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Topology-corrected segmentation and local intensity estimates for improved partial volume classification of brain cortex in MRI

Andrea Rueda^{a,b}, Oscar Acosta^{a,d}, Michel Couprie^c, Pierrick Bourgeat^a, Jurgen Fripp^a, Nicholas Dowson^a, Eduardo Romero^b, Olivier Salvado^a

Abstract

In magnetic resonance imaging (MRI), accuracy and precision with which brain structures may be quantified are frequently affected by the partial volume (PV) effect. PV is due to the limited spatial resolution of MRI compared to the size of anatomical structures. Accurate classification of mixed voxels and correct estimation of the proportion of each pure tissue (fractional content) may help to increase the precision of cortical thickness estimation in regions where this measure is particularly difficult, such as deep sulci. The contribution of this work is twofold: on the one hand, we propose a new method to label voxels and compute tissue fractional content, integrating a mechanism for detecting sulci with topology preserving operators. On the other hand, we improve the computation of the fractional content of mixed voxels using local estimation of pure tissue intensity means. Accuracy and precision were assessed using simulated and real MR data and comparison with other existing approaches demonstrated the benefits of our method. Significant improvements in gray matter (GM) classification and

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cortical thickness estimation were brought by the topology correction. The fractional content root mean squared error diminished by 6.3% (p < 0.01) on simulated data. The reproducibility error decreased by 8.8% (p < 0.001) and the Jaccard similarity measure increased by 3.5% on real data. Furthermore, compared with manually-guided expert segmentations, the similarity measure was improved by 12.0% (p < 0.001). Thickness estimation with the proposed method showed a higher reproducibility compared with the measure performed after partial volume classification using other methods.

Keywords: Brain tissue segmentation, Partial volume classification,
Magnetic resonance imaging, Topology correction, Sulci detection, Cortical
thickness estimation

1. Introduction

- Accurate segmentation of Magnetic Resonance (MR) images into different
- brain tissues, namely gray matter (GM), white matter (WM), and cerebro-
- spinal fluid (CSF), can allow *in-vivo* quantification of structural modifications
- 5 appearing during neurodegenerative diseases. However, MR-related artifacts,
- such as intensity inhomogeneity, noise and partial volume (PV) effects, can
- hamper the precision of this task. Inhomogeneities can be characterized by a
- 8 low frequency multiplicative bias field and are mostly due to the sensitivity of
- 9 the receiver coils and, in some cases, to non-homogeneous tissue MR proper-
- ties. The noise is Rician distributed and it has be shown to strongly affect the
- 11 tissue classification (Van Leemput et al., 2003). Finally, PV effects appear
- when the size of anatomical features being imaged is comparable to the voxel
- size, causing blurring at the interfaces between tissues. In some cases, e.g.
- with opposed banks of GM in deep sulci, misclassification problems appears,
- affecting further processings such as cortical thickness estimation.

Topological operators and constraints have been widely used to correct 16 and achieve accurate cortical tissue segmentations (Ségonne, 2008; Bazin and 17 Pham, 2005; Han et al., 2002; Kriegeskorte and Goebel, 2001). It has been assumed that the cerebral cortex is a folded sheet of GM built upon the 19 WM, which would have the topology of a hollow sphere if the midline hemi-20 spheric connections were artificially removed. Due to MR artifacts, the seg-21 mentation process cannot guarantee this assumption, generating deviations from the true anatomy of the structures of interest. Proposed approaches that address this issue can be classified in two categories: methods that include topological constraints directly into the segmentation process, based on 25 active contours (Ségonne, 2008), topology adaptive snakes (McInerney and 26 Terzopoulos, 1999), digital topology models (Bazin and Pham, 2005, 2007) 27 or segmentation by registration to an atlas (Kriegeskorte and Goebel, 2001); and retrospective techniques that correct the topology after the segmentation process (Han et al., 2002). Those approaches are focused on ameliorating the 30 topology of the segmented tissues, working directly on a voxel or on a mesh 31 (surface) space. Voxel-based methods operate directly on the volumetric tissue segmentations, by removing or adding voxels according to topological constraints. However, remotion or addition of a whole voxel in thin struc-34 tures such as the GM may considerably modify the measure of thickness 35 (ranging between ± 1 voxel) if any mechanism such as partial volume is not used to compensate for the structural modifications. In contrast, mesh-based techniques requires an initial 3D reconstruction (triangular mesh) of the volumetric segmentations. The approaches for segmentation and cortical thick-30 ness estimation operating directly with the surfaces, such as CLASP (Kim 40 et al., 2005), BrainVISA (Mangin et al., 1995) or Freesurfer (Dale et al., 1999; Fischl et al., 1999; Fischl and Dale, 2000), incorporate mechanisms to prevent self-intersection of surfaces or topology correction, imposing also some smoothness constraints. Mesh-based approaches are however computationally more expensive, because of the needed additional reconstruction step. Overall, after or during the mesh generation, most of the methods tackle the elimination of tunnels and handles (Fischl et al., 1999; Florent Ségonne and Fischl, 2007; Jaume et al., 2005; Zhou et al., 2007).

On the other hand, PV estimation has received considerable attention 49 in the last few years and different approaches have been proposed for classification and computation of fractional content (Santago and Gage, 1993; 51 Laidlaw et al., 1998; Shattuck et al., 2001; Noe and Gee, 2001; Van Leemput 52 et al., 2003; Tohka et al., 2004; Chiverton and Wells, 2008). Most techniques 53 model voxel intensity as a linear combination of the intensity distributions of the possible tissue types within each voxel (Choi et al., 1991; Noe and Gee, 2001). Computing the fractional content of voxels therefore requires both pure and mixed voxels to have been previously classified. Shattuck et al. 57 (2001) implemented a maximum a posteriori (MAP) classifier, which combined a tissue measurement model with a prior model of the local spatial interactions to obtain six tissue types: three pure and three mixed. The fractional content for the mixed voxels was calculated based on the global in-61 tensity mean of pure tissue types. Tohka et al. (2004) proposed an algorithm 62 which used statistical estimators, based on the MAP estimation (Shattuck et al., 2001). Recently, Chiverton and Wells (2008) presented a local adaptive Gradient-controlled spatial regularizer (GSR) using a Markov Random Field to model the class membership and a Markov chain Monte Carlo (MCMC) simulation to adapt the model to the observed data. The labelling error may 67 remain high because the intensity inhomogeneities (not explicitly modelled) and the noise may lead to misdetection of mixed voxels mainly in tight sulci,

representing a portion of GM/CSF/GM within the same voxel.

The approaches previously presented have been focused on solving either 71 the PV estimation or the topology correction. Our contribution consists in demonstrating that better results and performance are obtained if both 73 strategies are combined together with a spatial intensity variation modeling. 74 In this paper, we propose a new method aimed at improving both PV classi-75 fication and fractional content computation, working at a voxel level in order to be accurate and computationally efficient. The improved classification is achieved by imposing topological constraints to the binary segmentation 78 and thus detecting hidden mixed voxels in zones of tight sulci. The accurate 79 fractional content estimation is attained by computing the fractional content 80 as a linear relation between robust local intensity averages of pure tissue voxels. The spatially dependent averaging helps to overcome the problems of intensity inhomogeneity for a given tissue across the image.

In the next section we describe our methods, followed by experiments using simulated and real data. We also compare the results with other previously proposed methods. We demonstrated the utility of our approach by integrating the whole process to our voxel-based cortical thickness estimation pipeline.

9 2. Methods

The proposed strategy follows the steps depicted in Figure .1: Firstly, an initial classification of voxels into pure tissues WM, GM and CSF and mixed tissues WM/GM and GM/CSF is performed. Secondly, topology-constraints are introduced in the classification assuming that the GM is a continuous layer covering the WM. A topology preserving dilation of the WM over GM adds robustness to the delineation of mixed voxels GM/CSF in deep sulci.

Finally, the estimation of fractional content for mixed voxels is adaptively performed based on a local averaging of the pure tissue voxels.

INSERT FIGURE .1 HERE

2.1. Pure tissue segmentation

A first segmentation of pure brain tissues into GM, WM and CSF is per-100 formed based on an implementation of the expectation-maximisation (EM) 101 segmentation method as in (Van Leemput et al., 1999). Here, the Colin atlas 102 and associated priors are first affinely registered to the data using a robust 103 block matching approach (Ourselin et al., 2001), followed by a diffeomorphic 104 Demons non-rigid registration (Vercauteren et al., 2007). Probabilistic tissue 105 maps associated with the atlas were used to initialize the EM segmentation 106 and enforce spatial consistency throughout the segmentation. The probabil-107 ity density functions of the tissues are modelled with 6 Gaussians (WM, GM, 108 CSF and 3 for non brain tissues, skull and background). Finally, hard seg-109 mentations are obtained after the EM segmentation by labelling each voxel 110 with the most probable tissue. 111

2.2. Initial partial volume labelling

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Using the hard segmentations, a first labelling of partial volume voxels 113 are identified within the hard segmentations and along the interfaces of pure tissues. Three pure tissue classes and two mixture classes are considered 115 $\Gamma = \{GM, CSF, WM, CSF/GM, GM/WM\}$. A maximum a posteriori classi-116 fication (MAP) is made and labels the voxels as belonging to the set Γ . This 117 procedure, relying on both intensity and spatial information, extends the 118 method proposed by (Shattuck et al., 2001), but we assume that each voxel 119 contains at most two tissues (Santago and Gage, 1993), and PV classification 120 is restricted to the region formed by a dilated GM region (radius 2) because 121

only the cortical thickness is sought. To take into account dependency on the 122 neighbouring tissue types, a Markov prior that models local spatial interac-123 tions was implemented using a Potts model in order to perform the labelling. 124 As in (Shattuck et al., 2001; Tohka et al., 2004; Kim et al., 2005), we use the 125 Iterated Conditional Modes (ICM) algorithm as explained in (Besag, 1986) 126 to search for the optimal labelled image. According to this, every voxel is 127 updated once per iteration until no label changes occur between iterations. 128 This model favors classification of contiguous regions of GM, WM and CSF 129 and encourages configurations of voxels that make physical sense such as 130 GM/CSF or GM/WM voxels adjacent to GM. 131

2.3. A topology preserving segmentation

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After the MAP labelling, some of the sulci may be misdetected, as the intensity of buried PV GM/CSF voxels is close to that of the GM. In order to refine the segmentation and identify such buried GM/CSF voxels, we used a homotopic dilation of the consolidated $\mathbf{WM} = \{\text{WM}, \text{WM/GM}\}$ constrained by the GM, leading to a better delineation of deep sulci. To preserve this folds during dilation, the set \mathbf{WM} is corrected first to assure that shares the topology of a filled sphere.

The homotopic transformations that we used are topology-preserving pro-140 cedures that consist of sequentially deleting or adding single points (voxels) as described in (Bertrand and Malandain, 1994). The algorithms used are 142 detailed in Appendix A. Our topology preserving segmentation of the WM 143 consists in performing a homotopic dilation of a seed set of voxels, called S, 144 constrained to only add voxels from the set WM, knowing that S is topo-145 logically equivalent to a filled sphere. The result of this operation is denoted by SWM. For example, S could be made of single voxels chosen in the white 147 matter, but we describe below a way to obtain a seed that is closer to the 148

expected result, and thus leads to a more robust segmentation. 149

To obtain the seed S, we first compute a surface skeleton SK of WM, by 150 dilating using Algorithm 2 as described in Appendix A. Then, we perform 151 an homotopic erosion, constrained by SK, of a full cuboid that includes SK. 152 Finally, we perform an homotopic dilation of the same seed set S, constrained 153 by the set $SWM \cup GM$ to only add GM and WM voxels, and we substract 154 **SWM** from the result to obtain the corrected GM. 155

This method is performed on 3D sets, but for clarity we illustrate it on 156 a 2D reduced example in Figure .2. Notice that small black components in Figure 2(b) can correspond to tunnels in the 3D image, thus simple connected component filtering would not give the correct region. Figures .3 and .4 show further examples in 3D. 160

INSERT FIGURE .2 HERE

INSERT FIGURE .3 HERE

INSERT FIGURE .4 HERE

2.4. Partial volume relabelling and fractional content

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The main contribution of the topology is the relabelling of missegmented 165 GM voxels in hidden sulci as mixed GM/CSF. Once the topologically cor-166 rected WM, GM, CSF, WM/GM and GM/CSF segmentations are obtained, 167 the portion of pure tissue, called here fractional content F, is computed for 168 each mixed voxel by estimating the local contribution of each pure tissue. 169 We assume that each voxel contains at most two tissues and the new la-170 belling corresponds only to the mixed voxels WM/GM and GM/CSF. For 171 each mixed voxel, the fractional content F ranges between [0,1] depending 172 on the amount of pure tissue. Thus, for pure tissue voxels the fractional 173

content F_j are set to 1 for the class j and 0 otherwise. For mixed voxels $(x \in WM/GM, GM/CSF)$, the fractional content $F_{j/k}$ between both pure tissues j and k is computed using the intensity I(x) of the image and the robust local averages of the closest pure tissue voxels $\mu_j(x)$ and $\mu_k(x)$, such that:

$$F_{j/k}(x) = U\left(\frac{\mu_k(x) - I(x)}{\mu_k(x) - \mu_j(x)}\right) \tag{1}$$

where $U(\cdot)$ is a limiter restricting the range of the fractional content to [0,1]. 179 Unlike (Shattuck et al., 2001), which uses the same linear relation between 180 global means of tissues to compute fractional content, we compute μ_k and μ_j 181 as robust local averages rather than global means. This is done by computing 182 the mean of the median 50% of pure tissue intensities (interquartile mean) 183 within a 5mm radius sphere, thus rejecting local outliers, over a denoised 184 version of the original MR image. The noise is removed by applying the 185 optimized non-local means method proposed in (Coupe et al., 2008). 186

Pure tissue voxels are selected by eroding pure tissue segmentations using 187 a 2mm radius, therefore reducing the influence of any mixed voxel. Finally, 188 the computed averages are propagated back towards the location of the mixed 189 voxels x, resulting in values of $\mu_i(x)$ and $\mu_k(x)$ that represent the average of 190 the closest pure tissue voxels (Figure .5). The GM fractional content map 191 is eventually defined as $F_{\text{GM/VM}} \cup F_{\text{GM}} \cup F_{\text{GM/CSF}}$. Using a robust local 192 mean overcomes issues related to intensity inhomogeneities and variations of 193 pure tissue signal across the image, weighting accordingly the signal when 194 computing the fractional content. 195

INSERT FIGURE .5 HERE

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INSERT FIGURE .6 HERE

Regional differences in the cell structure and the distribution of different 198 layers of the cortex result in variation of regional intensity differences for 199 the same tissue across the brain. These differences produces local variation 200 of contrast between the tissues that might be pronounced with ageing Salat 201 et al. (2009). Whereas global homogeneity assumptions will bias the voxel 202 fractional content estimation, a local computation of intensity averages for 203 pure tissue yields a more accurate value, which accounts for the changes in 204 cytoarchitecture visibles in MR. A local estimate allows also to overcome the 205 issues of intensity inhomogeneities due to the artifacts during the acquisition. 206 To illustrate the spatial differences in signal, GM intensity was measured 207 over the population of 20 young adults scans, acquired as described in Sub-208 section 3.3. Figure .6 shows the local average intensity of GM across the 209 brain for an individual. In this example, precentral gyrus presented a higher 210 average value than the temporal or occipital lobe. The same pattern appear 211 in average in all the healthy individuals. The contrast between the tissues 212 has been also measured using the Equation 2 as

$$F_{Contrast} = \frac{\mu_{WM} - \mu_{GM}}{\mu_{GM} - \mu_{CSF}} \tag{2}$$

where $\mu_{\rm WM}$, $\mu_{\rm GM}$ and $\mu_{\rm CSF}$ are the regional averages of WM, GM and CSF 214 respectively, which can be considered as a measure of the contrast between 215 WM and GM normalized by the CSF. Figure .7 shows the regional differences 216 for the population of 20 young controls. 217

INSERT FIGURE .7 HERE

3. Experiments

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To evaluate our method, named hereafter as Topologically-corrected Par-220 tial Volume (TPV), we used different brain MR data sets including simulated 221 and real images. The purpose was twofold, firstly to illustrate the effect of the 222 topology correction in the estimation of fractional content for mixed voxels, 223 and secondly to compare the obtained results with those publicly available in 224 the area. After that, the method was integrated to our voxel-based cortical 225 thickness estimation pipeline. Experiments demonstrated that the overall 226 method showed a better estimate of thickness and a high reproducibility on real data. 228

229 3.1. Simulated MR data

A set of 15 simulated MR brain images was obtained from the BrainWeb 230 Simulated Brain Database, maintained by the McConnell Brain Imaging Cen-231 tre at the Montreal Neurological Institute (Cocosco et al., 1997) and avail-232 able at www.bic.mni.mcgill.ca/brainweb. Each simulation was a $1mm^3$ 233 isotropic T1-weighted MRI volume with dimensions $181 \times 217 \times 181$, gen-234 erated with varying noise level and intensity inhomogeneity. We tested our 235 method on each combination of 1%, 3%, 5%, 7% or 9% noise levels together 236 with 0%, 20% or 40% intensity nonuniformities. BrainWeb also provides the 237 fuzzy tissue membership volumes, one for each tissue class, together with a 238 discrete anatomical model of the simulated normal brain. 239

3.2. Manually segmented real MR data

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20 normal MR brain data sets and their manual segmentations were obtained from the Internet Brain Segmentation Repository (IBSR), provided by the Center for Morphometric Analysis at Massachusetts General Hospital and available at www.cma.mgh.harvard.edu/ibsr. The data sets were acquired along the coronal axis with slice dimension of 256×256 and $1mm^2$

resolution. Interslice distance is 3mm and the number of slices for each volume varies between 60 and 65. The data sets have various levels of artifacts, as low contrast and relatively large intensity gradients, that further affects performance of the algorithm. CMA also provides expert tissue labellings of each brain into WM, GM, and CSF, together with reference similarity values for some classification techniques.

252 3.3. Cross sectional series of real MR scans

20 young healthy subjects (12 female, 8 male; age between 19 - 34 years), 253 who underwent 4 scans at baseline and 4 more scans during a subsequent 254 session after a short delay (less than 90 days), were randomly selected from 255 the Open Access Series of Imaging Studies (OASIS) database (Marcus et al., 256 2007), available at www.oasis-brains.org. For each session, an average 257 motion-corrected image (co-registered average of all available data) was used 258 for our tests. The scans were T1-weighted Magnetization Prepared RApid 259 Gradient Echo (MP-RAGE) in sagittal orientation with isotropic $1mm^3$ res-260 olution $(256 \times 256 \times 128 \text{ pixels})$. This data was used to assess the precision of 261 the method when classifying partial volume voxels. We also tested the robust-262 ness when the method was integrated in our voxel-based cortical thickness 263 estimation pipeline (Acosta et al., 2009), particularly when the detection of 264 deep sulci was improved. 265

3.4. Error and similarity measures

To quantitatively evaluate performance of the method over both simulated and real MR data sets and compare these results with other well-known results, we used two different metrics: the root mean square (RMS) error for comparison of PV classification maps, and the Jaccard similarity measure for comparison of the corresponding crisp tissue segmentations. The RMS error

was used to quantify the differences between the fractional content calculated for each tissue and the corresponding values in the ground truth fuzzy membership images. As in (Shattuck et al., 2001), the RMS error between two images X and Y is calculated as

$$e_{RMS}(X,Y) = \sqrt{\frac{1}{|\Omega|} \sum_{k \in \Omega} |y_k - x_k|^2}$$

were Ω is the brain region, x_k and y_k are the image intensities at position k.

The Jaccard similarity metric, also known as the Tanimoto coefficient, measures the amount of overlap (agreement) between two images X and Y by taking the ratio between the size of their intersection and the size of their union:

$$J(X,Y) = \frac{|X \cap Y|}{|X \cup Y|}$$

This metric yields values between 0 and 1, where 0 means complete dissimilarity and 1 stands for identical images.

270 4. Results and discussion

271 4.1. Brain Web

Performance of our TPV method was firstly assessed on the simulated 272 brain images from BrainWeb. One example of the resulting PV maps for 273 WM, GM and CSF, compared with the available ground truth, on the syn-274 thetic brain volume, 3\% noise level and 20\% bias field, is depicted in Figure .8. 275 Comparisons between our method and a classical MAP approach are shown in 276 Figure .9 for the computed GMPVC fractional content map. It must be noted 277 that compared to a classical MAP approach as in (Shattuck et al., 2001), the 278 sulci were better delineated by introducing the topological constraints (Fig-279 ure 9(g)). In this example, a deep sulci voxel with similar intensity to the 280 average GM, will be classified as GM and not as a mixed GM/CSF voxel 281

unless anatomical constraints are introduced. The mean RMS error of frac-282 tional content over the entire BrainWeb data set significantly decreased to 283 6.1% (p < 0.01) for the obtained GMPVC map, as compared with the results reported in (Shattuck et al., 2001). Overall, a good agreement was shown 285 between the computed PV maps and the ground truth, available as fuzzy 286 tissue membership volumes. RMS errors for different noise and intensity 287 nonuniformity levels are shown in Table .1. As expected, the computed error 288 was robust to the bias field, which additionally validates the local averaging 289 approach rather than the global one. 290

INSERT FIGURE .8 HERE

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INSERT FIGURE .9 HERE

INSERT TABLE .1 HERE

The variability between different regions in the brain may affect the per-294 formance of PV classifiers (Chiverton and Wells, 2008). To illustrate this 295 effect, we used the automated anatomical labeling (AAL) template (Tzourio-296 Mazoyer et al., 2002) to calculate the RMS error within each region as 297 in (Chiverton and Wells, 2008). Averaged results for different levels of noise 298 are shown in Figure .10. As a low variability with respect to the bias field 299 was observed, the depicted value corresponds to the average over all the bias field levels (0%, 20%) and 40%. The smallest errors appeared in the amyg-301 dala (42xx), the insula (30xx), the supplementary motor area (24xx) and 302 the olfactory (25xx); while lower agreement was found in the basal ganglia 303 (70xx), the middle occipital (52xx) and the parietal superior (61xx). 304

INSERT FIGURE .10 HERE

INSERT FIGURE .11 HERE

We also compared our TPV method with the results reported by Chiver-307 ton and Wells (2008) (GSR) and Shattuck et al. (2001) (SMAP). The results 308 are depicted in Figure .11. Evidence suggests that the local average intensity 309 strategy makes the classification more robust to bias field variations, and on 310 average performs better than other methods for low levels of noise (1% to 311 7%) and bias field of 20%. We point out the fact that GSR does not explic-312 itly take into account the bias field, hence its effect appears in the reported 313 results. 314

315 4.2. Real MR Data

316 4.2.1. OASIS

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The reproducibility was measured by applying the method to two of the 317 MR scans from the same individual from the OASIS database. We compared 318 the results with the MAP classifier as in (Shattuck et al., 2001). Significant 319 improvements in GM PV estimation were brought by the topology correc-320 tion. The reproducibility error decreased by 8.8% in GM and 8.5% in WM 321 (p < 0.001), measured as the RMS between the PV maps obtained on the 322 rigidly registered baseline and repeat scans. Likewise, when comparing the 323 crisp segmentations obtained by thresholding by 0.5 the baseline and repeat 324 GM PV maps, the Jaccard similarity measure increased by 3.5% in GM. To 325 compute crisp segmentations, each mixed voxel was assigned to the tissue 326 class with the highest fractional content and the obtained segmentation were 327 subsequently compared. 328

329 4.2.2. IBSR

Our method was also compared with both TMCD (trimmed minimum covariance determinant) (Tohka et al., 2004) and MMC (mixture model clus-

tering) (Noe and Gee, 2001) on the IBSR data sets. Since the ground truth 332 is available as manual segmentations performed by clinical experts, we com-333 pared the segmentations obtained from the crisped PV maps. Figure .12 334 shows an example of the ground truth provided by IBSR and a hard segmen-335 tation calculated after applying our method. Figure 13(b) depicts the results 336 of the comparison for the GM in the 20 normal subjects. As in (Chiverton 337 and Wells, 2008), results of manual expert segmentation and pure tissue class-338 sification presented by Ibrahim et al. (2006) (HMM, hidden Markov model) 339 were included for reference. Significant improvements in GM classification 340 were demonstrated using the TPV, compared to a MAP classifier. The sim-341 ilarity measure (Jaccard) was improved by 8.7% in GM and 2.6% in WM 342 (p < 0.001).343

INSERT FIGURE .12 HERE

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Poor similarity results were obtained in 5 cases, which exhibited strong 345 shading artifacts that impeded a reliable GM and WM classification. Simi-346 lar findings were presented in (Noe and Gee, 2001), who excluded them from 347 the analysis. We also observed that the anisotropy in the images biased the 348 computation of the local averages. Table .2 summarizes the mean (± standard deviation) of the Jaccard similarity values for each method, excluding the volumes with too severe intensity inhomogeneity. In average, our TPV 351 method performed better for WM and GM compared to the others, except-352 ing averaged GM segmentation against (Noe and Gee, 2001). It must be 353 noted that when the PV maps were used to generate the crisp segmenta-354 tions, the mixed GM/CSF voxels in deep sulci with fractional content above 355 0.5 might be wrongly reclassified as GM. Under those conditions, the con-356 tribution of topology correction in the segmentation can not be fully and 357

accurately validated with this experiment. Nonetheless, we report these results for completeness.

INSERT TABLE .2 HERE

INSERT FIGURE .13 HERE

4.3. Computational performance

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On each image of the BrainWeb data set, after the initial MAP segmentation, the topology correction and PV fractional content estimation takes less than 10 minutes. For the OASIS data sets, the procedure takes about 9 minutes, while for the IBSR images the topology correction and PV fractional content estimation takes less than 4 minutes. Operations were encoded in a single-thread application and then executed in a standard Intel Core 2 Duo (3.00GHz, 2 GB RAM) machine running Linux.

4.4. Deep sulci cutting and cortical thickness estimation on real data

We integrated the proposed sulci detection and improved partial volume 371 classification methods to our cortical thickness estimation pipeline (Acosta 372 et al., 2009), as depicted in Figure .14. Then, we computed the thickness, at 373 two different acquisition times, for the same 20 young healthy subjects from 374 the OASIS database (Marcus et al., 2007) used in the experiment described 375 in Section 3.3. The reproducibility was assessed by using the Pearson cor-376 relation coefficient for each Region Of Interest (ROI) of the AAL template (Tzourio-Mazoyer et al., 2002), excluding the cerebellum and subcortical nu-378 *clei* from the analysis. 379

INSERT FIGURE .14 HERE

Thickness estimation with the proposed method (TPV) showed a higher reproducibility compared with the measure performed after partial volume classification using (Shattuck et al., 2001). As can be seen in Figure .15, the differences in cortical thickness between scans were reduced after applying the TPV. The Pearson correlation coefficient was 0.915 in average and a paired test did not reveal any significant differences between the two measurements (p < 0.1). Also, the difference between scans was decreased by 13.7% in average, as shown in Table .3.

INSERT FIGURE .15 HERE

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INSERT TABLE .3 HERE

By using the proposed method, we found a mean (\pm std. dev.) cortical 391 thickness over the whole brain of $2.08mm \ (\pm 0.11)$ for all the subjects, which 392 is within the accepted range of cortical thickness for healthy young adults. 393 In previous studies, when the PV is not taken into account as in (Yezzi 394 and Prince, 2003), the computed mean thickness for the same population 395 was $4.69mm~(\pm~0.11)$. And when the PV classification method proposed 396 by (Shattuck et al., 2001) is used, without any topology correction, the com-397 puted mean thickness was $3.06mm (\pm 0.25)$; using those same PV maps, but 398 correcting the topology problems, decreases the mean thickness to 2.75mm 399 $(\pm 0.17).$ 400

INSERT FIGURE .16 HERE

Fig. .16 depicts in histograms the impact of the topology correction and
the accurate PV estimation on the cortical thickness calculation task. The
higher thickness values produced after the first PV classification dissapeared
when the topology of GM is corrected and the accurate PV value is computed

with the TPV. Fig. 16(a) shows the histogram of the average thickness for the 20 MR subjects before any topological modifications, after the topology correction and with TPV. Fig. 16(b) depicts the differences for each of the cortical thickness histograms between Step 1 and Step 2, illustrating the improvement after the TPV. The number of voxels above 4mm in average has beed dramatically reduced. Fig. 16(c) shows the differences between topology corrections and TPV, in average in this further step the number of voxels above 2.5mm has been reduced.

414 5. Conclusion

We have described a simple and fast technique to improve PV estimation
of brain tissues from T1W MRI. It improves the detection of hidden mixed
voxels in deep sulci by correcting for the topology errors in the segmentation
and uses local averages to better estimate the fractional content. We show
that fractional tissue content estimation can be improved for low levels of
noise and regardless the intensity inhomogeneity, resulting in superior brain
tissue segmentations.

Topology correction improved the classification of mixed voxels in op-422 posed banks of buried sulci by assuming GM as a continuous layer following 423 the WM, with the topology of a filled sphere. Local modelling of tissue inten-424 sities helps to overcome the issues related with local intensity inhomogeneity 425 and tissue MR properties across the image. Even with a preprocessing stage 426 to correct the intensity inhomogeneities, pure cortical tissues show differ-427 ent intensity levels in the MRI. This suggests that the tissue properties are 428 different depending on the region of the brain. Accuracy and precision were 429 demonstrated and comparisons with other methods showed comparative per-430 formance with simulated and real MR data. 431

We demonstrated the usefulness of the method to improve the accuracy 432 of the cortical thickness estimation. By labelling mixed GM/CSF voxels in 433 deep sulci and by recomputing a spatially compensated PV map, the measure 434 of thickness in difficult regions is improved. Our method showed a high 435 reproducibility on real data, with an extremely good agreement between the 436 baseline and repeat scans. The computed values of thickness for young adults 437 are similar to the ones reported previously in the literature. In the future, 438 we plan to use our technique on clinical data to study cortical atrophy in 439 Alzheimer's disease and other neurodegenerative diseases. We intend also to 440 develop voxel-based techniques for inter-subject comparisons, a challenging 441 issue given the large anatomical variability between patients. 442

AppendixA

Topology preservation and homotopic transformations

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parts.

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sist of sequentially deleting or adding *simple points*. This operation works 447 only on binary images, such as the pure tissue segmentations, where each 448 voxel is considered as a point. Informally, a simple point of an object X is a 449 point that can be added or removed from X without changing the topological 450 characteristics of X. It is possible to locally characterize simple points in 3D 451 using two topological numbers T and Tb (Bertrand and Malandain, 1994). 452 Thus, skipping some technical details, let A(x) be the set of points of 453 $X \setminus \{x\}$ lying in a neighborhood of x, and let Ab(x) be the set of points of 454 the complement of X (background) lying in a neighborhood of x. Then, T(x)455 (resp. Tb(x)) is the number of connected components of A(x) (resp. Ab(x)). 456 A point x is simple if and only if T(x) = Tb(x) = 1. Topological numbers 457 are useful for classifying points of an object X based on local topological 458 characteristics: for example, a point x such that Tb(x) > 1 characterizes 459

Homotopic transformations are topology-preserving procedures that con-

Based on these notions, given an object X, a subset I of X and a priority function P, Algorithm 1 computes an homotopic erosion of X constrained by I, that is, an object that is topologically equivalent to X, that contains I and that has no simple point outside I. In this algorithm, the priority function P is usually chosen as the inverse of the distance to I, in order to select in the first place the points that are farthest to the set I. This choice will be assumed in the remaining operations.

a region of the object which separates (locally) its background into several

Applying Algorithm 1 to the complementary sets of X and I, then in-

```
Algorithm 1 Homotopic erosion of X constrained by I
```

```
repeat  \text{Select } x \in X \setminus I \text{ such that } P(x) \text{ is minimal}   \text{if } x \text{ is simple for } X \text{ then}   X = X \setminus \{x\}   \text{end if}   \text{until stability}
```

verting the result, yields an homotopic dilation of X constrained by I. In a similar way, Algorithm 2 (Bertrand and Couprie, 2007) computes a surface skeleton of X which contains medial surfaces of the original object (provided that the priority function P is a distance map of X).

Algorithm 2 Surface skeleton of X

```
Let C be a null image
```

repeat

Select $x \in X$ such that x is simple for X, $C(x) == \emptyset$ and P(x) is minimal

$$X = X \setminus \{x\}$$

for all y in the neighborhood of x do

if Tb(y) > 1 then

$$C(y) = 1$$

end if

end for

until stability

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585 Tables

Intensity inhomogeneity

	0%		20%		40%	
Noise	WM	GM	WM	GM	WM	GM
1%	0.129	0.130	0.129	0.131	0.125	0.132
3%	0.139	0.142	0.140	0.141	0.140	0.142
5%	0.174	0.174	0.172	0.171	0.170	0.171
7%	0.214	0.216	0.210	0.213	0.208	0.212
9%	0.251	0.261	0.245	0.258	0.242	0.256

Table .1: Fractional content RMS error on BrainWeb.

	MMC (Noe and Gee, 2001)	TMCD (Tohka et al., 2004)	TPV
WM	$0.648 \ (\pm \ 0.198)$	$0.696 (\pm 0.050)$	$0.701 \ (\pm \ 0.042)$
GM	$0.753 \ (\pm \ 0.120)$	$0.697 (\pm 0.064)$	$0.708 \ (\pm \ 0.045)$

Table .2: Mean (\pm standard deviation) of Jaccard similarity index for each method.

	Correlation coefficient		Differences between scans		
Brain lobule	SMAP	TPV	SMAP	TPV	
Frontal	0.922	0.930	0.090	0.090	
Limbic	0.901	0.883	0.158	0.121	
Occipital	0.902	0.904	0.101	0.063	
Parietal	0.906	0.920	0.058	0.060	
Temporal	0.932	0.938	0.105	0.106	
Average	0.912	0.915	0.102	0.088	

Table .3: Pearson correlation coefficient and differences between scans for the OASIS dataset, grouped by brain lobules.

586 Figures and Legends

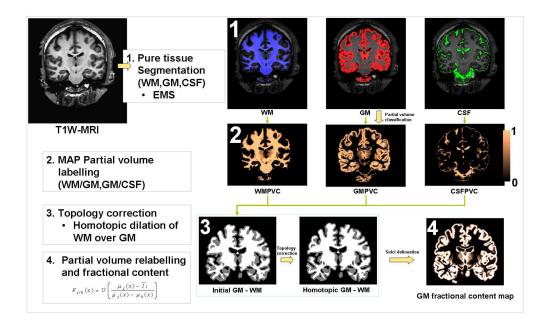


Figure .1: Overall process for topology-corrected PV estimation in MR images

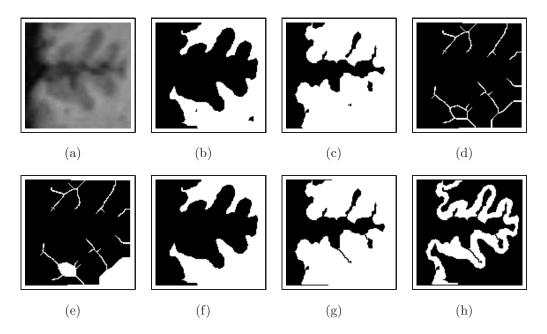


Figure .2: (a): original grayscale image. (b): segmented white matter (set \mathbf{WM}). (c): segmented white and gray matter (set $\mathbf{WM} \cup \mathbf{GM}$). (d): surface skeleton of \mathbf{WM} (set \mathbf{SK}). (e): seed set (set \mathbf{S}). (f): corrected white matter (set \mathbf{SWM}). (g): corrected white and gray matter formed by further homotopic dilation. (h): corrected gray matter (final result) formed by substracted images (g) and (f).

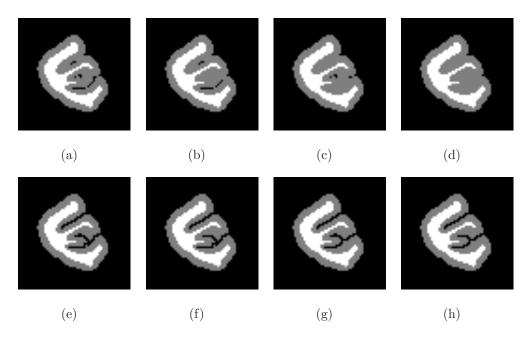


Figure .3: First row (a) - (d): Different initial configurations of a synthetic phantom. Second row (e) - (h): Corresponding topologically corrected WM-GM segmentations.

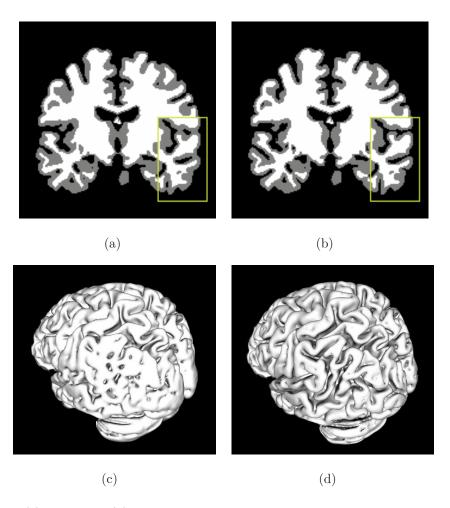


Figure .4: (a) Initial and (b) topologically corrected WM-GM segmentations, highlighted within the rectangle; (c) marching cubes reconstruction of GM before and (d) after the topology correction procedure.

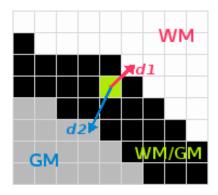


Figure .5: Schematic view of the local tissue averages for a given mixed voxel, where d1 and d2 relates to the closest voxels in the pure tissues.

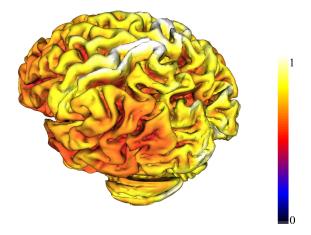


Figure .6: Averaged intensity within the connected components of the pure GM, computed as the interquartile mean (IQM) within a 5mm radius sphere on an OASIS example data, normalized by the Maximum of intensity. The differences between the regions clearly appear. Thus, GM tissue intensity will be different between the regions and global homogeneity assumptions will slightly bias the computation of partial volume.

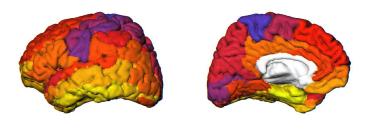


Figure .7: AAL template showing the regional differences in contrast between WM and GM over the surface, by calculating the ratio $\frac{\mu_{\text{WM}} - \mu_{\text{GM}}}{\mu_{\text{GM}} - \mu_{\text{CSF}}}$. Darkest colours indicate bigger ratios, light colours indicate small values. Left: lateral and Right: medial views.

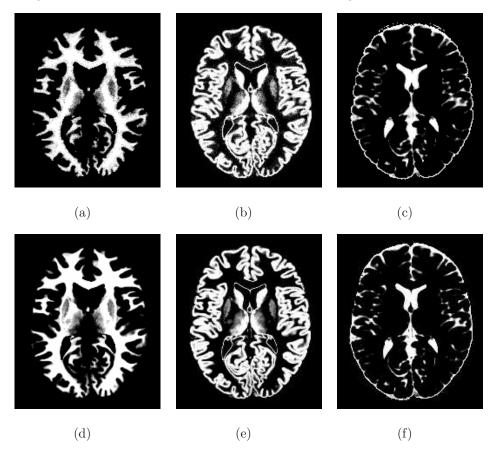


Figure .8: Partial volume segmentation of a simulated BrainWeb volume (3% noise, 20% bias field). PV maps for (a) WM, (b), GM (c) and CSF. Ground truth: (d) WM, (e), GM and (f) CSF.

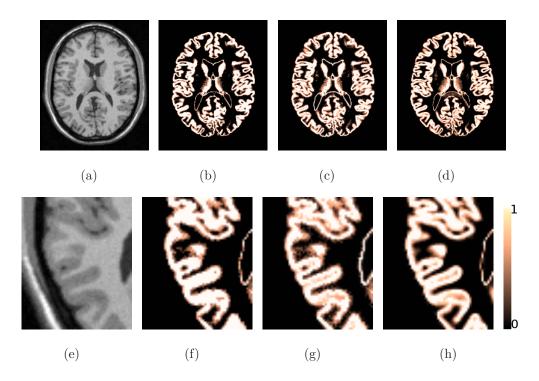


Figure .9: Example of PV estimation of a simulated BrainWeb volume (3% noise, 20% bias field). (a),(e) Original image, (b),(f) MAP PV estimation, (c),(g) Topologically-corrected PV, (a),(h) ground truth. In the detailed views we can observe the improvement in deep sulci, (g) relative to (f), brought by the topology correction.

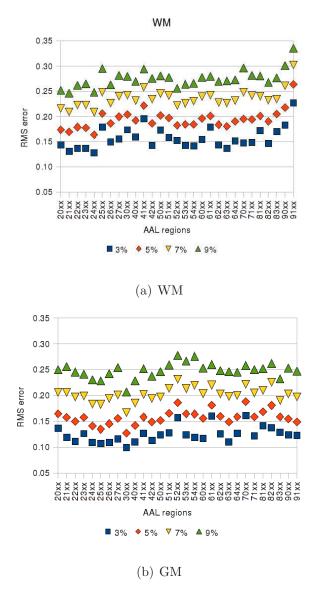


Figure .10: RMS error per AAL region (a) WM and (b) GM regions, for different noise levels using the same labels as (Chiverton and Wells, 2008).

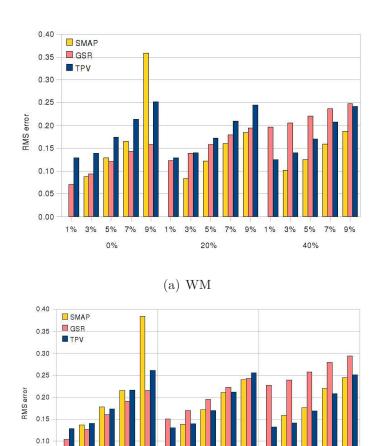


Figure .11: PV estimation errors for (a) WM and (b) GM on BrainWeb, for different noise and bias field levels. (SMAP results for 1% noise not publicly available)

(b) GM

20%

40%

0.05

5%

0%

1% 3%

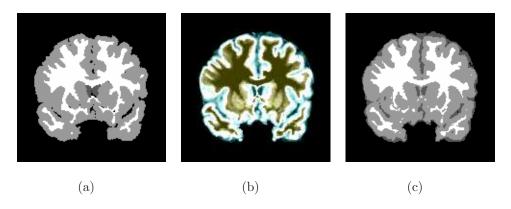


Figure .12: (a) IBSR Ground truth pure tissue classification. (b) Estimated PV maps (blue: GM/CSF, white: GM, yellow: GM/WM) and (c) computed crisp segmentation.

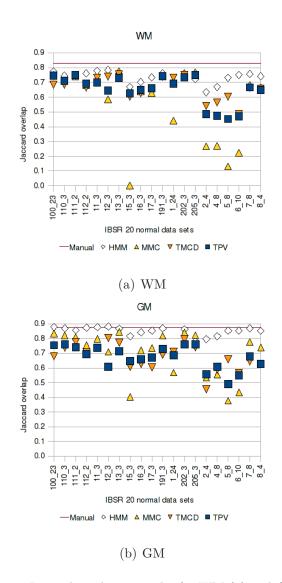


Figure .13: Jaccard similarity results for WM (a) and GM (b).

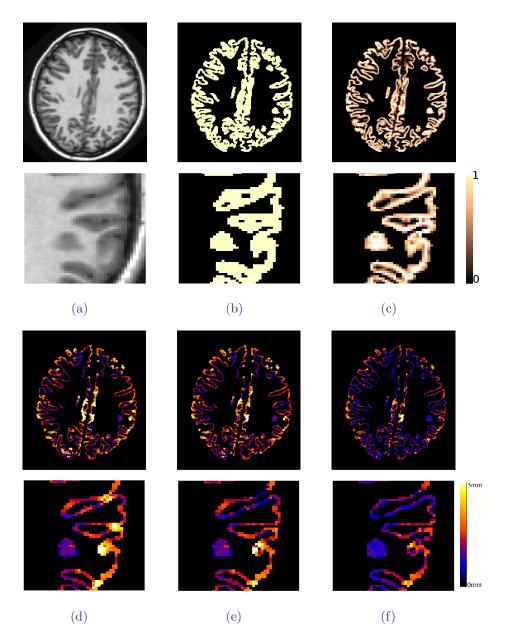


Figure .14: Example of cortical thickness estimation from MR. (a) Original T1-W MRI, (b) GM segmentation, (c) Topologically-corrected GM PV map. Cortical thickness maps (d) without any topology modifications, (e) after topology correction only, (f) after TPV. In the detailed views we can observe the improvement brought by the topology to delineate deep sulci zones, which allows an accurate measurement of the cortical thickness.

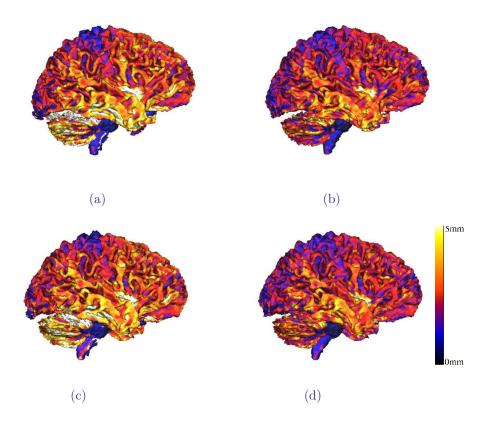


Figure .15: Surface representation of cortical thickness, computed at different steps for two scans of a single subject (OASIS). *Top row:* Scan 1, *Bottom row:* Scan 2. (a),(c) Without topology modifications, and (b),(d) with topologically-corrected GM PV map (TPV). Overall, we can observe the high values of thickness corrected with the TPV method.

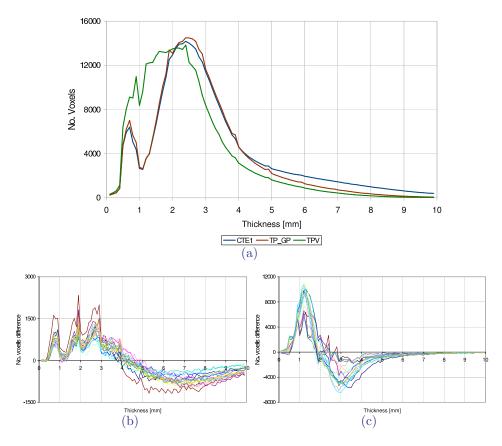


Figure .16: (a) Histogram of the average thickness for the 20 MR before topology correction (step 1), after topology correction (step 2) and with TPV. It is shown how the number of higher thickness voxels was reduced. (b) Differences in cortical thickness histograms between steps 1 and 2 for the 20 MR. This figure depicts the improvement after the topology. The number of voxels above 4mm in average has been dramatically reduced. (c) Differences between topology and TPV, in average the number of voxels above 2.5mm has been reduced consolidating the average thickness around 2.5 mm (typical value for young adults).