

Ultrafast and whole-body cooling with total liquid ventilation induces favorable neurological and cardiac outcomes after cardiac arrest in rabbits.

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1 2 Ultra-fast and whole body cooling with total liquid ventilation induces favourable 3 neurological and cardiac outcomes following cardiac arrest in rabbits 4 5 Short title: Liquid ventilation, hypothermia and cardiac arrest 6 7 Chenoune M^{1,2,3}, DVM, MSc; Lidouren F^{1,2,3}, BSc; Adam C⁴, MD; Pons S^{1,2,3}, PharmD, PhD; 8 Darbera L^{1,2,3}, MSc; Bruneval P⁴, MD; Ghaleh B^{1,2,3}, PhD; Zini R^{1,2,3}, PhD; Dubois-Randé J-9 L^{1,2,3}, MD, PhD; Carli P⁶, MD, PhD; Vivien B⁶, MD, PhD; Ricard J-D⁵, MD, PhD; Berdeaux 10 A^{1,2,3}, MD, PhD, FAHA; Tissier R^{1,2,3}, DVM, PhD. 11 12 ¹ Inserm, U955, Créteil, 94000, France ; 13 ² Université Paris Est, Faculté de Médecine, Créteil, 94000, France ; 14 ³ Ecole Nationale Vétérinaire d'Alfort, Maisons-Alfort, 94700, France ; 15 16 ⁴ Inserm, Unité 970, Paris, 75005, France ; ⁵ Inserm, Unité 722, UFR de Médecine Paris Diderot, Paris, 75018, France ; 17 ⁶ SAMU de Paris, Département d'Anesthésie Réanimation, CHU Necker Enfants Malades, 18 19 Faculté de Médecine Descartes - Paris V, Paris, 75015, France. 20 21 22 **Corresponding author: Renaud Tissier** 23 INSERM, Unité 955, Equipe 3 24 Faculté de Médecine 25 8 rue du Général Sarrail 26 94010 Créteil cedex, France 27 Tel: +33.1.43.96.73.02 ; Fax: +33.1.43.96.71.34 28 E-mail: rtissier@vet-alfort.fr 29 30 Word count: 6584 31 Journal subject code: [25] CPR and emergency cardiac care

32 Abstract

Background: In animal models of cardiac arrest, the benefit afforded by hypothermia is 33 34 closely linked to the rapidity in body temperature decrease after resuscitation. Since total 35 liquid ventilation (TLV) with temperature controlled perfluorocarbons induces a very rapid 36 and generalized cooling, we aimed to determine whether this could limit the post-cardiac 37 arrest syndrome in a rabbit model. We especially focused on neurological, cardiac, 38 pulmonary, liver and kidney dysfunctions. Methods and Results: Anesthetized rabbits were 39 submitted to either 5 or 10-min of untreated ventricular fibrillation. After cardiopulmonary 40 resuscitation and resumption of a spontaneous circulation, the animals underwent either 41 normothermic life support (control) or therapeutic hypothermia induced by TLV. The latter 42 procedure decreased esophagal and tympanic temperatures to 32-33°C within only 10-min. 43 After rewarming, the animals submitted to TLV exhibited an attenuated neurological 44 dysfunction and decreased mortality 7 days later as compared to control. The 45 neuroprotective effect of TLV was confirmed by a significant reduction in brain histological 46 damages. We also observed limitation of myocardial necrosis, along with a decrease in 47 troponin I release and a reduced myocardial caspase 3 activity with TLV. The beneficial 48 effects of TLV were directly related to the rapidity at inducing hypothermia since neither 49 conventional cooling (cold saline infusion + external cooling) nor normothermic TLV elicited 50 a similar protection. Conclusions: Ultra-fast cooling instituted by TLV exerts potent 51 neurological and cardiac protections in an experimental model of cardiac arrest in rabbits. 52 This could be a relevant approach to afford a global and protective hypothermia against the 53 post-cardiac arrest syndrome.

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Key Words: Cardiopulmonary resuscitation; Fibrillation; Heart arrest; Ischemia; Ventilation.

56 Introduction

57 Institution of mild "therapeutic" hypothermia (32-34℃) during 24 to 36 hours after resuscitation is known to improve survival and neurological recovery in comatose survivors 58 of cardiac arrest.^{1, 2} However, experimental studies in dogs,^{3, 4} pigs^{5, 6} and rodents^{7, 8} 59 demonstrated that the neuroprotection afforded by hypothermia was related to the rapidity 60 61 in body temperature decrease after resuscitation. When achieved rapidly, hypothermia 62 could also be beneficial for other organs since it can be, for example, also potently cardioprotective during myocardial ischemia.⁹⁻¹² Accordingly, many strategies were 63 proposed to afford such a rapid hypothermia, including intravenous infusion of cold fluid.¹³ 64 endovascular ¹⁴ or intranasal cooling.^{15, 16} 65

66 Another strategy that can experimentally afford a very rapid and generalized cooling is liquid ventilation of the lungs with temperature-controlled perfluorocarbons.^{11, 17-22} These 67 liquids can use the lungs as heat exchangers while maintaining normal gas exchanges. ¹⁸⁻²⁰ 68 69 In addition, this ventilation procedure also protects the lung integrity.²⁰ Using a prototype of 70 total liquid ventilator that alternatively instillates and removes a tidal volume of 71 perfluorocarbon from the lung, we were able to decrease the left atrial temperature to 32°C within only 5 min in anesthetized rabbits.^{11, 17, 18} This was associated with a very potent 72 73 protection against myocardial infarction and subsequent contractile dysfunction in animal models of coronary artery occlusion.^{11, 17, 18} In a swine model of ventricular fibrillation, liquid 74 75 ventilation also induced a rapid convective cooling that further improves the chances for subsequent resumption of spontaneous circulation.^{21, 22} However, the effect of hypothermic 76 77 total liquid ventilation (TLV) has never been investigated to our knowledge in animal models 78 of post-cardiac arrest dysfunction when instituted after resumption of spontaneous 79 circulation.

Accordingly, the main purpose of the present study was to investigate the long term effect of ultrafast cooling induced by TLV in a rabbit model of post-cardiac arrest dysfunction following ventricular fibrillation and resuscitation. In order to determine whether hypothermic TLV properly protects through very fast cooling, we investigated two additional

84 groups submitted to a conventional hypothermia (cold saline infusion + external cooling) or 85 to normothermic TLV. The primary outcome was the survival during 7 days of follow-up. 86 The secondary outcomes were clinical, biochemical, hemodynamic and histological 87 parameters describing neurological, cardiac, pulmonary, liver and kidney potential 88 dysfunctions. We also aimed to investigate whether ultra-fast cooling can protect the heart 89 through an early inhibition of cardiac cell death. The latter point was also critical to further 90 support the relevance of very fast cooling to limit the subsequent dysfunction following 91 cardiac arrest.

92 <u>Methods</u>

The animal instrumentation and ensuing experiments were conducted in accordance
 with French official regulations (agreement A94-046-13) after approval by the local ethical
 committee. The investigation conformed to the *Guide for the Care and Use of Laboratory Animals* published by the US National Institutes of Health.

97 Animal preparation

98 New Zealand rabbits (3.0-3.5 kg) were anesthetized using zolazepam, tiletamine and 99 pentobarbital (all 20-30 mg/kg i.v.). They were intubated and mechanically ventilated. After 100 administration of pancuronium bromide (200 µg/kg i.v.), two electrodes were implanted 101 upon the chest and inserted into the esophagus for subsequent induction of ventricular 102 fibrillation. Rectal, esophageal and tympanic temperatures were continuously monitored 103 using thermal probes (Harvard Apparatus, Paris, France). Throughout the protocol, external 104 electrocardiogram was recorded, as well as arterial blood pressure from a catheter 105 implanted into the ear artery. Data were digitalized and analyzed using the data acquisition 106 software HEM v3.5 (Notocord, Croissy-sur-Seine, France).

107 Cardiac arrest and cardiopulmonary resuscitation

108 After animal preparation and subsequent stabilisation, ventricular fibrillation was 109 induced by passing an alternative current (10 V, 4 mA; 2 min) between the implanted 110 electrodes. Mechanical ventilation was stopped at the onset of fibrillation and throughout 111 the subsequent period of cardiac arrest. After either 5 or 10 min of untreated fibrillation, 112 cardiopulmonary resuscitation was started using cardiac massage (~ 200 beats/min), 113 electric attempts of defibrillation (5-10 J/kg) and intravenous administration of epinephrine 114 (15 µg/kg i.v.). Resumption of spontaneous circulation (ROSC) was considered as an 115 organized cardiac rhythm associated with a mean arterial pressure above 40 mmHg during 116 at least 1 min. After ROSC, administration of epinephrine was further permitted during a 117 maximum of 7 h at a dosage appropriately adjusted to maintain mean arterial pressure at 118 ~80 mmHg. Mechanical ventilation was continued until weaning and awakening of the 119 animals. Rabbits subsequently returned to their cage for a survival follow-up. They received

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antibiotics (enrofloxacine, 5 mg/kg i.m.) during 7 days and analgesics (buprenorphine, 30

121 μ g/kg s.c.) during 3 days.

122 Experimental protocol

123 As shown in Figure 1, rabbits randomly underwent either 5 or 10 min of cardiac arrest 124 with subsequent cardiopulmonary resuscitation. For each duration of cardiac arrest, rabbits 125 were randomly allocated to resuscitation under normothermic conditions (Control₅ and 126 Control₁₀, groups, respectively) or with hypothermia induced by TLV (H-TLV₅, and H-TLV₁₀, groups, respectively). In these last two groups, TLV was started at the 10th min following 127 128 cardiopulmonary resuscitation (*i.e.*, after ROSC) by filling the lung with 10 ml/kg of 129 perfluorocarbon (Fluorinert, 3M, Cergy, France) and then connecting the endotracheal tube to our prototype of liquid ventilator (Supplemental Figure 1).^{11, 17, 18} This ventilator was set 130 131 to a tidal volume of ~7-10 ml/kg of body weight with a respiratory rate of 6 breaths/min. For 132 each breath, the ventilator pumped into and out of the lungs the tidal volume of liquids. The 133 perfluorocarbon mixture was bubbled with 100% O2. The temperature of the heat 134 exchanger was adjusted to maintain esophageal and tympanic temperatures at a target 135 temperature of \sim 32°C. After 20 min of TLV and achie vement of the hypothermic target 136 temperature, the perfluorocarbon was evacuated from the lungs by gravity and the 137 endotracheal tube was again connected to a conventional mechanical ventilator. 138 Hypothermia was further maintained at 32°C during 3 h, if necessary using cold blankets. 139 Animals were subsequently rewarmed using infra-red lights and thermal pads until weaning 140 from conventional ventilation and awakening. Animals were housed in a closed cage 141 enriched in O_2 during 2-3 days to avoid hypoxic episodes. In order to determine whether 142 hypothermic TLV properly protects through very fast cooling, we investigated two randomly 143 allocated additional groups submitted to 10 min of cardiac arrest. The first of these groups 144 (Saline 10) was submitted to 3 h of conventional hypothermia through the combination of 145 cold saline administration (30 ml/kg i.v., NaCl 0.9% at 4°C) and external cooling. The 146 second additional group was submitted to an episode of TLV with normothermic 147 perfluorocarbons (N-TLV₁₀ group) to determine their proper effects.

149	included in the Control _{10'} and H-TLV _{10'} groups, respectively. These animals were				
150	euthanized one hour after the cardiac arrest episode for collection of myocardial and blood				
151	samples for caspase activity assays and measurement of circulating troponin I,				
152	respectively.				
153	Neurological and cardiac dysfunction assessment				
154	Neurological dysfunction was evaluated daily in surviving animals using a clinical				
155	score previously validated in rabbits, ²³ as shown in Supplemental Table 1 (0-10% =				
156	normal; 100% = brain death). After 7 days of follow-up, surviving rabbits were				
157	reanesthetized and a pressure catheter (SciSense, London, Ontario, Canada) was				
158	introduced into the left ventricle through the right carotid artery for measurement of end-				
159	diastolic pressures as well as positive and negative left ventricular rate of pressure				
160	development (dP/dt _{max} and dP/dt _{min}). These parameters were also measured in a group of				
161	Sham rabbits that were neither submitted to cardiac arrest nor hypothermia.				
162	Blood chemistry and caspase activity assay				
163	Blood pH, carbon dioxide and oxygen partial pressures (pCO ₂ and pO ₂ , respectively)				
164	were assessed from arterial blood samples with an ABL 77 series analyser (Radio-meter				
165	SA, France). Blood lactate was determined on an $Accutrend^{ entire{B}}$ Plus analyser (Roche				
166	Diagnostics, Mannheim, Germany). Liver and renal functions were evaluated by measuring				
167	the alanine aminotransferase (ALAT) and creatinine concentrations (Prestige 24i, Tokyo-				
168	Boehi, Japan). Troponin I and Creatinine Kinase were measured by an off-site laboratory				
169	(IDEXX Laboratories, Alfortville, France).				
170	Caspase 3 activity was assayed from cardiac samples, as previously described. ²⁴				
171	Briefly, tissues were homogenized in cold buffer (25 mM HEPES pH 7.5, 5 mM MgCl2,				
172	2 mM EDTA, 0.1% Triton X-100, 2 mM dithiothreitol, 1 mM PMSF, 5 μ l/ml protease cocktail				
173	inhibitor P8340; Sigma-Aldrich, St Louis, MO, USA). Homogenates were centrifuged and				
174	supernatants collected. Proteins (90 μ g) were incubated in caspase assay buffer (50 mM				
175	HEPES pH 7.4, 100 mM NaCl, 1 mM EDTA, 10 mM dithiothreitol, Triton X-100 0.1%,				

In order to further investigate the effects of hypothermic TLV, additional rabbits were

176 glycerol 10%). Enzymatic reaction was started by addition of 0.2 mM of the fluorogenic

177 substrates ac-DEVD-AFC (Biomol Research Laboratories, Hambourg, Germany).

178 Fluorescent arbitrary units were converted into pmol/mg protein/h using a standard curve of

179 free AFC (Biomol Research Laboratories, Hambourg, Germany).

180 <u>Histological analyses</u>

181 After 7 days of follow-up after cardiac arrest, the surviving rabbits were finally 182 euthanized for pathological analyses of the heart, lung, kidney, liver and brain. These 183 organs were also removed and analyzed in the animals died before the end of the follow-184 up. For lungs, a slice was sampled from each lobe (5 per lung). For the heart, we analyzed 185 a mid heart transversal biventricular section. For kidneys, two slices were studied for each 186 organ. We used a 0-3 score system to blindly quantify the severity of each organ alteration, 187 as shown in Supplemental Tables 2 and 3 (0=normal; 3=very severe lesion). The overall 188 brain score was the mean value obtained for cortex, hippocampus and cerebellum, as previously described.²³ For lungs, we assessed two separate scores for cardiogenic lesions 189 190 (serous edema and/or congestion) and infectious complication of bronchopneumonia, 191 respectively. The first panels of Figures 2 and 3 illustrate typical normal and pathological 192 aspects of the different organs.

193 <u>Statistical analyses</u>

194 Data were expressed as mean±SEM. Hemodynamic and biochemical parameters 195 were compared between the different groups and corresponding controls using a two-way 196 ANOVA for repeated measures. Post-hoc analyses were performed at each time-point as 197 compared to controls using a Student t-test with Bonferroni correction. Values were not 198 compared between the different time-points in order to avoid multiple comparisons. In each 199 experimental group, neurological dysfunction and histological scores were compared to the 200 corresponding control group using a Mann-Whitney non parametric test. Survival curves 201 were obtained using a Kaplan-Meier analysis and were compared to the corresponding 202 control group using a log-rank test. These last analyses took only into account the animals 203 that achieved ROSC. Significant differences were determined at P≤0.05.

204 **Results**

205 Seventy rabbits were included in the present study and submitted to cardiac arrest 206 (n=10, 10, 15, 15, 10 and 10 in the Control_{5'}, H-TLV_{5'}, Control_{10'}, H-TLV_{10'}, Saline_{10'} and N-207 TLV_{10'} groups, respectively).

As shown in Table 1, all rabbits subjected to 5 min of cardiac arrest were successfully resuscitated (Control_{5'} and H-TLV_{5'} groups) whereas only 10/15 were successfully resuscitated in the Control_{10'} and H-TLV_{10'} groups, respectively. This rate was 7/10 in Saline_{10'} and N-TLV_{10'} groups. Regardless the duration of the cardiac arrest, the time to resumption of spontaneous circulation was not significantly different among groups for each duration of cardiac arrest.

214 As illustrated in Figure 4, esophageal, tympanic and rectal temperatures were not 215 significantly different among groups at baseline. A mild and passive decrease in body 216 temperature was observed in the Control₅ and Control₁₀ groups after cardiac arrest but this 217 remained within the normothermic range. In H-TLV groups, esophageal and tympanic 218 temperatures decreased very rapidly after the institution of TLV. As example, tympanic 219 temperature achieved 33.3±0.5 and 32.5±0.3 ℃ in H-TLV₅ and H-TLV₁₀ within 10 min after 220 the onset of the cooling protocol, respectively. In the Saline 10, group, such tympanic 221 temperatures were achieved after ~30 min. Regarding esophageal and rectal temperatures, 222 the time to achieve 32 to 33℃ was less than 5 and 20 min in H-TLV₁₀ whereas this was 223 more than 45 and 60 min in Saline₁₀, respectively. In the N-TLV₁₀ group, body 224 temperatures did not significantly differ from the Control₁₀, values throughout the 225 experimental protocol.

As shown in Table 2, heart rate significantly decreased during the hypothermic phase in hypothermic groups as compared to corresponding controls (e.g., -21%, -28% and -31% at 60 min after cardiac arrest in H-TLV_{5'}, H-TLV_{10'} and Saline_{10'} vs corresponding controls, respectively). Mean arterial pressure was not significantly different between groups throughout the experimental protocol since epinephrine administration was permitted to maintain a ~80 mmHg value during 7 h after cardiac arrest. As shown in Table 1, the total

232 amount of epinephrine administered throughout cardiopulmonary resuscitation was 233 however significantly lower in H-TLV₁₀ vs Control₁₀ (128±128 vs 684±118 µg/kg, 234 respectively), suggesting a favorable hemodynamic effect of hypothermic TLV. We did not 235 observe such a significant difference in $H-TLV_5$ vs Control₅ but epinephrine dosages were 236 much lower (174±81 vs 207±58 µg/kg, respectively). In Saline₁₀, and N-TLV₁₀, groups, 237 epinephrine dosages were also not different from Control₁₀. After discontinuation of any 238 pharmacological support (e.g., at 8 h after cardiac arrest), the lactate levels were 239 significantly lower in H-TLV₅ vs Control₅ (1.2 \pm 0.2 vs 4.8 \pm 1.7 mmol/l) and in H-TLV₁₀ vs 240 Control₁₀ (3.6±0.7 vs 7.0±1.7 mmol/l). Those levels were not significantly different among 241 Saline_{10'} and N-TLV_{10'} groups as compared to Control_{10'} (5.9 ± 0.7 and 7.6 ± 0.6 vs 7.0 ± 1.7 242 mmol/l).

243 As shown in Figure 5, we observed a severe acidosis with an increase in pCO_2 and a decrease in pO2 in all groups following cardiac arrest. In H-TLV5', pO2 was lower 15 min 244 245 after cardiac arrest as compared to Control₅. This could be expected as control animals 246 were ventilated with oxygen whereas TLV rabbits underwent liquid ventilation by that time. 247 At 180 min, gas exchanges were conversely improved in H-TLV groups as compared to controls. As example, blood pH and pO2 increased whereas pCO2 decreased in H-TLV10' vs 248 249 Control₁₀, respectively. Importantly, all the animals were submitted to conventional 250 ventilation at that time point, with standardized ventilation parameters. As illustrated in 251 Figure 2, the safety of TLV for lungs was also documented by histology demonstrating 252 cardiogenic lesions (serous edema and/or congestion) or infectious complications of 253 bronchopneumonia to a similar extent in TLV groups vs corresponding controls. 254 As shown in Table 2, renal function was not affected after cardiac arrest in all groups 255 since plasma creatinine levels remained within usual values. Conversely, we observed an 256 increase in the liver enzyme ALAT with no difference among TLV and corresponding 257 controls. Kidney and liver lesions were mild with no difference among groups (Figure 2,

258 panel B).

259	As illustrated in Figure 6, neurological dysfunction was significantly attenuated in H-
260	TLV groups as compared to controls. This difference was significant as early as the 2^{nd} day
261	following cardiac arrest in H -TLV _{5'} vs Control _{5'} (panel A) whereas this was observed within
262	24h of follow-up in H -TLV _{10'} vs Control _{10'} (panel B). In Saline _{10'} , a transient improvement in
263	neurological recovery was observed at day 1 but this was no longer significant at day 2
264	after cardiac arrest. As illustrated in Figure 3 (panel B), the neuroprotective effect of
265	hypothermic TLV was further demonstrated by a significant decrease in the severity of the
266	ischemic disorders in the brain in $H\text{-}TLV_{5'}$ and $H\text{-}TLV_{10'}$ as compared to Control _{5'} and
267	Control _{10'} groups, respectively. No any protection was conversely seen in Saline _{10'} and N-
268	$TLV_{10'}$ as compared to Control _{10'} .
269	A significant difference in survivals was also evidenced between H-TLV groups and
270	corresponding controls, as illustrated in Figure 6 (panels C and D). At the end of the follow-
271	up, 9/10 and 7/10 rabbits survived in the H-TLV $_{5^{\prime}}$ and H-TLV $_{10^{\prime}}$ groups as compared to 5/10
272	and 0/10 in $Control_{5'}$ and $Control_{10'}$, respectively. Conversely, survival was not significantly
273	improved in Saline _{10'} and N-TLV _{10'} as compared to Control _{10'} .
274	As illustrated in Figure 3 (panel B), myocardial foci of necrosis were less frequent in
275	$H-TLV_{10'}$ vs Control _{10'} , demonstrating a cardioprotective effect of hypothermic TLV. No
276	difference was conversely seen between Saline $_{10'}$ and N-TLV $_{10'}$ as compared to Control $_{10'}$.
277	In surviving animals, the functional myocardial sequels of cardiac arrest were also
278	evaluated after 7 days of follow-up. As shown in Supplemental Table 4, mean blood
279	pressure and heart rate in the conscious state were not different among groups as
280	compared to a Sham group. After anesthesia, end diastolic left ventricular pressure,
281	dP/dt_{max} and dP/dt_{min} min were also not different between groups, suggesting that there was
282	no major functional myocardial alterations in those surviving animals.
283	To further explore the cardioprotective effect of hypothermic TLV, eight additional
284	rabbits were included in the Control $_{10'}$ and H-TLV $_{10'}$ groups for a surrogate study dedicated
285	to the caspase activity assays and measurement of troponin I levels. As shown in

supplemental Figure 2, troponin I measured 60 min after cardiac arrest significantly

- decreased in H-TLV_{10'} as compared to Control_{10'} (1.3 ± 0.3 vs 70.7±30.4 ng/ml, respectively).
- 288 The cardioprotective effect of hypothermic TLV was also supported by a decrease in
- 289 caspase 3 activity as compared to control (6.2±1.2 vs 10.0±1.2 pmol/mg prot/h,
- respectively).

291 **Discussion**

292 The present study provides the proof of concept that ultra-fast whole body cooling 293 with hypothermic TLV limits the post-cardiac arrest syndrome when instituted after ROSC in 294 a rabbit model of ventricular fibrillation. Interestingly, we observed potent neuro- and 295 cardioprotections with hypothermic TLV which remains a safe procedure for the lungs. As 296 we used only 3 h of hypothermia, this also suggested that very early hypothermia after 297 ROSC does not need to be prolonged to produce a strong clinical benefit. Importantly, this 298 benefit was directly related to cooling rapidity with TLV since a conventional cooling with 299 cold saline and external blankets was not significantly protective in similar conditions. 300 Proper effects of the perfluorocarbon are unlikely as related to the lack of protection with 301 normothermic TLV.

302 Our first finding is the rapidity of TLV-induced cooling since esophagal and brain 303 temperatures achieved ~32-33°C within only 10 min. In comparison, a conventional 304 hypothermic protocol (cold saline infusion + external cooling) requires ~30 and 45 min to 305 similarly reduce these temperatures. The rapid cooling elicited by TLV was directly related 306 to the tidal exchange of the liquid since simple repetitive pulmonary lavages with a 4° 307 perfluorocarbon requires more than 60 min to decrease the tympanic temperature to 32°C in the same species.²⁰ In large animals, hypothermic TLV was also reported to afford a very 308 fast cooling and to reduce the pulmonary artery temperature to 32°C within 9-10 min when 309 310 instituted intra-arrest in a ventricular fibrillation model in swine.²²

Importantly, the rapid hypothermia elicited by TLV was associated with a potent 311 312 neurological protection and an increase in survival rate as compared to control conditions. 313 In animal studies, it is admitted that the neuroprotective effect of hypothermia is time dependent and that a large part of the protection is lost when cooling is delayed.²⁵ For 314 315 example, in a canine model of cardiac arrest, the neurological protection was lost after only 15 min of delay before the onset of hypothermia after ROSC.²⁵ In the present study, we 316 317 observed a very potent benefit of hypothermia when achieved rapidly after ROSC with TLV 318 whereas a conventional hypothermia was not significantly protective. Recent experiments

have also shown that hypothermia started before ROSC (e.g., intra-arrest hypothermia) can
afford an additional benefit^{7, 8} but this might be difficult to translate this concept into human
clinical practice. All these findings demonstrate that most of the possible benefits of
hypothermia can be lost within minutes after ROSC, further supporting the need of devices
eliciting ultra-fast cooling such as TLV in the present study.

324 Importantly, the benefit of hypothermic TLV observed in our conditions was 325 produced by a short hypothermic episode (3 h), whereas the current recommendations in humans are maintenance of hypothermia during 24-36 h.^{1, 2} We choose this short duration 326 327 since previous experiments have shown that when achieved very early, the duration of the 328 hypothermia does not need to be prolonged to afford an effective neuroprotection, e.g., in a gerbil model of global ischemia.²⁶ Mice studies also noted that 1 h of cooling after ROSC 329 was sufficient to generate a significant clinical benefit. ^{7,8} When the severity of the ischemic 330 insult increases or when the onset of cooling is delayed, it is conversely well established 331 that prolonging hypothermia is critical for achieving a maximal neurological protection.^{27, 28} 332 333 As example, a prolonged cooling allowed to provide enduring behavioral and histological 334 protection in rats submitted to permanent middle cerebral artery occlusion, even when delayed after onset of ischemia.²⁷ 335

336 Another important beneficial effect of hypothermic TLV is the cardioprotection 337 observed here like that previously shown in animal models of coronary artery occlusion.^{11,} ^{17, 18} This was especially observed after 10 min of cardiac arrest since myocardial lesions 338 339 were minor in the groups submitted to only 5 min of cardiac arrest. This was evidenced by a 340 limitation in myocardial necrosis and a preservation of myocardial functional performance in 341 surviving rabbits. Cardioprotection was also observed very early after cardiac arrest since 342 troponin I release and caspase 3 activity were significantly decreased within 60 min after resuscitation in H-TLV₁₀ vs Control₁₀. In animal models of focal myocardial ischemia, the 343 window of protection with hypothermia is virtually limited to the ischemic phase, whereas 344 cooling at reperfusion is ineffective at reducing infarct-size in most experimental studies.¹² 345 346 In the present study, hypothermia was instituted after global reperfusion (ROSC) but it is

reasonable to speculate that the myocardium remains momentarily and partially ischemic even after ROSC. This can explain that a very rapid cooling with TLV can still afford a beneficial effect even if instituted after ROSC and systemic reperfusion. Improved postresuscitation myocardial function have interestingly also be observed with intra-arrest rapid head cooling.²⁹ Generalized hypothermia could even potentially afford a protection of the liver and/or the kidney.³⁰ As these organs were mildly altered in control conditions in the present study, we were not able to show any difference with hypothermic TLV.

354 Importantly, TLV was a safe procedure for the lungs. Even, we observed improved 355 gas exchanges using standardized ventilatory parameters in TLV vs control groups at 3 h 356 after cardiac arrest. After weaning from ventilation, animals were however maintained in a cage enriched in oxygen to avoid hypoxic episodes.¹¹ In pigs, intra-arrest liquid ventilation 357 was indeed demonstrated to alter lung function since activation of pulmonary macrophages 358 might alter gas exchanges after resumption to conventional ventilation.^{21, 22} In our study, the 359 tolerance of TLV was shown by histological examinations and this is supported by several 360 reports from the literature demonstrating that liquid ventilation can even protect the lungs.^{19,} 361 ²⁰ Several prototypes of liquid ventilator have been developed and the clinical translation of 362 363 this concept might accordingly be feasible when those devices will be available for a clinical use.³¹ To date, the current prototypes are mostly developed for a paediatric use³¹ and the 364 translation of TLV-induced hypothermia would be accordingly first possible in newborns 365 366 presenting global ischemia. Further developments might also ultimately permit a translation 367 in adult patients.

368 Our study has several limitations. First, neurological dysfunctions were assessed on 369 the basis of clinical and histological parameters. Other more functional tests or imaging 370 would also be important. Second, histological analyses were performed in all animals, 371 irrespectively of their survival time. This would have lead to an underestimation of the 372 histological scores in some animals who died very early after the cardiac arrest. However, 373 since the lower scores were observed in the group that lived for the longer time (H-TLV₁₀), 374 the latter limitation should not actually impact our conclusions.

In conclusion, ultra-fast cooling instituted by hypothermic TLV limits the post-cardiac
arrest dysfunction with associated neuro- and cardioprotective effects. Importantly, TLV
was a safe procedure for the lungs in our experimental conditions. The beneficial effects of
hypothermic TLV were probably directly related to the rapidity in temperature decrease
since myocardial cell death inhibition was evidenced even very early following resuscitation.

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396	<u>Disclosure</u>

397 None.

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496 Legends of Figures

497

498 **Figure 1:** Experimental protocol

499 CA, cardiac arrest; TLV, total liquid ventilation initiated; H-TLV, hypothermic TLV; N-TLV,

- 500 normothermic TLV; Saline, hypothermia induced by intravenous administration of cold
- saline combined to external cooling; ROSC, resumption of spontaneous circulation.
- 502

503 Figure 2:

504 **Panel A:** Examples of normal or pathological histological appearances of the kidney, liver 505 and lungs in the TLV and control groups, respectively. In kidney, lesions consisted in dilated 506 regenerative proximal tubules (arrows, bar=120 μ m). In liver, we observed systematized 507 clarification of hepatocytes (arrows, bar=120 μ m). In lungs, lesions were congestion and 508 serous edema (arrows in the left lung panel, bar=120 μ m) or foci of bronchopneumonia 509 (arrows in the right lung panel, bar=120 μ m).

510 **Panel B:** Histological scores of alteration in kidney, liver and lungs of rabbits from the 511 different groups. For lungs, we assessed two separate scores for cardiogenic lesions and 512 infection complications, respectively. Open circles represents individual scores and the 513 thick line represents the median value of corresponding group.

^{*} p<0.05 vs corresponding control; TLV, total liquid ventilation; H-TLV, hypothermic TLV;

- 515 N-TLV, normothermic TLV; Saline, hypothermia induced by intravenous administration of
- 516 cold saline combined to external cooling.
- 517

518 Figure 3:

519 Panel A: Examples of normal or pathological histological appearances of the brain and the 520 heart in the TLV and control groups, respectively. In brain, ischemic disorders consisted in 521 ischemic pyramidal cells with pycnotic nucleus in the hippocampus (arrows, bar=30 µm), in 522 laminar necrosis of Purkinje cells in the cerebellum (arrows, bar=30 µm) or in numerous

523	ischemic neurons in the cortex (arrows, bar=30 μm), respectively. In the myocardium, we
524	observed foci of cardiomyocytes necrosis (arrows, bar=120 µm).
525	Panel B: Histological scores of alteration in the brain and heart of rabbits from the different
526	groups. Open circles represents individual scores and the thick line represents the median
527	value of the corresponding group.
528	* p<0.05 vs corresponding control; TLV, total liquid ventilation; H-TLV, hypothermic TLV;
529	N-TLV, normothermic TLV; Saline, hypothermia induced by intravenous administration of
530	cold saline combined to external cooling.
531	
532	Figure 4: Esophageal, tympanic and rectal temperatures in the different experimental
533	groups.
534	* p <0.05 vs corresponding control; n =10 in each experimental group; TLV, total liquid
535	ventilation; H-TLV, hypothermic TLV; N-TLV, normothermic TLV; Saline, hypothermia
536	induced by intravenous administration of cold saline combined to external cooling.
537	
538	Figure 5: Blood pH, pCO2 and pO2 in the different experimental groups.
539	* p<0.05 vs corresponding control; $n=10$ in each experimental group; TLV, total liquid
540	ventilation; H-TLV, hypothermic TLV; N-TLV, normothermic TLV; Saline, hypothermia
541	induced by intravenous administration of cold saline combined to external cooling.
542	
543	Figure 6:
544	Panels A and B: Neurological dysfunction scores at days 1, 2 and 7 following resuscitation
545	in the different experimental groups submitted to 5 min or 10 min of cardiac arrest,
546	respectively. Open circles represent individual scores and the thick line represents the
547	median value of the corresponding group. Only animals achieving resumption of
548	spontaneous circulation were included.

- 549 **Panels C and D:** Kaplan-Meyer survival curves in the different experimental groups
- submitted to 5 min or 10 min of cardiac arrest, respectively. Only animals achieving
- 551 resumption of spontaneous circulation were included.
- 552 * p<0.05 vs corresponding control; TLV, total liquid ventilation; H-TLV, hypothermic TLV; N-
- 553 TLV, normothermic TLV; Saline, hypothermia induced by intravenous administration of cold
- saline combined to external cooling.













562 <u>**Table 1**</u>: Groups characteristics during cardiopulmonary resuscitation, including the rate of 563 successful resuscitation, the time to resumption of spontaneous circulation (ROSC) and the 564 total amount of epinephrine administered throughout the protocol.

	n	Rate of successfull resuscitation	ROSC (min)	Epinephrine dose (µg/kg)	
Control 5'	10	10/10	2.4±0.3	207±58	
H-TLV 5'	10	10/10	2.3±0.3	174±81	
Control 10'	15	10/15	4.8±0.4	684±118	
H-TLV 10'	15	10/15	4.2±0.8	128±128 *	
Saline 10'	10	7/10	3.7±0.7	430±126	
N-TLV 10'	10	7/10	3.7±0.4	509±64	

565 * p<0.05 vs corresponding control value; TLV, total liquid ventilation; H-TLV, hypothermic
 566 TLV; N-TLV, normothermic TLV; Saline, hypothermia induced by intravenous administration

⁵⁶⁷ of cold saline combined to external cooling.

Table 2 : Mean arterial pressure, heart rate, plasma creatinine and alanine aminotransferase

569 concentrations (ALAT) throughout the experimental protocol in the different gro	ups.
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	n	Baseline -	Cardiopulmonary resuscitation				Day 1 (n)	
		Daseille	15min	60min	180min	360min	480min	
Epinephrine perfusion		No	Yes	Yes	Yes	Yes	No	
Heart rate (beat/min	<u>))</u>							
Control 5'	10	257±11	222±8	221±7	243±11	216±7	220±9	234±8 <i>(10)</i>
H-TLV 5'	10	259±10	202±12	174±6 *	177±9 *	245±9	234±8	244±10 <i>(10)</i>
Control 10'	10	263±10	219±6	220±10	198±8	221±11	231±13	256±17 <i>(7)</i>
H-TLV 10'	10	267±8	167±10 *	158±8 *	167±11	208±12	240±11	252±7 <i>(</i> 8)
Saline 10'	7	266±7	200±10	153±7 *	155±13 *	219±10	218±10	226±16 <i>(6)</i>
N-TLV 10'	7	256±13	216±19	207±9	213±12	207±9	221±15	240±28 <i>(</i> 2)
Mean arterial press	ure	(mmHg)						
Control 5'	10	81±3	83±4	82 ± 3	83±1	83±4	80±4	83±4 <i>(10)</i>
H-TLV 5'	10	80±7	81±3	82±5	82±3	83±3	79±3	82±4 <i>(10)</i>
Control 10'	10	80±5	82±3	83±3	81±4	83±2	80±3	79±4 <i>(7)</i>
H-TLV 10'	10	83±4	81±4	82±3	81±3	81±4	80±4	79±6 <i>(8)</i>
Saline 10'	7	80±8	86±6	89±2	78±5	82±5	76±6	83±9 <i>(6)</i>
N-TLV 10'	7	78±7	78±5	78±1	78±4	78±5	75±7	88±4 <i>(</i> 2)
<u>Plasma creatinine c</u>	ond	centrations	<u>(mg/l)</u>					
Control 5'	10	10±1	11±1	-	10±1	-	-	10±1 <i>(10)</i>
H-TLV 5'	10	10±0	12±1	-	11±1	-	-	10±1 <i>(10)</i>
Control 10'	10	9±1	13±1	-	14±2	-	-	11±1 <i>(7)</i>
H-TLV 10'	10	10±0	13±1	-	12±1	-	-	11±1 <i>(8)</i>
Saline 10'	7	9±1	10±1	-	10±1	-	-	10±1 (6)
N-TLV _{10'}	7	9±1	11±1	-	12±1	-	-	13±6 (2)
Plasma ALAT concentrations (UI/I)								
Control 5'	10	29±5	31±4	-	33±4	-	-	35±9 <i>(10)</i>
H-TLV _{5'}	10	25±3	26±2	-	43±5	-	-	30±6 <i>(10)</i>
Control 10'	10	44±13	79±25	-	115±32	-	-	60±17 <i>(7)</i>
H-TLV 10'	10	48±3	65±5	-	111±27	-	-	83±14 <i>(8)</i>
Saline 10'	7	32±2	48±4	-	101±30	-	-	62±27 (6)
N-TLV 10'	7	31±5	66±10	-	96±13	-	-	94±37 (2)

- 570 * p<0.05 vs corresponding control value; TLV, total liquid ventilation; H-TLV, hypothermic
- 571 TLV; *N-TLV, normothermic TLV; Saline, hypothermia induced by intravenous administration*
- 572 of cold saline combined to external cooling.