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## **Autophagy: a protective mechanism in response to the stress and inflammation**

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## **Abstract**

Autophagy is one of the intracellular systems responsible of protein trafficking (degradation/recycling) in eukaryotic cells. Whereas this ubiquitous process contributes to the cytosolic homeostasis, its deregulation is often associated to various pathologies (neurodegenerative diseases, cancer, pathologies with altered inflammatory response, etc.). The present paper gives an overview of autophagy, especially in inflammation, including mechanisms, regulation, functions and future therapies.

**Key words:** autophagy / inflammation / infectious diseases / cancer / cell death / drugs

## 1. Introduction

Biological mechanisms are controlled by a tight balance of positive and negative signals responsible of anabolism and catabolism, also called homeostasis. At the cell level, the equilibrium between macromolecule anabolism and catabolism guarantees the cell or the tissue integrity. In this context, the unsettling of this functional equilibrium can lead to various events including cell death or survival (adequate or inadequate), growth of cancer cells, inflammation, or the difficulty of the cells to adapt their metabolism to their nutritional environment (1, 2). This review is dedicated to the mechanisms developed by the cells to control their homeostasis in physiological and stress conditions. After a brief description of the main cellular degradation systems, this paper focuses on the recent knowledge of autophagy processes (molecular and regulatory mechanisms) and their roles during inflammation.

## 2. Ubiquitine-proteasome and lysosomal systems represent the main cellular mechanisms which control the protein trafficking

To regulate their homeostasis and therefore their survival death, eukaryotic cells exhibit two main degradation pathways including ubiquitine-proteasome and lysosomal systems (Figure 1). The **ubiquitine-proteasome** system is associated with the degradation of most of the short-lived proteins (3<sup>••</sup>) and clearly appears as a selective catabolic process. The most common source of proteasome substrates is mainly cytosolic proteins and missfolded proteins from the endoplasmic reticulum, retrotranslocated into the cytosol. Less frequently, the proteins can be transferred to the proteasome *via* endocytosis (4-6) which requires a GTPase dynamin-1 activity and includes caveolar and clathrin-mediated endocytosis.

The second system of protein degradation is composed by the lysosomal system in which proteins or lipids from both extra- and intra-cellular compartments are delivered to the lytic organelles of the cells (lysosomes) and includes in pinocytosis (macro and micro) and phagocytosis (see below) (7). The pinocytosis is associated with membrane ruffling and is not blocked by specific mutations in dynamin-2 in contrast to caveolar endocytosis (8). The role of proteoglycans into the protein degradation has been also widely reported. For instance, syndecan-4 has been shown to act as a co-receptor for several growth factors including FGF-2 (9). Indeed, Tkachenko *et al* (9) revealed that syndecan-4 uptake, induced by treatment with

FGF2, requires the integrity of plasma membrane lipid rafts for its initiation and occurs in a clathrin and dynamin independent manner. Thus, two internalization pathways can be envisaged depending on the basal expression of syndecans and clathrin (10). *De facto*, the protein degradation is controlled by a tight balance between surface proteoglycans and clathrin coated pits (11). The lysosomal system can be also divided into two main pathways depending on the origin of the degraded materials. Thus, it is possible to distinguish the **phagocytosis** mechanism mediating the degradation of extracellular materials (Figure 2a) and the autophagy processes which lead to the sequestration and the degradation of cytoplasmic components including organelles *via* the lysosomes (12<sup>•</sup>). These different pathways are associated with the non-selective degradation of long-lived proteins. Two different types of autophagy can be distinguished. The first one, the **macroautophagy**, usually called as autophagy, begins by the formation of cell membrane cisterna of nonlysosomal origin which encloses a portion of cytoplasm (with or without organelles) and constitutes an autophagosome. Therefore, the membrane of autophagosome fuses with the lysosomal membrane and then constitutes the autophagolysosome (acronyme: autolysosome) where hydrolytic enzymes degrade the cytoplasmic content (inner membrane, organelles, etc.) (Figure 2 b, c) (13, 14). The envelope of autophagosome is then characterized by a double membrane. Thus, the macroautophagy guarantees the turnover of the most long-lived proteins which can be recycled by the cells. A similar sequestration and lysosomal degradation process occurs in the second type of autophagy named **microautophagy**. This process is closely related to macroautophagy excepted for two points: (i) transfer of cytosolic components into the lysosome is mediated by direct invaginations of the lysosomal membrane; (ii) it represents a constitutive mechanism in contrast to macroautophagy which is activated in stress conditions (15). The third mechanism of autophagy is a selective degradation system in which cytoplasmic substrates containing a defined motif (a pentapeptide sequence related to KFERQ) are specifically recognized and transported by a cytosolic chaperone to the lysosomal compartment (16). The molecular chaperone is a complex formed by the constitutive form of the heat shock protein of cognate 70 Kda (hsc70), the heat shock protein of 40 kDa (hsp40), the hsp90, the hsp70 cochaperone protein (hip), the hsp70-hsp90 organizing protein (hop) and the Bcl2-associated athanogene 1 protein (bag-1) (17). Therefore, this process is called **chaperone-mediated autophagy** and controlled the degradation of a very large list of cytoplasmic substrates (18). This list includes metabolic proteins (pyruvate kinase, aspartate aminotransferase, etc), proteins involved in cell proliferation and differentiation (phospholipid-binding proteins such as annexin II and IV, or paired box transcription factors) and the

lipocalin superfamily of proteins such as  $\alpha 2$  microglobulin (19). Thus, the balance between the whole of these mechanisms is responsible of a very tightly regulated control of the cellular housekeeping.

### **3. Molecular aspects of macroautophagy: a strongly conserved lysosomal pathway**

In the present section, the term autophagy will be used to refer to macroautophagy. As autophagy is a strongly conserved lysosomal pathway, its molecular mechanisms have been explored using genetic studies on yeast (18, 19), drosophila (20) and *Caenorhabditis elegans* (21) during the past decade. ATP is the first necessary molecule for the cells to perform autophagy. Indeed, autophagy sequestration and other post-sequestering events are dependent and sensitive to the levels of intracellular ATP (22). Several genes specifically dedicated to autophagy have been identified and are called APG for AutoPhaGy (18) and AUT for AUTophagocytosis genes (23). To clarify the data available in the literature, the nomenclature of the genes involved during autophagy have been recently unified by Klionsky *et al* (19) who proposed the term of ATG for AuTophagy-related genes. Most of these genes encode proteins which exert their activities at the step of autophagosome formation (24<sup>●</sup>), explaining the closed association of the Atg proteins with the membranes implicated in autophagy. During the step of autophagosome formation, the different proteins (i.e. : Atg12 and Atg5) are covalently conjugated by a ubiquitine-like reaction and the very large complex (around 800 kDa) binds thereafter to the isolation membrane until the formation of autophagosome. Some of these proteins exhibit a protease activity such as Atg4B (autophagin-1), involved in the cleavage/recycling of Atg8 and then participates to the cell degradation by autophagy (25, 26). In this context, autophagy implies a specific recruitment of Atg proteins from the cytosol to the membranes which initiates the sequestering membranes. The involvement of the ATG genes in the autophagosome formation has been clearly demonstrated using deficient embryonic stem (ES) cells. Thus, Mizushima *et al* (27<sup>●●</sup>) generated ATG5<sup>-/-</sup> mouse ES cells and demonstrated that the Atg12-Atg5 complex plays a key role in the formation of the isolation membrane while these deficient cells showed defects of autophagy pathway. These data confirmed those obtained in *Saccharomyces cerevisiae* (28). Moreover, Mizushima *et al* (27<sup>●●</sup>) restored the autophagosome formation after transfection of the deficient ES cells with GFP-Atg5 which allowed, for the first time, the visualization of the autophagosome formation in real time. If the Atg12-Atg5 complex is essential in the first steps of autophagosome formation, several proteins are needed for the late formation of autophagic vacuoles. The

small GTP binding protein Rab7 belongs to these proteins (29), as revealed by similar experiments as Atg5. Indeed, the role of Rab7 has been addressed by inhibiting Rab7 function using RNA interference methods and the overexpression of Rab7 dominant negative. The GTP membrane-bound forms of Rab protein recruit specific cytosolic proteins targeting vesicles to appropriate sites on the acceptor membranes. Thus, autophagy is regulated by GTPase.

These data demonstrate that macroautophagy regulating mechanisms are controlled by a very dense and specific protein network. Therefore, the discovery of these different autophagy-related genes and proteins provides new markers for autophagosomes and leads to the development of biochemical methods to monitor autophagy activity (30) completing the standard method (electron microscopy).

#### **4. Autophagy controls large events of the cell life and probably exerts also many other undefined functions**

The main function of autophagy is to control protein turnover, cytosolic and organelles homeostasis also synonym of cytoplasmic remodeling. In light of its essential role for cell survival, microautophagy is usually considered as a constitutive mechanism and macroautophagy can be modulated in response to several conditions of stress [deprivation of nutrients (31, 32), oxydative stress (33), etc.]. Thus, macroautophagy not only prevents the accumulation of intracellular debris, but also allows to recycle cellular components. The best mean to stress the cell metabolism consists in nutrient starvation. In these environmental conditions, the deprivation of amino acids induces autophagy *in vitro* after a very short stress time (7-8 minutes), acting as a protective mechanism for the cells to guarantee the protein levels (31). Kuma *et al* demonstrated a similar phenomenon *in vivo* during the early neonatal starvation period (32<sup>●●</sup>). More precisely, a cross-talk between amino acid signaling and G proteins in the regulation of macroautophagy has been described (34), strengthening the existence of a cell surface amino acid receptor (35).

Some evidences from *in vivo* experiments pointed out the potential implication of autophagy mechanisms in inflammation reactions (35, 36). Any tissue aggression by infectious agents (bacteria, virus, etc), excess of cell death or proliferation, mechanical stress, molecules or drugs, etc lead to the development of a strong organic reaction characterized by the chemoattraction and tissue infiltration of immune effectors (macrophages, lymphocytes, granulocytes) secreting a large panel of cytokines produced to limit this aggression. The main

cells of innate immune reaction include dendritic cells and macrophages which recognize specific antigenic structures and function as antigen-presenting cells (APC). Indeed, antigens are processed by proteolysis into short peptides which are then presented by major histocompatibility complex (MHC) class I and II molecules to T cell receptors (TCR) expressed by T lymphocytes, the main effectors of the immune response. In addition, macrophages exert many other functions in host defense, in the maintenance of homeostasis (i.e. removal of injured cells), killing infectious agents or malignant cells and thus mediate and control the inflammation. MHC class I molecules are thought to present endogenous antigens, MHC class II molecules to present peptides derived from exogenous materials (37) but the antigen processing for MHC class I or class II are quite different. Most endogenous antigens are synthesized on cytosolic ribosomes, processed by proteasomes, enter the endoplasmic reticulum where they are associated to MHC class I heavy chain, and thereafter traffic to the golgi and to the cell surface. Two pathways have been described for the antigen processing for class II MHC molecules. The most prominent processing used endocytosis (*via* receptor-mediated endocytosis such as Fc receptors or recycling *via* clathrin-coated pits of surface proteins) and a minor pathway includes transfer from the golgi to endosome and autophagy. Moreover, Nimmerjahn *et al* recently revealed that the endogenous presentation of an epitope derived from the cytosolic protein neomycin phosphotransferase II on MHC class II is mediated by autophagy (38<sup>●●</sup>), opening new questions on the role of the link between cell protein turnover and the immune system. Unfortunately, whereas autophagy has been clearly demonstrated to participate to the antigen processing, its impact on the control of immune response needs to be defined and is always controversial (39).

Lipocalins are a diverse family of proteins with a limited amino acid sequence similarity, but with a common tertiary structure (40). Cuervo *et al* (41) showed the accumulation in lysosomes of  $\alpha 2$  microglobulin which belongs to the lipocalin superfamily *via* a chaperone mediated autophagy mechanism. The human homologous of rat  $\alpha 2$  microglobulin is the human neutrophil gelatinase-associated lipocalin (NGAL) (40). In light of its cellular induction in macrophages, LPS- or TNF $\alpha$ - activated hepatocytes and its intense synthesis in inflamed colon, NGAL appears as a modulator of inflammatory response. NGAL would be produced to limit the tissue damages inducible by an excessive inflammatory reaction. This hypothesis is strengthened by the inhibitory effect of the NGAL preincubation on neutrophils (40). The sequestration and degradation of lipocalins by autophagy clearly appears as modulation process of the inflammation reaction intensity (i.e. biological

equilibrium between pro-inflammation reaction in response to pathogens and anti-inflammatory reaction to limit the tissue damages).

Inflammatory process is also characterized by an extensive production of growth factors and cytokines which modulate vascular permeability, leukocyte infiltration and activation, and production of acute phase proteins. The control of autophagic cell death by growth factors (42-44) has been recently proved by Lum *et al* (45<sup>●●</sup>). Indeed, using growth factor-dependent cells from Bax/Bak-deficient mice, these authors demonstrated that following growth factor withdrawal, Bax/Bak-deficient cells activate autophagy, undergo progressive atrophy, and ultimately succumb to death. Furthermore, cells respond to growth factor readdition by rapid restoration of their metabolism and subsequently recovery of their original size and proliferation rate. Such process explains in part the equilibrium between apoptosis and autophagy (46, 47). Whereas the most of apoptosis mechanisms involve caspase activation, autophagy is a caspase-independent mechanism process involving the lysosome pathway. Moreover, in both cases, the inhibition of caspases, proteasome or lysosome reduce cell death. In this context, autophagy is a strategy for survival in response to apoptosis which incurably leads to cell death. Thus, extracellular signals tightly regulate autophagy and cell death. Similar phenomenon takes place in inflammatory processes and participates to death control of injured cells or infected cells. Whereas cytokines can reduce autophagy, some of them induce it in defined circumstances. This is the case of the tumor necrosis factor-related apoptosis inducing ligand (TRAIL), one member of the TNF- $\alpha$  superfamily strongly involved in inflammation (48). Similarly, IFN- $\gamma$  induced cell death by autophagy through a mechanism dependent of Atg5 and its interaction to the Fas-Associated protein with Death Domain (FADD) (49). Autophagy is then sensitive to a great number of growth factors that transduce a variety of signalling molecules (ERK1/2, PI3K, GTPase, etc). *In fine*, the signals are transduced to a common target which occupies a privileged position in autophagic process. The kinase TOR (Target Of Rapamycin) plays this role in association with its downstream signalling molecules, the Atg kinases (50). In this context, Rapamycin which induces autophagy through the inhibition of TOR, can favor the clearance of aggregating proteins and then may be helpful in ameliorating the response to inflammation.  $\alpha$ 1-antitrypsin is the archetype of the Serpin supergene family and is the main inhibitor of destructive neutrophil protease (elastase, cathepsin G, proteinase 3). This glycoprotein, considered as an acute-phase reactant, is secreted by liver cells and its concentration increases during the host response to inflammation. Teckman and Perlmutter demonstrated that

autophagosomes appear in response to  $\alpha$ 1-antitrypsin induction and its accumulation in the endoplasmic reticulum (51<sup>•</sup>). This study suggests that the autophagic response is induced to protect liver cells from the toxic effect of aggregated  $\alpha$ 1-antitrypsin retained in endoplasmic reticulum (52).

Recently, Shao *et al* showed that histone deacetylase inhibitors can induce both mitochondria mediated-apoptosis and caspase-independent autophagic cell death (53<sup>••</sup>). Moreover, Kiffin *et al* demonstrated the activation of chaperone-mediated autophagy during oxidative stress which clearly occurred during inflammation through i.e. the accumulation of oxidatively damaged proteins (54). Similarly, histone deacetylase inhibitors reduced NO production by peritoneal macrophages, IL-12 secretion by monocytes, without alterations of T-cell-receptor-stimulated IFN- $\gamma$  production by peripheral blood mononuclear cells (55). These data strengthen the therapeutic potential of histone deacetylase inhibitors as potent contenders for anti-inflammatory drugs (56).

### **5. Autophagy in infectious diseases is induced by microorganisms but does not succeed in the eradication of the bacterial or viral infections**

Microorganism infections lead to the development or local of systemic inflammatory processes. The degradation of undesirable invading microbes, essential for cell survival, involves autophagy. Autophagy appears as a defense mechanism inhibiting the survival of microorganisms (57, 58). Unfortunately, intracellular bacteria and viruses must survive the antimicrobial responses of their hosts to replicate successfully. Thus, although microorganisms are sensitive to autophagic destruction, pathogens evolved strategies to avoid autophagy (56). After intracellular infection, they modulate the host phagosome to establish an intracellular niche for their survival and replication (59). In many cases, autophagy is dispensable for intracellular replication (60), inducing the cell death and the propagation of the pathogens (61). Other pathogens such as *Shigella* were found to escape to the autophagy process by secreting specific molecules (62). The identification of interactions occurring between microorganisms and eukaryotic cells is then the key point to develop novel drugs with antimicrobial activities (63).

## 6. New therapeutic approaches and conclusions

Whereas autophagy appears as a control mechanism of cell protein homeostasis, it also modulates numerous other activities. Particularly, it is likely that autophagy takes part in cell protection against toxicity caused by abnormal proteins or protein accumulations by helping their degradation (Figure 2d, 64), thereby allowing to adapt the immune and cellular responses to the inflammation stress (Figure 2e). Finally, autophagy may result in cell death or/and may correspond to a mechanism of cell survival in stress conditions. **In this context the pharmacomodulators of apoptosis affect autophagy processes. Several drugs modulating the different types of cell death are being explored at the preclinical and clinical levels and are promising in the treatment of infectious, cancerous or degenerative pathologies associated with inflammatory process (65-68). Some of them are in clinical development or are approved by the FDA (66••). Thus, mTOR inhibitors induce autophagy and then inhibit the development of solid tumors (68). Similarly, histone deacetylase induce cell death through a mitochondria-mediated apoptosis and a caspase-independent autophagic cell death and then represent a very promising new drug class for the treatment of diseases associated with apoptotic defects (53). These agents act probably as true blockers of cell death and through their anti-inflammatory effects.** The identification of molecules involved in autophagy will help a better understanding of this cellular mechanism and lead to the development of new therapeutic strategies.

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## **FIGURE LEGENDS**

**Figure 1: Schematic diagram of the main cellular mechanisms controlling the protein degradation pathways in eukaryotic cells**

**Figure 2: Morphological feature of phagocytose and autophagy in eukaryotic cells.** (a) Phagocytose of a polynuclear (white arrow) by a macrophage; (b, c) typical feature of autophagy (white and black arrows) into macrophages in culture; (d) macrophage in culture degrading calcium phosphate ceramic, autophagic vesicle (white arrow) (containing cell endogenous materials (black arrow) and exogenous materials (black arrow head). Original magnification: (a, c) X 7,500; (b) X 5,000; (d) X 11,000; (e) cellular/molecular protagonists involved in autophagy associated with inflammation and the main processes controlled by autophagy during inflammatory process.

Figure 1

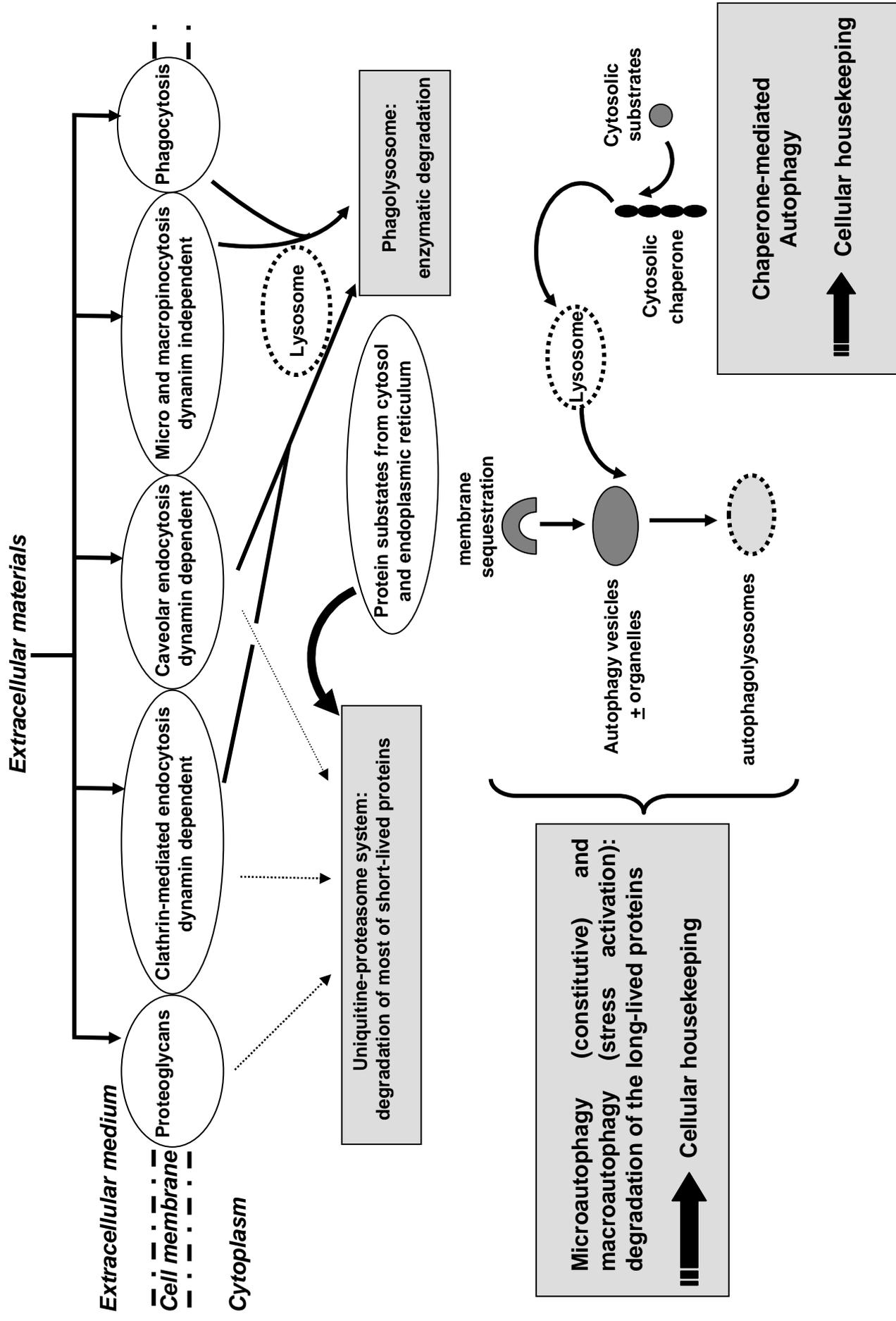


Figure 2

