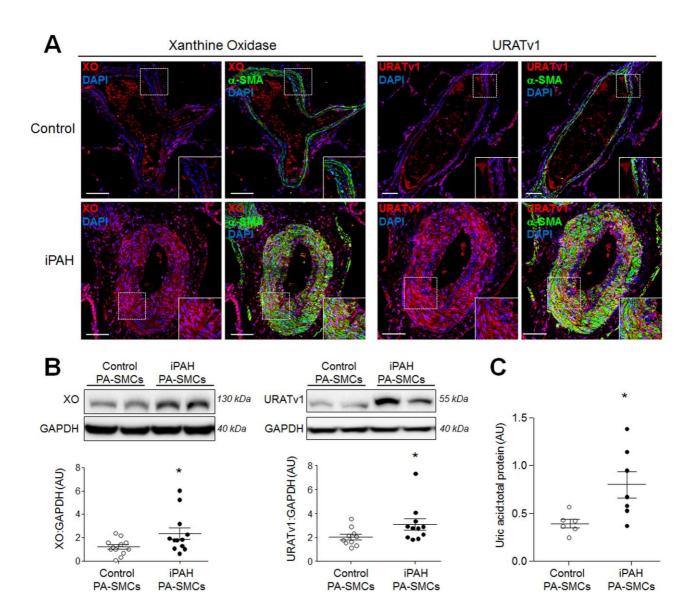
Figure 4. Hyperuricemia and increased lung expressions of xanthine oxidase (XO) and URATv1 in two animal models of pulmonary hypertension (PH). (A) Levels of uric acid (UA) in the serum of control animals, monocrotaline (MCT)-injected at various time points post-MCT injection (n=5 in all groups - one rat died prematurely at day 19 in the group Day-21). (B) Serum UA levels in control and sugen/hypoxia (SuHx) rats (n=13-16). (C) Representative images of XO (red) and URATv1 (red) in PA-SMCs (positive for alpha-smooth muscle actin (α -SMA); green) in lungs from control, MCT-injected and SuHx rats (n=3-5). Scale bar = 50 µm in all sections. (D-E) Representative Western blots and quantification of the XO:ß-actin and URATv1:ß-actin ratios in lungs of control, MCT-injected and SuHx rats (n=3-5). Horizontal lines display the mean ± SEM. Comparisons were made using 1-way ANOVA with Tukey's post hoc tests or the nonparametric Mann-Whitney U test. * p-value <0.05, ** p-value <0.01, *** p-value <0.001, **** p-value <0.001 compared with control. AU = arbitrary unit; DAPI = 4',6-diamidino-2-phenylindole.

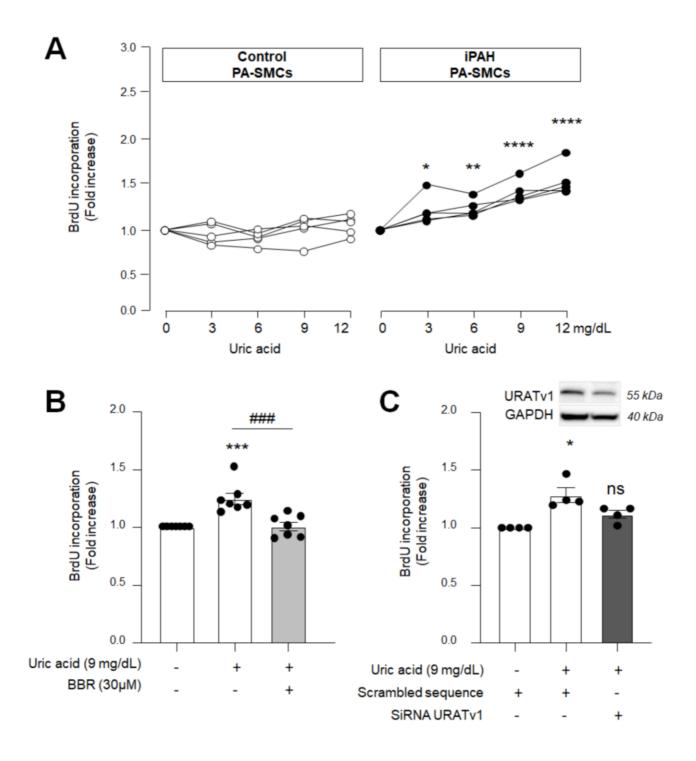
Figure 5. Oxonic acid-induced hyperuricemia did not aggravate the progression of pulmonary hypertension (PH)-induced by monocrotaline (MCT) in rats. (A) Experimental scheme and number of rats in each group. (B) Serum UA levels in control rats chronically treated with oxonic acid at Week-4 (n=6). (C) Values of acceleration time (AT)/ejection time (ET) ratio obtained by transthoracic echocardiography. (D) Values of mean pulmonary arterial pressure (mPAP), cardiac output, total pulmonary vascular resistance (PVR), and of Fulton index in control and MCT-injected rats treated with oxonic acid at the indicated doses (2 hemodynamic assessments in the group MCT+OA and 1 in the group of MCT+Vehicle are lacking due to complications related to right heart catheterization). (E) Representative images of haematoxylin and eosin (H&E)-, α -smooth muscle (SM) actin-stained sections of distal pulmonary arteries and (F) quantification of the percentage of wall thickness and of muscularized distal pulmonary arteries in lungs of control and MCT-injected rats treated with vehicle or oxonic acid. Scale bar = 20 µm in all sections. Values are means±SEM (n=4-9). Comparisons were made using 1-way ANOVA with Tukey's post hoc tests or the nonparametric

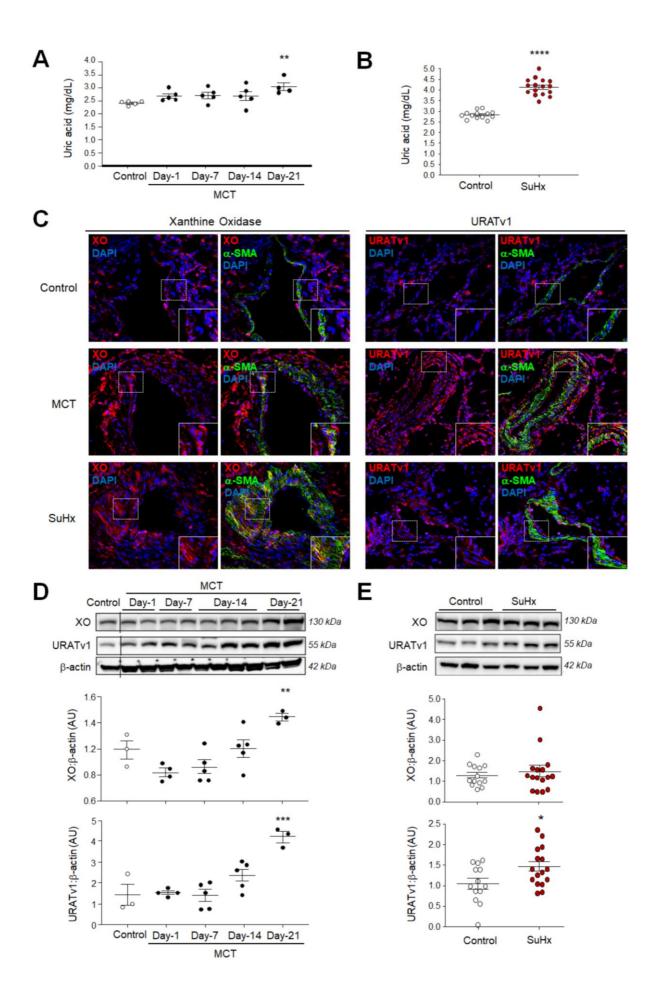
Mann-Whitney U test. ** p-value <0.01, **** p-value <0.0001 compared with control rats treated with vehicle. AU = arbitrary unit; NS = non significant.

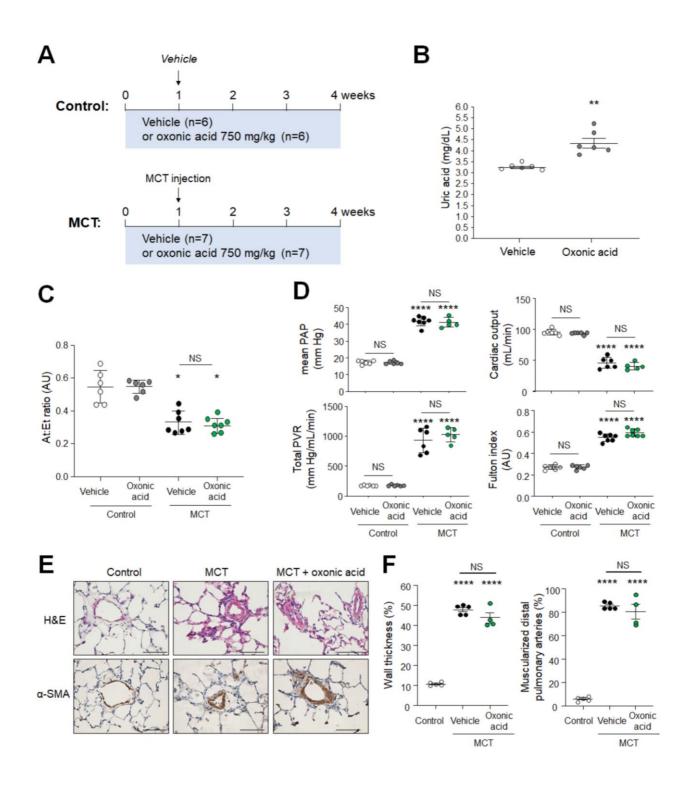
Figure 6. Efficacy of chronic treatment with benzbromarone (BBR) in the monocrotaline (MCT) and in Sugen/Hypoxia (SuHx) rat models of severe pulmonary hypertension (PH). (A) Experimental scheme and number of rats in each group. One rat died prematurely at day 20 in the group SuHx and BBR. (B) Serum UA levels in control rats chronically treated with BBR at the end of these protocols (n=4-7). (C) Values of acceleration time (AT)/ejection time (ET) ratio obtained by transthoracic echocardiography (n=4-7). (D) Values of mean pulmonary arterial pressure (mPAP), cardiac output, total pulmonary vascular resistance (PVR), and of Fulton index in control and MCT-injected and SuHx rats treated with BBR or vehicle. Representative images of haematoxylin and eosin (H&E)-, α -smooth muscle (SM) actin-stained sections of distal pulmonary arteries in lungs of control and MCT-injected (E) and SuHx (F) rats treated with vehicle or BBR (n=4-7). Scale bar = 20 µm in all sections. Values are means±SEM. Comparisons were made using 1-way ANOVA with Tukey's post hoc tests. * p-value <0.05, ** p-value <0.01, *** p-value <0.01, **** p-value <0.001 compared with control rats treated with vehicle. # p-value < 0.05, ## p-value < 0.01 versus vehicle-treated rats. AU = arbitrary unit; NS = non significant.

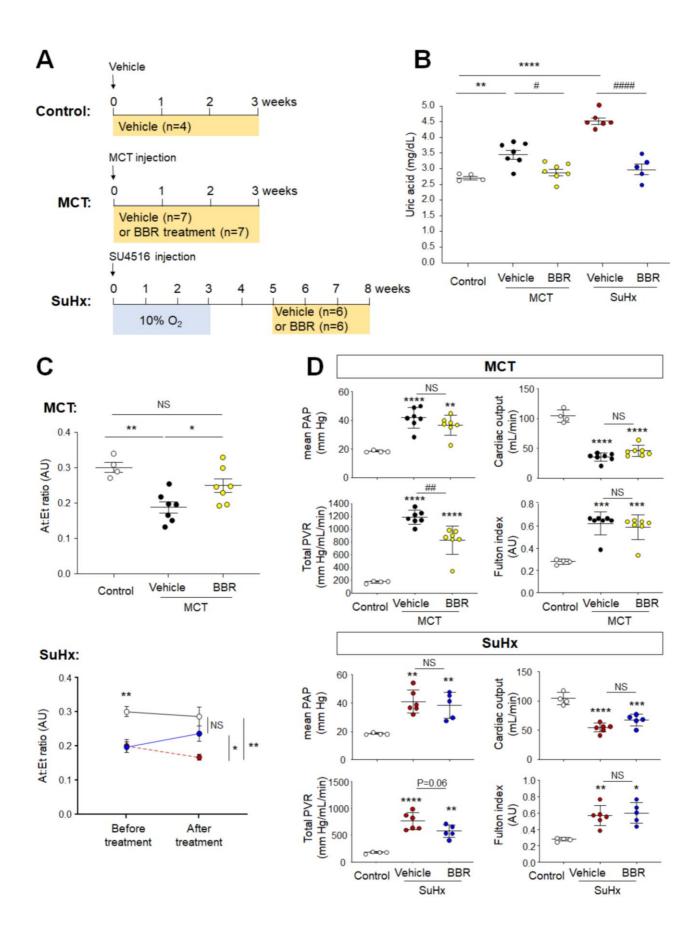


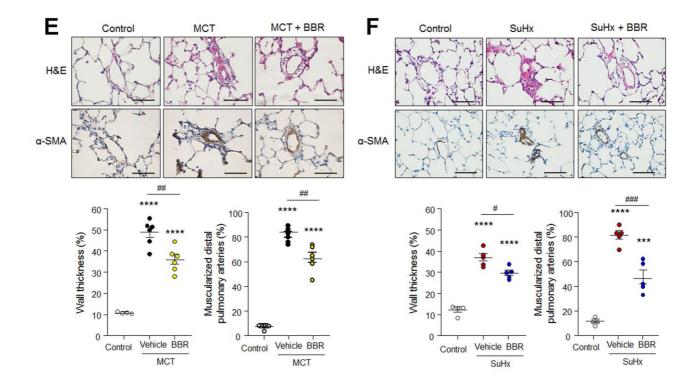












	Baseline			First re-evaluation			
	UA <	UA ≥	р	UA <	UA ≥	p	
	baseline	baseline	value	baseline	baseline	value	
	median value	median		median	median		
		value		value	value		
Number of patients, n	165	165		82	81		
Age, <i>years</i>	57±18	58±17	0.62	50±18	57±17	0.01	
Body Mass Index, kg.m ⁻²	27±6	29±7	0.03	27±6	29±7	0.06	
Hypertension, n (%)	76 (46)	82 (50)	0.5	28 (34)	43 (53)	0.01	
Diabetes, n (%)	10 (6)	25 (15)	0.007	7 (9)	24 (30)	<0.001	
NYHA-FC, <i>n (%)</i>							
1711	53 (30)	38 (19)	0.06	58 (71)	52 (64)	0.3	
III / IV	112 (70)	127 (81)		24 (29)	29 (36)		
6-MWD, m	339±127	297±142	0.005	427±128	359±134	0.001	
BNP ≥50 ng.L ⁻¹ or NT-	101/133 (76)	118/137 (86)	0.03	30/72 (42)	49/74 (66)	0.18	
proBNP ≥300 ng.L ⁻¹ , <i>n(%)</i>							
Hemodynamics							
RAP, <i>mmHg</i>	8±5	10±4	0.002	6±4	8±5	0.08	
Mean PAP, <i>mmHg</i>	50±13	50±11	0.76	42±12	44±10	0.24	
PAWP, <i>mmHg</i>	10±4	10±4	0.66	10±4	10±5	0.66	
CO, <i>L.min</i> -1	4.4±1.2	4.2±1.3	0.15	5.7±1.9	5.4±1.5	0.24	
CI, <i>L.min</i> -1 per m ²	2.5±0.6	2.3±0.6	0.006	3.2±0.9	2.9±0.8	0.10	
PVR, Wood Units	10±5	11±5	0.08	6.3±3.2	6.8±2.9	0.31	
PAH Therapies, n(%)							
Monotherapy	-	-	-	38 (46)	28 (36)	0.12	
Combotherapies	-	-		44 (54)	53 (64)		
Diuretics, n(%)	91 (55)	127 (77)	<0.001	46 (56)	60 (74)	0.03	

Table 1. Characteristics at baseline and first follow-up according to UA level.

Data are presented as mean ± SD.

Abbreviations: 6-MWD, 6 minutes-walk distance; BNP, brain natriuretic peptide; NT-proBNP, N-terminal pro hormone fragment; CI, cardiac index; CO, cardiac output; NYHA, Modified New York Heart Association functional class; PAP, pulmonary artery pressure; PAWP, pulmonary artery wedge pressure; PVR, pulmonary vascular resistance; RAP, right atrial pressure.

The baseline median value or UA level was 8.2 mg/dL (IQR; 6.9-9.7) in male and 7.3 mg/dL (IQR; 5.9-8.8) in female at baseline.

	Baseline		First re-evaluation		
	HR (95% CI)	p value	HR (95% CI)	p value	
Prognostic value of UA adjuste	d for age, sex, BMI and	comorbidities	; ;	-	
	n=328		n=154		
Uric acid, mg/dL	1.000 (0.999-1.002)	0.52	1.002 (1.000-1.005)	0.067	
Age, <i>years</i>	1.048 (1.034-1.062)	<0.0001	1.037 (1.016-1.058)	0.0004	
Sex, female vs male	0.671 (0.475-0.947)	0.0234	1.088 (0.617-1.918)	0.77	
BMI, kg.m ²	0.998 (0.968-1.029)	0.89	0.941 (0.896-0.989)	0.016	
Hypertension, yes vs no	1.036 (0.713-1.506)	0.85	1.664 (0.864-3.195)	0.13	
Diabetes, yes vs no	1.050 (0.591-1.866)	0.86	1.331 (0.649-2.725)	0.43	
Prognostic value of UA adjuste	d for hemodynamic vari	ables			
	n=314		n=153		
Uric acid, mg/dL	1.000 (0.999-1.002)	0.43	1.004 (1.001-1.006)	0.0019	
RAP, mmHg	1.034 (0.995-1.073)	0.09	0.991 (0.904-1.086)	0.842	
PAWP, mmHg, meters	0.985 (0.939-1.034)	0.54	1.089 (1.009-1.174)	0.028	
mPAP, mmHg	0.981 (0.965-0.997)	0.0177	1.005 (0.976-1.034)	0.76	
CI, I/min/m ²	0.861 (0.629-1.179)	0.35	0.603 (0.411-0.883)	0.0094	
Prognostic value of UA adjuste	d for non-invasive prog	nostic variabl	les		
	n=256		n=142		
Uric acid, mg/dL	1.000 (0.999-1.001)	0.98	1.001 (0.999-1.003)	0.45	
NYHA-FC, III-IV vs I-II	1.117 (0.683-1.828)	0.66	2.242 (1.274-3.952)	0.0051	
6 MWD, m	0.995 (0.994-0.997)	<0.0001	1.004 (1.001-1.006)	0.0021	
BNP or NTproBNP, high vs low	1.499 (0.805-2.785)	0.20	3.144 (1.433-6.896)	0.0043	
Prognostic value of UA adjuste	d for therapies	1	I	1	
	n=310		n=161		
Uric acid, mg/dL	1.001 (0.998-1.005)	0.30	1.003 (1.001-1.005)	0.004	
Diuretics, yes vs no	1.901 (0.562-6.493)	0.38	0.545 (0.282-1.057)	0.07	
Combotherapies, yes vs no	-	-	1.128 (0.651-1.952)	0.69	

Table 2. Multivariable analysis for baseline and follow-up factors and overall survival

Abbreviations: 6-MWD, 6 minutes-walk distance; BMI, body mass index; BNP, brain natriuretic peptide; NT-proBNP, N-terminal pro hormone fragment; CI, cardiac index; CO, cardiac output; NYHA, Modified New York Heart Association functional class; PAP, pulmonary artery pressure; PAWP, pulmonary artery wedge pressure; PVR, pulmonary vascular resistance; RAP, right atrial pressure.

For each model, the HR represent the HRs for each variable with adjustment for all other listed variables.

Online data supplement

Serum and Pulmonary Uric Acid in Pulmonary Arterial Hypertension

Running title: Savale et al.; Role of Urate in PAH

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Figure legend

Supplemental figure 1: Expressions of xanthine oxidase (XO) and URATv1 in human pulmonary endothelial cells (ECs) in idiopathic pulmonary arterial hypertension (iPAH). (A) Representative Western blots and quantification of the XO:GADPH and URATv1:GAPDH ratios in cultured pulmonary ECs derived from control and iPAH patients. (B) Conditioned media from 24 h serum starved pulmonary ECs were measured for secreted UA levels using a specific uric acid assay. Data are presented as mean \pm SEM (n=5-11). Comparisons were made using the nonparametric Mann-Whitney U test. * p-value < 0.05 *versus* control PA-ECs. AU = arbitrary unit.

Supplemental Figure 2: High uric acid (UA) concentrations promote reactive oxygen species (ROS) production in pulmonary artery smooth muscle cells (PA-SMCs) derived from patients with idiopathic pulmonary arterial hypertension (iPAH).

Representative images and quantification of the intracellular ROS generation using fluoregenic probes dihydroethidium (DHE) in control and iPAH PA-SMCs treated 30 minutes with UA at the indicated doses.

Supplemental Figure 3: (A) Values of mean pulmonary arterial pressure (mPAP), cardiac output, total pulmonary vascular resistance (PVR), and of Fulton index in control and MCT-injected rats **(B)** Representative images of α -smooth muscle (SM) actin-stained sections of distal pulmonary arteries and quantification of the percentage of wall thickness and of muscularized distal pulmonary arteries in lungs of control and MCT-injected rats. Scale bar = 20 µm in all sections. Values are means±SEM (n=4-5). Comparisons were made using 1-way ANOVA with Tukey's post hoc tests. * p-value <0.05, ** p-value <0.01, **** p-value <0.001 compared with control rats. AU = arbitrary unit.

Supplemental Figure 4: (A) Levels of uric acid (UA) in the serum of control animals, monocrotaline (MCT)-injected at Day-21 post-MCT injection (n=7-10). **(B)** Representative Western blots and quantification of the XO:GADPH and URATv1:GAPDH ratios in lungs of control, and MCT-injected rats (n=7-10). Horizontal lines display the mean \pm SEM. Comparisons were made using 1-way ANOVA with Tukey's post hoc tests/ * p-value <0.05, ** p-value <0.001, compared with control. AU = arbitrary unit.

	baseline		First re-evaluation	
	HR (95% CI)	P value	HR (95% CI)	P value
Age, years	1.049 (1.036-1.062)	< 0.0001	1.047 (1.029-1.065)	< 0.0001
Sex, female vs male	0.574 (0.490-0.803)	0.001	0.680 (0.404-1.145)	0.15
BMI, kg/m^2	1.007 (0.982-1.033)	0.58	0.976 (0.934-1.019)	0.27
Hypertension, yes vs no	0.535 (0.380-0.752)	0.003	3.344 (1.953-5.714)	< 0.0001
Diabetis, yes vs no	0.749 (0.436-1.284)	0.29	2.347 (1.312-4.201)	0.004
NYHA-FC, III-IV vs I-II	1.625 (1.072-2.462)	0.02	3.367 (2.008-5.649)	< 0.0001
6-min WD, <i>meters</i>	0.995 (0.994-0.997)	< 0.0001	0.994 (0.992-0.996)	< 0.0001
RAP, <i>mmHg</i>	1.025 (0.992-1.059)	0.14	1.062 (1.008-1.118)	0.02
PCWP, <i>mmHg</i>	0.991 (0.952-1.032)	0.66	1.065 (1.010-1.123)	0.02
mPAP, <i>mmHg</i>	0.987 (0.973-1.001)	0.07	1.014 (0.990-1.038)	0.27
Cardiac index, <i>l.min.m</i> ²	0.873 (0.658-1.158)	0.34	0.597 (0.405-0.880)	0.009
PVR, WU	0.997 (0.964-1.032)	0.88	1.073 (0.999-1.152)	0.05
BNP or NTproBNP, high vs low	2.088 (1.144-3.802)	0.016	5.882 (2.873-12.048)	< 0.0001
Uric acid, > median value	0.846 (0.603-1.186)	0.33	1.754 (1.039-2.967)	0.03
Uric acid, mg/dL	1.001 (1.000-1.002)	0.18	1.004 (1.002-1.006)	0.0003
Diuretics, yes vs no			2.381 (1.262-4.504)	0.007
PAH therapies, mono vs combo	-	-	0.769 (0.511-1.534)	0.66

Supplemental Table 1: univariate analysis for transplant-free survival

