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Multiparametric MRI including oxygenation mapping of experimental ischaemic stroke

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Running headline: Multiparametric MRI of experimental stroke

Abstract $(226 \rightarrow 200)$

Recent advances in MRI methodology, such as microvascular and brain oxygenation (StO₂) imaging, may prove useful in obtaining information about the severity of the acute stroke. We assessed the potential of StO₂ to detect the ischaemic core in the acute phase compared to apparent diffusion coefficient (ADC), and to predict the final necrosis. Sprague-Dawley rats (n=38) were imaged during acute stroke (D0) and 21 days after (D21). A multiparametric MRI protocol was performed at 4.7T to characterize brain damage within three Region of (ROI): "LesionD0" (diffusion), "Mismatch" representing interest penumbra (perfusion/diffusion) and "Hypoxia" (voxels <40% of StO₂ within the ROI LesionD0). Voxelbased analysis of stroke revealed heterogeneity of the ROI LesionD0, which included voxels with different degrees of oxygenation decrease. This finding was supported by a dramatic decrease of vascular and perfusion parameters within the ROI Hypoxia. This zone presented the lowest values of almost all parameters analyzed, indicating a higher severity. Our study demonstrates the potential of StO₂ MRI to more accurately detect the ischaemic core without the inclusion of any reversible ischaemic damage. Our follow-up study indicates that both ADC ($r^2=0.93$) and StO₂ ($r^2=0.68$) ROI correlate with the final necrosis, which was overestimated by diffusion imaging.

Keywords: mqBOLD, MRI, Oxygenation, Penumbra, Stroke

INTRODUCTION

Stroke is a major public health issue due to the socioeconomic burden of stroke-induced disability.¹ Ischaemic stroke is the most common subtype of stroke (accounting for around 80% of all stroke cases), resulting from an arterial occlusion that dramatically decreases local brain perfusion. Cerebral ischaemia is a complex and dynamic process that spans hyperacute to acute, subacute and chronic phases. When diagnosed quickly, ischaemic stroke can be treated by thrombolysis, using recombinant tissue plasminogen activator (rtPA).² Mechanical thrombectomy via an endovascular route can be performed either as an alternative to thrombolysis to reduce the risk of brain haemorrhage³ or in association with rtPA.⁴ Patients can benefit from thrombolysis up to 6 hours after ischaemic stroke onset.⁵ However, evidence suggests that time alone is not sufficient to optimally select patients for thrombolysis. Neuroimaging can play an influential role in refining treatment decisions by correctly distinguishing between salvageable tissue and the central irreversible core.⁶

Indeed, two distinct regions can be detected during the acute phase of stroke: an ischaemic core that is severely and irreversibly damaged, and a zone of "penumbra" defined as an ischaemic tissue that is functionally impaired and at risk of infarction but has the potential to be salvaged.^{7,8} Currently, the standard imaging technique to identify the penumbra is positron emission tomography (PET)¹⁵O₂.⁹ However, the use of PET imaging in acute stroke remains limited in clinical practice by its high cost, relative invasiveness and limited availability. A more widely available alternative is perfusion-weighted imaging (PWI)/diffusion-weighted imaging (DWI) mismatch MRI. Using MRI, the ischaemic core is detected as the zone of water diffusion abnormality, while the penumbra area is indirectly detected as the mismatch between the zone of perfusion deficit and the zone of diffusion abnormality. Recently, it has been observed that an area of diffusion alteration that shows a modest apparent diffusion decrease could be salvaged with timely reperfusion.¹⁰ Different degrees of severity within the

apparent diffusion coefficient (ADC) lesion were detected using PWI (mean transit time).¹¹ A pilot clinical study using ¹⁸FMISO PET also reported the presence of extensive penumbra in each patient (n = 3), which included the zone of abnormality identified by DWI⁹. This suggested that ADC may not be the best biomarker for an ischaemic core or predictor of the final lesion.

Recent advances in brain imaging techniques offer new tools to characterize the ischaemic lesion. Currently, it is possible to map microvascular parameters such as vessel size index (VSI),¹² vessel density (VD),^{13,14} and brain tissue oxygen saturation (StO₂) in a voxelwise basis, using a multiparametric quantitative blood oxygenation level-dependent (mqBOLD) approach.^{15,16}

Changes in infarct progression may be better detected with voxel-based methods than with methods using volumetric mismatches.¹⁷ In this way, these new parameters might allow a more accurate identification of salvageable areas and the core (irreversible damage) within the ADC lesion. Based on previous studies,^{16,18} a drop in StO₂ below 40% of control levels predicts irreversible damage. However, it is not yet known how ADC and StO₂ compare in the context of acute stroke. The specific goals of this study were: 1) to investigate the sensitivity of StO₂ to detect an acute stroke lesion (compared to ADC); 2) to assess the distribution of hypoxic zones within the ADC lesion, and their impact on haemodynamic parameters; and 3) to assess the potential of combined StO₂ and ADC to predict the final necrosis (three weeks after stroke onset).

To address these goals, we used a multiparametric MRI protocol, which combined standard diffusion/perfusion maps with the acquisition of novel MRI parameters (VSI, VD, and StO₂). We examined these MRI parameters within four regions of interest (ROI): the lesion detected on ADC (ROI LesionD0), the zone of mismatch CBF (cerebral blood flow)/ADC (ROI

Mismatch), the zone with a StO₂ below 40% within the ADC lesion (ROI Hypoxia) and the contralateral tissue (ROI Contra).

MATERIALS AND METHODS

All procedures and animal care were in accordance with French government guidelines and were performed under permit numbers 380820 and A3851610008 (for experimental and animal care facilities) from the French Ministry of Agriculture. The study design was approved by the "Grenoble Institute of Neuroscience" local ethics committee for animal care and use (agreement number 004). This study is in compliance with the ARRIVE guidelines (Animal Research: Reporting in Vivo Experiments).¹⁹ Male Sprague Dawley rats (n = 38; 294±93 g; age = 7 weeks) were obtained from Charles River (France), and housed in groups of 3-4 in Plexiglas cages under standard laboratory conditions (12 h light/dark cycle with lights off at 7:00 p.m. and at a controlled temperature of 22 ± 2 °C). Water and standard laboratory chow were provided *ad libitum*. Figure 1a shows the full experimental protocol.

Animal preparation

For all experimental procedures with a potential risk of pain or discomfort for the animals, anaesthesia was induced by inhalation of a gas mixture of 5% isoflurane (IsoFlo, Abbot Laboratories Ltd, Berkshire, UK) in medical air through a facial mask and maintained between 1.0-2.5% of isoflurane during the surgical procedures and MRI acquisition. Body temperature was monitored by a rectal probe and maintained at 37 °C \pm 0.5°C via a heating blanket. The tail vein was equipped with a catheter to deliver the contrast agent.

Middle cerebral artery occlusion surgery

Focal brain ischaemia was induced by middle cerebral artery occlusion (MCAo) using the intraluminal filament technique.²⁰ The incision site was shaved, cleaned and injected subcutaneously with 2 mg/kg 0.05% Bupivacaine (Pfizer, France). Briefly, the right carotid arterial tree was isolated. A monofilament (silicon rubber-coated monofilaments: 0.37 mm in diameter, Doccol, Sharon, MA, USA) was advanced from the lumen of the external carotid artery into the internal carotid artery to occlude the right MCA at its origin. The external carotid artery was ligated, the occipital artery branch of the external carotid artery and superior thyroid artery were isolated and electro-cauterized. After 20 minutes of MCAo, the MRI session began. At the end of the MRI session, the filament was retracted to allow reperfusion. Therefore, ischaemia lasted around 100 minutes (Fig. 1a).

Magnetic Resonance Imaging Protocol

MRI was performed at day 0 (multiparametric protocol, duration: 53 min) and at day 21 (anatomical and diffusion sequences, duration: 20 min).

All MRI data were acquired using a 4.7T magnet (Bruker Biospec®, Germany) (MRI facility IRMaGe, Grenoble, France). After securing the animal in a cradle using tooth and ear bars to restrict head movement, a volume coil for excitation and a surface coil for detection were used. The slice orientation was identical for all MRI sequences. During each MRI session, physiological variables were continuously monitored.

The multiparametric MRI protocol was as follows. After a pilot sequence to ensure the correct rat position, anatomical T₂-weighted (T₂W) images were acquired using a spin-echo sequence (repetition time (TR)/echo time (TE) = 7000/50 ms, 31 slices with a voxel size = $234x234x800 \mu$ m). ADC was mapped using diffusion-weighted, spin-echo, single-shot echoplanar imaging (TR/TE = 2250/33 ms, 8 averages, 9 slices, voxel size = $234x234x800 \mu$ m).

This sequence was applied four times, once without diffusion weighting and three times with diffusion weighting ($b = 800 \text{ s/mm}^2$) in three orthogonal directions. CBF was determined using pseudo continuous arterial spin labelling (pCASL) with an echo-planar imaging (EPI) readout (spin-echo EPI, TR/TE = 3600/21ms, labelling duration = 3 s, post-labelling delay = 400 ms, 50 label/control pairs, 5 slices with voxel size = $234x234x800 \mu$ m). Note that the use of a fixed 400 ms post-labelling delay may not account for all arterial delays that occur during experimental ischaemic stroke. In practice, this approach may underestimate the absolute CBF values in the lesion territory. A T₁ map was obtained using an inversion-recovery sequence $(TR/TE = 8000/33 \text{ ms}; 15 \text{ inversion times}: 35-7000; 5 \text{ slices}; \text{ voxel size} = 234x234x800 \,\mu\text{m}).$ A T₂ map was obtained using a multi spin-echo sequence (26 echoes; TR/TE = 2000/12-312ms; 5 slices; voxel size = $234x234x800 \mu$ m). A high resolution T₂* map was obtained from a 3D multiple-gradient echo sequence (15 echoes; TR/TE = 100/4-67ms; 26 slices; voxel size = 117x117x200 µm). A blood volume fraction (BVf) map was obtained using a steady-state approach ¹². A multiple-gradient echo sequence (16 gradient echoes; TR/TE = 4000/3-56 ms, and 1 spin echo TE = 60ms; 5 slices, voxel size = $234x234x800 \mu$ m) was performed before, and one minute, after an intravenous injection of ultrasmall superparamagnetic iron oxide nanoparticles (USPIO) contrast agent (P904, 200 µmol of iron/kg, Guerbet S.A., Aulnaysous-bois, France) flushed with 250 µL of saline.

At day 21, the MRI protocol was composed of the same anatomical and ADC sequences.

Histology

After euthanasia at day 21, brains were quickly removed, frozen in -40 °C isopentane, and stored at -80 °C until processing. Coronal cryosections (20 μ m thick) were cut along the entire lesion at -20 °C on a cryotome (Leica, Nanterre, France).

Haematoxylin-erytrosine (HE) staining was performed according to the manufacturer's instructions on the slice with the largest lesion area to assess the final necrosis (n = 10).

MRI data processing

MRI data were processed using homemade software developed in Matlab 2013 (MathWorks, Natick, MA, USA). This software allows map calculation and the creation of ROIs.

ADC maps were generated with Paravision 5.1 software (Bruker, Germany) computed as the mean of the ADCs measured in the three principal directions of the gradient system. VSI and BVf maps were derived from the change of T_2 and T_2^* induced by injection of iron oxide particles as previously described.¹² VD was derived from the ratio of the changes in transverse relaxation rates, using the equations 13 to 15 described in Troprès et al. 2015.¹³ A quantitative CBF map was computed with the equations described in Alsop et al. 2015 using both the pCASL sequence and the T_1 map.²¹ A StO₂ map was computed using the T_2 map, T_2^* map and BVf map as previously described.¹⁵ The spatial resolution of all MRI maps was set to that of the lowest acquired (234x234x800 µm). Except for ADC maps (9 slices), all parametric maps had 5 slices placed in the centre of the lesion because of technical limitations.

MRI data analysis

At day 0, four ROIs were delineated to evaluate the alterations of the MRI parameters following ischaemia (Fig. 1b). The ROI LesionD0 was obtained by contouring the zone with abnormal diffusion on the ADC map (over 9 slices). The ROI Contra was the "mirror" of the ROI LesionD0 in the contralateral hemisphere. The ROI Mismatch was defined as the

difference between the low-CBF ROI manually delineated (over 5 slices) on the CBF map and the ROI LesionD0. To delineate the low-CBF ROI, we searched for anatomically relevant areas (i.e. excluding ventricles) that exhibited a sharp CBF decrease (about 20%). The ROI Hypoxia was automatically defined as the voxels with a StO₂ value below 40% and located within the ROI LesionD0 (over 5 slices). The StO₂ threshold of 40% was chosen on the basis of a previous study demonstrating that a value below 40% is not sufficient for cell survival.¹⁸ These four ROIs were transferred to each parametric map.

In addition, two ROIs, ROI HIpsiD0 (9 slices) and ROI HContraD0 (9 slices), were defined by delineating hemispheres on T_2W images and excluding ventricles and *Corpus callosum*. The volume of each hemisphere was estimated by multiplying the number of voxels in the ROI by the voxel volume (234x234x800 μ m³). At day 21, the lesion volume (LesionD21) was estimated by delineating regions of hyperintensity on the ADC map of the 9 slices (approximately between the coordinates +2.20 and 4.0 mm from bregma) and the ROI ContraD21 was the "mirror" of LesionD21. The volume of each hemisphere (HIpsiD21 and HContraD21) was measured as described above.

The volume occupied by the ROI Hypoxia in the ipsilateral hemisphere was compared to that detected three weeks after, the ROI LesionD21. Finally, we compared the *in vivo* (MRI) and *ex vivo* (histological analysis) detection of the final necrosis at day 21.

The comparison between the volume of the lesion detected on the StO_2 map at day 0 and the final lesion at day 21 was performed using 5 slices, because the StO_2 maps had only five slices (cf. MRI data processing section of Material and Methods). For ADC-based measurements, 9 slices were used. Hemisphere volumes were evaluated at day 0 and day 21 to detect brain oedema or shrinkage. Lesion volume was also evaluated at both time points to assess the ADC lesion fate.

Histological data analysis

After HE staining, the slices were digitized at a resolution of 2400-dot-per-inch using a photo scanner (Perfection 4870; Epson, Long Beach, CA) with backlighting. Brain images were imported into the Matlab environment (using homemade software), converted to black and white and then warped (elastic registration) to ensure matching of the histological regions and the anatomical points detected by MRI T₂W (1 slice). Briefly, a simple 2D elastic registration was done in three steps using built-in Matlab functions (MathWorks, Natick, MA, USA): 1) manually selecting control point pairs that correspond to the same anatomical structure between the HE staining and the MRI anatomical image (Matlab function called cpselect); 2) infer the spatial transformation from control point pairs using a piecewise linear transformation (Matlab function called cp2tform); and 3) transform the HE image according to the 2D spatial transformation returned by the cp2tform function (Matlab function called imtransform). The ROI NecrosisD21 was defined by delineating the zone of HE alteration.

Statistical Analysis

We tested the normality of our data distribution using the Shapiro-Wilk test. Comparisons of ROI areas, volumes and parameter values at day 0 were analyzed with Student's paired t-test if the distribution was normal or by Wilcoxon Signed-rank test otherwise. The Spearman correlation coefficient was used for the correlation analysis of MRI at day 0 and day 21 and the Pearson correlation coefficient was used for the correlation of MRI D21 and histological analysis. Statistical significance was set to p < 0.05. Statistical analysis was conducted with statistical software package SPSS 21.0 (IBM, Armonk, USA). Data are presented as mean \pm Standard Error of Mean (SEM) for MRI data or mean \pm standard deviation (SD) for physiological data.

RESULTS

Four animals were excluded for not presenting an ischaemic lesion (n = 2) or because of problems with the contrast agent administration (n = 2). We also lost 22 rats spontaneously due to stroke, and 2 because of deteriorating health, leaving 10 rats in our follow-up study. The mean occlusion time was 101 ± 15 minutes. Physiological variables (day 0 and day 21) were monitored and maintained within physiological limits (Table 1). We found higher heart (HR, p=0.04) and breathing rates (BR, p=0.01) at day 0 than at day 21.

The HIpsi and HContra had similar volumes, at both time points (at day 0: $375.3\pm3.8 \text{ mm}^3$ and $364.9\pm6.8 \text{ mm}^3$, p=0.17; at day 21: 365.0 ± 5.7 and $363.0\pm5.7\text{mm}^3$, p=0.97 respectively. Comparisons between HIpsiD0 vs. HIpsiD21 p=0.10; and HContraD0 vs. HContraD21 p=0.88, evaluated by a paired analysis Wilcoxon Signed-rank test) (Fig. 2a and 2b).

Mortality

Rats spontaneously died due to the severity of ischaemia (n = 22) or were euthanized due to deteriorating health (n = 2). The criteria for euthanasia were based on clinical examination and assessment of the rat's level of pain, distress or significant weight loss (20% from preoperative body weight). Rats were euthanized by an intra-cardiac injection of Pentobarbital 200mg/kg (Dolhethal, Vétoquinol Inc, France) under deep anaesthesia by isoflurane (IsoFlo, Abbot Laboratories Ltd, Berkshire, UK).

Effect of ischaemia on MRI parameters during the acute phase

Table 2 and Figure 3 summarize the values of each MRI parameter within each ROI at Day 0 (n = 10). Comparisons between these parameters were based on estimates obtained from 5 slices, with the exception of ADC (9 slices), and were performed by a paired analysis (Student's paired t-test for a normal distribution or Wilcoxon Signed-rank test otherwise).

ADC. As expected, a decreased ADC was observed in the MCA territory following MCAo. The ROIs LesionD0 and Hypoxia showed a similar ADC decrease (p=0.14). The mean ADC within the ROI Mismatch was similar to that of the ROI Contra (p=0.22) (Figure 3b).

Perfusion. The CBF in the ROI Hypoxia was lower than that of the ROI Mismatch (p=0.001) or LesionD0 (p=0.01) (Figure 3c). BVf, measured with a steady-state approach, confirmed a reduced perfusion in the ipsilateral ROIs, with the greatest reduction observed in the ROI Hypoxia, followed by LesionD0 and Mismatch (p=0.04 for the comparison between Hypoxia and the LesionD0 and p<0.01 for comparisons between the other groups) (Figure 3d).

VSI and VD. Figures 3e and 3f show the average VSI and VD, respectively, across all rats and for each ROI. MCAo induced alterations in the apparent microvascular architecture: the three ipsilateral ROIs exhibited larger VSI and lower VD than in the ROI Contra. Moreover, the ROI LesionD0 had higher VSI values than the Mismatch (p=0.05) and Hypoxia (p=0.02) ROIs. VD in ROI Hypoxia was lower than that of ROI Mismatch (p<0.01) but similar to that of ROI LesionD0 (p=0.37).

*StO*₂. Figure 3g shows the mean StO₂ values in the different ROIs. The three ipsilateral ROIs exhibited lower StO₂ values than the ROI Contra (StO₂=70.2%, p<0.01). As expected, the lowest values of StO₂ were found in the ROI Hypoxia when compared to the ROI LesionDO (22.3% vs. 46.7% respectively, p=0.01) or to the Mismatch ROI (22.3% vs. 59.8% p=0.01).

Evolution of the ischaemic lesion three weeks after stroke

A paired test showed that the volume of altered ADC (over 9 slices, n = 10 rats) was lower at day 21 (ROI LesionD21: 48.6±19.2 mm³) than at day 0 (ROI LesionD0: 65.8±24 mm³, p=0.02) (Figure 2b). A good correlation was detected between the two time points (r²=0.93, y=1.202x +7.435, p<0.01). At Day 21, the ADC values reported in the ROIs LesionD21 and Contra (ADC map) were 1743.7±134.6 μ m²/s and 756.2±10 μ m²/s, respectively.

Moreover, we compared the volume of ROI Hypoxia detected by MRI at day 0 using the ADC and StO₂ maps with the final lesion detected on the ADC map at day 21. We observed no significant difference in the volume of ROI Hypoxia (24.29mm³±9.57; 5 slices) and the lesion volume detected at day 21 in the ROI LesionD21 (34.66 mm³±12.87; 5 slices; p=0.64) (Fig 4). A good correlation was detected between the volume of the ROI Hypoxia and the ROI LesionD21 ($r^2=0.68$, p<0.01) (Figures 4b and 4c).

To check the accuracy of the lesion detection by MRI in the chronic phase, we assessed the correlation between lesions detected *in vivo* by MRI (ROI LesionD21) and *ex vivo* by histological staining (ROI Necrosis). We found a good correlation between the *in vivo* and *ex vivo* detection of necrotic area ($r^2=0.85$; p<0.01) (Fig 5). Altogether, these results suggest that there is a good agreement between the volume of ROI Hypoxia, measured during the acute phase of the stroke, and the chronic lesion volume.

DISCUSSION

The aims of this study were to investigate the sensitivity of StO₂ to detect hypoxic areas during the acute phase of ischaemic stroke compared to ADC, and to assess its potential in predicting the final necrosis. During the acute phase of stroke, both ADC and StO₂ detected a lesion. The results obtained with multiparametric MRI show that the lesion may be divided into three zones with different degrees of severity: the ROIs Mismatch (CBF/ADC mismatch), LesionD0 (the ADC lesion) and Hypoxia (as a part of the ADC lesion). After three weeks, the

final lesion volume was smaller than that of the initial ischaemic core defined on the ADC map. StO_2 and ADC were equivalent in predicting the final necrosis.

Contralateral hemisphere

Most of the parameter values assessed in the contralateral hemisphere are in agreement with previous reports for ADC,²² BVf, VD,²³ CBF,²⁴ and StO₂.^{16,25} Contralateral VSI values appear to be slightly higher than those previously reported in literature (7.0±0.6 μ m vs. 4.5±0.8 μ m).^{26,27} This result might be explained, as previously reported, by long-term changes in myogenic reactivity of MCAs in both ischaemic and non-ischaemic hemispheres.²⁸

Penumbra

In this study, the "mismatch" area (ROI Mismatch), usually considered to be a salvageable zone, exhibited the least severe alterations among the three ipsilateral ROIs. Note that this penumbra, being observed around 60 minutes after stroke onset. was evolving more slowly than just after stroke onset. We observed an important reduction in CBF (61.0 ± 5.2 mL/100g/min) compared to the contralateral hemisphere (153.0 ± 4.6 mL/100g/min), but with values compatible with cell survival,²⁹ and no alteration of ADC. For all other parameters (BVf, VD, StO₂), a slight reduction was observed, compared to the contralateral hemisphere, except for VSI whose values were slightly higher (7.0 ± 0.6 µm vs. 8.1 ± 0.7 µm).

Ischaemic core

Quantitative measures of ADC in previous studies revealed that during ischaemia, ADC declines before energy metabolism fails.³⁰ These results suggest that a reduced ADC area may

overestimate the size of the infarct core. In the present study, the ROI LesionD0 (i.e the ADC abnormality) exhibited lower values of ADC, CBF, BVf, VD, and StO₂ than the contralateral hemisphere and the mismatch area. Conversely, VSI was higher in the initial diffusion lesion (LesionD0 ROI) than in the mismatch area and contralateral hemisphere. Regarding both the ischaemic core and the penumbra, the increase in VSI, combined with a decrease in BVf and VD, could result from a decrease in the number of perfused vessels. Indeed, it is unlikely that microvessels have disappeared 1 hour after MCAo. It is more likely that MCAo and oedema prevent blood flow in some vessels, which become inaccessible to contrast agent (USPIO), resulting in an apparent reduction in VD. The increase in VSI suggests that these non-perfused vessels are the smallest in diameter. Alternatively, the increase in VSI could arise from vasodilation of the remaining perfused vessels, or to a combination of both phenomena. The balance between the reduction in VD and the increase in VSI yields a reduction in CBV. The ROI Hypoxia was defined as the pixels located within the diffusion lesion (ROI LesionD0) with a StO₂ below 40%. This segmentation yielded the same hypoxic area as that

estimated by pimonidazole ex vivo.¹⁶

This threshold was chosen based on a study using near-infrared spectroscopy.¹⁸ In the study, the authors demonstrated that a lactate increase is detected at an oxygen saturation of 44%, minor electroencephalography alterations appear at 42%, and the adenosine thriphosphate (ATP) decreases at 33%.¹⁸ In a previous study by our team, a comparison between hypoxic zones detected *in vivo* by MRI (threshold of 40% of StO₂) and by histological analysis with pimonidazole was performed.¹⁶ Pimonidazole is a bioreductive hypoxic marker that is activated in an oxygen-dependent manner and is covalently bound to thiol-containing proteins in hypoxic cells.³¹ This binding is reported in viable hypoxic cells but not in necrotic cells. The redox-dependent process is progressively inhibited at increasing oxygen tensions and involves the cell-specific action of cellular nitroreductases.³² A good correlation was

previously reported between StO₂ MRI and pimonidazole-positive hypoxic cells 2 hours after ischaemia onset.¹⁶

The values of the MRI parameters within the hypoxic zone (ROI Hypoxia), located by construction within the diffusion lesion (ROI LesionD0), were in general much lower than those of the two other ipsilateral regions, except for ADC and VD, where the ROI Hypoxia values were similar to LesionD0. The mean value of CBF in the ROI Hypoxia $(31.0\pm1.4 \text{ mL}/100g/\text{min})$ was no longer compatible with cell survival ²⁹. StO₂ fell to 22.0±0.6%; in the ROI LesionD0 it was 47.0±1.4%. BVf was also reduced (from 2.2±0.7 to 1.9±0.1%) but to a lesser extent. Note that we could not find a BVf or a CBF threshold that could yield the same Hypoxia area as that detected with the 40% StO₂ threshold.

Altogether, our results demonstrate that StO_2 is a discriminant parameter to detect the most severe ischaemic areas within the zone of ADC decrease. In this way, our data confirmed our hypothesis that the zone of ADC decrease is heterogeneous, based on our vascular and oxygenation results.

In the present study, the reperfusion was performed after the MRI acquisition, and we therefore investigated hypoperfused areas. It should be noted that if the artery had already been recanalized at the time of scanning, the blood vessel StO₂ concentration would have been high even in zones of already dead tissue. In such cases, StO₂ values would not represent brain tissue oxygenation. The morphology and function of cerebral capillaries undergo profound changes during cerebral ischaemia. Capillary constrictions regulated by pericytes could block the blood supply; this could explain the occasional absence of tissue reperfusion even after the large-vessel recanalization. This result corresponds to the well-described noreflow phenomenon.³³ This neurovascular dysfunction involves the formation of reactive species of oxygen at the level of the vascular endothelium, and affects the local oxygen delivery.³³

In clinical settings, a spontaneous reperfusion could be a limitation for the detection of an ischaemic core using a low StO_2 threshold. Abnormally high StO_2 and normal CBF values could also be a marker of already dead tissue.

Evolution of the ischaemic lesion

The final lesion volume was estimated from the area of increased ADC (LesionD21: $1743.7\pm134.6 \ \mu m^2/s$ vs. ContraD21: $756.2\pm10 \ \mu m^2/s$). This increase in ADC, in line with a previous study,³⁴ is a consequence of cell death and subsequent cavitation of brain tissue being filled by liquid and cell debris. The final lesion volume (48.5 mm³) was smaller than the initial volume (65.8 mm³). In accordance with previous reports,³⁵ this suggests that an altered ADC during the acute phase does not necessarily yield a lesion. In addition, some morphological changes were detected at day 21 such as brain shrinkage and enlargement of ventricles (Figure 3a). Brain shrinkage, a process commonly associated with stroke, occurs not only in the perilesional zone but also in contralateral hemisphere.³⁶ Additional processes, such as axonal degeneration, are involved in shrinkage, and result in expansion of the lesion to include remote regions connected to the affected area.^{36,37}

The ischaemic lesion has a complex and dynamic physiopathology process, and even after reperfusion the lesion can continue to change. One limitation of our study is that our estimation of the hypoxic zone (ROI Hypoxia based on the StO_2 map) did not take into account neighbouring voxels. Indeed a recent study, which considered each voxel independently, showed that the distribution of intensities surrounding a voxel at the early ischaemic stages may capture the dynamic of the lesion growth and be predictive of tissue outcome.^{6,38}

There was a good correlation between the volume of the MRI lesion detected at day 0, 1 hour after stroke onset, by StO₂ map (ROI Hypoxia) or by ADC (ROI LesionD0), and the volume

measured at day 21 (ROI LesionD21), which corresponded to that of Necrosis D21, estimated post-mortem. Due to the above mentioned morphological changes that occur between day 0 and day 21, we were not able to conduct a pixel-by-pixel analysis to determine whether each voxel of the StO₂ lesion evolved towards necrosis. Nevertheless, we observed that LesionD0 tended to overestimate the volume of LesionD21, while Hypoxia tended to underestimate it. Further studies are needed, including MRI maps obtained at several time points between 30 and 90 minutes after a stroke for the acute phase, and obtained 1 or 2 days after a stroke for the late phase, to assess the fate of low StO₂ voxels within the ADC lesion and determine whether StO₂ MRI is a better predictor of the final infarct than ADC alone.

Study Limitations

The primary limitation of our study is the mortality rate. We lost around 60% of animals, including the animals euthanized due to deteriorating health. Our model of ischaemic stroke promotes a massive lesion that includes the fronto-parietal cortex and striatum. In our experience, a short occlusion time promotes smaller and more heterogeneous lesions. To avoid this heterogeneity, we used a minimum occlusion time of 90 minutes. This resulted in a high number of large homogeneous lesions, but also in a higher mortality. The mortality in this case can be linked to complications that are common in large stroke, such as cerebral oedema and haemorrhagic transformation. The majority of rats died after the filament retraction. The actual American Heart Association /American Stroke Association guidelines recommend not reperfusing patients with severe stroke.³⁹ Note that our study, like all stroke studies, is biased towards small stroke lesions: indeed, as reported in the Results section, rats with large lesions died early.

A second point is that a voxel-based evaluation of the lesion progression over time is inherently challenging. Firstly, because it requires the exact same placement of the rats in the magnet, and secondly because of the occurrence of brain shrinkage and/or ventricle enlargement. Overall, the registration quality was not sufficient to allow voxel-by-voxel analysis. However the co-localization was visually inspected and we observed a coherent evolution of infarction.

An additional limitation is that here we use manual contouring for the diffusion map. The zone of ADC abnormality could be also detected using thresholds to detect the voxels with the most severe decrease of ADC. This method of detection has previously been used in a clinical study of patients with persistent arterial occlusion.³⁵

In the present study, we focused on StO2 mapping for core discrimination but other parameters such as BVf or CBF could be used to estimate stroke severity within the zone of ADC decrease. For this, perfusion thresholds should be used to detect the voxels corresponding to the irreversibly damaged zones. Engelhorn et al. 2005 evaluated the absolute CBF using radioactive-labelled microspheres.²⁹ They demonstrated that the baseline CBF (before MCAo) is variable by region, for example between the parietal (0.88±0.26 mL/g/min) and temporal cortex (0.74±0.24 mL/g/min). In addition, this difference is increased in ischaemic conditions, dropping to 0.51 ± 0.21 mL/g/min in the parietal cortex and 0.20 ± 0.15 mL/g/min in the temporal cortex.²⁹ Thirty minutes after MCAo, the absolute CBF in viable areas was 0.39 ± 0.15 mL/g/min, while in hypoxic brain tissue the CBF average was $0.30\pm$ $0.09 \text{ mL/g/min.}^{29}$ Hypoxic zones detected by the StO₂ (<40%) mapping could be compared with those detected by perfusion using a threshold. Wu et al. 2006 reported that the use of automated threshold techniques by MR-based algorithms combining PWI and DWI was more sensitive to the detection of salvageable areas (penumbra) than diffusion alone.¹⁷ A limitation of our study is that we have not performed the analysis based on perfusion. Brain oxygenation is linked to perfusion (BVf), and perfusion could provide a similar core discrimination to StO₂

Summary

The voxel-based analysis performed using the StO₂ map reveals heterogeneity within the ischaemic zone in the acute phase. Diffusion imaging is commonly employed during the acute phase of ischaemic stroke to detect the ischaemic core. We report that the zone of ADC decrease includes voxels with different degrees of severity, as confirmed by the multiparametric analysis including CBF, BVf, VSI and StO₂. This study therefore provides evidence of the utility of a multiparametric analysis including StO₂ mapping to more accurately analyze the severity and heterogeneity of brain focal ischaemia. Our follow-up study indicated that both ADC and StO₂ MRI maps were able to predict the final necrosis. However, the lesion volume detected by ADC mapping decreased significantly three weeks after stroke. This could indicate that salvageable tissue is included within the initial diffusion lesion.

Few microvascular MRI studies concerning stroke have been conducted.¹³ As far as we know, this is the first study assessing the VSI and VD during the acute phase in a model of ischaemic stroke.

Currently, the most common methods of analysis of CT and MRI images in clinical settings are volumetric-based, and until voxel-based analysis becomes available, perfusion-diffusion mismatch is useful in clinical settings.⁴⁰ Overall, a multiparametric approach appears to be promising in depicting the severity of a stroke, but such an approach still requires further validation and simplification of data analysis. For clinics, the use of this MRI methodology to identify heterogeneous acute stroke could be useful to better select the optimal candidates for recanalisation procedures.

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•Author Contribution statement:

L.S.B.B has participated in all steps of this study including study design, experiments, MRI acquisition, histology, data processing and analysis, interpretation of the data and writing of the paper; B.L performed the experiments, analyzed the MRI data, interpreted the data and wrote the paper; L.H performed the MRI acquisition; E.B, A.M, O.D and V.H designed the study; C.R and E.B interpreted the data and wrote and revised the paper.

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Figure legends

Figure 1. a) Experimental protocol. Ischaemic stroke induction by Middle Cerebral Artery occlusion (MCAo). MRI protocol acquisition started 20 minutes after MCAo, lasting for 53 minutes at day 0. Reperfusion took place 90 minutes after MCAo. MRI acquisition was repeated 21 days after (duration 20 minutes); finally the animals were euthanized for histological analyses; n = 10 rats. b) Representative image of regions of interest (ROIs) delineated on the ADC (apparent diffusion coefficient) map: ROIs measured at day 0: LesionD0, ContraD0, hemisphere (H) ipsilateral (ipsi) HIpsiD0, and HContraD0. ROIs measured at day 21: LesionD21, ContraD21, HIpsiD21, and HContraD21. ROI Mismatch was delineated on the CBF (cerebral blood flow) map; ROI Hypoxia was delineated on the StO₂ (brain tissue oxygen saturation) map.

Figure 2. a) Evolution of the apparent diffusion coefficient (ADC) map of a rat brain from one animal during middle cerebral artery occlusion at day 0 (D0) and 21 days after (D21). **b**) Volume of the cerebral hemispheres: Ipsilateral (HIpsi) and contralateral to the lesion (HContra) at D0 and D21, and volume of the altered zone detected by diffusion MRI during middle cerebral artery occlusion. Region of interest (ROI) LesionD0 at day 0 and at D21 (LesionD21) over the 9 slices of ADC map. *Difference between the MR diffusion alteration volume at D0 and D21 detected by Wilcoxon Signed-Rank test (*p*=0.02). **c**) Correlation between the volumes of ROI Lesion at day 0 and day 21 r²=0.93, *p*<0.001, y=1.202x +7.435 (n = 10).

Figure 3. a) Representative images of multiparametric MRI post-ischaemic stroke. Six MRI parameters were mapped: apparent diffusion coefficient (ADC); cerebral blood flow (CBF); blood volume fraction (BVf); vessel size index (VSI); Vessel density (VD) and brain tissue

oxygen saturation (StO₂). The grey scale bar represents the range of values of each map. **3.2** Comparison of values of each MRI parameter: **b**) ADC, **c**) CBF, **d**) BVf, **e**) VSI, **f**) VD and **g**) StO₂ within each region of interest (Contra, Mismatch, LesionD0 and Hypoxia) at Day 0. *Significant difference detected by paired Student t-test comparison (for ADC, CBF, BVf, VSI and StO₂ maps) or by Wilcoxon Signed-rank (for VD map) p < 0.05, n = 10.

Figure 4. a) Volume of Lesion at day 0 and at day 21. Representative images of the MRI maps at day 0 (D0) (StO₂, brain tissue oxygen saturation map) and at day 21 (ADC D21). **b**) Comparison of the volume of the ROI Hypoxia and LesionD21 over 5 slices expressed in mean and the standard error of mean (SEM). No significant differences were detected between the volume occupied by the ROI LesionD21 (34.66mm³±12.87) and ROI Hypoxia (24.29mm³±9.57) (p=0.05) 0. **c**) Correlation between the volume of ROI Hypoxia vs. LesionD21; the correlation coefficient was r²=0.67, p=0.005, y=0,63x +0,98 (n = 10).

Figure 5. Quantitative correlation between *in vivo* and *ex vivo* evaluation. **a**) Representative images of apparent diffusion coefficient (ADC) at day 21 (used to define ROI LesionD21) and haematoxylin erythrosine (HE) staining (used to define ROI NecrosisD21). **b**) Correlation between the size of ROI LesionD21 and ROI NecrosisD21. Dashed line represents identity; solid line represents the limiar. Pearson coefficient correlation was $r^2=0.85$, p=0.001, y=1,04x + 2,31 (n = 10).

Table 1. Physiological data

	Day 0 (mean±SD)		Day 21	
			(mean±SD)	
SaO ₂ (%)	95.55±4	4.06	98±1.35	
Hr (bpm)	392.19=	±20.5*	334.5±38.9	
Br(brpm)	64.07±9	9.3*	51.5±6.97	

Physiological variables during magnetic resonance imaging acquisition. Saturation of oxygen (SaO₂), heart rate (HR), beats per minute (bpm); breathing rate (BR), breaths per minute (brpm). Data are expressed as mean \pm standard deviation (SD), n=10. * Significant difference detected by paired Student t-test *p*<0.05.

	Regions of interest (mean ± SEM)				
MRI parameters	Contra	LesionD0	Mismatch	Hypoxia	
ADC (µm ² /s ⁻¹)	763±15.6	576.7±16.3	742±7.5	563±16.1 [#]	
CBF (mL/100g/min)	153±4.6	46±5.1	61±5.2	31±2.0 [#]	
BVf (%)	4±.1	$2.\pm.1$	3±.1	$2 \pm .1^{\#}$	
VSI (µm)	7±.6	10±.9	8±.7	9±.8	
VD (mm ⁻²)	289±39.9	147±40.8	230±41.4	139±51.1 [#]	
StO ₂ (%)	70±1.5	47±1.4	60±2.2	22±.6 [#]	

Table 2. Magnetic Resonance Imaging parameter values in each Region of interest

Magnetic resonance imaging (MRI), apparent diffusion coefficient (ADC); cerebral blood flow (CBF); blood volume fraction (BVf); vessel size index (VSI); vessel density (VD) and brain tissue oxygen saturation (StO₂). Significant differences detected by paired Student t-test comparison (for ADC, CBF, BVf, VSI and StO₂ maps) or by Wilcoxon Signed-rank (for VD map). In bold, ROIs different from LesionD0; # from ROI Mismatch. For all MRI parameters evaluated, the ROIs Mismatch, LesionD0 and Hypoxia were different from ROI Contra, except for the ROI mismatch in ADC. Data are expressed as mean and standard error of mean (SEM) p < 0.05.



Figure 1



0 -

Figure 2

HIPSIDO HOSTA HONTRAD LESIONDO

0+ 0

50 100 150 LesionD21 (mm³)



250

200



Figure 3







Figure 5