

## The Nucleus Reuniens Controls Long-Range Hippocampo–Prefrontal Gamma Synchronization during Slow Oscillations

Maëva Ferraris, Antoine Ghestem, Ana F Vicente, Lauriane Nallet-Khosrofian, Christophe Bernard, Pascale P. Quilichini

## ▶ To cite this version:

Maëva Ferraris, Antoine Ghestem, Ana F Vicente, Lauriane Nallet-Khosrofian, Christophe Bernard, et al.. The Nucleus Reuniens Controls Long-Range Hippocampo–Prefrontal Gamma Synchronization during Slow Oscillations. Journal of Neuroscience, 2018, 38 (12), pp.3026-3038. 10.1523/JNEUROSCI.3058-17.2018. inserm-02537083

## HAL Id: inserm-02537083 https://inserm.hal.science/inserm-02537083

Submitted on 8 Apr 2020  $\,$ 

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# The Nucleus Reuniens Controls Long-Range Hippocampo-prefrontal Gamma Synchronization during Slow Oscillations

# Maëva Ferraris,<sup>1</sup> Antoine Ghestem,<sup>1</sup> Ana F. Vicente,<sup>1</sup> Lauriane Nallet-Khosrofian,<sup>1,2</sup> Christophe Bernard,<sup>1\*</sup> and Pascale P. Quilichini<sup>1\*</sup>

<sup>1</sup>Aix Marseille Univ, INSERM, INS, Inst Neurosci Syst, Marseille, France, and <sup>2</sup>Division of Vascular Neurology and Rehabilitation, Department of Neurology, University Hospital Zürich, Zürich, 8057, Switzerland.

Gamma oscillations are involved in long-range coupling of distant regions that support various cognitive operations. Here we show in adult male rats that synchronized bursts of gamma oscillations bind the hippocampus (HPC) and prefrontal cortex (mPFC) during slow oscillations and slow-wave sleep, a brain state that is central for consolidation of memory traces. These gamma bursts entrained the firing of the local HPC and mPFC neuronal populations. Neurons of the nucleus reuniens (NR), which is a structural and functional hub between HPC and mPFC, demonstrated a specific increase in their firing before gamma burst onset, suggesting their involvement in HPC-mPFC binding. Chemical inactivation of NR disrupted the temporal pattern of gamma bursts and their synchronization, as well as mPFC neuronal firing. We propose that the NR drives long-range hippocampo-prefrontal coupling via gamma bursts providing temporal windows for information exchange between the HPC and mPFC during slow-wave sleep.

*Key words:* gamma oscillations; hippocampus; long-range synchronization; nucleus reuniens; prefrontal cortex; slow oscillations

#### **Significance Statement**

Long-range coupling between hippocampus (HPC) and prefrontal cortex (mPFC) is believed to support numerous cognitive functions, including memory consolidation occurring during sleep. Gamma-band synchronization is a fundamental process in many neuronal operations and is instrumental in long-range coupling. Recent evidence highlights the role of nucleus reuniens (NR) in consolidation; however, how it influences hippocampo-prefrontal coupling is unknown. In this study, we show that HPC and mPFC are synchronized by gamma bursts during slow oscillations in anesthesia and natural sleep. By manipulating and recording the NR-HPC-mPFC network, we provide evidence that theNRactively promotes this long-range gamma coupling. This coupling provides the hippocampo-prefrontal circuit with a novel mechanism to exchange information during slow-wave sleep.

Received Oct. 23, 2017; revised Jan. 12, 2018; accepted Jan. 17, 2018.

Author contributions: C.B. and P.P.Q. designed research; M.F., A.G., A.F.V., L.N.-K., and P.P.Q. performed research; M.F. and P.P.Q. analyzed data; M.F., C.B., and P.P.Q. wrote the paper.

The authors declare no competing financial interests.

\*C.B. and P.P.Q. contributed equally to this work.

Correspondence should be addressed to Dr. Pascale P. Quilichini, Institut de Neuroscience des Systèmes (INS), Inserm U1106, Aix Marseille Université, 27 bd Jean Moulin, Marseille 13005, France. E-mail: pascale.quilichini@univ-amu.fr.

DOI:10.1523/JNEUROSCI.3058-17.2018

## Introduction

Slow-wave sleep is important for memory consolidation (Rasch and Born, 2013). Recently acquired memories in the hippocampus (HPC) can be replayed during the periods of large, synchronous oscillations (0.1–4 Hz) that characterize slow-wave sleep (Steriade et al., 1993). Hippocampal sharp wave-ripples (SPW-Rs; 80–250 Hz bursts that can be observed during slow-wave sleep and quiescent states) provide a way to exchange information between

the HPC and the cortex (Buzsáki, 2015), in particular with the medial prefrontal cortex (mPFC), which is directly involved in memory consolidation (Siapas and Wilson, 1998; Maingret et al., 2016; Latchoumane et al., 2017). Other rhythms may act as vectors of information during slow-wave sleep, such as gamma band oscillations (30-90 Hz), which are ubiquitous in the brain (Buzsáki and Wang, 2012). Neuronal gamma-band synchronization has been proposed as a fundamental process in cognitive operations, including those during sleep (Fries, 2009; Valderrama et al., 2012; Cardin, 2016; Sohal, 2016). The time window provided by a gamma cycle (10-30 ms) is optimal for information exchange between partner neurons (Csicsvari et al., 2003; Buzsáki and Wang, 2012; Cardin, 2016), as it can entrain neurons from downstream regions (Peyrache et al., 2011). Whether gamma oscillations play a role in information exchange between the HPC and mPFC is not known. Gamma rhythms are mostly local phenomena (Csicsvari et al., 2003; Fries et al., 2007), therefore long-range synchronization between locally generated gamma

oscillations may be an efficient way to ensure communication between the HPC and mPFC (Buzsáki and Schomburg, 2015; Fries, 2015). Before investigating whether such an information exchange can occur between the HPC and mPFC, it is necessary to first prove that synchronized gamma activities exist between the two structures and that cell behavior during these gamma events fulfills criteria for information exchange. This constitutes the first goal of our study. We focus on slow oscillations, such as those found during slow-wave sleep, because gamma oscillations finely organize neuronal activity in conjunction with slower timescales (Isomura et al., 2006; Buzsáki and Wang, 2012; Buzsáki and Schomburg, 2015). Our second goal is to determine a possible mechanism of long-range gamma synchronization between the HPC and mPFC. Although the HPC projects directly to the mPFC, the time delays imposed by the distance between the two regions may disrupt coupling (Buzsáki and Wang, 2012).

The nucleus reuniens (NR), a thalamic midline nucleus, is ideally posed to synchronize HPC and

mPFC activities (Herkenham, 1978; Hoover and Vertes, 2012; Varela et al., 2014). The NR is bidirectionally connected to the HPC and mPFC (Vertes et al., 2007; Hoover and Vertes, 2012), and numerous studies have demonstrated that the three regions form a network involved in mnemonic processes and consolidation (Dolleman-van der Weel et al., 2009; Loureiro et al., 2012; Wheeler et al., 2013; Xu and Südhof, 2013; Pereira de Vasconcelos and Cassel, 2015; Hallock et al., 2016; Ali et al., 2017). Recent studies show that NR neurons' firing participates in fear memory generalization (Wheeler et al., 2013; Xu and Südhof, 2013), working memory (Davoodi et al., 2009; Hembrook et al., 2012; Hallock et al., 2013, 2016; Duan et al., 2015; Griffin, 2015), and spatial navigation (Jankowski et al., 2014, 2015; Ito et al., 2015). The bidirectional projection of the NR to HPC and mPFC led to the hypothesis that the activity of NR neurons could influence the finer time scale of the interactions between these regions (Varela et al., 2014; Pereira de Vasconcelos and Cassel, 2015). However, how NR neuronal firing shapes HPC-mPFC interactions is not known. To address the possibility that NR neurons are involved in gamma synchronization between HPC and mPFC, we recorded and manipulated the NR-mPFC-HPC circuit during slow oscillations under anesthesia, which resembles sleep patterns and provide long periods for analysis. We confirmed the gamma synchronization during natural slow-wave sleep and show that NR neurons control long-range gamma synchronization between the HPC and mPFC during slow oscillations.

## **Materials and Methods**

#### Ethics

All experiments were conducted in accordance with Aix-Marseille Université and Inserm Institutional Animal Care and Use Committee guidelines. The protocol was approved by the French Ministry of National Education, Superior Teaching, and Research, approval number 01451-02. All surgical procedures were performed under anesthesia and every effort was made to minimize suffering.

#### Experimental model and subject details

A total of 16 rats were used in this study. In seven male Wistar Han IGS rats (250–400 g; RRID:RGD\_2308816; Rats A–G) data were simultaneously collected from the mPFC, NR, and HPC (CA1 SP) under anesthesia. In four other anesthetized rats (Rats H–K), both NR and HPC (CA1) were recorded simultaneously. In an additional group of three rats (Rats L–N), a local injection of a fluorophore-conjugated muscimol was delivered in the NR and data from the mPFC and the HPC (CA1) was simultaneously

acquired. Finally, only the mPFC and the HPC (CA1) were recorded during natural sleep in one adult male Long–Evans rat (Rat O;

RRID:RGD\_2308816), and one adult male rat (Wistar Han IGS; Rat P). All the animals were maintained on a 12 h light/dark schedule with lights off at 8:00 pm.

#### Animal surgery

Rats (A to N) were anesthetized with urethane (1.5 g/kg, i.p., Sigma-Aldrich) and ketamine/xylazine (20 and 2 mg/kg, i.m.) with additional doses of ketamine/xylazine (2 and 0.2 mg/kg) given during the electrophysiological recordings. Heart rate, breathing rate, pulse distension and arterial oxygen saturation were also monitored with an oximeter (MouseOX; StarrLife Sciences) during the duration of the experiment to ensure the stability of the anesthesia and monitor the vital constants. The head was secured in a stereotaxic frame and the skull was exposed and cleaned. Two miniature stainless-steel screws, driven into the skull above the cerebellum, served as ground and reference electrodes. Up to three craniotomies were performed to target, from bregma; the pre-limbic area of the medial prefrontal cortex (mPFC) at +3 mm AP and +0.8 mm ML; the CA1 field of the intermediate hippocampus (HPC) at -5.6 mm AP and +4.3 mm ML; and the nucleus reuniens (NR) at -1.8 mm AP and -2 mm ML. These coordinates were chosen with respect to the known anatomical connectivity between mPFC, HPC and NR (Dolleman-Van der Weel et al., 1997). The recap list below informs on the structures targeted, the devices used and their depth (DV coordinates from brain surface):

In rats A to G, we recorded the mPFC (Edge 5mm 32-site silicon probe at [-2.5 -3.1] mm to reach layer 5; NeuroNexus Technologies), NR (Edge 10mm 32-site silicon probe at -7.2 mm with a 15.5° ML angle; NeuroNexus Technologies) and HPC (50µm tungsten electrode at -2.2 mm to reach CA1 stratum pyramidale).

In rats H to K, we recorded the NR (same as previously in rats A to G) and HPC (linear 6mm 32-site or Edge 5mm 32-site silicon probe (NeuroNexus Technologies) at [-2.8 -3.0] mm placed perpendicularly to the CA1 field from *stratum oriens* to *stratum lacunosum moleculare*).

In rats L to N, we recorded the mPFC (same as in rats A to G) and HPC (same as for rats H to K), while the NR was infused with the fluorophore TMR-X conjugated GABAA agonist, BODIPY-Muscimol (Invitrogen), which has similar electrophysiological and behavioral effects as the classic form of Muscimol. Injection of Muscimol (GABAa agonist) induces an inactivation of neurons without targeting neuronal processes en-passant (Allen et al., 2008; Cholvin et al., 2013). The injection needle was inserted in the NR (using the same DV coordinates as the probes) and 0.70 nmol of muscimol in 0.3  $\mu$ l of PBS (Cholvin et al., 2013) was delivered over 60s through a micropump (UltraMicroPump, WPI). The needle was left in place for 3 additional minutes to allow for adequate diffusion of the drug, then carefully removed.

All implanted devices were mounted on individual stereotaxic manipulator and lowered independently using a motorized descender (IVM, Scientifica). The on-line positioning of the electrodes was refined by using the presence of unit activity in cell body layers and the presence of ripples (100-200 Hz) in *stratum pyramidale*.

Rats O and P were anesthetized using only isoflurane 2% in 11/min of O2. The surgical procedure (Csicsvari et al., 2003) was the same as described above except that recording electrodes were mounted on a custom-built (rat O) or commercial (rat P, Cambridge Neurotech) microdrives fixed on the skull and secured in a copper-mesh hat. In rat O, the

mPFC and HPC (CA1) were simultaneously recorded with a  $50\mu$ m tungsten electrode (same coordinates as previously described) and a Poly2 5mm 32-site silicon probe (NeuroNexus Technologies) (as described in rats H to K), respectively. In rat P, mPFC and HPC were recorded with two-shank 6mm 32-site silicon probes (Cambridge Neurotech) (as described in rats L to N). They were progressively moved until they reached their targets and then adjusted every day to optimize yield and stability. A camera was placed next to the cage to monitor sleep and the video signal was synchronized with electrophysiological recordings.

#### Data collection and initial analysis

The extracellular signals were amplified (1000x), bandpass filtered (1 Hz to 5 kHz) and acquired continuously at 32 kHz with a Digital Lynx (Neuralynx) (20 kHz with Amplipex for Rat 0) at 16-bit resolution. Raw data was preprocessed al., using NEUROSUITE (Hazan et 2006) (http://neurosuite.sourceforge.net/; RRID:SCR\_008020). The signals were down-sampled to 1250Hz for the local field potential (LFP) analysis. Spike sorting was performed automatically, using KLUSTAKWIK (Harris et al., 2000) (http://klustakwik.sourceforge.net/; RRID:SCR\_008020; RRID:SCR 014480), followed by manual adjustment of the clusters, with the help of auto-correlogram, crosscorrelogram and spike waveform similarity matrix with software KLUSTERS (Hazan et al.. 2006)(RRID:SCR\_008020). After spike sorting, the spike features of units were plotted as a function of time, and the units with signs of significant drift over the period of recording were discarded. Moreover, only units with clear refractory periods and well-defined cluster were included in the analyses. Recording sessions were divided into brain states of theta and slow oscillations periods. The epochs of stable theta or slow oscillation periods were visually selected from ratios of the whitened power in the theta band (3-6 Hz) and the power of neighboring bands (1-3, 7-14 Hz) of CA1 stratum pyramidale LFP and from the ratio of the whitened power in the slow oscillation band (0.5-2 Hz) and the power of neighboring band (20-30 Hz) of mPFC layer 5 LFP, respectively and were assisted by visual inspection of the raw traces (Quilichini et al., 2010).

#### Single unit identification

Neurons were assigned as "NR neurons" by determining the approximate location of their somata relative to the recordings sites (with the largest- amplitude unit corresponding to the putative location of the soma), the known distances between the recording sites, the histological reconstruction of the recording electrode tracks and subsequent estimation of the recording sites. The neurons recorded from sites located near the close contour of the NR were discarded. Neurons located at a minimal distance of 200µm of the NR border and located within contours of the ventromedian, submedian or anteromedian thalamic nuclei were classified as "other thalamic neurons" and used in the analysis presented in Figures 5 and 6. Using this method, 126 of the 237 recorded units were classified as "NR neurons", and 46 of the 237 units as "other thalamic neurons".

Burst index denotes the propensity of neurons to discharge in bursts. We estimated the amplitude of the burst spike auto-correlogram (1 ms bin size) by subtracting the mean value between 40 and 50 ms (baseline) from the peak measured between 0 and 10 ms. Positive burst amplitudes were normalized to the peak and negative amplitudes were normalized to the baseline to obtain indexes ranging from -1 to 1. Neurons displaying a value of 0.6 were considered bursting.

Putative principal cells and interneurons identification; Putative principal cells and interneurons were separated based on their auto-correlograms, waveforms and mean firing rates (Quilichini et al., 2010). The combination of trough-to-peak latency ("half-width"), the asymmetry index of the filtered (0.8 kHz – 5 kHz) spike waveform and firing rate provided the best separation between putative principal cells and interneurons. We used the hyperplane that divided the population in two to separate units into putative interneurons and putative pyramidal cells (Figure 3B). No attempt was made to distinguish between the many interneuron classes.

#### Histological analysis

At the end of the recording, the animals were injected with a lethal dose of Pentobarbital Na (150mk/kg, i.p.) and perfused intracardially with 4% paraformaldehyde solution in 0.12 M phosphate buffer (PB), pH 7.4. The brains were removed and postfixed at 4°C overnight. They were then rinsed in PB, and sliced into 60 µm-thick coronal sections by a Vibratome. The position of the electrodes was revealed by the presence of DiIC18(3) (Interchim), which was applied on the back of the electrodes before insertion and confirmed histologically on Fluorescent Nissl-stained sections (NeuroTrace 500/5225 Green Fluorescent Nissl Stain, Invitrogen). Only experiments with the appropriate position of the electrodes were used for analysis. For the inactivation experiments, the fluorescent muscimol allows us to visualize the spread of the drug in the NR on Fluorescent Nissl-stained sections and only experiments with the correct target sites were included in data analysis.

#### Spectral analyses

Spectral analyses were performed on pre-whitened LFPs using direct multitaper estimates (Quilichini et al., 2010). Slow oscillation periods analyzed had a median duration of 70 min for rats A to K (minimum duration = 43 min, maximum duration = 99 min), and median duration of 12 min of natural slow wave sleep for rats O and P (minimum duration = 3 min, maximum duration = 31 min). In inactivation experiments, SO epochs of 30 min (15 min after the injection, see (Edeline et al., 2002)) were used for comparing spectra of control and muscimol LFPs. For spectral analysis of oscillatory patterns, we used a modified version of the multitaper FFT MATLAB package by Mitra and Pesaran (Mitra and Pesaran, 1999): FFT window size of 4 s, three to five tapers, frequency bins = 0.15 Hz, no overlap between successive windows, time bandwidth = 3 (Mitra and Pesaran, 1999; Quilichini et al., 2010). The coherence between the LFPs in mPFC and HPC was assessed by spectral methods using custom-written MATLAB (RRID:SCR\_001622 and RRID:SCR\_008020) scripts. We used the previously defined "comodugram" analysis (Buzsáki et al., 2003; Isomura et al., 2006; Quilichini et al., 2010; Sullivan et al., 2011) to assess the power-power correlation of HPC and mPFC LFPs for each pair of frequencies between 30 to 150 Hz using the FFT parameters detailed previously. The correlation coefficients between normalized spectral power values of the respective signals at all pairs of frequencies were calculated with the "corrcoef.m" MATLAB function.

To quantify SO phase-gamma amplitude coupling, the power of the gamma frequency band (30-90 Hz) was calculated in short (50-100 ms) overlapping windows, correlated with the instantaneous phase of SO ( $20^{\circ}$  bins), obtained from the Hilbert transformation of the 0.5-2 Hz filtered LFP and initially checked for circular uniformity (Von Mises distribution).

Gamma bursts were detected from HPC CA1 *stratum pyramidale* and mPFC layer 5 LFPs with the MATLAB plugin RippleLab (Navarrete et al., 2016) (https://github.com/BSP-Uniandes/RIPPLELAB;

RRID:SCR\_015876) using the short line length detector (frequency range =  $[30\ 90]$  Hz, filter window = 30 ms for each 3-min epoch, threshold percentile =  $[65\ 80]$ , minimum event duration = 90 ms). Automatically detected events were individually reviewed and refined manually. The normalized peak power and mean frequency of each detected burst was extracted from the wavelet decomposition.

Synchronized gamma bursts were defined as all HPC and mPFC events showing latencies greater than the ones obtained by chance (nonparametric significance test based on jittering of event timings, see section Statistics), e.g. above the 95% confidence intervals obtained after 1000 surrogate tests (n = 1000 surrogates), in the HPC-mPFC gamma bursts cross-correlations. The total number of synchronized bursts in mPFC and HPC are not always identical. In some instances, two gamma bursts occurred successively in the HPC and were classified as "synchronized" with a single burst in mPFC which resulted in a difference in total burst count. The other events were tagged as not synchronous (no sync) events.

To remove the influence of slow oscillation entrainment on gamma bursts (Figures 2Ebdand 7Eb), we first detected DOWN states from the whitened mPFC LFP. They were defined as periods where the processed normalized signal was at least 0.75 standard deviations (SD) below the mean for at least 100 ms. The remaining epochs (100 ms of minimal duration) were considered as "UP states". We then created a set of surrogate data by selecting UP states associated with both mPFC and HPC gamma bursts. First, we computed the distribution of the actual mPFC gamma bursts onset latencies from the UP state beginning. Second, we randomly chose an identical number of UP states and attributed in each a virtual mPFC gamma onset based on their true distribution. We then recomputed the crosscorrelation of the surrogate mPFC gamma onsets with HPC gamma burst onsets for anesthesia, natural SWS and inactivation experiments. This procedure keeps the time lag of each event relative to the onset of the UP state fixed but changes the identity of the UP state randomly for each event. An average of such shuffled gamma-triggered firing probability (1000 shuffled events) gives an estimate of the gamma cross-correlation or firing probability for each unit that is independent of the slow oscillation modulation (Isomura et al., 2006). The same procedure was used to remove the influence of slow oscillation entrainment on thalamic neurons firing (Figures 4 and 6), with the difference that we created a set of surrogate data by selecting UP states not associated with gamma bursts, computed the distribution of the actual gamma bursts onset latencies from the UP state beginning then randomly chose an identical number of UP states and attributed in each a virtual gamma onset based on the true distribution. We then recomputed the cross-correlation of the surrogate gamma

onsets with NR and "other thalamic" neurons action potentials.

The procedure of SPW-Rs detection in the HPC *stratum pyramidale* LFP was based on those described previously (Isomura al., 2006). Briefly, the LPF was digitally band-pass filtered (80–250 Hz), and the power (root-mean-square) of the filtered signal was calculated. The mean and SD of the power signal were calculated to determine the detection threshold. Oscillatory epochs with a power of 5 or more SD above the mean were detected. The beginning and the end of oscillatory epochs were marked at points where the power fell below 0.5 SD.

#### Current Source Density Analysis

Current source density (CSD) analysis of the simultaneously recorded potentials from strata oriens to LM in CA1 HPC was used to eliminate volume conduction and reveal the spatial segregation of gamma bursts. CSD was computed as the second spatial derivative of the recorded LFPs and smoothed spatially with a triangular kernel (Quilichini et al., 2010). Activity from the malfunctioning sites was eventually interpolated from the neighboring sites.

#### Statistics

All results reported are based on a significance threshold  $\alpha$  = 0.05 unless otherwise stated and all groups included enough samples to enable rejection of the null hypothesis at that level. We used non-parametric testing in most cases: two-sided paired testing Wilcoxon's signed-rank test for paired groups and two-sided Mann-Whitney U-test for unpaired groups, and provided the median value for each group. For data with normal distribution (tested with Lilliefors test), we used two-tailed t test and expressed the mean values ± the standard deviation around the mean (SEM).

Circular Statistics; The phase of the mPFC SO was determined from the filtered LFP in the 0.5-2 Hz. The instantaneous phase was computed as the angle of the Hilbert transform, and the distribution of the phases in each session was tested for uniformity before analysis. To establish the gamma-phase modulation of units, the gamma bursts in HPC and mPFC during SO epochs were concatenated, and the instantaneous phase of gamma oscillation was estimated by Hilbert transform of the 30-90 Hz filtered signal. Using linear interpolation, a value of phase was assigned to each event (units or gamma onsets). The SO and gamma phase modulation of events were determined by Rayleigh circular statistics; p < 0.05 was considered significant. Circular uniformity of the data was first assessed with a test for symmetry around the median (Berens, 2009) and group comparison tests of circular variables were performed using circular ANOVA for uniformly distributed data and using a non-parametric multi-sample test for equal medians "CM-test", similar to a Kruskal-Wallis test, for non-(Berens. uniformly distributed data 2009) (https://philippberens.wordpress.com/code/circstats), and p < 0.05 was considered significant.

*Cross-correlations and jittering*; A non-parametric significance test based on jittering was used to assess significance of cross-correlations of point processes (e.g., gamma bursts onset and units), as described previously in



**Figure 1.** HPC and mPFC interactions during theta and slow oscillation states. **Aa**, Whitened power spectra of HPC and mPFC interactions during theta and slow oscillation states **(Aa)** Whitened power spectra of mPFC and HPC LFPs during SO state from a template experiment. **(Ab)** Comodugram describing the power relationship between HPC and mPFC LFPs shown in (Aa) in the gamma band. The correlation coefficient is color-coded. **(Ba)** Whitened power spectra of mPFC and HPC LFPs during theta state from the same template experiment as in (A). **(Bb)** Comodugram between HPC and mPFC LFPs shown in (Ba) in the gamma band. Note the absence of correlation as compared to SO state. **(C)** Gamma amplitude-SO phase modulation plots of LFPs in HPC and mPFC from the same template experiment as in (A). The gamma power dominated the trough and beginning of the ascending phase of mPFC SO.

detail for spike trains (Fujisawa et al., 2008; Quilichini et al., 2010; Amarasingham et al., 2012). In brief, for each pair, the referred point-process in the original data set was randomly and independently jittered on a uniform interval of [-100, +100] ms, to form a surrogate data set. The process was



Figure 2. Synchronous gamma bursts in HPC and mPFC during the slow oscillation state. A, Example of gamma bursts simultaneously recorded in HPC and mPFC and their corresponding normalized wavelet transforms. B, The gamma-triggered average CSD (computed from a template experiment) depicts an alternation of sinks and sources only around SP, suggesting a local generation of gamma bursts. sO, stratum oriens; sR, stratum radiatum; sLM, stratum lacunosum moleculare. C, Cross-correlation between HPC SPW-Rs and gamma bursts (grouped data, n=8) detected in HPC (green bars) and in mPFC (red bars). Dashed vertical lines indicate zero-time lag; blue dashed lines indicate the mean of time-jittered gamma bursts. Note that no bin in both cross-correlograms is above the chance level (gray box; 0.01% significance level). D, Correlations between frequency and normalized power of HPC and mPFC gamma bursts (grouped data, n=8). Note the low values of the correlation coefficients (rho; Pearson correlation). Ea, Cross-correlation between mPFC and HPC gamma bursts, using HPC gamma bursts as reference (dashed red line; grouped data, n 8 animals), showing a significant correlation peak during slow oscillations in anesthesia. Dashed blue line: mean of time-jittered HPC gamma; gray box: 0.01% significance level (see Materials and Methods). Eb, Predicted cross-correlation between the surrogate mPFC gamma onsets (see Materials and Methods) with the regular HPC gamma onsets (grouped data, n=8). Note the disappearance of the peak correlation. Ec, Cross-correlation between mPFC and HPC gamma bursts during natural slow-wave sleep (grouped data, n=2 animals). Ed, Predicted cross-correlation between the surrogate mPFC gamma onsets and HPC ones during natural sleep. Note again the absence of correlation. F, Distribution histograms of gamma burst entrainment by slow oscillation phase for HPC and mPFC bursts during (Fa) anesthesia slow oscillations and (Fb) natural SWS (grouped data, n=8). The plain bars (green, HPC; red, mPFC) represent the distribution of sync gamma events, and the overlaid gray bars correspond to the non-

repeated independently 1000 times to form 1000 such surrogate data sets. Then, the cross- correlograms were constructed for surrogate data sets as a function of latency across the interval [-400, +400] msec. Global bands at 99% acceptance level were constructed for the cross-correlogram from the maximum and minimum of each jitter surrogate cross-correlogram across the interval [-400, +400] msec. The latency peak in the original cross-correlogram was determined to be statistically significant (at p < 0.01) when the counts in the cross-correlogram were atypical over at least 3 bins with respect to the upper global band.

**HPC** neurons

### Results

#### Synchronized gamma bursts between HPC and mPFC during Slow Oscillations

We first asked if synchronized gamma oscillations were occurring during SO in both HPC and mPFC. We used anesthesia conditions to obtain long duration recordings and reach strong statistical significance. The protocol used here provided an alternation between slow and theta oscillations, which resemble the oscillatory patterns of slow wave sleep (SWS) and paradoxical sleep, respectively (Isomura et al., 2006; Clement et al., 2008; Quilichini et al., mPFC neurons





**Figure 3.** Gamma bursts entrain local neuronal populations. *A*, Polar plots of preferred phase and modulation depth of HPC (green) and mPFC (red) neurons referenced to sync and non-sync gamma bursts (grouped data, n=8). The gray circles correspond to non-significantly entrained neurons (Rayleigh test,  $p \ge 0.05$ ). The black arrow indicates the mean phase and strength of modulation for the significantly entrained neurons only (gamma cycle peak = 0°). There was no significant difference in the mean phase and strength of the modulation between sync and non-sync groups (circular ANOVA,  $p \ge 0.05$ , respectively). *B*, Relationship between spike half-width, asymmetry, and firing rate for the HPC and mPFC units significantly entrained by sync and non-sync gamma bursts as defined in *A*. Note the division into two groups, separating putative excitatory (right) from inhibitory (left) neurons (see Materials and Methods). *C*, Firing rates and burst indices of HPC and mPFC units significantly entrained by sync gamma bursts were no different from those entrained by non-sync gamma bursts (Wilcoxon test,  $p \ge 0.05$ ) indicating that both types of bursts did not segregate different neuronal populations.



**Figure 4.** Dynamics of NR neurons firing before gamma onset. **A**, Peri-gamma burst *z*-scored firing probability raster plots for all NR neurons, average (shaded error bars) firing probability curves and statistical boxes for all the NR neurons firing with respect to non-sync, sync, with or without the UP state contribution (UP state removed) mPFC gamma burst onset (dashed vertical line). The neurons are separated into two subgroups (horizontal black line) based on the significant increase of their firing with respect to mPFC gamma bursts, as indicated by the color scale (right): gray:  $p \ge 0.05$ , pink: p < 0.05 (see Materials and Methods). The early increase of the firing in the significant group [-200 0] ms before sync gamma onset subsists after removing the UP state influence. Such behavior is less prominent for the non-sync events. **B**, Peri-gamma burst *z*-scored firing probability raster plots for all NR neurons, average firing probability curves and statistical boxes for all the NR neurons firing with respect to gamma bursts detected in HPC (dashed vertical line) constructed as in **A**.

2010). During SO, the frequency content of the mPFC and HPC local field potentials (LFPs) measured in layer V and CA1 *stratum pyramidale* (SP) displayed peaks in the dominant frequency ( $\sim$ 1 Hz) and in the gamma range (30-90 Hz) (Figure 1Aa), respectively.

Power-power comodugrams revealed a large correlation between HPC and mPFC LFPs in the [30-90 Hz] gamma band (Figure 1Ab). In contrast, there was no correlation in the gamma band between the two structures during theta oscillations (Figure 1B). Gamma power was strongly modulated by the SO phase as it was locked to the trough and ascending phase of the cycle, i.e. during the UP state, in both structures (Figure 1C). These periods of large gamma power corresponded, at least in part, to gamma bursts in the LFP (median frequency mPFC = 49 Hz, median duration: 156 ms, present in  $13 \pm 2\%$  of UP states, 3740 bursts; HPC = 52 Hz, 167 ms, present in  $11 \pm 2\%$ of UP states, 3605 bursts; n = 8; Figure 2A). Current source density confirmed the presence and the segregation of these gamma bursts in SP of the CA1 area, with an alternation of sinks and sources (Figure 2B, n = 4). Bouts of gamma power also occur in strata radiatum and lacunosum moleculare (LM) at different phases of the SO (Isomura et al., 2006). Recordings spanning the CA1 hippocampus from strata oriens to LM showed that gamma bursts were not cooccurring with any other gamma events in other strata (Figure 2B, n = 4). SPW-Rs are another prominent type of hippocampal activity during SO (Buzsáki, 2015). Although both gamma bursts and SPW-Rs are modulated by the phase of the SO (Sirota and Buzsáki, 2005; Isomura et al., 2006) (Figure 1C), their co-occurrence was very rare during SO (HPC:  $2.6 \pm 0.9\%$ , mPFC:  $3.0 \pm 1.0\%$ , over a [-500 500] ms window around gamma onset; Figure 2C). Together these results establish that SP gamma bursts during SO constitute a specific pattern of activity complementing the previously described other hippocampal gamma oscillations and sharp wave-ripples.



**Figure 5.** Firing properties of thalamic neurons. *Aa*, Polar diagram of preferred phase and modulation strength of NR neurons referenced to slow oscillation cycle. The black arrow indicates the mean phase and strength of modulation (Rayleigh statistics). *Ab*, Mean firing rates calculated during slow oscillation episodes for NR neurons. The box plots represent the grouped data statistics for the 126 NR neurons recorded (*n*=7 experiments). *Ba*, Polar representation of preferred phase and modulation depth for neurons belonging to thalamic nuclei neighboring NR (*n*=46, 4 experiments) referenced to slow oscillation episodes for other thalamic neurons (grouped data statistics).

There was only a weak relationship between the power and frequency of gamma bursts within HPC and mPFC, as well as between them (Figure 2D), but there was no correlation between the duration of HPC and mPFC bursts (p = 0.3452, data not shown). Most gamma bursts occurred during UP states ( $97 \pm 1\%$  for mPFC;  $82 \pm 4\%$  for HPC) and many hippocampal bursts co-occurred with mPFC ones ( $59 \pm 4\%$ ). Many of these bursts (39% in HPC, 37% in mPFC) were significantly synchronized (i.e. delays greater than the ones

obtained by chance from a nonparametric significance test based on jittering of event timings, p < 0.001, see methods) with a mPFC gamma burst onset probability peaking at ~100 ms ([-110 190] ms range) after the onset of the HPC gamma burst (Figure 2Ea). Whether synchronized (sync, as defined previously) or not (non-sync), all gamma bursts were phase-locked to the trough and ascending phase of the slow oscillation (HPC: sync =  $238 \pm 2^{\circ}$ , non-sync =  $243 \pm 1^{\circ}$ , p = 0.1255; mPFC: sync = 254 ± 1°, non-sync = 253 ± 1°, p =0.4882; circular ANOVA; Figure 2Fa). To remove the influence of the UP state, we computed a predicted crosscorrelation revealing how often mPFC and HPC gamma bursts co-occur because of the UP state entrainment (see Methods section). The cross-correlation between the surrogate mPFC gamma onsets with the regular HPC gamma onsets indicated no significant synchronization (Figure 2Eb). This shows that the fine time correlation between mPFC and HPC gamma bursts is independent of the UP state influence.

We found similar results during natural SWS with 52% (302/582) of sync events in HPC, 54% (315/585) in mPFC, and a similar latency (~ 90 ms, [50 130] ms range) between HPC and mPFC gamma bursts (2 animals; Figure 2Ecd). Phase locking was also similar (Figure 2Fb), although gamma bursts occurred earlier in the cycle (HPC: sync = 222  $\pm$  3°, p < 0.001, non-sync = 223  $\pm$  4°, p = 0.012; mPFC: sync = 223  $\pm$  2°, p < 0.001, non-sync = 225  $\pm$  1°, p < 0.001, circular ANOVA). Current source density revealed a similar alternation of sinks and sources in SP (not shown).

Gamma burst synchronization may provide a functional substrate for information transfer between HPC and mPFC during SO. This raises the question of the mechanisms underlying this coupling. Gamma oscillations are locally produced, and therefore we first reasoned that HPC and mPFC neurons would display different firing signatures during sync and non-sync gamma bursts. More than half of the neurons were entrained (p < 0.05, Rayleigh Test) by the gamma oscillations in each structure (HPC: 29/43, 68%, for sync bursts and 33/52, 64%, for non-sync bursts; mPFC: 266/495, 54%, for sync bursts and 279/495, 56% for nonsync bursts) (Figure 3A). The phase modulation was similar for both neuronal populations with a preference for the trough of the gamma cycle (mean phases: HPC: 195 ± 10° for sync bursts,  $201 \pm 9^{\circ}$  for non-sync bursts; mPFC:  $169 \pm 2^{\circ}$  for sync bursts,  $170 \pm 2^{\circ}$  for non-sync bursts; Figure 3A). Furthermore, we distinguished putative principal neurons (pPYR) and interneurons (pIN) and found that an equivalent number of cells in HPC (12 pIN, 17 pPYR for sync bursts and 11 pIN, 22 pPYR for non-sync bursts) and in mPFC (44 pIN, 222 pPYR for sync bursts and 43 pIN, 236 pPYR for non-sync bursts) was significantly entrained (as defined by the Rayleigh statistics shown in Figure 3A; Figure 3B), by sync or non-sync bursts. However, their firing pattern did not correlate with either sync or non-sync bursts (HPC: firing rates p = 0.6948, burst indices p = 0.6948; mPFC: firing rates p = 0.5641, burst indices p = 0.4374; Wilcoxon test, Figure 3C). Although HPC and mPFC cells display classical features of entrainment by gamma oscillations, we did not find differences in their activity during sync and non-sync bursts suggesting that they may not participate in the synchronization process between HPC and mPFC.



**Figure 6.** Dynamics of other thalamic neurons firing before gamma onset. **A**, Peri-gamma burst *z*-scored firing probability raster plots and average (shaded error bars) firing probability curves for other thalamic neurons with respect to non-sync and sync mPFC gamma burst onset (dashed vertical line), with and without the UP state contribution (UP state removed). The neurons are separated into two subgroups (horizontal black line) based on the significant increase of their firing with respect to gamma bursts, as indicated by the color scale (right): gray:  $p \ge 0.05$ ; pink: p < 0.05 (see Materials and Methods). The increase in firing disappears when the influence of the UP state is removed. **B**, Peri-gamma burst *z*-scored firing probability raster plots and firing probability curves for the same neurons as in **A** with respect to HPC gamma burst onset. The increase in firing disappears when the influence of the UP state is removed.

#### Nucleus Reuniens neurons fire specifically prior to gamma onset

What could be at the origin of such synchronization? Numerous studies show that the thalamus plays a key role in coordinating activity between HPC and mPFC (Xu and Südhof, 2013; Pereira de Vasconcelos and Cassel, 2015; Latchoumane et al., 2017). In particular, because of its specific bi-directional connectivity pattern with these structures, the Nucleus Reuniens (NR) is ideally posed to act as a nodal hub to influence hippocampo-prefrontal interactions (Hoover and Vertes, 2012; Cassel et al., 2013; Varela et al., 2013). We tested whether NR neurons display specific firing patterns when gamma bursts occur. To this aim, we performed simultaneous LFP and unit recordings in the HPC, mPFC and NR (n = 7). Most NR neurons increased

their firing -98.4 ms prior to the sync gamma bursts onset (median lag for 100/126 neurons with significant firing increase before mPFC gamma bursts; Figure 4A; see Figure 4B, -70.9 ms prior HPC gamma bursts onset). The firing increase was less prominent during non-sync gamma bursts, their peak firing prior to gamma onset was delayed and their increase of firing less robust (median lag: -152.4 ms for 93/126 neurons; p = 0.0014; two-sample Kolmogorov-Smirnov test; mean z-scored firing probability: non-sync =  $0.52 \pm 0.03$ , sync =  $1.09 \pm 0.05$ ; p < 0.001, two-sample t-test; Figure 4A and Figure 4B, -192.0 ms prior the HPC gamma bursts onset).

NR neurons were also entrained by the SO phase, preferentially during the UP state (mean phase =  $246 \pm 4^{\circ}$ , mean R =  $0.58 \pm 0.01$ , 126/126 significantly modulated



**Figure 7.** Chemical inactivation of NR abolishes the gamma synchrony. **A**, Visualization of the extent of BODIPY-Muscimol (MSCI: 0.7 nm in 0.3µl; orange staining) injection in the NR (dashed line shows the NR contours) over green fluorescent Nissl-stained section of a template experiment. Scale bar, 250\_m. **B**, Normalized power spectra of mPFC and HPC LFPs in control (CTR; blue curves; n=7) compared with MSCI (pink curves; n=3) conditions. The SEM is presented as the lighter blue (control) and lighter pink (MSCI) bands. Gray zone: p<0.05, t test. **C**, Mean comodugrams showing the power–power correlation between HPC and mPFC LFPs in control (left; n=7) and MSCI (right; n=3) conditions. Note the decrease in correlation in the [30 90] Hz band. **D**, Gamma amplitude-slow oscillation phase modulation plots of LFPs in HPC and mPFC during NR inactivation calculated from a template experiment. Note the drastic decrease in the modulation of HPC gamma and the phase shift compared with control (Fig. 1*C*). **E**, Cross-correlation between (**Ea**) mPFC gamma bursts and (**Eb**) the surrogate mPFC gamma onsets (see Materials and Methods) with respect to HPC gamma bursts (dashed red line) during NR inactivation. There was no significant peak in the correlation compared with the control condition (Fig. 2*Ea*) and the predicted cross-correlation did not show any correlation either. (Gray box: 0.01% significance level; grouped data, n=3) **F**, Polar plot of the mean preferred slow oscillation phase and modulation strength of HPC (green) and mPFC (red) gamma bursts in control (filled circles; n=7) and MSCI experiments (open circles; n=3). NR inactivation induced a significant phase shift (circular ANOVA, p<0.001 for both comparisons) and a decrease of modulation strength (*t* test, p<0.001 for both) of gamma bursts in both structures.

neurons, p < 0.05, Rayleigh test; median firing = 2.5 Hz; Figure 5A), and because of this the early firing described above may only correspond to SO-driven firing. To assess the relationship between NR neuronal firing and gamma bursts in HPC and mPFC, we removed the influence of the UP state. To do so, we created a set of surrogate data by selecting UP states not associated with gamma bursts in either mPFC or HPC, attributed each a virtual gamma onset and computed the correlation between NR neuron firing and virtual gamma onsets, then subtracted this gammaindependent firing to the previously computed firing. The results still showed a significant increase of the firing probability of NR neurons prior to sync gamma onset (mean z-scored firing probability:  $0.85 \pm 0.02$  for 100/126 neurons; Figure 4A and Figure 4B, 0.80 ± 0.04 prior HPC gamma bursts onset). Thus, early firing of NR neurons may play a role in coordinating gamma bursts and cell firing in HPC and mPFC, independently from their entrainment by the UP state. NR neurons behavior appeared to be specific to gamma bursts since no increase of firing probability was detected prior sharp wave ripples (not shown).

As a negative control, we took advantage of 4 experiments in which the silicon probe did not reach the NR, but recorded the neighboring thalamic nuclei, which are not known to project to both mPFC and HPC as NR does. Some neurons displayed an increase of their firing around mPFC or HPC gamma burst onsets, whether sync (26/46 neurons) or not (30/46) (Figure 6A and Figure 6B). They also exhibited a strong modulation of their firing by the SO, reaching its peak at the beginning of the UP state (mean phase:  $228 \pm 4^{\circ}$ , mean R: 0.67  $\pm$  0.02; 46/46 neurons significantly entrained, p < 0.001, Rayleigh Test; median firing = 1.6 Hz; Figure 5B). Once this modulation was removed the increase in firing around the gamma bursts disappeared (mean z-scored firing probability < 0.001; Figure 6A and Figure 6B). Together these results show that the early firing prior sync gamma bursts, and to a lesser extent to non-sync ones, is specific to NR neurons.

#### Nucleus Reuniens activity is necessary for mPFC-HPC gamma coupling

To test causality between NR neuronal firing and gamma coupling, we performed chemical inactivation of the NR with Muscimol (MSCI) in 3 animals (Figure 7A). The LFP spectra only showed a decrease in the low gamma power in mPFC but not in HPC ([18 44] Hz, p = 0.025, t-test on normalized spectra, Figure 7B). There was no statistical difference



**Figure 8.** Firing properties of HPC and mPFC neurons during NR inactivation. **A**, Mean firing rates calculated during slow oscillation episodes in control (CTR) and during NR inactivation (MSCI) for HPC (CTR *n*=55, MSCI *n*=37) and mPFC neurons (CTR *n*\_496, MSCI *n*\_71). The box plots represent the grouped data statistics (CTR *n*\_7 experiments, MSCI *n*=3). Only mPFC neurons showed a significant decrease of their firing rate (Mann–Whitney *U* test, \*\**p*<0.001 for mPFC, *p*=0.62 for HPC). **B**, Mean burst indices for the HPC and mPFC neurons shown in **A**. Note that, again, only mPFC neurons fired less in bursts (Mann–Whitney *U* test, \**p*<0.006 for mPFC, *p*=0.92 for HPC). **C**, Comparison of preferred phase and modulation depth of HPC and mPFC neurons referenced to the slow oscillation cycle in the control condition and during NR inactivation. The black arrow indicates the mean phase and strength of modulation. n.s., Not significant, \**p*≥0.05. **D**, Polar plots of preferred phase and modulation depth of HPC and mPFC neurons referenced to all the gamma bursts detected in HPC and mPFC, respectively, in the control condition and during NR inactivation. The black arrow indicates the mean phase and strength of modulation for the significantly entrained neurons are depicted by the gray circles (Rayleigh test, *p*≥0.05). The black arrow indicates the mean phase and strength of modulation for the significantly entrained neurons only. Both groups display a shift of the mean phase (circular ANOVA, \**p*<0.001 for both) but not of the mean strength (two-sample *t* test, HPC *p*=0.4947, mPFC *p*=0.4038) of the modulation during NR inactivation compared with control.

between the mean slow oscillation power ([0.5 2] Hz band in the mPFC LFP, Figure 7B; CTR:  $31.0 \pm 2.2$  dB; MSCI:  $33.7 \pm$ 1.1 dB; p = 0.4756, T-test) frequency (CTR:  $1.05 \pm 0.05$  Hz; MSCI:  $1.07 \pm 0.00$  Hz; p = 0.7972, T-test) and in the UP states duration (median CTR: 0.55 s; median MSCI: 0.58 s; p = 0.2671, two-sided Mann-Whitney U-test). The comodugrams revealed a strong reduction in the HPC-mPFC correlation in the [30 90] Hz range (p < 0.05, T-test; Figure 7C). Moreover, the gamma power in both HPC and mPFC was less strongly modulated by the slow oscillations phase and was phase shifted, particularly in the HPC (Figure 7D). Gamma bursts were still present in HPC and mPFC and occurred at an unchanged frequency (in  $12 \pm 2\%$ , p = 0.67 and  $10 \pm 3\%$ , p = 0.52 of UP states, respectively; Mann

Whitney U test). However, NR inactivation disrupted the temporal organization of gamma bursts in both regions. There was a drastic decrease of co-occurrence of gamma bursts between HPC and mPFC ( $16 \pm 1\%$ , p = 0.0167) and importantly, NR inactivation fully abolished HPC-mPFC gamma synchronization (Figure 7Ea). Removing the influence of the UP state did not change this mPFC-HPC bursts cross-correlation (Figure 7Eb). Only 60 ± 2% of HPC bursts were associated to UP states (p = 0.0029; Mann Whitney U test), with no significant change for mPFC bursts (96  $\pm$  2%, p = 0.95). During the slow oscillation cycle, HPC gamma bursts were delayed while mPFC bursts occurred earlier (HPC: CTR = 241 ± 1°, MSCI = 304 ± 2°, p < 0.001; mPFC: CTR = 253 ± 1°, MSCI = 234 ± 2°, p < 0.001; circular ANOVA, Figure 7F). The strength of their entrainment was also reduced (HPC: CTR = 0.54 ± 0.02, MSCI = 0.19 ± 0.03, p < 0.001; mPFC: CTR = 0.78 ± 0.02, MSCI = 0.53 ± 0.03, p < 0.001; two-sample t-test, Figure 7F).

NR inactivation modified the firing properties of mPFC, but not that of HPC neurons, median firing rates: HPC: CTR = 0.59 Hz, MSCI = 0.49 Hz, p = 0.62; mPFC: CTR = 1.07 Hz, MSCI = 0.58 Hz, p < 0.001, Mann-Whitney U-test (Figure 8A), and median burst indices: HPC: CTR = 0.91, MSCI = 0.92, p = 0.92; mPFC: CTR = 0.50, MSCI = 0.28, p = 0.006, Mann-Whitney Utest (Figure 8B). However, their entrainment by SO was not changed (HPC: CTR = 132 ± 8°, MSCI = 155 ± 7°, p = 0.095; mPFC: CTR = 266 ± 2°, MSCI = 258 ± 3, p = 0.0524; circular ANOVA, Figure 8C). Although both sync and non-sync gamma bursts entrained similarly HPC and mPFC neurons in control conditions, NR inactivation changed their entrainment properties (Figure 8D). Fewer HPC and mPFC neurons (HPC = 33/67, 49%; mPFC = 65/139, 46%) were significantly entrained (p < 0.05, Rayleigh test) by gamma bursts when NR was inactivated as compared to control conditions (HPC = 32/52, 62%; mPFC = 342/495, 69%). The gamma phase-modulation was also changed (mean phase, HPC: CTR = 199° ± 8°, MSCI = 342 ± 9°, p < 0.001; mPFC: CTR  $= 168 \pm 2^{\circ}$ , MSCI  $= 217 \pm 7^{\circ}$ , p < 0.001; circular ANOVA test) while the strength of this entrainment remained unaffected (mean R, HPC:  $CTR = 0.17 \pm 0.02$ , MSCI =  $0.20 \pm 0.02$ , p = 0.4947; mPFC: CTR =  $0.19 \pm 0.01$ , MSCI =  $0.18 \pm 0.02$ , p = 0.4038, two-sample t-test). These results demonstrate that NR is not only instrumental in gamma burst synchronization between HPC and mPFC, but that it also controls the firing of mPFC neurons and the coordination of HPC and mPFC neuronal activity during gamma bursts.

### Discussion

Using a combination of multisite high-density LFPs and unit recordings, as well as pharmacological inactivation in anesthetized rats, we examined the mechanisms of the interactions between the HPC, mPFC and NR. We have found that: (1) HPC and mPFC are coupled during SO through synchronized gamma bursts. (2) These gamma bursts entrain in turn the local populations of neurons in HPC and mPFC. (3) Large increase of NR firing specifically occurs prior sync gamma bursts. (4) NR is central for gamma bursts synchronization and controls the firing properties of mPFC neurons. Such NR-dependent gamma-driven corticohippocampal coupling may open temporal windows for information transfer during slow oscillations.

Gamma oscillations promote functional binding of distant regions, which is central for numerous cognitive functions including sensory binding (Singer, 1999), working memory (Montgomery and Buzsáki, 2007; Benchenane et al., 2011; Yamamoto et al., 2014; Lundqvist et al., 2016), and learning (Sirota et al., 2008; Buzsáki and Wang, 2012). An efficient way to bind distant regions for information exchange (e.g. forming cell assemblies) is via the modulation of gamma power by slower oscillations (Buzsáki and Wang, 2012). Cross-frequency coupling has been extensively studied between theta and gamma oscillations, with the magnitude of theta-gamma correlating with cognitive load and performance (Lundqvist et al., 2011; Buzsáki and Wang, 2012; Buzsáki and Schomburg, 2015). As compared to theta, slow oscillations offer longer time windows for information transfer and increase neuronal firing because slow oscillations are associated with larger membrane potential changes. SO-gamma coupling could reveal itself as an efficient way to exchange information during sleep. Our study provides the first evidence of long-range synchronization of gamma oscillations during SO and SWS. This mechanism may complement other known processes used to transfer/consolidate information during sleep, such as spindles and sharp wave ripples (Siapas and Wilson, 1998; Maingret et al., 2016; Latchoumane et al., 2017). In keeping with this proposal, gamma bursts and sharp wave ripples overlapped very rarely.

Multiple types of gamma rhythms can be distinguished based on their frequency band and their spatial location. In the hippocampus, specific increases in gamma power are found in SP. radiatum and LM (Isomura et al., 2006). During SO, gamma oscillations appeared as short-duration (~150 ms) bursts (i.e. not just a background gamma power), satisfying the condition for opening transient temporal windows to transfer information between HPC and mPFC. About 60% of the gamma bursts were not synchronized between the two structures and two thirds of them were detected in only one region, suggesting that they may be involved in other processes. Another major finding of the present study is the role of the NR in the timing of gamma bursts in HPC and mPFC. About 40% of gamma bursts were synchronized between HPC and mPFC, as defined by a cooccurrence in a [-110 190] ms time window, and such synchronization was independent of the influence of the UP state. Such coupling disappeared following NR inactivation, while the gamma bursts overall number was not affected. This suggests that the NR is involved in the synchronization between HPC and mPFC during SO on fine timescales. The drop in mPFC-HPC gamma power correlation could be at least partly credited to gamma bursts desynchronization. In the absence of NR influence gamma bursts occur at the same rate, but their temporal organization is disrupted. Thus, the NR acts as a key node in this thalamo-hippocampoprefrontal circuit for the temporal control of gamma oscillations and phase locking of cell firing during gamma bursts.

The fact that NR neurons increase their firing rate specifically before the gamma burst coupling (i.e. it persisted once the confounding SO modulation was removed) strongly suggests that NR acts as a driver. In addition, HPC and mPFC coupling appears to require a larger increase in NR firing as compared to non-sync bursts. The gradual recruitment of NR neurons prior to gamma bursts supports the involvement of different neuronal types (with

different firing properties, chemical content, and/or input and output connectivity, etc.) in the NR (Bokor et al., 2002). Such behavior was not found in neighboring thalamic nuclei that, as far as we know, do not possess the same connection pattern as the NR does. The upstream mechanism underlying the increase in NR activity remains to be determined considering that many regions project to NR in addition to HPC and mPFC (Van der Werf et al., 2002; McKenna and Vertes, 2004; Cassel et al., 2013). Downstream, at least in the HPC, NR neurons can not only act on principal cells (Dolleman-Van der Weel et al., 1997) but potentially also on parvalbumin-containing basket cells (Dolleman-Van der Weel and Witter, 2000; Di Prisco and Vertes, 2006), whose dendrites extend to LM, the projection zone of NR in CA1 (Wouterlood et al., 1990). Gamma oscillations recorded in SP during theta are supported by parvalbumin-expressing basket cells activation (Lasztóczi and Klausberger, 2014). However, a detailed description of connectivity patterns between NR and the different hippocampal cells is still lacking. Unfortunately, much less is so far known about the NR-mPFC anatomical circuit (Di Prisco and Vertes, 2006). Several types of cells may exist in the NR (Bokor et al., 2002; Cassel et al., 2013). In particular, a subset (about 8%) of NR neurons project both to mPFC and HPC, and these neurons may be central to consolidation of memory traces (Varela et al., 2013). It is tempting to speculate that some of the neurons increasing their firing prior to gamma onset could correspond to the doubleprojecting NR neurons as they are in an ideal position to control synchronization. The lack of specific molecular markers for these neurons (and for NR neurons in general) and the gradual recruitment of NR neurons prior gamma prevent, at present, optogenetic bursts and pharmacogenetic approaches to test this hypothesis.

In conclusion, these results revealed a novel role of the NR in synchronizing gamma bursts between HPC and mPFC during slow oscillations. These sync gamma bursts may constitute another actor in memory transfer/consolidation during slow wave sleep.

## References

Ali M, Cholvin T, Muller MA, Cosquer B, Kelche C, Cassel J-C, Pereira de Vasconcelos A (2017) Environmental enrichment enhances systems-level consolidation of a spatial memory after lesions of the ventral midline thalamus. Neurobiology of learning and memory 141:108-123.

Allen TA, Narayanan NS, Kholodar-Smith DB, Zhao Y, Laubach M, Brown TH (2008) Imaging the spread of reversible brain inactivations using fluorescent muscimol. Journal of Neuroscience Methods 171:30-38.

Amarasingham A, Harrison MT, Hatsopoulos NG, Geman S (2012) Conditional modeling and the jitter method of spike resampling. Journal of Neurophysiology 107.

Benchenane K, Tiesinga PH, Battaglia FP (2011) Oscillations in the prefrontal cortex: a gateway to memory and attention. Curr Opin Neurobiol 21:475-485.

Berens P (2009) CircStat: A MATLAB Toolbox for Circular Statistics. Journal of Statistical Software 31:1-21.

Bokor H, Csáki A, Kocsis K, Kiss J (2002) Cellular architecture of the nucleus reuniens thalami and its putative aspartatergic/glutamatergic projection to the hippocampus and medial septum in the rat. The European journal of neuroscience 16:1227-1239.

Buzsáki G (2015) Hippocampal sharp wave-ripple: A cognitive biomarker for episodic memory and planning. Hippocampus 25:1073-1188.

Buzsáki G, Wang X-J (2012) Mechanisms of gamma oscillations. Annual review of neuroscience 35:203-225.

Buzsáki G, Schomburg EW (2015) What does gamma coherence tell us about inter-regional neural communication? Nature Neuroscience 18:484-489.

Buzsáki G, Buhl DL, Harris KD, Csicsvari J, Czéh B, Morozov A (2003) Hippocampal network patterns of activity in the mouse. Neuroscience 116:201-211.

Cardin JA (2016) Snapshots of the Brain in Action: Local Circuit Operations through the Lens of  $\gamma$  Oscillations. The Journal of neuroscience : the official journal of the Society for Neuroscience 36:10496-10504.

Cassel JC, Pereira de Vasconcelos A, Loureiro M, Cholvin T, Dalrymple-Alford JC, Vertes RP (2013) The reuniens and rhomboid nuclei: neuroanatomy, electrophysiological characteristics and behavioral implications. Progress in neurobiology 111:34-52.

Cholvin T, Loureiro M, Cassel R, Cosquer B, Geiger K, De Sa Nogueira D, Raingard H, Robelin L, Kelche C, Pereira de Vasconcelos A, Cassel JC (2013) The ventral midline thalamus contributes to strategy shifting in a memory task requiring both prefrontal cortical and hippocampal functions. Journal of neuroscience 33:8772-8783.

Clement Ea, Richard A, Thwaites M, Ailon J, Peters S, Dickson CT (2008) Cyclic and sleep-like spontaneous alternations of brain state under urethane anaesthesia. PloS one 3:e2004-e2004.

Csicsvari J, Jamieson B, Wise KD, Buzsáki G (2003) Mechanisms of gamma oscillations in the hippocampus of the behaving rat. Neuron 37:311-322.

Davoodi FG, Motamedi F, Naghdi N, Akbari E (2009) Effect of reversible inactivation of the reuniens nucleus on spatial learning and memory in rats using Morris water maze task. Behavioural brain research 198:130-135.

Di Prisco GV, Vertes RP (2006) Excitatory actions of the ventral midline thalamus (rhomboid/reuniens) on the medial prefrontal cortex in the rat. Synapse 60:45-55.

Dolleman-Van der Weel MJ, Witter MP (2000) Nucleus reuniens thalami innervates gamma aminobutyric acid positive cells in hippocampal field CA1 of the rat. Neuroscience letters 278:145-148.

Dolleman-Van der Weel MJ, Lopes da Silva FH, Witter MP (1997) Nucleus reuniens thalami modulates activity in hippocampal field CA1 through excitatory and inhibitory mechanisms. Journal of neuroscience 17:5640-5650.

Dolleman-van der Weel MJ, Morris RG, Witter MP (2009) Neurotoxic lesions of the thalamic reuniens or mediodorsal nucleus in rats affect non-mnemonic aspects of watermaze learning. Brain Struct Funct 213:329-342. Duan AR, Varela C, Zhang Y, Shen Y, Xiong L, Wilson MA, Lisman J (2015) Delta Frequency Optogenetic Stimulation of the Thalamic Nucleus Reuniens Is Sufficient to Produce Working Memory Deficits: Relevance to Schizophrenia. Biological Psychiatry S0006-3223:1-10.

Edeline J-M, Hars B, Hennevin E, Cotillon N (2002) Muscimol Diffusion after Intracerebral Microinjections: A Reevaluation Based on Electrophysiological and Autoradiographic Quantifications. Neurobiology of Learning and Memory 78:100-124.

Fries P (2009) Neuronal Gamma-Band Synchronization as a Fundamental Process in Cortical Computation. Annual review of neuroscience 32:209-224.

Fries P (2015) Rhythms for Cognition: Communication through Coherence. Neuron 88:220-235.

Fries P, Nikolić D, Singer W (2007) The gamma cycle. Trends in neurosciences 30:309-316.

Fujisawa S, Amarasingham A, Harrison MT, Buzsáki G (2008) Behavior-dependent short-term assembly dynamics in the medial prefrontal cortex. Nat Neurosci 11:823-833.

Griffin AL (2015) Role of the thalamic nucleus reuniens in mediating interactions between the hippocampus and medial prefrontal cortex during spatial working memory. Frontiers in Systems Neuroscience 9:1-8.

Hallock HL, Wang A, Griffin AL (2016) Ventral Midline Thalamus Is Critical for Hippocampal-Prefrontal Synchrony and Spatial Working Memory. The Journal of neuroscience : the official journal of the Society for Neuroscience 36:8372-8389.

Hallock HL, Wang A, Shaw CL, Griffin AL (2013) Transient inactivation of the thalamic nucleus reuniens and rhomboid nucleus produces deficits of a working-memory dependent tactile-visual conditional discrimination task. Behavioral neuroscience 127:860-866.

Harris KD, Henze DA, Csicsvari J, Hirase H, Buzsáki G (2000) Accuracy of tetrode spike separation as determined by simultaneous intracellular and extracellular measurements. Journal of Neurophysiology 84:401-414.

Hazan L, Zugaro M, Buzsáki G (2006) Klusters, NeuroScope, NDManager: a free software suite for neurophysiological data processing and visualization. J Neurosci Methods 155:207-216.

Hembrook JR, Onos KD, Mair RG (2012) Inactivation of ventral midline thalamus produces selective spatial delayed conditional discrimination impairment in the rat. Hippocampus 22:853-860.

Herkenham M (1978) The connections of the nucleus reuniens thalami: evidence for a direct thalamohippocampal pathway in the rat. The Journal of comparative neurology 177:589-610.

Hoover WB, Vertes RP (2012) Collateral projections from nucleus reuniens of thalamus to hippocampus and medial prefrontal cortex in the rat: a single and double retrograde fluorescent labeling study. Brain structure & function 217:191-209.

Isomura Y, Sirota A, Ozen S, Montgomery S, Mizuseki K, Henze DA, Buzsáki G (2006) Integration and segregation of

activity in entorhinal-hippocampal subregions by neocortical slow oscillations. Neuron 52:871-882.

Ito HT, Zhang S-j, Witter MP, Moser EI, Moser M-b (2015) A prefrontal-thalamo-hippocampal circuit for goal-directed spatial navigation. Nature 522:50-55.

Jankowski MM, Islam MN, Wright NF, Vann SD, Erichsen JT, Aggleton JP, O'Mara SM (2014) Nucleus reuniens of the thalamus contains head direction cells. eLife 3:e03075-e03075.

Jankowski MM, Passecker J, Islam MN, Vann S, Erichsen JT, Aggleton JP, O'Mara SM (2015) Evidence for spatiallyresponsive neurons in the rostral thalamus. Frontiers in Behavioral Neuroscience 9:256-256.

Lasztóczi B, Klausberger T (2014) Layer-specific GABAergic control of distinct gamma oscillations in the CA1 hippocampus. Neuron 81:1126-1139.

Latchoumane C-FV, Ngo H-VV, Born J, Shin H-S (2017) Thalamic Spindles Promote Memory Formation during Sleep through Triple Phase-Locking of Cortical, Thalamic, and Hippocampal Rhythms. Neuron 95:424-435.

Loureiro M, Cholvin T, Lopez J, Merienne N, Latreche A, Cosquer B, Geiger K, Kelche C, Cassel J-C, Pereira de Vasconcelos A (2012) The ventral midline thalamus (reuniens and rhomboid nuclei) contributes to the persistence of spatial memory in rats. The Journal of neuroscience : the official journal of the Society for Neuroscience 32:9947-9959.

Lundqvist M, Herman P, Lansner A (2011) Theta and gamma power increases and alpha/beta power decreases with memory load in an attractor network model. J Cogn Neurosci 23:3008-3020.

Lundqvist M, Rose J, Herman P, Brincat SL, Buschman TJ, Miller EK (2016) Gamma and Beta Bursts Underlie Working Memory. Neuron 90:152-164.

Maingret N, Girardeau G, Todorova R, Goutierre M, Zugaro M (2016) Hippocampo-cortical coupling mediates memory consolidation during sleep. Nature neuroscience 19:959-964.

McKenna JT, Vertes RP (2004) Afferent projections to nucleus reuniens of the thalamus. The Journal of comparative neurology 480:115-142.

Mitra PP, Pesaran B (1999) Analysis of Dynamic Brain Imaging Data. Biophysical Journal 76:691-708.

Montgomery SM, Buzsáki G (2007) Gamma oscillations dynamically couple hippocampal CA3 and CA1 regions during memory task performance. Proceedings of the National Academy of Sciences of the United States of America 104:14495-14500.

Navarrete M, Alvarado-Rojas C, Le Van Quyen M, Valderrama M (2016) RIPPLELAB: A comprehensive application for the detection, analysis and classification of high frequency oscillations in electroencephalographic signals. PLoS ONE 11.

Pereira de Vasconcelos A, Cassel J-C (2015) The nonspecific thalamus: A place in a wedding bed for making memories last? Neuroscience and biobehavioral reviews 54:175-196.

Peyrache A, Battaglia FP, Destexhe A (2011) Inhibition recruitment in prefrontal cortex during sleep spindles and gating of hippocampal inputs. Proceedings of the National Academy of Sciences of the United States of America 108:17207-17212.

Quilichini P, Sirota A, Buzsáki G (2010) Intrinsic circuit organization and theta-gamma oscillation dynamics in the entorhinal cortex of the rat. J Neurosci 30:11128-11142.

Rasch B, Born J (2013) About sleep's role in memory. Physiological reviews 93:681-766.

Siapas AG, Wilson MA (1998) Coordinated interactions between hippocampal ripples and cortical spindles during slow-wave sleep. Neuron 21:1123-1128.

Singer W (1999) Neuronal synchrony: a versatile code for the definition of relations? Neuron 24:49-65, 111-125.

Sirota A, Buzsáki G (2005) Interaction between neocortical and hippocampal networks via slow oscillations. Thalamus & related systems 3:245-259.

Sirota A, Montgomery S, Fujisawa S, Isomura Y, Zugaro M, Buzsáki G (2008) Entrainment of neocortical neurons and gamma oscillations by the hippocampal theta rhythm. Neuron 60:683-697.

Sohal VS (2016) How Close Are We to Understanding What (if Anything)  $\gamma$  Oscillations Do in Cortical Circuits? The Journal of neuroscience : the official journal of the Society for Neuroscience 36:10489-10495.

Steriade M, Nunez A, Amzica F, Nuiiez A, Neurophysiologie LD, Mbdecine FD, Laval U, Glk C (1993) A novel slow (< 1 Hz) oscillation of neocortical neurons in vivo: depolarizing and hyperpolarizing components. J Neurosci 13:3252-3265.

Sullivan D, Csicsvari J, Mizuseki K, Montgomery S, Diba K, Buzsáki G (2011) Relationships between hippocampal sharp waves, ripples, and fast gamma oscillation: influence of dentate and entorhinal cortical activity. J Neurosci 31:8605-8616.

Valderrama M, Crépon B, Botella-Soler V, Martinerie J, Hasboun D, Alvarado-Rojas C, Baulac M, Adam C, Navarro V, Le Van Quyen M (2012) Human gamma oscillations during slow wave sleep. PloS one 7:e33477-e33477.

Van der Werf YD, Witter MP, Groenewegen HJ (2002) The intralaminar and midline nuclei of the thalamus. Anatomical and functional evidence for participation in processes of arousal and awareness. Brain Res Brain Res Rev 39:107-140.

Varela C, Kumar S, Yang JY, Wilson MA (2013) Anatomical substrates for direct interactions between hippocampus, medial prefrontal cortex, and the thalamic nucleus reuniens. Brain structure & function 219:911-929.

Vertes RP, Hoover WB, Szigeti-Buck K, Leranth C (2007) Nucleus reuniens of the midline thalamus: link between the medial prefrontal cortex and the hippocampus. Brain research bulletin 71:601-609.

Wheeler AL, Teixeira CM, Wang AH, Xiong X, Kovacevic N, Lerch JP, McIntosh AR, Parkinson J, Frankland PW (2013) Identification of a functional connectome for long-term fear memory in mice. PLoS Comput Biol 9:e1002853.

Wouterlood FG, Saldana E, Witter MP (1990) Projection from the nucleus reuniens thalami to the hippocampal region: light and electron microscopic tracing study in the rat with the anterograde tracer Phaseolus vulgaris-leucoagglutinin. The Journal of comparative neurology 296:179-203.

Xu W, Südhof TC (2013) A neural circuit for memory specificity and generalization. Science (New York, NY) 339:1290-1295.

Yamamoto J, Suh J, Takeuchi D, Tonegawa S (2014) Successful execution of working memory linked to synchronized high-frequency gamma oscillations. Cell 157:845-857.