

# Targeting Infrequent Driver Alterations in Non-Small Cell Lung Cancer

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1	Targeting Infrequent Driver Alterations in Non-Small Cell Lung Cancer					
2	Marie-Julie NOKIN <sup>1</sup> , Chiara AMBROGIO <sup>2,3</sup> , Ernest NADAL <sup>4*</sup> and David SANTAMARIA <sup>1*</sup>					
3	<sup>1</sup> University of Bordeaux, INSERM U1218, ACTION Laboratory, IECB, 33600 Pessac, France					
4	<sup>2</sup> Department of Molecular Biotechnology and Health Sciences, Molecular Biotechnology					
5	Center, University of Torino, Torino, Italy					
6	<sup>3</sup> Department of Medical Oncology, Dana-Farber Cancer Institute, Boston, MA 02215, USA					
7	<sup>4</sup> Department of Medical Oncology, Catalan Institute of Oncology, Clinical Research in Solid					
8	Tumors (CReST) Group, Oncobell Program, IDIBELL, L'Hospitalet, Barcelona, Spain					
9	* Corresponding authors					
10						
11	Address for correspondence					
12	Ernest Nadal: Department of Medical Oncology, Catalan Institute of Oncology, Clinical					
13	Research in Solid Tumors (CReST) Group, Oncobell Program, IDIBELL, Gran Via de l'Hospitale					
14	199, 08908 L'Hospitalet de Llobregat, Barcelona, Spain. E-mail: esnadal@iconcologia.net					
15	David Santamaria: University of Bordeaux, INSERM U1218, ACTION Laboratory, IECB, 2 Rue					
16	Robert Escarpit, 33600 Pessac, France. E-mail: d.santamaria@iecb.u-bordeaux.fr					
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18	Keywords: NSCLC, infrequent drivers, targeted therapy, drug resistance					
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#### 20 Abstract

21 The discovery of oncogenic driver mutations led to the development of targeted therapies with non-small cell lung cancer (NSCLC) being a paradigm for precision medicine in this 22 23 setting. Nowadays, the number of clinical trials focusing on targeted therapies for 24 uncommon drivers is growing exponentially, emphasizing the medical need for these 25 patients. Unfortunately, similar to what is observed with most targeted therapies directed against a driver oncogene, the clinical response is almost always temporary and 26 acquired resistance to these drugs invariably emerges. Here, we review the biology of 27 28 infrequent genomic actionable alterations in NSCLC as well as the current and emerging therapeutic options for these patients. Mechanisms leading to acquired drug resistance and 29 future challenges in the field are also discussed. 30

31

### 32 Glossary

Epithelial to mesenchymal transition (EMT): process by which epithelial cells lose their
 epithelial phenotype and gain mesenchymal properties.

MAPKsignaling pathway: signaling cascade (RAS-RAF-MEK-ERK) that mediates signals from
 cell surface receptors regulating cell growth, differentiation and survival.

Median progression-free survival (mPFS): length of time during and after the treatment that
 a patient lives with the disease and does not get worse.

Non-small cell lung cancer (NSCLC): major subtype of lung cancer (accounts for 80-90% of all
 lung cancers) comprising both squamous neoplasms and adenocarcinoma (LUAD).

41 **Objective/overall response rate (ORR)**: proportion of patients in a trial whose tumor is

42 significantly reduced by a drug.

43

#### 44 The challenge of targeted therapy in NSCLC driven by uncommon genetic alterations

The expanding spectrum of oncogenic driver mutations and clinically available signaling pathway inhibitors had a major impact on **non-small cell lung cancer** (**NSCLC**) (see Glossary) patients management in the last decade [1]. Cancer driver mutations not only initiate the disease but also sustain tumor progression and therefore their inhibition results in a therapeutic benefit. Driver oncogenes are often identified due to their recurrence in patients, a complicated feature when they appear with low prevalence as those covered in 51 this review. Yet the oncogenic nature and the addiction of cancer cells to this set of 52 infrequent drivers have been clearly established as well as their mutually exclusive pattern with other oncogenes. With the exception of BRAF, all belong to the receptor tyrosine kinase 53 (RTK) family and when in the oncogenic form constantly activate essential downstream 54 signaling pathways, including the MAPK (see Glossary), PI3K and JNK, resulting in sustained 55 growth, increased survival and enhanced dissemination. Recently, Food and Drug 56 Administration (FDA) has approved specific inhibitors targeting infrequent NSCLC oncogenic 57 drivers (ROS1, MET, RET, BRAF, and NTRK). Beyond those, promising preliminary data with 58 59 compounds targeting other emerging driver alterations (e.g. *HER2*) have been reported [2]. Used as first or latter lines of treatment (after standard chemotherapy and/or 60 immunotherapy), these targeted therapies have greatly increased patients' outcome [3-9]. 61 62 Unfortunately, as anticipated by the lessons learned from the clinical management of EGFRmutated and ALK-rearranged LUAD (Box 1), therapeutic resistance eventually appears in 63 most patients and, therefore, advanced NSCLC remains largely incurable (for review see 64 [10]). In the present review, we describe the biological relevance and the therapeutic 65 66 options of a series of infrequent drivers for which clinical treatments have been approved or are under evaluation. Likewise, the clinical management of on-target resistance is discussed 67 68 per driver while that due to bypass signaling is collectively addressed given its pan-driver conservation. 69

#### 70 **ROS1**

#### 71 Biological and clinical features

ROS1 is a conserved orphan RTK initially identified in glioblastoma and was the first 72 73 chromosomal rearrangement described in NSCLC [11]. Since then, several ROS1 breakpoints 74 have been described involving the entire ROS1 kinase domain and accounting for 1-2% of NSCLC. Twenty fusion partners (e.g. SLC34A2, EZR and SDC4) have been reported, among 75 which CD74-ROS1 is the most common [12-14]. Whether distinct partners are functionally 76 77 equivalent is unknown, although in vitro data indicates that they impose changes in subcellular localization affecting signaling and oncogenic properties [15]. Unlike ALK 78 79 rearrangements, most of the known ROS1 fusion partners lack constitutive dimerization domains and the mechanism of ROS1 oncogenic activation remains unknown. Along with 80 81 RET and NTRK, ROS1 rearrangements are associated with younger age at diagnosis, none or

low tobacco exposure and generally have adenocarcinoma histology [16, 17]. Diagnosis of ROS1 fusions by immunohistochemistry (IHC) is the most cost-effective method requiring confirmation by break-apart probe fluorescent *in situ* hybridization (FISH) or hybrid RNAbased next-generation sequencing (NGS) if the RNA quality is optimal [18, 19].

#### 86 Frontline targeted therapy

ROS1-positive lung cancer seems particularly sensitive to pemetrexed-based chemotherapy 87 [20]. The ALK inhibitor crizotinib was supposed to efficiently target ROS1-rearranged tumors 88 given that ROS1 and ALK belong to the insulin receptor superfamily [21] sharing >80% of 89 amino acid sequence within their ATP-binding sites. Furthermore, crizotinib binds with high 90 affinity to both kinases and inhibiting cell line proliferation. Indeed, this hypothesis was 91 corroborated in the clinic with crizotinib showing marked therapeutic effect in patients with 92 93 ROS1-rearranged NSCLC [22]. Accordingly, crizotinib, first approved for the treatment of ALKrearranged NSCLC, received FDA and European Medicines Agency (EMA) expanded approval 94 in 2016 for ROS1-rearranged NSCLC [22-26] (Figure 1 and Table 1). Similarly, in 2020, 95 entrectinib has obtained FDA and EMA approval in this tumor type [8, 27]. In addition, other 96 97 kinase inhibitors including ceritinib and lorlatinib have been evaluated in ROS1-positive crizotinib naïve NSCLC [28, 29] (Figure 1 and Table 1). 98

#### 99 Addressing on-target mechanisms of resistance

The accumulated knowledge after a decade of using RTKi for the treatment of ALK- and 100 101 EGFR-mutant LUAD (Box 1) anticipated that the selection of on-target mutations within the kinase domain would be the main mechanism of acquired resistance in ROS1 and the rest of 102 103 infrequent drivers covered in this review. Indeed, several mutations have been reported 104 affecting the solvent-front, the gatekeeper residue, the activation loop or the DFG motifs 105 and all are predicted to prevent drug binding due to steric hindrance. Figure 2A summarizes 106 the different on-target mutations identified and their functional analogy among the different 107 drivers together with ALK and EGFR for comparative purposes.

Fortunately, also guided by the successful clinical management of resistant disease in EGFRand ALK-mutant patients (**Box 1**), new generation inhibitors have been identified or purposely designed to combat drug resistance. **Figure 2B** summarizes pre-clinical and clinical data regarding the sensitivities of the most common secondary mutations to a panel of inhibitors for each driver. In the case of ROS1, resistance profiling studies using both *in vitro*  113 and in vivo models demonstrated that cabozantinib (and its structural analog foretinib) as 114 well as DS-6051b (a novel ATP-competitive ROS1/NTRK inhibitor) markedly inhibited the growth of ROS1 G2032R mutants [30-35]. Indeed, several case reports of ROS1-rearranged 115 LUAD patients resistant to crizotinib and ceritinib reported responses to cabozantinib [36, 116 37]. With similar efficacy, DS-6051b had better toxicity profile [38] and two ongoing clinical 117 trials are evaluating its efficacy in ROS1-rearranged NSCLC<sup>i,ii</sup>. In addition, repotrectinib (TPX-118 0005), a selective and highly potent TKI against ROS1, NTRK, and ALK, demonstrated potent 119 120 anti-proliferative activity against the G2032R and D2033N ROS1 mutations in cellular 121 inhibitory assays and xenografts [39]. This has been tentatively confirmed in a clinical setting (TRIDENT-1, NCT03093116) reporting that repotrectinib achieved tumor regression in 45% of 122 123 patients with ROS1-positive NSCLC resistant to prior TKI(s) [40, 41]. Interestingly, not all on-124 target mutations are equally sensitive to new generation inhibitors and patient stratification 125 will be required. Indeed, each TKI is structurally distinct with varying degrees of activity against the different resistance mutations, giving them unique activity profiles. This is 126 illustrated by lorlatinib that demonstrated promising activity in 40 patients with ROS1-127 128 positive NSCLC who progressed to crizotinib with an overall response rate (ORR, see Glossary) of 35% and a median progression-free survival (mPFS, see Glossary) of 8.5 129 130 months. While patients harboring K1991Q and S1986F ROS1 mutations achieved durable 131 responses to lorlatinib, none of the patients with the more frequent G2032R mutation did 132 benefit [29].

133

134 **NTRK** 

#### 135 **Biological and clinical features**

The Neurotrophic Tropomyosin Receptor Kinase (NTRK) genes (NTRK1, 2 and 3) encode 136 137 TRKA, TRKB and TRKC, respectively. They are activated by a family of four ligands (NGF, BDNF, NT-3 and NT-4) known as neurotrophins that display different affinities for each RTK 138 and play essential roles in the development and function of the nervous system. Activating 139 140 mutations in NTRK have been identified in NSCLC, yet their oncogenic role remains 141 controversial [42]. Similarly, activating splice variants and overexpression have been 142 reported in pan-cancer studies but, undoubtedly, the most frequent aberrations involve intra- and inter-chromosomal gene-fusions implicating NTRK that occur at a frequency below 143 144 0.4% in NSCLC. The biology of NTRK oncogenes has been comprehensively reviewed elsewhere [43, 44]. Of note, a *NTRK* fusion was the first non-*RAS* oncogene identified almost 40 years ago (indicated as OncD in [45]; for review see [46]). Of the three *NTRK*, pan-cancerrelated fusions more commonly affect *NTRK1* and *NTRK3*, and 48 different partners have been reported [47]. In lung, the fusions involve *NTRK1* and *NTRK3* with five and two distinct partners respectively, being *ETV6-NTRK3* the most prevalent [47, 48]. The NGS-based FoundationOneCDx test has just received FDA-approval for the identification of NTRK fusions.

#### 152 Frontline targeted therapy

Early phase basket trials of solid tumors harboring NTRK gene rearrangements were 153 performed with first-generation TKIs such as larotrectinib, which is selective for TRKA/B/C, 154 and entrectinib, which targets TRKA/B/C as well as ALK and ROS1. Both compounds have 155 156 demonstrated marked tumor-agnostic efficacy in pan cancer trials [6, 7] (Figure 1 and Table 157 **1**). Based on these results larotrectinib received accelerated FDA approval for the treatment of TRK fusion-positive cancers in 2018 followed by entrectinib one year later. Both drugs also 158 obtained histology-independent approval in solid tumors harboring NTRK rearrangements by 159 160 EMA. Ongoing trials may help to better estimate efficacy and duration of response in NSCLC.

#### 161 Addressing on-target mechanisms of resistance

162 Next-generation TRK inhibitors, such as selitrectinib (LOXO-195) and repotrectinib (TPX-163 0005), have been designed to combat on-target acquired resistance mutations and their 164 activity is being evaluated in phase I/II trials or compassionate use protocols for NTRK-165 altered cancers. Indeed, selitrectinib was developed as a second-generation TRK inhibitor 166 using modeling studies even before acquired resistance appeared in the clinic. An initial 167 evaluation performed on a first-in-human basis proved its activity against solvent-front and xDFG mutants inducing rapid tumor responses [49]. This has been extended in an ongoing 168 169 phase I/II trial upon enrolling patients with prior treatment with NTRK inhibitors<sup>iii</sup>. 170 Preliminary results indicate an ORR of 45% in patients with mutations affecting the kinase domain [50]. Similarly, repotrectinib evaluation in a phase I/II first-in-human dose-escalation 171 study (NCT03093116) demonstrated efficacy in patients harboring NTRK3 solvent front 172 173 resistant mutations [39]. Whether these next-generation TRK inhibitors will exert a similar 174 benefit in resistant LUAD patients with kinase-domain acquired mutations is still unknown.

- 175
- 176 **RET**

#### 177 Biological and clinical features

178 Unlike other RTKs, RET (<u>REarranged during Transfection</u>) does not directly bind to its ligands but requires an additional co-receptor. Indeed, ligands of the glial cell line-derived 179 neurotrophic factor (GDNF) family bind to one of the four receptors of the GDNF family 180 receptor- $\alpha$  (GFR $\alpha$ ), which subsequently allow RET dimerization, autophosphorylation and 181 activation [51]. Despite a few studies reporting concomitant genomic alterations (for review 182 see [52]), RET rearrangements are considered mutually exclusive with other alterations in 183 184 NSCLC indicating an independent carcinogenic role and have been identified in 1-2% of 185 cases. Chromosomal inversions or translocations involving RET results in a juxtaposition of its kinase domain and the coiled coil domain of the partner. Similar to the oncogenic activation 186 of ALK fusions, this coiled coil domain is responsible of the ligand-independent 187 188 homodimerization and constitutive RET activation [53]. In NSCLC, up to 12 fusion partners (e.g. CCDC6, NCOA4, TRIM33) have been described with the most frequent being KIF5B [54]. 189 190 Unlike ALK and ROS1 rearrangements, RET fusion genes cannot be adequately detected by 191 IHC due to low sensitivity and highly variable specificity [52]. Therefore, NGS is the most 192 accurate option as FISH and RT-PCR may lead to false negatives due to technical limitations.

#### 193 Frontline targeted therapy

194 Similar to ALK and ROS1-positive tumors, durable benefits have been obtained with pemetrexed-based chemotherapy in RET-rearranged NSCLC [55]. Considering the structural 195 similarities of both the kinase domain and the ATP-binding site with other RTKs [56], several 196 197 multi-kinase inhibitors (MKIs; e.g. vandetanib, cabozantinib, lenvatinib as well as alectinib, a 198 VEGFR-sparing MKI) were initially used for the treatment of patients bearing RET fusions (for 199 review see [52, 57, 58]) (Figure 1 and Table 1). Recently, more specific and potent RET 200 inhibitors are under investigation. These include pralsetinib (BLU-667) and selpercatinib 201 (LOXO-292), which have received FDA approval for RET-altered NSCLC [4, 5, 59-61] (Figure 1 202 and Table 1).

Treatment evolution of *RET*-driven disease is an illustrative example of the continuous need to design specific and potent inhibitors. New putative oncogenic fusions are being discovered [62, 63] that could also require initial treatment with less-efficient MKIs in parallel to the development of specific compounds.

207 Addressing on-target mechanisms of resistance

208 The differential response of various on target mutants has also been reported in RET-altered tumors. Whereas most MKIs are ineffective against resistant mutations, both ponatinib [64] 209 and AD80 [65] showed pre-clinical efficacy against specific mutations (V804 and G810). 210 Whether this may provide clinical benefit is under evaluation for ponatinib<sup>iv</sup>. In addition, 211 while pralsetinib displayed inhibitor activity against V804M/L and S904F mutations but failed 212 to inhibit G810 alterations [60], TPX-0046, a structurally different RET/SRC inhibitor, showed 213 potent in vitro and in vivo activity against the RET solvent-front mutation G810R and is 214 215 currently tested in a phase I/II trial<sup>v</sup>.

216

#### 217 **MET**

### 218 Biological and clinical features

219 In NSCLC, c-Mesenchymal-Epithelial Transition factor (c-MET) gene rearrangements, fusions and somatic mutations have been identified but are rare [66]. MET overexpression, 220 221 however, is frequent in unselected NSCLC patients although its clinical value is unclear as this 222 criterion is largely dependent on the antibody assay and the determination of a positive 223 threshold. MET amplification is also a common mechanism of resistance to EGFR-TKIs in NSCLC. Anyhow, increased expression is considered a causative driver only if co-occurring 224 225 with clinically relevant MET genomic alterations like MET gene amplification or mutations resulting in exon 14 skipping (MET $\Delta$ 14). This alteration results in a MET protein lacking the 226 juxtamembrane domain with extended half-life after ligand stimulation and is considered an 227 228 oncogenic driver. *MET*<sup>14</sup> is predominantly associated to sarcomatoid carcinoma histology 229 [67, 68] and detected in 2-4% of NSCLC patients while MET amplification affects 230 approximately 2% of LUAD.

Several MET inhibitors, including TKIs and monoclonal antibodies against MET or its ligand HGF have been assessed in NSCLC (for review see [69, 70]). Various agents have reached phase III trials and failed in patients with *MET* alterations, illustrating the need for better stratification upfront. Indeed, a significant fraction of patients display co-existing oncogenes and only a small fraction of highly amplified tumors may actually be *bona-fide* MET-addicted cases, which are associated to more effective responses to targeted therapies [71].

#### 237 Frontline targeted therapy

238 Crizotinib received FDA approval for ALK and ROS1 NSCLC patients but was initially developed as a MET inhibitor [72]. Significant clinical activity was reported in patients 239 harboring META14 alterations including complete responses. Yet, the ORR of crizotinib in 240 this cohort was lower compared to those achieved with targeted therapies for other NSCLC 241 drivers [73] (Figure 1 and Table 1). Neither variations in the mutation type or splice-site 242 243 region nor the MET copy number appear to be major differentiating response factors, so the underlying causes of the heterogeneous clinical outcome are unknown. Novel and highly 244 245 selective type Ib MET inhibitors such as tepotinib and capmatinib have been evaluated in phase II trials [9, 74] (Figure 1 and Table 1). In general, META14 tumors display lower initial 246 247 response rates to TKIs compared to other RTK drivers in NSCLC. This could be due to high rate of co-existing genomic alterations inducing both MAPK and PI3K pathway activation 248 249 resulting in innate/primary resistance [75, 76].

#### 250 Addressing on-target mechanisms of resistance

251 In the case of MET-resistant disease, mutations like those affecting the Y1230 and D1228 residues may predict response to type II MET inhibitors such as cabozantinib and glesatinib 252 253 [77]. Others, including substitutions implicating L1195 or F1200, may not be sensitive to 254 treatment with these compounds as they preclude binding to the DFG-out pocket [75]. In a similar study, 7 out of 20 (35%) MET∆14 patients who progressed to MET TKI had on-target 255 kinase domain mutations (H1094, G1163, L1195, D1228, Y1230) or elevated MET 256 amplification. Cabozantinib has also been shown to be active against the D1228V mutation 257 258 with concomitant MET amplification [78].

259

#### 260 HER2/ERBB2

#### 261 Biological and clinical features

Human epidermal growth factor receptor 2 (HER2), also called ERBB2, is one of the four members of the EGFR family. It remains an orphan receptor activated by heterodimerization with other ERBB family members (for review see[79]). In NSCLC, HER2 dysregulations can be caused by gene amplification, protein overexpression and mutations. Despite the efficacy of anti-HER2 agents in breast and gastric cancer, clinical interest on HER2-positive NSCLC was dampened when the first clinical trials evaluating trastuzumab, an anti-HER2 humanized antibody reported negative results [80, 81]. In parallel, HER2 kinase domain mutations were 269 identified in NSCLC patients not associated with HER2 amplification/overexpression [82, 83]. 270 These results were further confirmed [84] indicating that HER2 amplification and mutations represent two distinct oncogenic drivers and potential therapeutic targets. These findings, 271 coupled with the encouraging results of TKIs in EGFR mutants, launched the clinical 272 evaluation of anti-HER2 agents specifically in NSCLC patients bearing HER2 mutations (90 % 273 274 of them are located in exon 20). HER2 exon 20 alterations comprise point mutations (e.g. L755S, V777L and G776C) or, more frequently, insertions [85]. The most prevalent (over 275 276 80%) is a 12 base pair in-frame insertion causing duplication of amino acids YVMA at codon 277 775 (A775 G776insYVMA) [86]. Mutations in exon 20 are analogous to EGFR exon 20 mutations and lead to HER2 constitutive activation [87-89]. Overall, HER2 mutations account 278 279 for 2-4% of the NSCLC cases and tend to be women and light/never smokers with LUAD 280 histology [85].

#### 281 *Frontline targeted therapy*

282 HER2 targeted therapy is not yet standard of care in NSCLC and, currently, chemotherapies 283 remain the main strategy. Patients with HER2-mutant LUAD, especially YVMA insertion, 284 demonstrated a markedly inferior response to first line pemetrexed-based chemotherapy compared to those harboring ALK/ROS1 rearrangements, which strengthen the need for 285 286 effective anti-HER2 agents [90]. Retrospectives studies displayed encouraging disease 287 control rates in NSCLC patients bearing HER2 exon 20 insertions treated with HER2-targeted 288 drugs [91, 92]. Several clinical studies have been carried out or are ongoing using both anti-289 HER2 antibodies and small molecules (for review see [2]) (Figure 1 and Table 1). Among 290 these compounds, poziotinib, a pan-HER TKI, has structural features that can circumvent the 291 steric changes induced by exon 20 insertions and increase its affinity compared to larger TKIs 292 [93]. Next-generation TKIs with increased selectivity towards the EGFR and HER2 mutants 293 (such as TAK-788) are being developed to limit the toxicity associated to wild-type EGFR 294 inhibition [94, 95]. In addition, trastuzumab and its antibody-drug conjugate, trastuzumab-295 emtansine (T-DM1), showed mixed results in the clinic due in part to the molecular 296 heterogeneity of HER2-altered NSCLC (for review see [2]). Trastuzumab-deruxtecan (DS-297 8201a) showed promising efficacy among NSCLC patients with HER2 exon 20 mutations [96] 298 (Figure 1 and Table 1). In this context, patients with HER2-mutated NSCLC often develop brain metastases with disease progression and trastuzumab would be inefficient due to its 299

inability to cross the blood-brain barrier. Therefore, monitoring and treatment of CNS
 metastases may require specific approaches.

302

#### 303 **BRAF**

#### 304 Biological and clinical features

305 BRAF, together with ARAF and CRAF, constitute the RAF family of serine/threonine kinases, a core component of the MAPK cascade. Since the first evidence in 2002 [97], almost 200 306 307 distinct BRAF mutations have been reported in around 8% of cancers. In NSCLC, they 308 represent 1.5-3.5% of the cases and affect the kinase domain, either in the glycine-rich loop 309 in exon 11 or in the activation segment in exon 15, resulting in increased ERK signaling (for review see [98]). Clinical characteristics associated with BRAF mutations in lung cancer vary 310 311 without obvious segregation in specific parameters, except the significant association with 312 LUAD histology. Most patients with BRAF mutations are smokers, although patients with 313 V600 mutations are more likely to be light/never-smokers compared with patients with non-314 V600 mutations [99-102]. BRAF mutations as well as HER2 and MET alterations are generally 315 well covered by NGS gene panels. BRAF mutants are classified in three categories [103]. Class 1 (V600) and class 2 (G464, G469, L597 and K601) mutants are mutually exclusive, RAS-316 317 independent and signal constitutively as active monomers or active dimers, respectively. Class 3 (e.g. G466 and D594) have impaired/null kinase activity and activation of 318 downstream signaling is RAS- and CRAF-dependent and sensitive to ERK-mediated feedback. 319 320 In this case, RAS is generally activated by RTK signaling [103]. Notably, expression of an 321 endogenous class 3 BRAF mutant triggers LUAD in mice, indicating that kinase-inactive BRAF 322 isoforms are oncogenic drivers [104].

#### 323 Frontline targeted therapy

Experience with *BRAF*-mutant melanoma has heavily influenced the management of NSCLC. Targeted therapy has evolved from BRAF monotherapy to combined BRAF/MEK inhibition showing superior efficacy and favorable safety profile in *BRAF* V600E-mutant NSCLC [3, 105-109] (**Figure 1 and Table 1**). The combination of dabrafenib plus trametinib has received both FDA and EMA approval for the treatment of metastatic NSCLC harboring the *BRAF* V600E mutation regardless of previous therapies. Another pair of BRAF and MEK inhibitors, encorafenib and binimetinib, is under investigation and has shown encouraging CNS activityin a patient with *BRAF* V600E-mutated LUAD [110].

Next-generation RAF inhibitors have been reported to be active in BRAF-mutant NSCLC in 332 the clinic (for review see [111]). Notably, the pan-RAF inhibitor PLX8394 selectively disrupts 333 both BRAF homo- and BRAF-CRAF heterodimers [112, 113]. Furthermore, a phase I study 334 evaluating ulixertinib, a first-in-class ERK inhibitor, reported partial responses in NSCLC 335 patients bearing both V600 and non-V600 mutations as well as CNS activity [114]. In 336 337 addition, BRAF-mutant NSCLC is likely to have high level of PD-L1 expression [115]. When 338 treated with immune checkpoint inhibitors, no significant differences in ORR and mPFS were reported among V600E and non-V600E mutants. The optimal therapeutic sequence has yet 339 to be established in both V600 and non-V600 (Box 2) BRAF-mutated LUAD patients. 340

341

#### 342 Signaling bypass activation and other resistance mechanisms

In accordance with *EGFR*- and *ALK*-driven NSCLC (**Box 1**), the development of resistance to targeted therapies in lung cancer bearing infrequent alterations also implicates signaling bypass tracks, although the underlying molecular mechanisms are less understood. The activation of bypass pathways described so far in both preclinical and clinical studies are depicted for each uncommon driver in **Figure 3**.

Alterations affecting the RAS-MAPK pathway or upstream receptors are the most frequent. 348 349 Notably, EGFR [116-119] and HER2 [120, 121] activating mutations or amplification have 350 been reported in ROS1- and MET-altered patients after progressing to crizotinib. 351 Interestingly, EGFR activation may not only contribute to the resistant phenotype by 352 supporting bypass signaling but also by protein-protein interactions that reactivate druginhibited ROS1 [118]. EGFR signaling has also been identified as a critical adaptive survival 353 354 mechanism to RET [118, 122, 123] and NTRK [118] blockade in NSCLC preclinical models. 355 Beside EGFR and HER2, other RTKs have been associated to drug resistance in lung cancer. Particularly, MET amplification has been identified in afatinib-resistance cell lines harboring 356 HER2 alterations [124], in pralsetinib resistant RET-rearranged tumors [125] and in 357 358 entrectinib resistant NTRK-rearranged biopsies [126]. Furthermore, FGFR autocrine pathway 359 activation through FGF1 secretion was shown to be associated with increased sensitivity to 360 FGFR inhibitor in resistant BRAF V600E LUAD [127].

361 In addition, KRAS and NRAS mutations on codons 12 and 61 have been identified both in 362 preclinical models of resistance to MKIs in RET-altered cells [123] and in MET, NTRK and BRAF-altered patients after progressing to frontline targeted therapies [126, 128-132]. 363 Similarly, MEK1 activating mutations were described in case reports of BRAF V600E NSCLC 364 365 after progression to dabrafenib and trametinib [133] as well as in NTRK-rearranged patients after TKIs treatment [126]. Furthermore, case-reports highlighted BRAF V600E mutation or 366 amplification in post-treatment biopsies of ROS1, NTRK and META14-altered NSCLC patients 367 [126, 129, 134, 135]. Intriguingly, RTK-RAS-MAPK activating mutations tend to be mutually 368 369 exclusive in primary tumors, a finding justified due to functional redundancy and lack of selective advantage. However, several studies have demonstrated that synthetic toxicity due 370 371 to excessive signaling could equally underlie oncogene incompatibility [136-138]. In this 372 context, intermittent therapy resulting in excessive signaling proves to be advantageous in 373 drug resistant melanoma harboring co-existing oncogenes [139]. Yet, in NSCLC, this 374 approach could only be applied to certain oncogenic combinations [140]. Additional clinical 375 support from both prospective and retrospective studies is required to validate this 376 therapeutic strategy.

Another frequently altered pathway upon TKI treatment is PI3K-AKT-mTOR. Indeed, activating mutations of *PIK3CA* have been reported in both *ROS1*-altered [135, 141] and *HER2* exon 20-mutated patients [142] after progression to targeted therapies.

380 Finally, other alterations potentially contributing to drug resistance have been reported such 381 as KIT [143] and c-myc [144] in preclinical models of ROS1 and MET-altered NSCLC, 382 respectively. Additionally, acquired amplification of MDM2, which encodes the E3 ubiquitin-383 protein ligase MDM2, has been identified in RET-rearranged cabozantinib-resistant patients [145]. Likewise, the Hippo pathway with its effector YAP has been recognized as a 384 385 mechanism of resistance to BRAF and MEK targeted therapy in both preclinical and clinical 386 samples bearing BRAF V600 mutations [146]. Yet, given their low prevalence, their clinical significance requires further confirmation. 387

Importantly, the activation of bypass signaling pathways often results in the acquisition of vulnerabilities that could be therapeutically exploited. In a recent study, novel activating MAPK alterations (deletions of several nucleotides in *MAP3K1* and *MAP2K1* as well as *NF1* loss-of-function mutations) were acquired in patients harboring *ROS1*-rearranged tumors being treated with ROS1 inhibitors. Cells bearing these alterations are resistant to ROS1-TKIs

393 but sensitive to a combination of ROS1 and MEK inhibitors *in vitro* and *in vivo* [147]. 394 Importantly, a *MET* $\Delta$ 14-altered patient who acquired a *KRAS* gain after crizotinib treatment 395 achieved clinical response upon addition of trametinib [75].

The identification of PIK3CA or PTEN mutations suggests that activation of the PI3K-AKT-396 397 mTOR pathway could represent an acquired vulnerability and supports the potential use of mTOR inhibitors for the treatment of resistant NSCLC. In a phase I clinical trial, a BRAF 398 399 V600E-mutated NSCLC patient who progressed to single-agent dabrafenib and multiple lines of chemotherapy achieved a partial response with the combination vemurafenib plus 400 401 everolimus, a mTOR inhibitor [148]. Preliminary results from a clinical trial (NCT01582191) combining vandetanib and the mTOR inhibitor everolimus showed significant activity in RET-402 403 positive NSCLC [149] as well as CNS responses [150]. Overall, combined inhibition of 404 uncommon drivers and bypass signaling pathway (either with mTOR inhibitors or MEK 405 inhibitors) could be a promising therapeutic strategy that should be assessed in resistant patients lacking on-target resistance mutations. 406

Finally, phenotypic changes such as **epithelial to mesenchymal transition (EMT)** (see Glossary) has been reported in one ROS1 crizotinib-resistant patient lacking resistant *ROS1* mutations or alternative pathway mutations [117]. EMT along with acquisition of cancer stem cell-like properties have been identified in both TKI resistant *MET-* and *HER2*alteredcell lines [124, 151]. No cases of histological transformation to a small-cell lung cancer (SCLC), a phenomenon observed in EGFR and ALK TKI-resistant tumors, has been reported so far in the context of uncommon drivers.

414

#### 415 **Concluding remarks and future perspectives**

Alongside EGFR- and ALK-driven NSCLC, patients bearing infrequent drivers display limited 416 417 benefit from targeted therapies due to the onset of resistance. Despite the growing body of 418 evidence about their clinical management many key knowledge gaps remain (see Outstanding Questions). First, lung cancers genomes display substantial heterogeneity and 419 420 complex branching evolution that can be influenced by treatment-imposed selective pressure [152-154]. The understanding of the subclonal origin of resistance in the context of 421 rare drivers will require deep sequencing studies of either multiregional biopsies or single 422 tumor cells that are currently missing. This information could help develop tailored 423 treatments to tackle resistance by anticipating the emergence of on-target or bypass 424

425 mechanisms. Interestingly, recent preclinical evidence has demonstrated that enhanced 426 therapeutic benefit and delayed onset of resistance upon multiple low dosing of different nodes within the same pathway [155]. Beside targeted therapies, these patients may benefit 427 from combining chemotherapy and immunotherapy, but further information is needed. 428 Recent retrospective studies highlighted that immune checkpoint inhibitors may provide an 429 effective option for NSCLC patients with certain infrequent alterations, especially in those 430 associated with smoking such as BRAF mutations [115, 156, 157]. Future clinical trials should 431 determine the ideal regimen of combination therapies including dosing and nature of 432 433 targeted agents, anti-angiogenic drugs and/or chemo plus immunotherapy. When defining the ideal clinical regimen caution should be applied if transposing information from other 434 435 cancer types harboring the same infrequent driver. For example, the extended survival upon 436 combinatorial treatments in BRAF V600E mutant melanoma has also been achieved in LUAD patients. However, the therapeutic response of colorectal carcinoma patients bearing the 437 same alteration was disappointing due to EGFR-mediated intrinsic resistance [158]. In any 438 case, an ideal clinical regimen would require radiological and molecular monitoring of 439 440 disease progression. Resistance mechanisms could be captured by non-invasive methods like liquid biopsy [119, 125, 159] (see Outstanding Questions). A recurrent and important 441 442 problem is the occurrence of brain metastases, thereby requiring the use of compounds with good intracranial activity. In conclusion, a better understanding of the alterations underlying 443 444 the development of resistance and the design of tailored treatments will allow delaying 445 tumor progression and achieving improved clinical outcomes in NSCLC patients bearing 446 infrequent alterations.

447

#### 448 Box 1. Targeting EGFR and ALK alterations in NSCLC

*EGFR* mutations occur in 15% of NSCLC, being in-frame deletion in exon 19 (19Del) or L858R mutation the most frequent. Both first-generation (erlotinib and gefitinib) and secondgeneration (afatinib) inhibitors demonstrated more durable responses than chemotherapy [160-164], receiving FDA-approval as first-line treatments in 2013 and 2014. In almost half of patients treated with these compounds, resistance is mediated by the T790M gatekeeper mutation (equivalent to those described in *ROS1*, *RET* and *NTRK*, **Figure 2A**). Thirdgeneration EGFR inhibitors such as osimertinib demonstrated impressive response in patients with EGFR T790M-positive NSCLC after progression on early-generation TKIs,
receiving FDA-approval in 2017 [165]. Due to its ability to spare wild-type EGFR, inhibit EGFR
19Del/L858R/T790M and CNS penetration, osimertinib is approved as first-line treatment
since 2018 [166]. Unfortunately, new resistance mechanisms have emerged like the tertiary
C797S mutation affecting the covalent binding of the drug [167, 168] or the development of
T790 wild-type clones [169].

462 ALK rearrangements are observed in 5% of NSCLC, being EML4-ALK the most prevalent. At 463 least 15 EML4-ALK variants have been identified so far, with some expressed as multiple 464 isoforms [170]. All contain the ALK entire intracellular kinase domain (exons 20-29) but differ in the breakpoint with the EML4 gene. First-generation crizotinib received FDA approval in 465 466 2011, becoming the first approved ALK inhibitor for NSCLC [171]. Almost one third of crizotinib-treated patients acquire resistance by kinase domain mutations, being L1196M 467 gatekeeper and G1269A mutations the most frequent. In 2014, second-generation ALK 468 inhibitor ceritinib was approved after confirmation of its efficacy in both crizotinib-naïve and 469 470 crizotinib-resistant patients with L1196M and G1269A/S mutations [172, 173]. In 471 approximately half of cases, resistance is caused by emergence of additional ALK mutations, 472 the most common being the solvent-front substitution G1202R. Therefore, two other second-generation ALK inhibitors - alectinib and brigatinib - were granted accelerated 473 FDA approval for ALK-positive patients who had failed on crizotinib treatment, 474 demonstrating superior inhibitory profile against all known 17 secondary ALK mutations 475 476 [174, 175]. Both alectinib and brigatinib were later approved also as first line therapy in treatment-naïve patients, although alectinib has become the preferred agent. Recently, the 477 478 third-generation ALK/ROS1 inhibitor lorlatinib, specifically designed to target resistance 479 mutations and to penetrate the blood-brain barrier, received accelerated FDA approval for the treatment of patients with ALK-rearranged advanced NSCLC after progression on 480 crizotinib and at least one other ALK inhibitor [176]. The phase III trial CROWN comparing 481 lorlatinib with crizotinib as first-line therapy is currently ongoing<sup>vi</sup>. 482

#### 483 Box 2. BRAF non-V600 mutations in NSCLC

484 While more than 90% of *BRAF*-altered melanoma bear a V600 mutation, *BRAF*-positive 485 NSCLC are equally divided in V600 and non-V600 mutations and, therefore, represent one of 486 the cancers with the higher proportion of non-V600 mutations. The tumor-initiating 487 potential of many of the rare BRAF mutations has yet to be demonstrated in vivo. In addition, data demonstrating clinical activity of MAPK-directed therapies in non-V600 BRAF-488 mutant lung cancers are lacking. Therefore, there is currently no consensus on how to 489 optimally manage patients with class 2 and 3 BRAF mutations. In the EURAF retrospective 490 series that included 6 NSCLC patients with BRAF mutations other than V600E, only one 491 patient (G596V) achieved a partial response to Vemurafenib [105]. These findings are 492 consistent with the statement that class 2 and 3 mutants signal as RAF dimers rather than 493 494 monomers [103], making them resistant to the BRAF monomer inhibitors. However, in vitro, both class 2 and 3 mutated cell lines are sensitive to MEK inhibition [177]. Additionally, the 495 combination of BRAF and MEK inhibitors enhanced MAPK inhibition and cell proliferation 496 reduction in both class 2 and 3 BRAF-mutant NSCLC preclinical models compared to MEK 497 498 inhibitor alone [178-181]. Interestingly, oncogenic signaling triggered by class 3 BRAF mutants depends on a variety of RTKs that should be identified per patient [103]. 499 Alternatively, the inhibition of SHP2, a scaffold protein downstream of RTKs promoting RAS 500 501 activation, or the use of pan-RTK inhibitors (such as afatinib) could be considered [182]. 502 Incidentally, concomitant SHP2 and BRAF/MEK inhibition showed synergistic effects in a class 3 BRAF-mutant cell line [183]. Additionally, allosteric inhibitors targeting the 503 504 heterodimer interface between BRAF class 3 mutants with CRAF may represent an alternative approach [184]. Further studies are required to (1) precise the molecular 505 mechanisms of each BRAF mutants (i.e. homo versus heterodimer), (2) define the 506 507 importance of the additional molecular determinants that may play a role in modulating 508 therapeutic response (i.e. expression level of CRAF and concomitant genetic alterations) and 509 (3) identify the most promising strategies with potential drug combination to achieve 510 successful clinical response in patients with non-V600 BRAF mutations.

### 511 Competing interests

E. N. participated in advisory boards from Bristol Myers Squibb, Merck Sharpe & Dohme,
Lilly, Roche, Pfizer, Takeda, Boehringer Ingelheim, Amgen and AstraZeneca. E. N. has
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524

### 525 <u>Resources</u>

- 526 <sup>i</sup> <u>https://clinicaltrials.gov/ct2/show/NCT02279433</u>
- 527 <sup>ii</sup> <u>https://clinicaltrials.gov/ct2/show/NCT02675491</u>
- 528 <sup>iii</sup> <u>https://clinicaltrials.gov/ct2/show/NCT03215511</u>
- 529 <sup>iv</sup> <u>https://clinicaltrials.gov/ct2/show/NCT01813734</u>
- 530 <sup>v</sup> <u>https://clinicaltrials.gov/ct2/show/NCT04161391</u>
- 531 vi https://clinicaltrials.gov/ct2/show/NCT03052608
- 532

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# **Table 1: Comparative clinical efficacy of targeted therapies in** *ROS1, MET, RET, NTRK, HER2*

# 1064 and BRAF-altered lung cancers.

Driver	Drug	Clinical trial identifierª/name (phase)	NSCLC, n	ORR, %	mPFS, months	Intracranial disease control. n (%)	FDA approval	Refs
		EUROS1 (retrospective)	32	80	9.1			[24]
	Crizotinib	NCT00585195/PROFILE 1001 (I)	50	72	19.2		2016	[22]
		NCT01945021 (II)	127	71.7	15.9			[25]
		NCT02183870/EUCROSS (II)	34	70	20			[26]
		NCT02034981/AcSé (II)	78	47.2				[23]
	Ceritinib	NCT01964157 (II)	32 (30 crizotinib naïve)	62 (overall) and 67 (naïve)	9.3 (overall) and 19.3 (naïve)	5/8 (63%)		[28]
ROS1	Lorlatinib	NCT01970865 (I/II)	40 (previously treated) and 21 (TKI naïve)	35 (previously treated) and 62 (naïve)	8.5 (previously treated) and 21 (naïve)	Previously treated: 12/24 (50%) Naïve: 7/11 (64%)		[29]
	Entrectinib	NCT02097810/STARTRK-1 (I), NCT02568267/STARTRK-2 (II) and EudraCT 2012– 000148–88/ALKA-372-001 (I)	53	77	19	11/20 (55%)	2019	[8, 27]
	Crizotinib	NCT00585195/PROFILE 1001 (I)	65	32	7.3			[73]
	Tepotinib	NCT02864992/VISION (II)	99	46	8.5	6/11 (55%)		[74]
MET	Capmatinib	NCT02414139/GEOMETRY mono-1 study (II)	69 (previously treated) and 28 (naïve)	41(previously treated) and 64 (naïve)	5.4 (previously treated) and 12.4 (naïve)	12/13 (92%)	2020	[9]
		UMIN000010095/LURET (II)	19	47	4.7			[185]
	vandetanib	NCT01823068 (II)	17	18	4.5			[186]
	Cabozantinib	NCT01639508 (II)	25	28	5.5			[187]
	Lenvatinib	NCT01877083 (II)	25	16	7.3			[188]
	Cabozantinib, vandetanib, sunitinib, sorafenib, alectinib, lenvatinib, nintedanib, ponatinib or regorafenib	GLORY (retrospective)	53	26	2.3			[54]
RET	RXDX-105	NCT01877811 (I/Ib)	31 (RET inhibitor naïve)	19 (0% with KIF5B- RET and 67% with non-KIF5B partners)				[189]
	Alectinib	UMIN000020628 (II) and NCT03131206 (II)	ongoing					
	Pralsetinib	NCT03037385/ARROW (I/II)	116 (26 naïve)	65 (overall) and 73 (naïve)		5/9 (56%)	2020	[5]
		NCT04222972/AcceleRET (III)	ongoing					
	Selpercatinib	NCT03157128/LIBRETTO- 001 (I/II)	105 (previously treated) and 39 (naïve)	64 (previously treated) and 85 (naïve)	16.5 (previously treated)	10/11 (91%)	2020	[4]
		NCT04194944/LIBRETTO-	ongoing					
<u> </u>		431 (III)	10	20 (				102
	Larotrectinib	NCT02122913 (I)	12	88 (pan cancer)			2018	[6]
		NC102576431 (II)	ongoing					
NTRK	Entrectinib	INCTU2097810/STARTRK-1 (I), NCT02568267/STARTRK-2 (II) and EudraCT 2012–	10	57 (pan cancer)	11.2 (pan cancer)		2019	[7]

		000148-88/ALKA-372-001						
		(1)						
	Afatinib	Retrospective	10	33				[190]
		Retrospective	23	13				[191]
		NCT02369484/NICHE (II)	13	53.8	15.9			[192]
	Dacomitinib	NCT00818441 (II)	26	12	3			[193]
	Neratinib	NCT01953926/SUMMIT (II)	26	4	5.5			[194]
HER2	Pyrotinib	NCT02535507 (II)	15	53.3	6.4			[195]
		NCT02834936 (II)	ongoing					
	Moborcetinib (TAK-788)	NCT02716116 (I/II)	21					[95]
	Poziotinib	NCT03066206 (II)	12	42	5.6			[93 <i>,</i> 196]
	Trastuzumab- deruxtecan	NCT03505710/DESTINY- Lung 01 (II)	42	61.9	14			[96]
	Vemurafenib, dabrafenib or sorafenib	European EURAF (retrospective)	35	53	5			[105]
	Vemurafenib	NCT01524978/VE-BASKET (II)	62 (8 naïve)	37.1 (overall), 37 (previously treated) and 37.5 (naïve)	6.5 (overall), 6.1 (previously treated) and 12.9 (naïve)			[107]
		NCT02304809/AcSé (II)	101	44.8	5.2			[106]
BRAF	Dabrafenib	NCT01336634 (II)	78 (previously treated) and 6 (naïve)	33 (previously treated) and 67 (naïve)	5.5 (previously treated)			[108]
	Dabrafenib and trametinib	NCT01336634 (II)	57 (previously treated) and 36 (naïve)	63.2 (previously treated) and 64 (naïve)	10.2 (previously treated) and 10.9 (naïve)		2017	[3, 109]
	Encorafenib and binimetinib	NCT03915951/PHAROS (II)	ongoing					
	Ulixertinib	NCT01781429 (I)	12 (BRAFi/MEKi naïve)	25		1/12 (8%)		[114]
	LXH254 and LLT462	NCT02974725 (I)	ongoing					

# 1066 <sup>a</sup>www.clinicaltrials.gov or www.umin.ac.jp

1068 Figure legends

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Figure 1: Comparative clinical efficacy of targeted therapies in *ROS1, MET, RET, HER2* and *BRAF*-altered lung cancers. Each circle on the plot represents the efficacy of the indicated inhibitor inpatients for each specific driver (indicated with the different colors). Inspired by Figure 5 in Drilon A. and colleagues [57]. X and Y axis represent the objective response rate and the median progression-free survival duration, respectively, as reported from phase I and II clinical trials (see main text and Table 1 for details and references).

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1077 Figure 2: Schematic representation of the on-target resistance mutations and activity profile of kinase inhibitors targeting these specific resistance mutations among the 1078 1079 different oncogenic drivers in NSCLC. A. The different types of mutations affecting the 1080 solvent-front, the gatekeeper residue, the activation loop or the DFG motifs are represented. 1081 Most resistance mutations seen in uncommon driver oncogenes have analogous resistance 1082 mutations identified in EGFR and ALK. B. Blue boxes indicate kinase inhibitor for which anti-1083 tumoral activity against the indicated mutants has been reported in preclinical models (preclinical validation). Orange boxes designate kinase inhibitor that failed to show any 1084 1085 inhibitory activity in vitro and/or in vivo (preclinical invalidation). Green boxes highlight reports of clinical activity (tumor shrinkage) while red dots indicate agents that failed to 1086 1087 achieve an objective response in patients. Asterisks (\*) indicate FDA approved drugs. 1088 Abbreviations: GK, gatekeeper; SF, solvent-front; AL, activation loop. References [36, 60, 75, 1089 117, 120, 129, 197-207].

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Figure 3: Signaling pathways driving resistance to targeted therapies in *ROS1* (A), *RET* (B) and *NTRK* (C) fusions-positive NSCLC and *MET* (D), *HER2* (E) and *BRAF* (F)-mutated NSCLC. Oncogenic drivers and their downstream signaling pathways are represented in blue and green, respectively. On-target resistance mutations as well as histological transformation are indicated (boxes). Protein alterations that have been implicated in the resistance to targeted agents are shown in orange. Potential therapeutic strategies are also highlighted in red.

# **Outstanding questions**

- Is it ethical to have an approved targeted treatment against an uncommon driver and not implement the diagnostic tests as routine to identify the patients?
- Are large randomized clinical trials comparing targeted agents with platinum-based chemotherapy needed in patients with rare oncogenic drivers?
- How could we design and validate combination treatments in the clinic that would outperform single agent therapies? Could we develop in parallel predictive biomarkers for stratifying patients?
- How can we improve the early identification of emergent on-target mutations? Could multiple samplings along the treatment through liquid biopsies and circulating DNA represent an alternative option to monitor resistance onset?
- Could concomitant low dosing therapies targeting different effectors within the RTK-RAS-MAPK pathway be implemented in the clinic?

# <u>Highlights</u>

- Lung cancer is responsible of around 1.8 million deaths per year worldwide and is widely the leading cause of cancer-related mortality.
- The development of specific inhibitors is currently extending to less common oncogenic drivers (i.e. *ROS1, MET, RET, NTRK, HER2* and *BRAF*) with an exponential growth of dedicated clinical trials.
- While, individually, each of these drivers appears with low prevalence, altogether, they account for 15% of all lung cancer cases, thereby affecting a large population of patients worldwide.
- Similar to what is observed with most targeted therapies directed against an oncogenic driver, the initial clinical response to targeted kinase inhibitors is almost always temporary and acquired resistance to these drugs invariably emerges, restricting their clinical utility.
- The characterization of intrinsic and acquired resistance relies on tissue or liquid biopsy upon disease progression. However genomic testing is not always capturingthe underlying mechanism of resistance.
- Both on-target and off-target resistance mechanisms to targeted therapies could be tackled in the clinic but the design of the most effective treatment still requires further evaluation.





