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**Degenerative abnormalities in BAC transgenic neocortical NPY-interneurons expressing Tau-GFP**

Armelle Rancillac<sup>1</sup>, Jeanne Lainé<sup>2</sup>, Quentin Perrenoud<sup>1</sup>, Hélène Geoffroy<sup>1</sup>, Isabelle Ferezou<sup>1</sup>, Tania Vitalis<sup>1</sup> and Jean Rossier<sup>1</sup>.

1- Laboratoire de Neurobiologie, CNRS UMR 7637, Ecole Supérieure de Physique et de Chimie Industrielles, 10 rue Vauquelin, 75005 Paris, France.

2- Laboratoire de Neurobiologie du cervelet, Université Pierre et Marie Curie Paris 6, Faculté de Médecine Pitié Salpêtrière, 75013 Paris, France.

**Running title: NPY- interneurons**

Corresponding author: Armelle Rancillac, Laboratoire de Neurobiologie, CNRS UMR 7637, Ecole Supérieure de Physique et de Chimie Industrielles de la ville de Paris, 10 rue Vauquelin, 75005 Paris, France.

E-mail: armelle.rancillac@espci.fr.

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## **Abstract**

The introduction of a reporter gene into bacterial artificial chromosome (BAC) constructs allows a rapid identification of the cell type expressing the gene of interest. Here we used BAC transgenic mice expressing a tau-sapphire green fluorescent protein (GFP) under the transcriptional control of the Neuropeptide Y (NPY) genomic sequence, to characterize morphological and electrophysiological properties of NPY-GFP interneurons of the mouse juvenile primary somatosensory cortex. Electrophysiological whole-cell recordings and biocytin injections were performed to allow the morphological reconstruction of the recorded neurons in 3 dimensions. Ninety six recorded NPY-GFP interneurons were compared to 39 wild-type (WT) NPY-interneurons, out of which 23 and 19 were reconstructed, respectively. We observed that 91% of the reconstructed NPY-GFP interneurons had developed an atypical axonal swelling from which emerge numerous ramifications. These abnormalities were very heterogeneous in shape and size. They were immunoreactive for the microtubule-associated protein tau and the lysosomal-associated membrane protein 1 (LAMP1). Moreover, an electron microscopic analysis revealed the accumulation of numerous autophagic and lysosomal vacuoles in swollen axons. Morphological analyses of NPY-GFP interneurons also indicated that their somata were smaller; their entire dendritic tree was thickened and presented a restricted spatial distribution in comparison to WT NPY-interneurons. Lastly, the morphological defects observed in NPY-GFP interneurons appeared to be associated with alterations of their electrophysiological intrinsic properties. Altogether, these results demonstrate that NPY-GFP interneurons developed dystrophic axonal swellings and severe morphological and electrophysiological defects that could be due to the overexpression of tau-coupled reporter constructs.

## **Introduction**

Gamma-amino butyric acid (GABA)-ergic interneurons in the mammalian cortex constitute only a minor fraction of the total number of neurons in the neocortex (15–25%) (Fairen et al., 1984), but are crucial for normal brain function (McBain and Fisahn, 2001; Whittington and Traub, 2003). Despite their small number, these interneurons are remarkably diverse in their morphological, electrophysiological and molecular properties (Fairen et al., 1984; DeFelipe, 1993; Cauli et al., 1997; Kawaguchi and Kubota, 1997; Gupta et al., 2000; Markram et al., 2004; Ascoli et al., 2008).

A subclass of these cortical interneurons is indeed characterised by the expression of Neuropeptide Y (NPY), although it presents quite various intrinsic properties (Hendry et al., 1984; Cauli et al., 1997; Karagiannis et al., 2009). NPY expression is therefore likely a property shared by functionally diverse neuronal subpopulations which have just been recently classified in three main types in the rat (Karagiannis et al., 2009).

Here, we were interested in further characterising this heterogeneous population in the mouse primary somatosensory cortex. For this purpose, we wanted to benefit from the use of transgenic mice selectively expressing the green fluorescent protein (GFP) in NPY expressing neurons, which cannot be otherwise easily identified in an acute slice preparation. This was possible owing to the technology of modified bacterial artificial chromosomes (BACs) used to generate transgenic mice that express the green fluorescent protein (GFP) under the control of a cell-specific promoter.

In BACs, large DNA fragments (>100 kb) are expressed regardless of their site of integration into the genome of the host mice (Giraldo and Montoliu, 2001). The inclusion of marker proteins encoding sequences into endogenous gene loci has not been reported to alter their expression pattern (Miklos and Rubin, 1996; Fritze and Anderson, 2000). Hence, BACs have the advantage to increase the likelihood of correct temporal and spatial control of gene

expression (Heintz, 2001). Thus, this technology allows a specific tagging of neuron subtypes that cannot be otherwise easily identified in an acute slice preparation (Ikawa et al., 1995; Zhuo et al., 1997; Dumitriu et al., 2007).

Using BAC transgenic mice expressing tau-sapphire GFP under the transcriptional control of the NPY genomic sequence (Pinto et al., 2004; Roseberry et al., 2004), therefore appeared as a remarkable tool to characterise NPY interneurons from the mouse primary somatosensory cortex. At first sight, the laminar distribution pattern of these NPY-GFP interneurons seemed comparable to NPY interneurons from littermate mice which do not express the BAC construct. Firing patterns and multipolar morphologies of NPY-GFP interneurons grossly appeared in the same range as what was observed in NPY-interneurons from wild type (WT) animals of the same genetic background. However, we were struck by the systematic morphological abnormalities that we found in NPY-GFP interneurons. So we undertook thorough morphological and electrophysiological comparisons, which revealed significant pathological abnormalities in transgenic NPY-GFP interneurons compared to WT NPY-interneurons.

## MATERIALS AND METHODS

### Animals

Animals were group housed in a temperature-controlled (21–25°C) room under daylight conditions and had ad libitum access to food and water. All experiments were carried out in accordance with the guidelines published in the European Communities Council Directive of 24 November 1986 (86/609/EEC). All efforts were made to minimise the number of animals used and their suffering. The transgenic NPY-GFP mice expressing tau-sapphire GFP under the transcriptional control of the NPY genomic sequence (Pinto et al., 2004; Roseberry et al., 2004) were generated using the bacterial artificial chromosome (BAC) transgenic technology developed by Yang and collaborators (Yang et al., 1997). In this study we used male transgenic NPY-GFP mice (given by J. Friedman, Rockefeller University, NY USA and bred at the Orleans CDTA, France) and male C57Bl/6J mice (Janvier, Le Genest Saint Isle, France).

### Immunofluorescence

Primary antibodies: mouse monoclonal antibodies MAP2 (1: 500; Sigma-Aldrich), tau-1 (1: 1000; Chimicon); rat monoclonal Lamp1 (1: 1000; BD Biosciences); and rabbit polyclonal antibodies NPY (1: 8000; Sigma-Aldrich), and GFP (1: 800; Invitrogen).

For visualization of neurochemical markers, mice at postnatal day 4 (P4) were anesthetized by hypothermia on ice, while older mice (from P18 to 3 months of age) were deeply anesthetized by pentobarbital injections (50 ml/g, IP). Animals were perfused through the heart with saline, followed by 4% paraformaldehyde in 0.1 M phosphate buffer (PB). Fixed brains were dissected out and postfixed overnight at 4°C. Subsequently, brains were cut into 50 µm thick freefloating coronal sections (vibratome VT 1000S Leica), which were immediately processed

for immunohistochemistry. Sections were incubated in 10% goat serum diluted in 0.1 M saline PB (PBS) for 1 h, and then incubated at 4°C overnight with primary antibodies diluted in 0.1 M PBS with 0.25% Triton X-100. They were next rinsed in PBS for 1 h and incubated with secondary antibodies (Alexa goat anti-rabbit, Alexa goat anti-mouse or Alexa anti-rat, 1:500; Molecular Probes) for 2 h.

Following rinsing in 0.1 M PBS (3 x 10 min), sections were incubated 10 min with Hoechst (1µg/ml, Sigma) and, after a last wash in 0.1 M PBS, were mounted in Vectashield (Vector Laboratories) on glass slides, and coverslipped. Sections were imaged with a Leica confocal SP5 microscope (Plateforme d'Imagerie cellulaire, IFR83, Paris 6). Images were treated and assembled using Adobe Photoshop and Adobe Illustrator CS3 (Adobe System, Inc.).

### **Pre-embedding immuno-electron microscopy**

Two 40 days old transgenic NPY-GFP mice and 2 siblings with no BAC construct expression were deeply anaesthetized (pentobarbital 60 mg/kg) and transcardially perfused with 4% paraformaldehyde + 0.1% glutaraldehyde in cold 0.1 M PB. The dissected neocortex was further post-fixed in 4% paraformaldehyde + 15% sucrose for 2 h at 4°C and finally cut in 100 µm thick coronal sections with a vibratome.

A standard free-floating immunocytochemical procedure was followed, using 0.1 M PBS as diluent and rinsing liquids, with 0.05% Triton only added to the primary antibody incubation. Briefly, aldehyde quenching in 0.1 M glycine was followed by preincubation in 5% normal goat serum and overnight incubation at room temperature in rabbit anti-NPY antibody (1:15 000) or anti-GFP antibody (1/2000).

For immunoperoxidase labelings, a biotinylated anti-rabbit IgG (Vector, CA, USA) was applied as secondary antibody (1/200 in PBS, 2 h), the ABC peroxidase complex (Vectastain

Elite, Vector, CA) was used for amplification, and 0.05% diaminobenzidin used as the chromogen.

For immunogold procedure, a 4 h incubation in ultra-small gold conjugate F(ab')<sub>2</sub> fragments of goat anti-rabbit IgG (1/200; Aurion, Netherlands) was followed by extensive washings, 10 min post-fixation in 2% glutaraldehyde and a silver enhancement reaction (NanoProbes, N.Y. USA) followed by a gold toning procedure.

After 2% OsO<sub>4</sub> post-fixation and 2% uranyl acetate en bloc staining, selected sections were dehydrated in graded acetone and finally embedded in Durcupan (Fluka, Switzerland) resin. Ultrathin sections were examined with a Philips CM120 electron microscope, operated at 80kV and imaged with a SIS Morada digital camera.

### **Slice preparation and whole-cell recordings**

Slices were prepared from P14-P18 transgenic NPY-GFP or WT mice. After decapitation, brains were quickly removed and placed into cold (~4°C) oxygenated artificial cerebrospinal fluid (ACSF) containing (in mM): 126 NaCl, 2.5 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 2 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, 26 NaHCO<sub>3</sub>, 10 glucose, 15 sucrose, supplemented with 1 mM of kynurenic acid (nonspecific glutamate receptor antagonist, Sigma, St Louis, Missouri). Coronal or parasagittal brain slices (300 µm thick) containing the barrel subfield region of the primary somatosensory cortex were cut with a vibratome (VT1000S; Leica, Nussloch, Germany), and transferred to an incubation chamber containing ACSF saturated with O<sub>2</sub>/CO<sub>2</sub> (95%/5%) at room temperature. After at least 1 h incubation, individual slices were transferred to a recording chamber and superfused with oxygenated 30-32°C ACSF (in the absence of kynurenic acid) at a rate of 1–2 ml/min.

Patch pipettes (4-7 MΩ resistance) pulled from borosilicate glass were filled with 8 µl of autoclaved internal solution containing (in mM): 144 K-gluconate; 3 MgCl<sub>2</sub>; 0.5 EGTA; 10



HEPES, pH 7.2 (285/295 mOsm), and 2 mg/ml biocytin (Sigma, St. Louis, MO) for intracellular labeling. Neurons were visualized in the slice using infrared transmitted light with Dodt gradient contrast optics or epifluorescence illumination, using a Zeiss (Axioskop FX) microscope equipped with a 40X water immersion objective and a CoolSnap fx CCD camera (Photometrics, Tucson, AZ USA). Just before breaking the seal, GFP expression in targeted NPY-GFP cells was rechecked by fluorescence detection. Whole-cell recordings in current-clamp mode were performed using a patch-clamp amplifier (Axopatch 200B, Molecular Devices). Data were filtered at 5 kHz and digitized at 50 kHz using an acquisition board (Digidata 1322A, Molecular Devices) attached to a computer running pCLAMP 9.2 software package (Molecular Devices). All membrane potentials were corrected for liquid junction potential (-11 mV).

### **Electrophysiological analysis**

In order to analyse intrinsic electrophysiological properties of cortical interneurons 29 electrophysiological parameters (see Supplementary Methods) adopting Petilla terminology (Ascoli et al., 2008) were determined for each cell as previously described (Cauli et al., 1997; Cauli et al., 2000; Karagiannis et al., 2009) by using custom written routines running within IgorPro (wavemetrics, Portland, OR USA).

### **Cytoplasm harvest and single-cell reverse-transcription polymerase chain reaction**

At the end of the recording, the cytoplasmic content of the cell was aspirated into the recording pipette by application of a gentle negative pressure while maintaining the tight seal. The pipette was then delicately withdrawn to allow outside-out patch formation. The content of the pipette was expelled into a test tube, and reverse transcription (RT) was performed in a

final volume of 10  $\mu$ l as previously described (Lambolez et al., 1992). Next, 2 steps of polymerase chain reaction (PCR) were performed essentially as described previously (Ruano et al., 1995).

The cDNAs present in the RT reaction were first amplified simultaneously by using the primer pairs designed to amplify cDNAs sequences of the vesicular glutamate transporter 1 (vGlut1), the two isoforms of glutamic acid decarboxylase (GAD65 and GAD67), the three calcium binding proteins: calbindin (CB), calretinin (CR) and parvalbumin (PV), the neuronal isoform of nitric oxide synthase (NOS-1), and four neuropeptides: neuropeptide Y (NPY), somatostatin (SOM), vasoactive intestinal polypeptide (VIP) and cholecystokinin (CCK), as described in Supplementary Table 1. For each primer pair, the sense and antisense primers were positioned on two different exons. *GoTaq*<sup>®</sup> polymerase (2.5 U; Promega, Madison, USA) and 20 pmol of each primer were added to the buffer supplied by the manufacturer (final volume, 100  $\mu$ l), and 21 cycles (94°C for 30 sec, 60°C for 30 sec, and 72°C for 35 sec) of PCR were run. Second rounds of PCR were performed using 2  $\mu$ l of the first PCR product as template. In this second round, each cDNA was amplified individually with a second set of primers, internal to the primer pair used in the first PCR (nested primers, see Supplementary Table 1), and positioned on 2 different exons. Thirty-five PCR cycles were performed (as described earlier). Then 10  $\mu$ l of each individual PCR product were run on a 2% agarose gel using 100 pb ladder (Promega, Madison, USA) as molecular weight maker and stained with ethidium bromide. The sizes of the PCR-generated fragments were as predicted by the mRNA sequences (see Supplementary Table 1).

### **Visualization and imaging of the intracellular biocytin-filled neurons**

After the electrophysiological recordings, slices were fixed overnight using 4% paraformaldehyde in 0.1 M PB and then stored in PB until subsequent biocytin staining (no

longer than a week). For brightfield stainings, the slices were washed 4 times with 0.1 M PBS for 10 min. The intrinsic peroxidase activity was blocked by 30 min incubation of the slices in 3% H<sub>2</sub>O<sub>2</sub> diluted in PBS at the last minute. Afterwards, the sections were washed 4 times in 0.1 M PBS for 10 minutes each, and permeabilized for 1 h in 0.2% Triton X-100 in PBS. Slices were incubated for 2 h with the Avidin-biotinylated peroxidase-complex (ABC, Vector Laboratories, Burlingame, CA USA, prepared 30 min in advance) diluted 1:200 in PBS and 1% Triton X-100 and washed 6 times in PBS for 10 minutes. For the visualization of the stain, the sections were incubated with 0.05% diaminobenzidine (DAB; Sigma) and 0.01% H<sub>2</sub>O<sub>2</sub> in PBS. The reaction was monitored under a dissecting microscope, and stopped by rinsing in PBS (4 x 10 min) when the cell body and dendritic processes were clearly visible.

### **Morphological reconstruction of recorded neurons**

In order to reconstruct the morphology of the recorded neurons, slices were mounted in PBS-glycerol, coverslipped, and sealed with nail polish. Biocytin-filled neurons were visualized, traced, and digitally reconstructed using the NeuroLucida software (MicroBrightField, Bioscience Europe, Magdeburg, Germany) with a 100X oil-immersion objective (Leica). Drawn neurons were rated on 53 somatodendritic morphological parameters using analytic tools of NeuroExplorer (see Table 1 and Supplementary Table 2). We did not discriminate on chosen parameters but rather tried to describe each neuron as in-depth as the program allowed.

## RESULTS

To study the morphological and electrophysiological properties of NPY-interneurons in the mouse primary somatosensory cortex, we used transgenic NPY-GFP mice expressing tau-sapphire GFP under the transcriptional control of the NPY genomic sequence (Pinto et al., 2004; Roseberry et al., 2004). Data collected from NPY-GFP interneurons were compared to those collected from WT NPY-interneurons of the same genetic background.

### **NPY-GFP expressing interneurons in the somatosensory cortex**

To investigate the accuracy of the transgene expression at the cellular level we performed NPY immunofluorescent labeling on the primary somatosensory cortex of fixed brain sections and observed the endogenous fluorescence of the GFP. There was a good correlation between NPY and GFP expression (Fig.1). Colocalization studies indicated that approximately 84% (n=138) of GFP-positive cell bodies were NPY-immunoreactive (IR), whereas 80% (n=145) of NPY-IR neurons expressed GFP. The lack of 100% overlap could be due to NPY levels under the detection limits of the immunostaining, or alternatively, could arise from the low levels of GFP-expression, as we observed its endogenous fluorescence.

'Figure 1 near here'

A qualitative examination of the laminar distribution pattern of NPY-GFP interneurons in the mouse primary somatosensory cortex revealed that these interneurons were present in all layers, with a greater density in layers II/III and VI (Supplementary Fig. 1A). Similar NPY expression pattern has been previously observed in the rat cerebral cortex (Hendry et al., 1984; Kubota et al., 1994).

### **Morphological properties of NPY-GFP and WT NPY-interneurons**

The green fluorescence of NPY-GFP interneurons was sufficiently bright to perform targeted patch-clamp recordings using epifluorescence in combination with infrared microscopy (Fig. 2 C and D), within the primary somatosensory cortex of juvenile transgenic mice (P14-21, n = 22 mice). Whole-cell current-clamp recordings were performed with biocytin-containing pipettes to allow a post hoc morphological identification and reconstruction in 3 dimensions. Ninety six NPY-GFP biocytin-filled interneurons were recovered after fixing the slices. Out of these 96 recorded interneurons, 32 were well labeled and 23 were reconstructed using the NeuroLucida software (Fig. 2A) to analyze their morphological features. Unreconstructed interneurons were either too deep into the slice to focus properly on the cell, or too superficial, presenting truncated processes.

'Figure 2 near here'

Surprisingly, we observed in these neurons axonal swellings from which emerged numerous ramifications. These abnormalities were very heterogeneous in shape and size, and could even be confused with a neuronal soma (Fig. 2A and E). Generally, a neuron was found to be associated with a single swelling. In only 9 % (2 out of 23) of the reconstructed NPY-GFP interneurons, such thickenings were not observed.

Electrophysiological recordings were also performed on WT NPY-interneurons together with biocytin staining to compare 3-D reconstructions of transgenic versus WT NPY-interneurons. Because there are no distinctive features to specifically target WT NPY-expressing neurons under infrared illumination, the recorded interneurons were subsequently analyzed by single-cell reverse-transcription polymerase chain reaction (scRT-PCR) (Fig. 2 B). The scRT-PCR protocol was designed to detect the expression of mRNAs encoding for the vesicular glutamate transporter (vGlut1); the GABA-synthesizing enzymes, glutamic acid decarboxylases (GAD65 and GAD67); the calcium-binding proteins calbindin (CB), calretinin (CR) and parvalbumine (PV); the neuronal isoform of the nitric oxide synthase

(NOS-1); and the neuropeptides NPY, somatostatin (SOM), vasoactive intestinal peptide (VIP), and cholecystokinin (CCK). This molecular analysis revealed the expression of NPY in 39 electrophysiologically recorded and biocytin labeled WT interneurons, among which 19 were reconstructed (Fig. 2A). In contrast with NPY-GFP interneurons, WT NPY-interneurons presented a normal axonal morphology without any apparent swelling.

We further compared the somatodendritic morphological properties of NPY-GFP interneurons versus WT NPY-interneurons. Eleven somatic and 42 dendritic variables were chosen, among which 15 were significantly different (Table 1, parameters that were not significantly different are shown in Supplementary Table 2). The most striking differences were related to the tiling analysis, which was performed on the 2-dimensional projection of the neurons to contour its dendritic arborization. The dendritic tile perimeter and area were smaller for NPY-GFP interneurons than for WT ones ( $709 \pm 243$  vs  $1229 \pm 514$   $\mu\text{m}$ ,  $P < 0.001$  and  $14163 \pm 7689$  vs  $35337 \pm 25878$   $\mu\text{m}^2$ ,  $P < 0.001$ , respectively), suggesting that transgenic NPY-GFP interneurons presented a restricted dendritic arborisation, in comparison to WT NPY-interneurons. Indeed, although NPY-GFP interneurons had similar average number of primary dendrites ( $8.5 \pm 3.1$  vs  $7.7 \pm 3.6$ , respectively), they presented a smaller dendritic extent than WT NPY-interneurons. The total dendritic length and the average segment length and standard deviation were statistically smaller in the NPY-GFP interneurons ( $1819.2 \pm 993.6$  vs  $3032.6 \pm 1802.4$ ,  $P < 0.01$ ;  $27.5 \pm 12.2$  vs  $39.5 \pm 15.4$   $\mu\text{m}$ ,  $P < 0.01$  and  $25.9 \pm 12.0$  vs  $40.2 \pm 19.5$   $\mu\text{m}$ ,  $P < 0.01$ , respectively). Therefore, parameters directly linked to the dendritic length were also significantly smaller, as were the dendritic Sholl (defined as the percentage of dendritic length included in 100  $\mu\text{m}$  concentric circles) at 100  $\mu\text{m}$  ( $89.7 \pm 15.0$  vs  $74.1 \pm 19.1$  %,  $P < 0.01$ , respectively), 200  $\mu\text{m}$  ( $9.2 \pm 13.8$  vs  $19.9 \pm 13.1$  %,  $P < 0.05$ , respectively), 300  $\mu\text{m}$  ( $1.1 \pm 2.3$  vs  $4.8 \pm 7.4$  %,  $P < 0.05$ , respectively) and 400  $\mu\text{m}$  ( $0.0 \pm 0.0$  vs  $1.2 \pm 2.7$  %,  $P < 0.05$ , respectively). On the other hand, the total dendritic volume and surface

were not significantly different between the NPY-GFP interneurons and the WT ones ( $745.0 \pm 550.8$  vs  $859.9 \pm 614.5 \mu\text{m}^3$  and  $3919.5 \pm 2430.2$  vs  $4903.4 \pm 2976.0 \mu\text{m}^2$ , respectively), suggesting that the entire transgenic dendritic tree was thickened. Lastly, the dendritic arborisation of the transgenic NPY-GFP interneurons presented an average planar angle (the angular value between two dendrites) significantly larger ( $51.0 \pm 6.4$  vs  $47.0 \pm 4.1$ ,  $P < 0.05$ , respectively).

'Table 1 near here'

At the somatic level, it also appeared that NPY-GFP interneurons were significantly smaller than WT NPY-interneurons. Indeed, their somatic perimeter, area, feret min and max (the largest and smallest dimensions of the soma) were significantly smaller ( $39.6 \pm 7.7$  vs  $47.0 \pm 8.8 \mu\text{m}$ ,  $P < 0.01$ ;  $103.4 \pm 39.6$  vs  $141.3 \pm 47.5 \mu\text{m}^2$ ,  $P < 0.01$ ;  $9.6 \pm 2.1$  vs  $11.4 \pm 1.9 \mu\text{m}$ ,  $P < 0.01$  and  $14.0 \pm 3.0$  vs  $16.6 \pm 3.6 \mu\text{m}$ ,  $P < 0.05$ , respectively).

Altogether, these results outline important morphological differences between transgenic NPY-GFP interneurons and WT NPY-interneurons, at the axonal, dendritic and even somatic levels.

### **Characterization of NPY-GFP interneuron swellings by immunofluorescence**

Confocal-scan images of NPY or GFP immunostaining also confirmed abnormal swellings and ramifications of NPY-interneurons from transgenic brain sections (Fig. 3) but not in brain sections from littermate mice with no expression of the BAC construct (data not shown). On NPY-GFP brain sections we carried out double labeling for GFP and the microtubule-associated protein tau which is enriched in the axonal compartment (Fig. 3 A-C). All GFP-IR swellings were also tau-IR, indicating that these anomalies were located at the axonal level, as predicted by the observation of biocytin stained neurons. In our BAC transgenic construction,

the GFP protein is fused with tau and is therefore only addressed to axons. To examine if swellings could also be localized at the dendritic level, we next performed a double immunolabeling of the NPY and of the cytoskeletal protein MAP2 which is specifically expressed in dendrites (Fig. 3 D-F). Indeed, it has already been shown that NPY-positive neurons of the rat cerebral cortex are homogeneously labeled at the axonal and somatodendritic level (Hendry et al., 1984). We didn't observe any NPY-MAP2 immunoreactive swellings. Altogether, these results indicate a clear axonal localization of the swellings.

'Figure 3 near here'

Furthermore, as numerous double-membrane vesicle accumulation in such swollen axons has already been observed during neurodegeneration (Wang et al., 2006), we performed double immunostaining against GFP and the lysosomal-associated membrane protein 1 (LAMP1). This treatment revealed a strong density of lysosomes in the center of the large swellings. Note that most of the Sapphire was found in the vicinity of lysosomes, but not in the vesicular lumen (Fig. 3 G-I). These results suggest that in transgenic NPY-GFP interneurons, a lysosome accumulation causes the formation of axonal swellings.

To investigate if thickenings were already developed in younger animals, we performed NPY immunolabeling at P4, and compared NPY-interneuron morphologies from GFP expressing transgenic mice and their littermate which do not express the BAC. In newborn animals, the laminar density and morphology of NPY-IR neurons in the cerebral cortex were different from those of juveniles because these neurons mature postnatally (Antonopoulos et al., 1992). NPY-IR at P4 revealed no differences between transgenic NPY-GFP interneurons and littermates NPY-interneurons (Fig. 4 A and B). But later, at P18, we already observed large morphological abnormalities (Fig. 4 C-E), suggesting that swellings probably result from a



time dependent accumulative process. However, these aberrations were virtually the same in complexity and density in 3 months old transgenic mice (data not shown).

'Figure 4 near here'

Finally, these swellings were observed through the entire cortex and hippocampus (Supplementary Figure 1), but not in subcortical regions such as the hypothalamus (data not shown).

### **Characterization of NPY-GFP axonal swellings by electronic microscopy**

Pre-embedding immunolabelings with anti-NPY or anti-GFP antibodies were used to identify NPY positive somata and neurites in 40 days old mouse primary somatosensory cortex from GFP expressing transgenic animals and BAC construct-free littermate animals. The NPY-tagged elements were further examined at the ultrastructural level. In NPY-GFP transgenic mice, no gross abnormalities were detected at the level of NPY soma or dendrite profiles, in particular no nuclear alterations were present. In contrast, all the heavily labeled swollen neurite portions detected by their NPY (not illustrated) or GFP labeling (Fig. 5 B) exhibited a similar content. These swollen profiles were invariably filled up with an accumulation of various kinds of rimmed or unrimmed vacuoles as illustrated in the Figure 5. Some vacuoles with a double limiting membrane appeared to be autophagosomes (Fig. 5 E), while others containing electro-dense or multilamellar material showed lysosome characteristics. Some larger vacuoles looked "empty" with a completely electrolucent appearance, just edged by some membranous fragments, and could be residual bodies derived from lysosomes (Fig. 5 C and D). When branches stemming from these swellings were present in the same ultrafine section, they were filled with stacked microtubules (Fig. 5 E) which could adjoin autophagic vacuoles. No synapses were observed on these dystrophic profiles confirming their axonal quality. Notably, in contrast with the less dystrophic parts where the immunostaining was

moderate, a strong GFP-peroxidase or -gold marking was systematically present nearby and within the highly degenerated portions of the axon, suggesting an accumulation of tau-GFP protein (Fig. 5 C,D and F).

'Figure 5 near here'

### **Electrophysiological properties of NPY-GFP and WT NPY-interneurons**

The geometry of a neuron is causal for the electrical excitability measured at a soma (Mainen and Sejnowski, 1996; Schaefer et al., 2003). Increasing evidence from computational studies suggests that dendritic morphology can robustly affect electrotonic characteristics (Carnevale et al., 1997), firing pattern (Krichmar et al., 2002), synaptic integration (Poirazi et al., 2003) and coincidence detection properties of a given neuron (Schaefer et al., 2003).

As NPY-GFP interneurons displayed abnormal morphologies compared to NPY-interneurons from mice with no BAC expression, we wondered if other differences also occurred for their electrophysiological properties. Therefore, we compared the electrophysiological properties of 96 cortical NPY-GFP interneurons versus 39 WT NPY-interneurons of the primary somatosensory cortex. The proportion of NPY-expressing interneuron subtypes depend on their location within the depth of the cortex. For example in the rat somatosensory cortex, fast spiking cells are absent from layer I (Karagiannis et al., 2009). Therefore, caution was taken to record NPY-expressing interneurons from comparable depth ( $2.96 \pm 1.58$  for transgenic and  $2.64 \pm 1.36$  for WT NPY-interneurons).

'Table 2 near here'

To take into account the electrophysiological diversity of these interneurons (Karagiannis et al., 2009), 29 electrophysiological features were determined for each cell (see Materials and Methods) out of which 15 were significantly different (Table 2, parameters that were not significantly different are shown in Supplementary Table 3). In comparison to WT NPY-

interneurons, NPY-GFP interneurons were characterized by a lower membrane capacitance ( $50.5 \pm 23.3$  vs  $74.0 \pm 39.6$  pF,  $P < 0.001$ , respectively) and a higher amplitude and lower time constant of early frequency adaptation ( $174.4 \pm 66.9$  vs  $90.6 \pm 58.2$  Hz,  $P < 0.001$ ; and  $17.4 \pm 6.2$  vs  $24.5 \pm 11.8$  ms,  $P < 0.001$ , respectively). Recorded transgenic NPY-GFP interneurons appeared to be electrically less excitable than non transgenic interneurons as they display a lower input resistance ( $246.7 \pm 77.4$  vs  $419.2 \pm 272.5$  M $\Omega$ ,  $P < 0.001$ , respectively) and a shorter membrane time constant ( $12.2 \pm 6.9$  vs  $27.9 \pm 23.6$  ms,  $P < 0.001$ ). Other distinctive features of these NPY-GFP interneurons consisted in the observation of pronounced voltage sag induced by hyperpolarized current pulses ( $16.1 \pm 8.1$  vs  $11.5 \pm 6.7$  %,  $P < 0.01$ , respectively) and the absence of LTS. This suggests that the distribution of transmembrane conductances along the neuronal membrane was also modified in these transgenic interneurons, as the pattern of Ca<sup>2+</sup> channels distribution influences the LTS response of a cell (Zomorodi et al, 2008). Finally, these NPY-GFP interneurons fired action potentials of higher amplitudes ( $86.0 \pm 9.4$  vs  $80.7 \pm 9.2$  mV,  $P < 0.01$ , respectively for the first spike) and shorter durations ( $0.6 \pm 0.2$  vs  $0.8 \pm 0.2$  mV,  $P < 0.001$ , respectively for the first spike). Altogether, these results strongly suggest that transgenic NPY-GFP interneurons are morphologically and electrophysiologically quite different from WT NPY-interneurons.

## DISCUSSION

This study, originally aimed at thoroughly characterizing mouse NPY neocortical interneurons, finally turned to describe the damaging consequences of the BAC transgenic construct expressing a tau-sapphire GFP reporter gene under the transcriptional control of the NPY genomic sequence. Indeed, our results show altered morphological and electrophysiological properties of interneurons expressing the transgene in comparison to WT NPY-interneurons. The BAC expression was associated with abnormal axonal dystrophic swellings, together with a global thickening of the dendrites. NPY-GFP interneurons also presented a restricted spatial extent of their dendritic tree and a smaller soma than WT NPY-interneurons. Finally, the morphological defects observed in NPY-GFP interneurons appeared to be associated with alterations of their electrophysiological intrinsic properties.

### **BACs interest and disadvantages**

BACs have been used extensively for mouse transgenesis (Giraldo et al., 2003; Heaney and Bronson, 2006; Heintz, 2001). The introduction of reporter genes into the BAC construct allows the rapid and precise identification of the cell types that express the gene of interest. Because of their large insert size, they can also contain long-range *cis*-regulatory elements of the gene of interest, required for correct tissue-specific or temporal expression. Therefore, BAC insertions are thought to be more resistant to positional effects than smaller transgenes (Giraldo and Montoliu, 2001; Gong et al., 2003). Lastly, BAC constructs are stable and reproducibly expressed (Shizuya et al., 1992).

However, despite these numerous advantages, BAC technology also presents some drawbacks. First, random transgene insertion into the mouse genome could induce the generation of phenotypes caused by the site of integration. During random integration, it is

possible for a transgene to insert into either the coding or the regulatory sequence of an endogenous gene, resulting in the disruption or alteration of this gene expression (Krulowski et al., 1989; Ross et al., 1998; Rachel et al., 2002). Generally, insertional effects on another gene will not result in an unexpected phenotype because only one allele integrates BACs. However, if this modification results in haploinsufficiency, or if the transgene is bred to homozygosity, a phenotype resulting from the disruption of an endogenous gene may be observed.

On the other hand, transgenic mice that carry a properly engineered BAC construct often contain multiple copies of a BAC and its overproduction might have phenotypic consequences (Heintz, 2001). It has been suggested that BACs typically incorporate as one to five copy concatamers within a single locus of the genome (Jaenisch, 1988; Giraldo and Montoliu, 2001; Heaney and Bronson, 2006). Chandler et al. (2007) even reported that 50% of their transgenic lines had approximately 48 or more copies. For BAC transgenes containing the elements necessary to confer position-independent expression, a linear relationship between copy number and gene expression is generally observed (Chandler et al., 2007). However, in rare instances where many (eight or more) copies of a large transgene integrate into the genome, the linear relationship between copy number and expression level is lost owing to transgene silencing (Henikoff, 1998; Heaney and Bronson, 2006). Anyhow, the morphological and electrophysiological alterations observed in this study could be phenotypic consequences of our BAC transgene overproduction and thus potentially relay on an excessive production of the Tau-GFP fusion protein.

### **Tau-sapphire GFP overexpression could compromise lysosomal function**

GFP from the jellyfish *Aequorea victoria*, and more recently the novel GFP-like proteins from *Anthozoans* (coral animals) (Chudakov et al., 2005) have greatly advanced our technologies

for fluorescently labeling cells. However, overexpression of such fluorescent proteins can induce electrostatic or hydrophobic interactions between GFP-like proteins that might result in the formation of aggregates (Katayama et al., 2008). This phenomenon is likely to alter the functions of lysosomes and autophagosomes, which are important in preventing the accumulation of damaging aggregated proteins (Nixon, 2006; Rubinsztein, 2006). Compromised function of lysosomes and other degradative organelles that interact with the lysosomal pathway are strongly implicated in neurodegenerative disease pathology (Nixon and Cataldo, 2006; Rubinsztein, 2006). Indeed, an increased number of enlarged lysosomes has been observed in affected neurons of Alzheimer's disease human brains (Cataldo et al., 1996). Axonal swellings, or spheroids, are a hallmark of CNS axon degeneration during aging and in many disorders, although their direct cause and underlying mechanisms are still unknown.

High levels of fluorescent protein expression in cortical neuronal cultures have been shown to result in loss of neurites and apoptotic induction (Detrait et al., 2002), and similarly, it has been reported that co-expression of enhanced GFP with beta-galactosidase in mouse forebrain neurons induces growth retardation and premature cell death (Krestel et al., 2004). Moreover, high level expression of a yellow-fluorescent protein (YFP), a color variant of *Aequorea* GFP, leads to an increase in age-related axonal swelling and with accumulation of degenerated membrane bound organelles (Bridge et al., 2009).

On the other hand, Katayama and others have shown that transfection of Sapphire into mammalian cells did not result in the formation of any visible precipitates after one week, and that Sapphire is degraded in lysosomes (Katayama et al., 2008). These results are consistent with our results at P4, where no precipitates nor any swellings were observed. Later, at P18, GFP immunostaining did not show neither any precipitates nor any colocalization of Sapphire with Lamp-1. Moreover, GFP immuno-electronic microscopy at

P40 showed that if Sapphire accumulates within the axonal swellings, it does not appear to saturate the lysosomal profiles. Altogether, these results suggest that excess Sapphire is probably targeted by autophagy to lysosomes, where it is degraded (Klionsky and Emr, 2000; Mizushima et al., 2002).

### **The fusion of Sapphire GFP with tau could induce cytoskeleton deleterious interactions**

Generation of the fusion protein tau-GFP has been developed to target GFP to axonal microtubules, and therefore enhance the cellular distribution of GFP by improving the visualisation of neuronal distal axonal structures (Brand, 1995).

Although reporter genes are routinely used, there are concerns that their expression could disrupt the development and structure of neurons. Observations of swellings and axon degeneration are also seen when tau is overexpressed in mouse CNS neurons (Ishihara et al., 1999) or in central projections of tau-GFP expressing sensory neurons of *Drosophila* (Williams et al., 2000).

The recent findings of Holzbaaur and colleagues demonstrate that tau protein accumulation of on microtubules affects anterograde and retrograde transports differently (Dixit et al., 2008). On encountering a tau patch, kinesin falls off the microtubules, whereas dynein switches direction or slows down. Whether tau-Sapphire GFP fusion protein accumulates aberrantly in NPY-GFP interneurons is yet unknown, but our tau and GFP immunolabeling in axon swellings were remarkably strong. Therefore, deleterious effects on morphology and electrophysiological properties observed in this study may be due to an overproduction of tau-GFP. Aberrant accumulation of tau-GFP on microtubules may induce a failure in the axonal transport and causes lysosomes accumulation and swelling formation which probably lead to neurodegeneration. Indeed, transport defects may be associated with malfunction of the degradative compartments, resulting in degeneration (Lim and Kraut, 2009). Impaired axonal

transport is one of the earliest pathological manifestations of several neurodegenerative pathologies, such as in Alzheimer disease (Chevalier-Larsen and Holzbaur, 2006; Stokin and Goldstein, 2006).

Another consequence of tau overproduction was also observed in *Drosophila* non neuronal cultured cells, where it can induce round cells to form axonlike protrusions (Baas et al., 1991; Knops et al., 1991). This observation is of peculiar interest here as we observed here that swellings were located at branching points, where intense and abnormal axonal ramification occurred. This phenomenon suggests a compensatory growth, a phenomenon already observed during neurodegeneration.

In summary, the results of the present study suggest that the expression of a tau-based reporter construct causes severe defects in NPY-GFP interneurons. For that reason, care must be taken when selecting reporter genes for transgenic constructs.



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**Table 1:** Morphological somatodendritic properties of transgenic and wild type NPY-interneurons.

	<b>Transgenic (n = 23)</b>		<b>Wild type (n = 19)</b>
Dendritic tile perimeter ( $\mu\text{m}$ )	708.8 $\pm$ 242.9	***	1228.9 $\pm$ 514.2
Ratio of dendritic length to surface area ( $\mu\text{m}^{-1}$ )	0.488 $\pm$ 0.087	***	0.653 $\pm$ 0.169
Dendritic tile area ( $\mu\text{m}^2$ )	14163.0 $\pm$ 7689.1	***	35336.5 $\pm$ 25878.0
Dendritic sholl length at 100 $\mu\text{m}$ (%)	89.7 $\pm$ 15.0	**	74.1 $\pm$ 19.1
Dendritic segments length (stdv) ( $\mu\text{m}$ )	25.9 $\pm$ 12.0	**	40.2 $\pm$ 19.5
Cell body perimeter ( $\mu\text{m}$ )	39.6 $\pm$ 7.7	**	47.0 $\pm$ 8.8
Dendritic segments length (mean) ( $\mu\text{m}$ )	27.5 $\pm$ 12.2	**	39.5 $\pm$ 15.4
Cell body area ( $\mu\text{m}^2$ )	103.4 $\pm$ 39.6	**	141.3 $\pm$ 47.5
Total dendritic length ( $\mu\text{m}$ )	1819.2 $\pm$ 993.6	**	3032.6 $\pm$ 1802.4
Cell body feret min ( $\mu\text{m}$ )	9.648 $\pm$ 2.126	**	11.379 $\pm$ 1.913
Dendritic sholl length at 200 $\mu\text{m}$ (%)	9.2 $\pm$ 13.8	*	19.9 $\pm$ 13.1
Cell body feret max ( $\mu\text{m}$ )	14.0 $\pm$ 3.0	*	16.6 $\pm$ 3.6
Dendritic planar angle (average) (degrees)	51.0 $\pm$ 6.4	*	47.0 $\pm$ 4.1
Dendritic sholl length at 300 $\mu\text{m}$ (%)	1.1 $\pm$ 2.3	*	4.8 $\pm$ 7.4
Dendritic sholl length at 400 $\mu\text{m}$ (%)	0.0 $\pm$ 0.0	*	1.2 $\pm$ 2.7

**Note:** Values are means  $\pm$  standard deviations. Significant differences were determined by using a t-test. \* significantly superior with  $P \leq 0.05$ ; \*\* significantly superior with  $P \leq 0.01$ ; \*\*\* significantly superior with  $P \leq 0.001$ . Parameters not statistically different are shown in Supplementary Table 2.

**Table 2:** Electrophysiological properties of transgenic and wild type NPY-interneurons.

	<b>Transgenic (n = 96)</b>		<b>Wild type (n = 39)</b>
<b>(11) Amplitude of early adaptation (Hz)</b>	174.42 $\pm$ 66.89	***	90.56 $\pm$ 58.17
<b>(3) Membrane time constant (ms)</b>	12.17 $\pm$ 6.90	***	27.91 $\pm$ 23.59
<b>(2) Input resistance (<math>M\Omega</math>)</b>	246.66 $\pm$ 77.44	***	419.22 $\pm$ 272.47
<b>(12) Time constant of early adaptation (ms)</b>	17.42 $\pm$ 6.22	***	24.48 $\pm$ 11.76
<b>(4) Membrane capacitance (pF)</b>	50.55 $\pm$ 23.34	***	74.02 $\pm$ 39.58
<b>(17) First spike duration (ms)</b>	0.64 $\pm$ 0.15	***	0.76 $\pm$ 0.22
<b>(15) LTS</b>	0.00 $\pm$ 0.00	**	0.10 $\pm$ 0.31
<b>(23) Second spike duration (ms)</b>	0.68 $\pm$ 0.17	**	0.80 $\pm$ 0.24
<b>(5) Sag index (%)</b>	16.07 $\pm$ 8.14	**	11.52 $\pm$ 6.75
<b>(16) First spike amplitude (mV)</b>	86.01 $\pm$ 9.44	**	80.72 $\pm$ 9.21
<b>(9) Minimal steady state frequency (Hz)</b>	6.18 $\pm$ 3.57	**	8.20 $\pm$ 3.76
<b>(22) Second spike amplitude (mV)</b>	84.01 $\pm$ 9.25	**	78.94 $\pm$ 9.56
<b>(14) Maximal steady state frequency (Hz)</b>	83.13 $\pm$ 23.85	*	97.18 $\pm$ 40.35
<b>(1) Resting membrane potential (mV)</b>	-72.73 $\pm$ 4.55	*	-75.23 $\pm$ 6.87
<b>(6) Rheobase (pA)</b>	114.90 $\pm$ 98.38	*	74.87 $\pm$ 80.39

**Note:** Values are means  $\pm$  standard deviations. Statistically significant differences were determined by using a t-test. \* significantly superior with  $P \leq 0.05$ ; \*\* significantly superior with  $P \leq 0.01$ ; \*\*\* significantly superior with  $P \leq 0.001$ . Electrophysiological parameters were measured as described in Supplementary Methods. Parameters not statistically different are shown in Supplementary Table 3.

## Figure Legends

**Figure 1.** NPY immunolabelling of GFP-NPY transgenic mouse primary somatosensory cortex confirms the specific expression of GFP in NPY-interneurons. Confocal reconstructions consisted of a z-series of 17 images, projected in one layer via the maximum of intensity method (the spacing of successive z-images was 1  $\mu$ m). **A:** Arrows point NPY immunoreactive neurons NPY. **B:** Arrows indicate neurons that express GFP, as indicated by their green fluorescence. **C:** Arrows designate neurons that coexpress NPY (red, seen in A) and GFP (green, seen in B).

**Figure 2.** Anatomical reconstructions of WT NPY and NPY-GFP interneurons. **A:** Interneurons were injected with biocytin during electrophysiological recordings to allow their post hoc identification and 3 dimensions morphological reconstruction. Representative examples of interneurons from WT and transgenic mice are shown. Axons are in black, whereas somata and dendrites are color-coded: blue for WT NPY-interneurons and green for NPY-GFP interneurons. Cortical layer boundaries are marked with left bars. Arrows indicate axonal swellings. **B:** scRT-PCR products of the WT NPY-interneuron shown in (A) in layer II/III, were resolved in separate lanes by agarose gel electrophoresis in parallel with 100pb ladder as molecular weight marker and stained with ethidium bromide. The amplified fragments had the sizes (in bp) predicted by the mRNA sequences: 367 (vGlut1), 248 (GAD65), 177 (GAD67), 295 (CB) and 220 (NPY). **C, D:** Before patch-clamp recordings of NPY-GFP interneurons, a microphotograph of the cell body was taken using infrared microscopy (C) and epifluorescence (D). These are microphotographs of the most superficial NPY-GFP reconstructed interneuron shown in (A). **E:** Light microphotograph of the same

neuron after biocytin injection, fixation of the slice and histochemical revelation with the ABC-DAB staining method. The arrow indicates a swelling.

**Figure 3.** NPY-GFP interneurons swellings are axonal and contain numerous lysosomes. Arrows point toward swellings. **A-C:** Confocal reconstructions consisted of a  $z$ -series of 13 images, projected in one layer via the maximum of intensity method (the spacing of successive  $z$ -images was 1  $\mu\text{m}$ ). The immunostaining anti-GFP (A), and anti-Tau (B) are pseudocolored in green and red, respectively, in C. Colocalization of the two labels is revealed by the yellow color in C, indicating that the swelling is localized on the axon. **D-F:** Confocal reconstructions consisted of a  $z$ -series of 4 images, projected in one layer via the maximum of intensity method (spacing of successive  $z$ -images: 1  $\mu\text{m}$ ). The immunostaining anti-NPY (D), and anti-MAP2 (E) are pseudocolored in green and red, respectively, in F. Swellings immunoreactive for NPY never display MAP2 immunostaining, indicating that they are not localized on a dendrite. **G-I:** Confocal reconstructions consisted of a  $z$ -series of 8 images, projected in one layer via the maximum of intensity method (spacing of successive  $z$ -images: 1  $\mu\text{m}$ ). The staining anti-GFP (G) and anti-LAMP1 (H) are pseudocolored in green and red, respectively, in I. The yellow seen in I indicates colocalization of the two labels, noticeably intense within the axonal swelling.

**Figure 4.** NPY immunostainings show that NPY-GFP interneurons develop swellings progressively as the mice get older. **A, B:** Sections from a 4 days old NPY-GFP transgenic mouse (GFP<sup>+</sup>, A) and from its sibling which do not express the BAC construct (GFP<sup>-</sup>, B) reveal similar morphology of NPY immunoreactive neurons. Confocal reconstructions consisted of a  $z$ -series of 18 images, projected in one layer via the maximum of intensity method (spacing of successive  $z$ -images: 1  $\mu\text{m}$ ). **C, D:** At P18, we observed morphological



abnormalities (arrow) in NPY-interneurons from NPY-GFP transgenic mouse (C) which were absent in its littermate GFP mouse (D). Confocal reconstructions consisted of a z-series of 16 images, projected in one layer via the maximum of intensity method (spaced by 0.8  $\mu\text{m}$ ). **E:** The morphological abnormality indicated in C by the arrow shown at a higher magnification.

**Figure 5.** Ultrastructural features of representative swellings located on NPY-GFP axons, as identified by anti-GFP immunolabeling. **A:** Low magnification micrograph indicating the somatosensory cortex location of the two abnormal axonal swellings illustrated in C and D. **B:** The two GFP-peroxidase labelled thickenings are indicated by arrows. **C, D:** High power photonic and electron micrographs of the two swellings. The inset in C shows a heavily labelled spherical structure with a spongy aspect. At the ultrastructural level (C), this spherical structure appears strongly GFP-labelled and mainly contains giant electrolucent vacuoles and membrane whorls. It is in close contact with a neuronal soma (N, arrowheads outline its plasmalemma) and a more faintly labelled stalk emerges from it (arrows) which contains smaller degradative vacuoles (see E). In the photonic view of the inset in D, the axonal swelling appears elliptical with two branches emerging at right angles, indicating that it is not an end-bulb. The electron micrograph in D shows the same degenerative features as in C, with more or less voluminous stacked vacuoles, either "empty" or containing pseudo-myelinic membranes. Arrowheads indicate its lower outline. As in C, the GFP labelling is heavier in the more degenerative portions of the neurite. **E:** High magnification of the faintly GFP-labelled stalk emerging from the spheroid illustrated in C, at 4 sections further off. Well aligned microtubules, somewhat thickened by immunoperoxidase precipitate diffusion, adjoin autophagic vacuoles (asterisks) which lie just under the axolemma. **F:** A GFP-gold labelled axonal swelling. In its lower part, microtubules appear regularly disposed, while in the two

zones where silver-gold beads are densely packed and electrolucent degenerative vacuoles are present, the microtubules appear disorganized.