

# Impact of Antibiotic Gut Exposure on the Temporal Changes in Microbiome Diversity

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# 1 Title

2 Impact of antibiotic gut exposure on the temporal changes in microbiome diversity

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### 12 Running title

13 Impact of moxifloxacin on gut microbiome

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### 21 Abstract

22 Although the global deleterious impact of antibiotics on the intestinal microbiota is well known, the 23 temporal changes in microbial diversity during and after an antibiotic treatment are still poorly 24 characterized. We used plasma and fecal samples frequently collected during and up to one month after treatment in 22 healthy volunteers assigned to a 5-day treatment by moxifloxacin (N=14) or no 25 26 intervention (N=8). Moxifloxacin concentrations were measured both in plasma and feces, and bacterial 27 diversity was determined in feces by 16S rRNA gene profiling and quantified using Shannon index and 28 number of operational taxonomic units (OTUs). Nonlinear mixed-effects models were used to relate drug 29 pharmacokinetics and bacterial diversity over time. Moxifloxacin reduced bacterial diversity in a 30 concentration-dependent manner, with a median maximal loss of 27.5% of the Shannon index (min;max: 31 17.5;27.7) and 47.4% of the OTUs number (30.4;48.3). As a consequence of both the long fecal half-life of 32 moxifloxacin and the susceptibility of the gut microbiota to moxifloxacin, bacterial diversity indices did not 33 return to their pre-treatment levels until days 16 and 21, respectively. Finally, the model characterized the 34 effect of moxifloxacin on bacterial diversity biomarkers, and provides a novel framework for analyzing 35 antibiotic effects on the intestinal microbiome.

### 36 **Background**

37 A large body of data describes the role of the intestinal microbiome in various host processes, including 38 metabolic, nutritional and immunological processes (1, 2) and in inhibiting the growth of potentially 39 pathogenic microorganisms within the intestines (3, 4). These insights have been unveiled by the growing 40 use of next generation sequencing techniques, making possible to investigate the impact of uncultivable 41 bacteria on health and disease. Among such methods, profiling of the 16S rRNA gene consists in 42 sequencing a subset of the 9 hypervariable regions of the bacterial genes encoding the 16S rRNA. Based 43 on their homology, the huge amount of sequences obtained can be clustered into operational taxonomic 44 units (OTUs), used for characterizing the composition of the bacterial community (5). This composition can 45 then be summarized for each sample using synthetic indices of diversity, such as the total number of observed OTUs, which characterizes the richness from an ecological perspective, or the Shannon diversity 46 47 index (6), a composite index of the richness and the distribution of OTUs in the community. These indices of 48 within-sample diversity, also called "alpha diversity", are now widely used for evaluating the relationships 49 between the microbiome and human health, and were recently shown to be highly predictive of mortality in 50 a hamster model of C. difficile infection (7). This suggests that such markers could be useful to measure the 51 impact of treatment on the microbiota, and possibly be predictive of clinical outcomes (8).

52 A major cause of microbiome disruption, called dysbiosis, is the administration of antibiotics (9-13). In that 53 respect, fluoroquinolones antibiotics have a particularly severe impact on the gut microbiome due to their 54 high concentrations achieved in feces (14). Through its disruptive effect on the resident bacterial flora, 55 antibiotic administration creates a large space that can be colonized by potentially resistant bacterias. 56 These bacterias may then be disseminated in the environment, making antibiotic-modifed gut microbiota the 57 epicentre of bacterial resistance spread (10, 14, 15). In spite of the well-studied impact of repeated 58 administrations of antibiotics on the intestinal diversity (13), we still lack of a precise characterisation of the 59 association between drug concentrations, both in plasma and feces, and temporal changes in intestinal 60 microbiome (16). This can be done using mathematical models, but parameter estimation of these models 61 is hampered by the high level of both interindividual and intraindividual variability of bacterial diversity, 62 making it difficult to obtain reliable parameter estimates. This difficulty can be in part circumvented by the 63 use of mixed-effect models that specifically tease out the two sources of variability and allow to precisely

estimate parameters, as shown in the context of antibiotic pharmacokinetic/pharmacodynamic assessment(17-19).

Here we aimed to employ the tools of mathematical and statistical modelling to analyze the relationship between antibiotic gut exposure and temporal changes in gut microbiome, using the Shannon index and the number of OTUs as synthetic markers of diversity. We focus our analysis on healthy volunteers treated by moxifloxacin, a fluoroquinolone with high oral bioavailability and a high volume of distribution at steadystate, which exhibits an excellent penetration in body tissues (20, 21) and whose elimination occurs primarily through the intestinal route (22).

#### 72 Material and methods

#### 73 Healthy volunteers and sample collection

We used data from the CL-1002 trial (NCT02176005), a prospective, open label, randomized clinical trial conducted in 2014 at the Clinical Investigation Center of the Bichat hospital, Paris (France). The trial was approved by French Health Authorities and Independent Ethics Committee. Full details of the trial have been reported elsewhere (23). We focused here on 22 subjects of this trial who received moxifloxacin alone (n=14) or were not treated (negative control group, n=8).

79 Briefly, healthy volunteers over 18-year-old without exposure to antibiotics in the preceding 3 80 months were prospectively included after obtention of their informed consent. Subjects in the moxifloxacin 81 group received 400 mg of moxifloxacin orally, once a day for 5 days (from D1 to D5), and all subjects were 82 followed until D37. A total of 24 blood samples were collected in each moxifloxacin-treated volunteer on D1 83 (just before treatment administration and at 0.5h, 1h, 1.5h, 2h, 3h, 4h, 6h, 12h, and 24h post administration) 84 and at the last day of treatment, *i.e.* on D5 (just before treatment administration and at 0.5h, 1h, 1.5h, 2h, 85 3h, 4h, 6h, 12h, 24h, 32h, 48h, 56h, and 72h post administration). Thirteen fecal samples were collected in all volunteers at screening (between D-21 and D-3), just before the first administration of moxifloxacin 86 (baseline), once a day from D2 to D9, and then at D12, D16, D37. 87

Moxifloxacin assays were performed using specifically developed and validated bioanalytical methods (see Supplementary Methods). Total plasma moxifloxacin concentrations were determined by reverse phase high-performance liquid chromatography coupled with fluorescence detection (lower limit of quantification, 0.01 µg/mL). Fecal concentrations of free moxifloxacin were determined on the 11 samples 92 collected at: baseline, D2, D3, D4, D5, D6, D7, D8, D9, D12 and D16 by tandem mass spectrometry
93 detection (lower limit of quantification, 0.04 μg/g).

#### 94 Targeted metagenomic analysis of the intestinal microbiota

The 7 fecal samples collected at: screening, baseline, D3, D6, D9, D16 and D37 were analysed by 16S rRNA gene profiling. Microbial DNA was extracted using an extraction protocol optimized at GenoScreen, partially based on commercially available extraction kits (QIAamp DNA stool Kit, Qiagen, Germany) with the addition of chemical and mechanical lysis steps.

99 The V3-V4 region of the 16S rRNA gene was then amplified using an optimized and standardized amplicon-100 library preparation protocol (Metabiote®, GenoScreen, Lille, France). Positive (Artificial Bacteria Community 101 comprising 17 different bacteria, ABCv2) and negative (sterile water) controls were also included. Briefly, 102 PCR reactions were performed using 5 ng of genomic DNA and in-house fusion barcoded primers (final 103 concentrations of 0.2 µM), with an annealing temperature of 50°C for 30 cycles. PCR products were purified 104 using Agencourt AMPure XP magnetic beads (Beckman Coulter, Brea, CA, USA), quantified according to 105 GenoScreen's protocol, and mixed in an equimolar amount. Sequencing was performed using 250-bp 106 paired-end sequencing chemistry on the Illumina MiSeg platform (Illumina, San Diego, CA, USA) at 107 GenoScreen.

108 Raw paired-end reads were then demultiplexed per sample and subjected to the following process: 109 (1) search and removal of both forward and reverse primer using CutAdapt, with no mismatches allowed in 110 the primers sequences; (2) quality-filtering using the PRINSEQ-lite PERL script (24), by truncating bases at 111 the 3' end with Phred quality score <30; (3) paired-end read assembly using FLASH (25), with a minimum 112 overlap of 30 bases and >97% overlap identity.

Diversity analysis was performed using the Metabiote Online v2.0 pipeline (GenoScreen, Lille, France) which is partially based on the QIIME software v1.9.1 (26). Following the steps of pre-processing, chimera sequences were detected and eliminated (in-house method based on Usearch v6.1). Then, clustering of similar sequences (97% identity threshold for an affiliation at the genus level on the V3-V4 regions of the 16S rRNA gene) was performed with Uclust v1.2.22q (27) through an open-reference OTU picking process and complete-linkage method, finally creating groups of sequences or "Operationnal Taxonomic Units" (OTUs). An OTU cleaning step corresponding to the elimination of singletons was performed. Diversity in each fecal sample was estimated using 2 indices of alpha-diversity, the Shannondiversity index and the number of observed OTUs.

#### 122 Modeling strategy

First we developed a pharmacokinetic model of plasma moxifloxacin using total drug concentrations Both one- and two- compartment models with first-order absorption and first-order elimination were tested. The absorption delay of moxifloxacin in the central compartment was modelled using either a lagtime or absorption transit compartments (28). As moxifloxacin was administered through the oral route, we estimated an apparent volume of distribution after oral administration V/F.

128 Second, the model was extended to account for fecal pharmacokinetics, assuming a fixed fecal 129 weight of 200 g/day in all subjects, allowing us to reconstruct pharmacokinetic profiles in both plasma and 130 feces. Moxifloxacin elimination from the central compartment was divided into an intestinal elimination and 131 an extra-intestinal elimination, both being assumed to have first-order rates. Several structural models with 132 various numbers of elimination transit compartments between plasma and the lower gastrointestinal tract 133 were tested. As enteric recirculation had been reported for fluoroquinolones (20), we also tested several 134 models for moxifloxacin reabsorption, occurring either from one of the transit compartments between 135 plasma and the lower gastrointestinal tract or from the lower gastrointestinal tract to the plasma 136 compartment.

Third, a semi-mechanistic model was developed assuming that moxifloxacin impacted microbiome
diversity as follows (29). The evolution of the diversity index *D* in the absence of the drug was written as:

139 
$$\frac{dD}{dt} = R_{in} - k_{out} \times D \text{ (equation 1)}$$

where  $R_{in}$  is a zero-order constant for the production of the diversity, and  $k_{out}$  is a first-order rate constant for elimination of diversity. At steady state,  $\frac{dD}{dt} = 0$ , and thus  $D_0 = \frac{R_{in}}{k_{out}}$  in the absence of treatment. The initiation of antibiotic treatment perturbs this equilibrium and can alter either lead to a reduction of  $R_{in}$  or to an increase of  $k_{out}$  ( $k'_{out}$ , equation 2):

144 
$$k'_{out} = \left(1 + \frac{E_{max} \times C_f}{EC_{50} + C_f}\right) \times k_{out} \text{ (equation 2)}$$

with  $C_f$  being the fecal concentration of free moxifloxacin,  $E_{max}$  the maximal increase of  $k_{out}$ , and  $EC_{50}$  the concentration leading to 50% of the maximal effect of moxifloxacin.

### 147 Statistical methods

148 We used nonlinear mixed effects models to analyse the evolution of pharmacokinetic and 149 pharmacodynamic data. Data from treated individuals were used for the pharmacokinetic analysis, 150 whereas data from both groups were used for the pharmacodynamic analysis (with fecal concentrations 151 assumed to be 0 in the control group, see equation 1). Intraindividual correlation of repeated data was 152 taken into account by random effects. Population parameters were estimated by likelihood maximization 153 using the stochastic approximation expectation maximisation algorithm (SAEM) (30), implemented in 154 MONOLIX v4.3.2 (Lixoft, Orsay, France, www.lixoft.eu). Data below the lower limit of quantification were 155 treated as left-censored data. Their contribution to the likelihood was computed as the probability that 156 these data are indeed below the lower limit of quantification(31). Estimates of the individual parameters 157 were computed as the mode of the a posteriori distribution and used to predict individual pharmacokinetic 158 and pharmacodynamic profiles for the following treatment regimens: moxifloxacin daily doses of 400 mg 159 and 800 mg for 5- and 10-day treatment duration. Model selection at each step of the model building was performed using the Bayesian information criteria (BIC), and model evaluation was conducted by 160 161 investigating several goodness-of-fit plots. Full details on the statistical model and parameters estimation 162 are available in Supplementary Text S1.

### 163 Measures of antibiotic impact on the microbiome

The following parameters were computed for moxifloxacin-treated subjects using estimated individual parameters (Supplementary Text S1): mean transit time between the central compartment and the lower gastrointestinal tract, maximal loss of each bacterial diversity index in the intestinal microbiome after the beginning of treatment (nadir), the time for which this maximal loss was achieved (time to nadir) and the time at which each diversity index returned to 95% of its baseline value. The cumulated impact of moxifloxacin on diversity was obtained by computing the area under the curve for each diversity index up to 42 days after the beginning of treatment.

### 171 **Results**

The median age was 33.2 years (min; max 23.3; 59.5) and 8 were males (36.4%). Median weight was 65.2 kg (56.7; 86.0). Full characteristics of included subjects can be found in (23).

#### 174 Pharmacokinetic analysis of moxifloxacin in plasma and feces

175 A total of 322 values of total moxifloxacin plasma concentration and 138 values of fecal 176 concentration of free moxifloxacin were collected in the 14 treated patients (see Supplementary Figure S1). 177 Plasma concentrations were best described by a two-compartment model with first-order elimination and 178 first-order absorption using transit compartments to model the absorption delay (Figure 1). A compartmental 179 model including two transit compartments between plasma and the lower gastrointestinal tract best fitted 180 the fecal data, with enteric recirculation of moxifloxacin from the lower gastrointestinal tract to the central 181 compartment (Figure 1). The mathematical expressions of the final pharmacokinetic model are presented in 182 the Supplementary Text S2.

183 The evolution of moxifloxacin concentration in plasma and feces predicted by the model is presented in 184 Supplementary Figure S2 (panels A and B). Nearly all parameters of the pharmacokinetic model could be 185 estimated with a good precision (with r.s.e. below 30%), except for absorption related parameters  $k_{tr}$  and 186  $k_a$  (Supplementary Table S1). The two rate-constant parameters for the absorption and the elimination 187 transit compartments models were estimated and not supposed to be identical (which would have led to an 188 increase in BIC). The model well characterized the evolution of both plasma and fecal concentrations of 189 moxifloxacin over time in the 14 treated healthy volunteers (Supplementary Figure S3). Other goodness-of-190 fit plots for this pharmacokinetic model were satisfactory (Figure 2 and Supplementary Figure S4).

191 Of note, the fecal weight of P<sub>f</sub> was assumed to be 200 g/d in all volunteers at all time. As what is 192 observed and fitted are the concentration in the feces, C<sub>f</sub>, defined as A<sub>f</sub>/P<sub>f</sub>, a change in P<sub>f</sub> results in a similar change in Af to keep Cf unchanged. As plasma pharmacokinetics (Ac and Ap) are not affected by 193 194 modification of the fecal weight, then k<sub>ct1</sub> should increase by the same proportion. As elimination of 195 moxifloxacin from plasma is not affected by the fecal weight, the sum of k<sub>ct1</sub> (intestinal elimination from 196 plasma) and ke (extra-intestinal elimination from plasma) is constant. So when kct1 increases, ke 197 decreases: the first consequence of our assumption on the fecal weight is that it impacts the proportion of 198 the intestinal elimination of moxifloxacin. In addition, for equation (2) to be similar, k<sub>fc</sub> should be divided by 199 that proportion. As the rate of elimination of moxifloxacin from the fecal compartment is not affected by the 200 fecal weight, the sum of  $k_{fc}$ , (reabsorption from the lower intestinal tract to the plasma) and  $k_f$  (elimination of moxifloxacin from the lower intestinal tract) should be kept constant. Then, when  $k_{fc}$  decreases,  $k_{f}$ 201

increases: the second consequence of our assumption on the fecal weight is that it impacts the proportionof moxifloxacin reabsorbed from the lower intestinal tract to the plasma.

We performed simulations with fecal weights of 100 g/day, 200 g/ day, 300 g/day and 400 g/day making the described changes in the population parameters. The simulations resulted in identical concentration-time profiles both in plasma and in feces. The proportions of moxifloxacin eliminated from the plasma by the intestinal route was 94.3%, 88.8%, 83.2% and 77.7% for fecal weights of 100 g/day, 200 g/day, 300 g/day and 400 g/day, respectively. The proportions of moxifloxacin reabsorbed from the lower intestinal tract to the plasma was 49.7%, 24.9%, 16.6% and 12.4% for fecal weights of 100 g/day, 200 g/day, 300 g/day and 400 g/day, respectively.

The median (min; max) concentration of free moxifloxacin in feces observed 24 hours after the first administration of moxifloxacin was 56.9  $\mu$ g/g (21.7; 95.4), and increased up to 130.4  $\mu$ g/g (79.2; 250.6) on the 5<sup>th</sup> day of treatment. Median elimination half-lives from the central compartment and the lower gastrointestinal tract were 0.53 day (0.43; 0.67) and 1.0 day (0.76; 1.49), respectively. The median transit time of moxifloxacin between plasma and the lower gastrointestinal tract was 0.59 days (0.35; 1.23). The median time for fecal concentrations of free moxifloxacin to be below the lower limit of quantification was 14.1 days (11.7; 19.3).

#### 218 Impact of drug concentrations on microbial diversity

A total of 142 samples were analysed by 16S rRNA gene profiling (90 in subjects treated by moxifloxacin and 52 in controls). Individual profiles of the Shannon index and number of OTUs are presented in Supplementary Figure S5.

222 Both indices of bacterial diversity remained constant in absence of treatment, leading to an 223 estimated mean baseline value of 4.75 Shannon units (r.s.e., 2%) and 163 OTUs (r.s.e., 5%) for the 224 Shannon index and the number of OTUs, respectively (Supplementary Table S2). Initiation of moxifloxacin 225 led to a rapid drop in both diversity indices. This was attributed in our model to a drug concentration 226 dependent effect in the elimination rate of bacterial diversity from feces (Figure 1 and Supplementary Text 227 S2), with a rate increasing up to 38% (r.s.e. 14%) and 94% (r.s.e., 4%) for the Shannon and the number of 228 OTUs, respectively. Given the extended presence of moxifloxacin in feces and the susceptibility of bacterial 229 diversity to the drug (as measured by the parameter  $EC_{50}$  which was shown to be similar for both indices,

230 see methods), our model predicted that the diversity only slowly returned to pre-treatment values after 231 treatment cessation. Of note EC<sub>50</sub> was estimated to 0.13 µg/g of feces, and was associated with a large 232 interindividual variability (470%, see Supplementary Table S2). The model could well fit the evolution of 233 both the Shannon index and the number of OTUs in all individuals (Figure 3 and Supplementary Figures S6 234 and S7). The model prediction intervals are drawn in Supplementary Figure S2 (panels C and D). All 235 parameters were well estimated (Supplementary Table S2), except the drug EC<sub>50</sub>, for which likelihood 236 profiling (see Supplementary Text S1) was used to calculate the 95% confidence interval ([0.01 µg/g; 2 237 µg/g], Supplementary Figure S8).

Pharmacodynamic indices derived from the model for the 14 subjects treated by moxifloxacin are presented in Table 1 and Figure 4. A median loss of 0.6 units of Shannon index (0.3; 0.7) and 26 OTUs (11; 41) was achieved at 24 hours after the beginning of treatment. The maximal loss induced by moxifloxacin was 1.2 Shannon units (0.8; 1.3) and 77 OTUs (47; 100), achieved 7.0 (5.1; 11.4) and 8.5 (5.5; 13.8) days after treatment initiation, respectively. Results obtained for other simulated treatment regimens are presented in the Supplementary Table S3.

#### 244 **Discussion**

Here, we characterized for the first time the drug-concentration dependent impact of antibiotic treatment on the temporal changes in bacterial diversity in the intestinal microbiome during and after treatment initiation.

248 The pharmacokinetic analysis revealed that moxifloxacin concentrations in the lower gastrointestinal 249 tract rapidly reached a high plateau with median value of 130.4 µg/g at day 5, and only slowly decreased 250 after treatment cessation, with a half-life of 1 day. This slow decrease in the lower gastrointestinal tract was 251 captured in our model assuming a reabsorption of moxifloxacin from the lower gastrointestinal tract to the 252 central compartment. Enteric recycling had been previously reported for moxifloxacin (20). Interestingly, 253 reabsorption from the gut to the central compartment did not seem to occur from the upper parts of the gut, 254 but rather from the lower gastrointestinal tract. This is consistent with the fact that moxifloxacin 255 concentrations within the colonic mucosa are greater than those measured in the small bowel mucosa (32). 256 This reabsorption process might explain the longlasting fecal excretion observed after treatment cessation, 257 with concentrations in the lower gastrointestinal tract greater than  $2 \mu g/g up$  to 5 days (2.9; 8.4) after the last administration of moxifloxacin. As both indices of diversity were largely susceptible to moxifloxacin (with a similar  $EC_{50}$  equal to 0.13 µg/g), diversity was profoundly impacted up to 10 days after treatment cessation. These slowly decreasing concentrations of moxifloxacin create a replication space for resistant bacteria, consistent with the observation that the post treatment period is critical for the emergence of fluoroquinolone-resistant strains in the human fecal flora (33). Despite moxifloxacin mechanism of action on bacterial growth, in our model moxifloxacin appeared to increase the rate of elimination of bacterial diversity, with a reduction of the Bayesian Information Criteria by 60 units).

Despite the complexity of the measures performed, relying on metagenomic analyses, semimechanistic mathematical models provided good description of the temporal changes of the diversity indices within the intestinal microbiome. Interestingly, the maximal effect of moxifloxacin on the two diversity indices was different, leading to differences in the time needed to return to baseline values. This confirms that the two indices do not exactly measure the same phenomena, and may be complementary to draw a complete picture of the microbiome dysbiosis induced by an intervention.

271 Our approach shows that the complexity of the microbiome composition, along with the temporal impact of 272 treatment on it, may be advantageously characterized using semi-mechanistic mathematical models and 273 synthetic markers of microbiome diversity, such as the Shannon index and the number of OTUs. They can 274 then be used to calculate simple metrics, such as the cumulated loss of bacterial diversity, and may be 275 used to predict the impact of changes in experimental settings. This might prove to be very useful as 276 microbiome data on multiple days are usually difficult to obtain reliably in the clinical context. Model can 277 also be used to simulate the effect of different dosing regimens, such as increasing the daily dose to 800 278 mg or the treatment duration to 10 days. Given the high susceptibility of bacterial diversity to moxifloxacin, 279 we predicted that increasing the daily dose would result in only a minor additional effect on bacterial 280 diversity, as compared to the dosing regimen studied here. Doubling the treatment duration from 5 to 10 281 days would result in a 35% increase of the global impact of moxifloxacin on the bacterial diversity, as 282 measured by the AUC between day 0 and day 42 of the change of diversity indices from day 0. Further, the 283 use of a non-linear mixed effect model to estimate parameters, a statistical approach that advantageously 284 optimizes the information available by borrowing strength from the between-subject variability, allowed us to 285 precisely estimate parameters despite a limited number of samples per patient.

286 Our work has some limitations. The main one is that only healthy subjects were included. Model 287 parameters, in particular the interindividual variability of the pharmacodynamic parameters but also possibly 288 the gut microbiome, could differ in a clinical context. For instance, it has been reported that parameters 289 such as the body weight or the severity of the infection impact the pharmacokinetics of antibiotics, including 290 moxifloxacin (34-36). Next, the  $EC_{50}$  parameter could not be precisely estimated and was associated with a 291 large confidence interval, which prevented to extrapolate our findings for lower dosing regimens. Next, we 292 fixed the fecal daily weight to 200 g for all volunteers, whereas there is a high intra- and inter-individual 293 variability in the feces generation. This assumption affects the values on four elimination rate-constants 294 k<sub>ct1</sub>, k<sub>e</sub>, k<sub>fc</sub> and k<sub>f</sub>, whose values should therefore be considered with caution. Furthermore, assuming the 295 same values of fecal daily weight in all individuals at each sampling time, whereas it is known to vary, has 296 an impact on the estimated variabilities of those parameters and on the residual error. It does however not 297 have any impact on the pharmacodynamic model as it was written using fecal concentrations. Finally, we 298 restricted our analysis to a global measure of diversity and we did not model the specific evolution of OTUs 299 but this will require larger study population in order to account for the variability across patients and the high 300 dependency within OTU dynamics. Such models will also gain from using technologies capable to measure 301 absolute counts of OTUs and not only relative counts, such as coupling data from new generation 302 sequencing together with flow cytometric counts of bacterial cells (37).

303 In summary, we provided here a modelling framework to measure the impact of antibiotics on the 304 intestinal microbiome. Diversity indices obtained through next generation sequencing offer a simplified view 305 of the dynamics of the bacterial community within the microbiome, and mathematical modeling allowed to 306 precisely estimate several biomarkers to asses the global dysbiosis induced by moxifloxacin. This 307 encourages to extend these results to the clinical setting for estimating the unwanted effects of drugs on the 308 microbiome. Further, mathematical models make it possible to investigate the effect of various experimental 309 conditions on an outcome, and could be helpful to develop strategies aiming to reduce antibiotics impact on 310 the gut microbiota, via for instance locally released adsorbents, antibiotic-hydrolysing enzymes or optimized 311 dosing regimens of antibiotics.

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### 319 **Conflict of interest**

- 320 Antoine Andremont, Charles Burdet and France Mentré are consultants for the Da Volterra Company. Thu
- 321 Thuy Nguyen performed statistical work for the Da Volterra Company through a contract with INSERM
- 322 UMR 1137.

### **Author Participation**

- 324 AA and FM designed the clinical trial. XD included participants. SF performed the metagenomic analysis.
- 325 CB, TTN, JG and FM performed the statistical analysis of the data. CB, TTN, JG and FM wrote the paper.
- 326 All authors agreed on the final version of the manuscript.

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### 435 Figures legends

Figure 1. Final compartmental model for plasma and fecal moxifloxacin pharmacokinetics (red) and for
bacterial diversity indices (orange).

438  $k_{tr}$  is the transfer rate bewteen each compartment for the absorption delay;  $k_a$  is the absorption rate to the 439 central compartment;  $k_e$  is the extraintestinal elimination rate from the central compartment;  $k_{12}$  and  $k_{21}$ are the transfer rates between the central compartment and the peripheral compartment; kct1 is the 440 441 elimination rate from the central compartment to the intestinal tract;  $k_{fc}$  is the transfer rate between the lower gastrointestinal tract and the central compartment;  $k_t$  is the transfer rate between the intestinal 442 443 transit compartments; k<sub>f</sub> is the elimination rate from the lower gastrointestinal tract; R<sub>in</sub> is the zero-order 444 constant for production of the diversity index; kout is the first-order elimination rate of the diversity index 445 from the lower gastrointestinal tract.

446  $C_f$  is the concentration of free moxifoxacin in the lower gastrointestinal tract;  $E_{max}$  is the maximal effect of

447 moxifloxacin on the elimination rate of the diversity index, and  $EC_{50}$  is the concentration of moxifloxacin

448 leading to 50% of the maximal effect.

449 Data were available for the 3 compartments with bold boxes. GIT, gastrointestinal tract.

450

Figure 2. Visual predictive checks for pharmacokinetic model. Plasma concentrations are depicted in the panel A and fecal concentrations are depicted in the panel B. The blue and red lines are the observed percentiles (10<sup>th</sup>, 50<sup>th</sup>, and 90<sup>th</sup> percentiles), the blue and red ribbons are the corresponding 95% confidence intervals. The dashed black lines are predicted percentiles. Black points are the individual observations.

456

Figure 3. Visual predictive checks for pharmacodynamic model. The Shannon index is depicted in the panel A (moxifloxacin-treated subjects) and panel B (untreated subjects) and the number of OTUs is depicted in the panel C (moxifloxacin-treated subjects) and panel D (untreated subjects). The blue and red lines are the observed percentiles (10<sup>th</sup>, 50<sup>th</sup>, and 90<sup>th</sup> percentiles), the blue and red ribbons are the corresponding 95% 461 confidence intervals. The dashed black lines are predicted percentiles. Black points are the individual462 observations.

463

- 464 Figure 4. Estimated impact of moxifloxacin on intestinal microbiome in the 14 subjects treated with
- 465 moxifloxacin. The impact was measured as the area under the curve (AUC) of the change of the Shannon
- 466 index (panel A) or number of OTUs (panel B) from baseline over time, between day 0 and day 42. The
- 467 AUC is a metric which allows a global view of antitiobics impact on the microbiota as it takes into account
- 468 both the extent and the duration of dysbiosis.



FIG1



FIG2



FIG3



