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Christelle Anaclet, Régis Parmentier, Koliane Ouk, Gérard Guidon, Colette Buda, Jean-Pierre Sastre, Hidéo Akaoka, Olga A. Sergeeva, Masashi Yanagisawa, Hiroshi Ohtsu, et al.

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Anaclet Christelle [1] , **Parmentier Régis** [1] , **Ouk Koliane** [1] , **Guidon Gérard** [1] , **Buda Colette** [1] , **Sastre Jean-Pierre** [1] , **Akaoka Hidéo** [2] , **Sergeeva Olga A.** [3] , **Yanagisawa Masashi** [4] , **Ohtsu Hiroshi** [5] , **Franco Patricia** [1] , **Haas Helmut L.** [3] , **Lin Jian Sheng** [1] [*]

[1] *Physiologie integrée du système d'éveil INSERM : U628, IFR19, Université Claude Bernard - Lyon I, Universite Claude Bernard 8, Avenue Rockefeller 69373 LYON CEDEX,FR*

[2] *Neuro-oncologie et neuro-inflammation INSERM : U842, Université Claude Bernard - Lyon I, Faculté de médecine Laennec rue G. Paradin 69372 Lyon CEDEX 08,FR*

[3] *Department of neurophysiology Heinrich-Heine Universität, D40001 Duesseldorf,DE*

[4] *Howard Hughes Medical Institute University of Texas Southwestern Medical Center, Dallas TX 75390-8584,US*

[5] *Department of Cellular Pharmacology Tohoku University, Sendai 980-8575,JP*

[*] Correspondence should be adressed to: Jian Sheng Lin <lin@univ-lyon1.fr>

Abstract

To determine the respective role played by orexin/hypocretin and histamine (HA) neurons in maintaining wakefulness (W), we characterized the behavioral and sleep-wake phenotypes of orexin(Ox) knockout(−/−) mice and compared them with those of histidine-decarboxylase(HDC, HA-synthesizing enzyme)−/−mice. While both mouse strains displayed sleep fragmentation and increased paradoxical sleep(PS), they presented a number of marked differences: 1) The PS-increase in HDC−/−mice was seen during lightness, whereas that in Ox−/−mice occurred during darkness; 2) Contrary to HDC−/−, Ox−/−mice had no W deficiency around lights-off, nor an abnormal EEG and responded to a new environment with increased W; 3) Only Ox−/−, but not HDC−/−mice, displayed narcolepsy and deficient W when faced with motor challenge. Thus, when placed on a wheel, WT, but not littermate Ox−/−mice, voluntarily spent their time in turning it and as a result, remained highly awake; this was accompanied by dense c-fos expression in many areas of their brains, including Ox-neurons in the dorsolateral hypothalamus. The W and motor deficiency of Ox−/−mice was due to the absence of Ox because intraventricular dosing of Ox-A restored their W amount and motor performance whereas SB-334867 (Ox1-receptor antagonist, i.p.) impaired W and locomotion of WT mice during the test. These data indicate that Ox, but not HA, promotes W through enhanced locomotion and suggest that HA and Ox neurons exert a distinct, but complementary and synergistic control of W: the neuropeptide being more involved in its behavioral aspects, whereas the amine is mainly responsible for its qualitative cognitive aspects and cortical-EEG activation.

Author Keywords orexin ; hypocretin ; histamine ; wakefulness ; cortical activation ; arousal ; posterior hypothalamus ; narcolepsy ; somnolence ; locomotion ; behavior ; knockout mice ; orexin, hypocretin, histamine, wakefulness, cortical activation, arousal, posterior hypothalamus, narcolepsy, somnolence, locomotion, behavior, knockout mice,

INTRODUCTION

From both classical and recent investigations, there is now little doubt that the posterior hypothalamus plays a key role in the maintenance of cortical activation and wakefulness (W). Indeed, since von Economo (1926) initially identified a lethargy in patients suffering from inflammatory lesions within the posterior hypothalamus, somnolence, hypersomnia, narcolepsy or coma has been repeatedly reported following lesions/inactivation of this region in several mammalian species (reviewed in Moruzzi, 1972; Sakai et al., 1990, Lin, 2000; see also Sallanon et al., 1988). Recent studies have focused on the anatomical and physiological identification of neuronal substrates responsible for such an important function of the posterior hypothalamus (reviewed in Lin, 2000; Saper, 2000).

The histamine (HA) neurons, located in the tuberomamillary nucleus (TM) and adjacent posterior hypothalamus, represent one of the identified populations that projects to the entire brain. These cells discharge tonically during W in the cat and mouse (Sakai et al., 1990; Vanni-Mercier et al., 2003; Takahashi et al., 2006), the firing pattern being the most wake-selective one so far identified in the brain. Pharmacological dosing impairing brain HA neurotransmission increases cortical slow activity. Conversely, enhancement of transmission promotes W (Reviewed in Monti, 1993; Lin, 2000; Passani et al., 2004; Parmentier et al., 2007). Using histidine-decarboxylase (HDC, HA-synthesizing enzyme) knockout (KO) mice, we have shown that mice lacking HA synthesis presents EEG and behavioral signs of somnolence and are unable to remain awake when high vigilance is required, such as lights-off or an environmental change (Parmentier et al., 2002). HA neurons are thought to promote cortical activation and W through their widespread outputs, directly or indirectly to the cerebral cortex (McComick, 1992; Lin et al., 1996; Lin, 2000; Haas and Panula, 2003).

More recently, neurons containing the neuropeptides orexins (Ox, synonym hypocretins) have been identified in the hypothalamic dorsolateral area adjacent to HA neurons (de Lecea et al.,1998; Sakurai et al.,1998). A large body of evidence indicates that an orexin deficiency is responsible for the pathogenesis of human and animal narcolepsy (Lin et al., 1999; Chemelli et al., 1999). Like HA cells,

orexin neurons send widespread projections to most brain areas and so may also play a key role in arousal (reviewed in Sakurai, 2007). Finally, orexin cells increase their activity during active W (Lee et al., 2005; Mileykovskiy et al., 2005; Takahashi et al., 2008) and some anatomical/functional interactions between HA and orexin neurons, notably a direct excitation of HA neurons by Ox, have been identified (Bayer et al., 2001; Eriksson et al., 2001; Lin et al., 2002).

This indicates that both orexin and HA neurons cooperate in the hypothalamic control of the sleep-wake states and raises several questions regarding wake control, such as the respective role of the two neuronal groups located so closely together in the posterior hypothalamus, is it similar or distinct? Are the two systems co-responsible for narcolepsy? We have therefore characterized the sleep-wake phenotypes of Ox KO mice faced with behavioral and locomotive challenges and compared them with those of HDC-KO mice, which were identified either in the present study or in our previous report (Pamentier et al., 2002).

MATERIAL AND METHODS

The EEG and sleep-wake phenotypes of histidine decarboxylase KO (HDC−/−) mice under baseline conditions and faced with behavioral challenges have been characterized in our laboratory (Parmentier et al., 2002). For comparison, we sought to characterize the phenotypes of prepro-orexin KO (OX−/−) mice strictly under the same experimental conditions. In addition, in order to determine their sleep-wake cycle faced with a locomotive challenge, both OX−/− and HDC −/− and their WT counterparts were subjected to a wheel test described below.

Mice and their genotyping by PCR

All experiments followed European Ethics Committee (86/6091 EEC) and French National Committee (décret 87/848) directives and every effort was made to minimize the number of animals used and any pain and discomfort. Two mouse genotypes were used in this study:

- Ox−/− mice were descendants of the mouse strain generated by Chemelli et al (1999) and kept on C57BL/6J genomic background by 5 –9 more backcrosses during the present study. To obtain experimental animals, male Ox−/−mice were backcrossed with female wild-type (WT) mice, the generated Ox+/− mice were crossed between themselves resulting in both heterozygotes and homozygotes. Only littermate homozygotes WT and KO mice (n=15 pairs) were used in this study to ensure that any detected phenotype resulted from the deletion of prepro-orexin gene rather than the genetic heterogeneity between individual animals.

To determine their genotypes with respect to the orexin gene, tail biopsies were performed at age of 4 weeks for DNA detection using PCR. The KO and WT alleles were amplified using a neo primer, 5′-CCGCTATCAGGACATAGCGTTGGC and two genomic primers: 5′ -GACGACGGCCTCAGACTTCTTGGG and 5′TCACCCCCTTGGGATAGCCCTTCC. The latter is common to KO and WT mice. As shown in Figure 1, a strong Ox signal, corresponding to a 400 pbs band, was detected in OX+/+ mice (animals 1–15, Figure 1A), and a strong lacZ-neo signal, corresponding to 600 pbs band, was detected in the OX−/− mice (animals 16–30, Figure 1A).

- Nine pairs of male inbred WT and HDC−/− mice were used. They were descendants of the 129/Sv mouse strain generated by Ohtsu et al. (2001). Their genotype with regards to the HDC gene was determined using the PCR protocol described in Parmentier et al. (2002).

Surgery and polygraphic recording

At the age of 11–13 weeks and body weight of 25–30 mg, animals were chronically implanted, under deep isoflurane anesthesia (2% in oxygen mixed with gas anesthesia machine, TEM, France), with four cortical electrodes (gold-plated tinned copper wire, Ø = 0.4 mm; Filotex, Draveil, France) and three muscle electrodes (fluorocarbon-coated gold-plated stainless steel wire, Ø = 0.03 mm; Cooner Wire, Chatworth, CA) to record the electroencephalogram (EEG) and electromyogram (EMG) and to monitor the sleep-wake cycle. All electrodes were previously soldered to a multichannel electrical connector. The cortical electrodes were inserted into the dura through two pairs of holes (Ø = 0.5 mm) made in the skull, located, respectively, in the frontal (1 mm lateral and anterior to the bregma) and parietal (1 mm lateral to the midline at the midpoint between the bregma and the lambda) cortices. The EMG electrodes were inserted into the neck muscle. In some animals and for the purpose of intracerebroventricular injection, a metal guide cannula (Ø = 0.6 mm, length = 10 mm) with indwelling stylet was implanted into the lateral ventricle at coordinates of AO, L2.2 and H2.3 according to the atlas of Franklin and Paxinos (1997). Finally, the electrode assembly and cannula were anchored and fixed to the skull with Super-Bond (Sun Medical Co., Shiga, Japan) and dental cement. This implantation allowed stable polygraphic recordings to be made for > 4 months.

After surgery, the mice were housed individually in transparent barrels (Ø 20 cm, height 30 cm) in an insulated sound-proofed recording room maintained at an ambient temperature of 22 ± 1°C and on a 12 hrs light/dark cycle (lights on at 7:00 A.M.), food and water being available ad libitum. For some experiments, a video camera with infrared and digital time recording capabilities was set up in the recording room to observe and score the animal's behavior during both the light and dark phases. After a 7 day recovery period, the animals were habituated to the recording cable for 10 days before starting polygraphic recording (Figure 1B).

Cortical EEG (ipsilateral and controlateral frontoparietal leads) and EMG signals were amplified and digitalized with a resolution of 256 and 128 Hz respectively using a CED 1401 Plus (Cambridge, UK). Using spike2 script and with assistance of spectral analysis using fast Fourier transform, polygraphic records were visually scored by 30 sec epochs for wakefulness (W), slow wave sleep (SWS), and paradoxical (or rapid eye movement, REM) sleep (PS) according to previously described criteria validated for mice (Valatx, 1971; Valatx and Bugat, 1974; Parmentier et al., 2002). Narcoleptic episodes, also called as direct REM sleep onset (DREMs) episodes or sleep onsets REM periods by some authors (Chemelli et al., 1999; Mignot, 2005), were defined as the occurrence of PS directly from W, namely a PS episode that follows directly a wake episode lasting more than 60 s without being preceded by any cortical slow activity of more that 5 s during the 60 s.

Analysis of EEG power spectral density from ipsilateral frontoparietal leads was performed for 9 pairs of Ox+/+ and Ox−/− littermates during 7–10 P.M., period in which nocturnal rodents including mice are most active and display the highest vigilance and most characteristic behaviors. EEG power spectra were computed for consecutive 4-sec epochs within the frequency range of 0.5–60 Hz using a fast Fourier transform routine. The data were collapsed in 0.4 Hz bins. On the basis of visual and spectral analysis, epochs containing artifacts occurring during active waking (with large movements) or immediately before or after other vigilance states were visually identified and omitted from the spectral analysis. The power densities obtained for each state were summed over the frequency band of 0.5 −60 Hz (total power). To standardize the data, all power spectral densities at the different frequency ranges, i.e., δ (0.5–3.5 Hz), θ (3.5–11 Hz), α (or spindle 11–20 Hz), β (20–30 Hz), γ (30–60 Hz), and β + γ (20–60 Hz), were expressed as a percentage relative to the total power (e.g., power in the δ range/power in the 0.5–60 Hz range) of the same epochs. To evaluate contrast in the cortical EEG between SWS and W or PS, we used an EEG power ratio determined by the averaged cortical EEG total power density during SWS divided by that during either W or PS.

Experimental procedures

In each experiment, recordings were simultaneously made from an equal number (usually in batches of three) of WT and KO mice (Figure 1B). The mice were submitted to the following experimental procedures.

Spontaneous cortical EEG and sleep-wake cycle

During the period of days 15–25 after surgery, drug-naive mice were subjected to two separate 24 hrs recording sessions, beginning at 7:00 P.M. During each recording session, animals were left undisturbed.

Sleep-wake cycle after behavioral stimuli

The three following behavioral tests have been used to define the phenotypes of HDC−/− mice in our previous study (Parmentier et al., 2002). These tests were used here to characterize the behavioral phenotypes of WT and Ox−/− littermates and to compare them with those of HDC−/− mice. Sleep-wake recordings were maintained during and after the tests, which were performed in a random sequence:

• a simulation of injection (at 10 A.M., n = 16 from 8 pairs of animals), consisting of the handling of the animal and sham intraperitoneal injection without needle insertion;

• a change of litter (at 2 P.M.; n = 34 from 9 pairs of animals), which was a routine care performed at light phase every 4–6 days to clean the cage and which usually causes a period of waking and behavioral excitation in rodents; in this test, we compared the excitability of the two groups of mice following this routine care;

• a new environment, the mice being transferred for 4h from their habitual transparent barrel to an opaque rectangular box (21 × 30 cm, height 20 cm; with open field), food and water being available ad libitum. In this test, the ability of the two genotypes to remain awake after this environmental change was tested. Each mouse was subjected to this test four times separated by an interval of 14–20 days, twice at 2:00 P.M. when the animals were normally sleeping for ~80% of the time (defined as "sleepy period"; n = 18 from 9 pairs of mice), and twice at 6:00 P.M. when they would normally be awake most of the time (defined as "awake period"; n = 18 from 9 pairs of mice);

• In addition, all mouse genotypes (HDC+/+ and −/− Ox +/+ and −/−) were subjected to a motor challenge that we have designed in this study in order to study the contribution of locomotion to the maintenance of W (see Figure 1C). The test consisted of placing mice upon a wheel (Ø = 13 cm, 5,5 cm wide) which was composed of 2 circles, connected, fixed and maintained parallel by regularly-spaced bars (length, 5.5 cm; distance between bars, 9 mm). The circles and bars were made up of stainless steel wires (Ø = 1.5 mm) (Figure 1C). The hub of the wheel was screwed to a transparent Plexiglas box (10 cm long, 7 cm wide, 28 cm high) which served to support the wheel and to prevent mice from getting off the wheel. The turning resistance of the wheel was set such that mice were able, after a few seconds of habituation, to either stay immobilized to fall asleep or to run over the regularly spaced bars to turn it and as a result to remain awake. It was therefore a largely voluntary locomotion test even though mice had to stay on the wheel during the test. The wheels were equipped with a magnetic detector, allowing quantification and computerization of the wheel turn number and time spent in turning every 30 sec by using a homemade data acquisition system. For the test, mice were placed on the wheel, which together with the plexiglas box was placed

within each mouse's own home barrel to keep them in their familiar environment. The mice stayed on the wheel for 4hrs, food and water being available ad libitum via a supply system. In this test, we compared the ability of mice to enhance W and cortical activation through locomotion. Each mouse was subjected to this test six times separated by an interval of 5–10 days, three times at 2 P.M. and three times at 6 P.M. (n = 39 from 13 pairs of mice, for both time parameters).

To characterize the effects of the wheel test on the sleep-wake cycle and locomotion, a pretreatment was given to some littermate WT and Ox +/+ mice during the lights-on period just before starting the test at 2 P.M: i.e., 1) intracerebroventricular injection of saline alone (control) or containing orexin A (Ox-A, Bachem Bioch Sarl, 3 μg/μl, n = 16 from 8 pairs of mice), injections being made by means of a micro-syringe. The neuropeptide has a similar affinity on Ox1- and Ox2-receptors. 2) intraperitoneal injection of vehicle (encapsin 10% and DMSO 2% in distilled water) alone or containing SB-334867 (GSK, Essex, UK30 mg/kg, n = 24 from 12 pairs of mice), an Ox1-receptor antagonist (Duxon et al., 2001; Smart et al., 2001; Soffin et al., 2002). Sleep-wake recordings subsequent to injection were made for 24 hrs.

Sleep-wake stages during and after their stay in the new environment or on the wheel were compared between WT and KO mice and with the baseline recordings for the same group. As a criterion of sedation and drowsiness, the latencies to SWS and PS, defined as the time between the end of the stimuli and the onset of the first SWS or PS episode lasting > 30 sec, were also measured in the above tests.

Histology and immunohistochemistry

1) In order to determine brain neuronal activation after the wheel test, we examined c-fos immunoreactivity in some littermate WT and Ox−/− mouse brains according to previously-described procedures (Sastre et al., 2000) modified in the present study. Briefly, following a 2h stay on the wheel, mice were removed gently from their wheel and then quickly anesthetized with pentobarbital (100 mg/kg i. p.). They were then perfused by transcardiac route with 50 ml of Ringer lactate solution, followed by 50 ml of ice-cold phosphate buffer (PB) containing 4% paraformaldehyde, 0.05% glutaraldehyde and 0.02% picric acid. After overnight postfixation and 72 hrs rinsing with PB containing 30% sucrose and 0.1% sodium azide, the brain was cut coronally (25 μm) at -25°C using a cryostat. The floating sections were incubated for 48–96 hrs at 4 °C in 0.1 M PB saline (PBS) containing 0.3% Triton X-100 (PBST) and 0.1% sodium azide (Az) with a rabbit anti-c-fos antibody (AB5 Oncogene Sci., at dilutions of 1:20000–40000). After rinsing, the sections were incubated with biotinylated anti-rabbit IgG (Vector Laboratories), then with avidin-biotin-HRP complex (Elite Kit, Vector Laboratories, Burlingame, CA). Both incubations (1:2000 in PBST) were at 4°C overnight with stirring. Following rinsing, the sections were incubated for 6–12 min at room temperature in Tris-HCl buffer (0.05 M, pH 7.6) containing 0.02% 3–3′-diaminobenzidine (DAB), 0.003% hydrogen peroxide and 0.6% nickel ammonium sulfate.

Some treated sections, notably those through the hypothalamus, were processed again for a dual-color immunostaining to determine the presence of c-fos labeling in orexin neurons. After many rinses, they were incubated, as described above, but using a rabbit anti-orexin-A antibody (Phoenix Europe, Germany, diluted at 1:20000–40000, 48–96h incubation). In this second immunostaining, the immunoreactivity was revealed in Tris-HCl buffer (0.05 M, pH 7.6) containing DAB (0.03%) and hydrogen peroxide (0.003%). Thus, following these dual sequential immuroreactions, c-fos labeling displayed a blue/black color, located in cellular nuclei, whereas orexin-containing neurons stained light brown color in somata, dendrites, axons and varicosities.

2) Some other littermate WT and orexin KO mice were also subjected, after the sleep-wake recordings and behavioral/pharmacological tests, to orexin immunohistochemisty alone to determine the presence or absence of orexin-containing neurons in the posterior hypothalamus (Figure 1D).

3) Mice subjected to intracerebroventricular injection were, at the end of sleep-wake recording and behavioral tests, injected with a blue dye (1 μl) through the implanted cannula and perfused with PB containing 4% paraformaldehyde. The location of cannula and positioning of injections were examined using standard histological methods. Only data obtained with positive lateral ventricular dye staining were taken into account in the final statistic analysis.

Finally, ½ of immuno-reacted sections were counterstained with neutral red to identify topographic and cellular structures. All sections were prepared for observation with a light microscope. The atlases of Franklin and Paxinos (1997) were used for the anatomical nomenclature of cerebral regions and for their abbreviations. To compare c-fos labeling between WT and Ox−/− littermates, two types of analysis were performed for each genotype: 1) For the cortical areas and large subcortical regions, semi-quantitative and proportional estimation was made up by comparison of the mean numbers of c-fos labeled cells obtained from three representative counting boxes (0.6–1.5 mm2), which were defined for each analyzed structure based upon the anatomical and topographical analogy between genotypes. Five brain sections per structure were selected for evaluation. 2) Regarding the dorsolateral hypothalamic and perifornical areas and adjacent posterior hypothalamus, cell count was performed for c-fos or orexin stained cells or double labeling in 12 WT and 8 KO double stained sections using an image analyzer Histo Biocom. The cell count is presented in the text of Results as mean number ± S.E.M per section.

Statistical analysis

ANOVA and post hoc Student's test (two-tailed) were used to evaluate differences between WT and KO mice in the sleep-wake and locomotion parameters under normal conditions or after treatment and differences in these parameters between control data (baseline recordings or vehicle injection) and data after treatment in the same group of animals; in the latter case, individual animal served as its own control. The same test was used to compare c-fos labeling in the posterior hypothalamus between genotypes.

RESULTS

General observations

Like wild-type (WT or Ox+/+) or histidine decarboxylase knockout mice (HDC−/− or HDC KO, Ohtsu et al., 2001; Parmentier et al., 2002), prepro-orexin gene disrupted (Ox−/− or Ox KO) mice appeared to develop normally. No abnormalities were noted in terms of general morphology, movement or other behaviors under basal conditions, with the exception of breeding. It took more than 8 weeks for a male KO mouse to get a frequentation of its WT female partner, instead of 4 weeks on average for a WT couple. As the number of littermates remained normal (mostly 5–7), we suggest an affected copulation in the male KO mice. Unlike HDC−/− mice which show slight obesity (Parmentier et al., 2002), the increase in body weight of Ox−/−mice was not significantly different from WT during our study: 29.6 (KO) ± 0.8 vs 28.5 (WT) ± 0.9 g at age of 16 ± 1 weeks (n = 15, p = 0.37, Student's t test). No significant difference either appeared with age: 32.3 (KO) ± 0.9 vs 30.7 (WT) ± 0.7 g at 44 weeks (n = 12, p = 0.2).

Comparison of spontaneous sleep-wake cycle in Ox−/− and HDC−/− mice

Before the behavioral studies, it was necessary to obtain the EEG and sleep-wake parameters in our basal conditions in order to identify any possible phenotype.

With regards to sleep-wake amount, as previously reported (Parmentier et al., 2002), HDC−/−mice displayed sleep fragmentation, an increase in paradoxical sleep (PS) and a deficit of wakefulness (W) around lights-off compared with their WT counterparts (not-shown). As shown in Figure 2, Ox−/− mice shared some phenotypes with HDC−/− mice, such as sleep or wake fragmentation, notably during darkness, e.g., the mean W episode duration over this period was 5.4 ± 0.3 instead of 12.0 ±1.2 min seen with the WT littermates. This sleep fragmentation is greater than that seen with HDC−/− mice, e.g., the numbers of W episodes over 24 hrs are 201 and 165 in Ox and HDC KO mice respectively vs 134 and 134 of their WT counterparts (Table 1).

Although an increase in PS was seen with both KO mice, this increase in HDC−/−mice was seen during lightness (74 ± 1.8 vs 56 ± 1.9 min in WT), leading to a + 23% increase in PS over 24 hrs (Parmentier et al., 2002), whereas in Ox−/− mice it occurred during darkness (26 ± 1.6 vs 16 ± 1.7 min in WT) without changing the daily amount of PS (68 ± 2.5 vs 67 ± 2.2 min in WT mice) because PS decreased during lightness (42 ± 1.8 vs 51 ± 2.3 min in WT). This bidirectional effect across light/dark phases resulted in a significant decrease in light/dark ratio of PS amount in Ox−/− mice, i.e. 1.7 ± 0.1 instead of 4.0 ± 0.8 in WT littermates (p = 0.01, Figure 2A).

Ox−/− mice are further distinct from HDC−/− mice in the baseline conditions in that: 1) they had no W deficiency around 7 P.M., the moment of lights-off. In contrast, their W amount increased during the light phase whereas there was a trend to decrease during darkness. As a result the dark/light ratio of W amount, similar to that for PS, also decreased significantly (1.9 ±0.1 vs 1.6 ± 0.1 in WT mice, p = 0.012) whereas the overall 24 hrs W amount remained unchanged as compared with their WT littermates (Figure 2B). Thus, Ox−/−, but not HDC−/− mice, displayed an impaired circadian distribution for both W and PS. 2) as previously shown (Chemelli et al., 1999), they displayed signs of narcolepsy or DREMs, i.e., direct transitions from W to PS (Figure 2). These narcoleptic episodes are identical to those of PS occurring normally following SWS and defined as a complete EMG atonia and an EEG dominated by well synchronized theta rhythm (6–10 Hz, peak power at 8 Hz) accompanied by phasic phenomena like shaking of ears and tail. These narcoleptic episodes occurred almost exclusively during the dark period (number of episodes: 5.0 ± 0.9 vs 0.1 ± 0.1 during light period, Table 1 and Figure 2). Cataplexy, defined in dogs and men as sudden muscle atonia during W (i.e., low-voltage and fast frequency waking EEG) was never noted in all used mouse genotypes during our study either under baseline conditions or during behavioral tests described below.

Power spectral density of cortical EEG in Ox−/− mice

From the frontoparietal leads the cortical EEG of both KO animals conserved marked and specific changes across the behavioral states and signs characteristic of mice, i.e., with dominant presence of θ frequencies, notably during PS and W (Figure 3D & A). Nevertheless, as shown in our previous study (Parmentier et al., 2002) and as compared with WT mice, HDC−/− mice showed a decreased cortical EEG SWS/W power ratio, a decrease in cortical EEG power in θ rhythm during W and reduced amplitude of slow activity during SWS. In contrast to HDC−/− mice, Ox−/− mice had no changes in qualitative aspects of the cortical EEG compared with those of their WT littermates (from 9 pairs of mice). Firstly, their cortical EEG SWS/W or SWS/PS power ratio was unchanged (Figure 3E). Secondly, the distribution of their mean cortical EEG power spectral density was not significantly modified during either W, SWS or PS. The morphology of their EEG spectra was virtually the same as that of their WT littermates. Finally, analysis of the mean power density in each cortical frequency band such as α, β, θ, δ and γ during W, SWS and PS revealed no significant difference between the WT and KO mice (Figure 3A, C & D).

Effects of behavioral challenges on sleep latencies and sleep-wake cycle in Ox−/− and HDC−/− mice

We previously demonstrated a sedative behavior and somnolence in HDC−/− mice (Parmentier et al., 2002), i.e., they displayed a significant decrease in sleep latency following several behavioral tests, data confirmed here with new tests (Table 2). To determine if the same phenotypes are present in Ox−/−mice, they were subjected to the same tests. We found that Ox−/− mice, compared with their WT littermates, showed a decrease in sleep latency similar to that of HDC−/− mice in some tests such as following a simulation of injection or a routine litter change suggesting that both mouse genotypes are less reactive than their WT counterparts. Data with two main tests, i.e., environmental change and wheel motor challenge are detailed below.

Test of new environment

When faced with a new environment, HDC−/−mice are characterized by a deficiency of W, manifested as a significant decrease in sleep latencies compared with those of their counterparts (Table 2) and an inability to remain awake in the new environment. Indeed, all WT mice showed a significant increase in W during their stay in the new environment whereas the HDC−/− mice had no increase at all in W compared to their own baseline W (Parmentier et al., 2002). Ox−/− mice also showed a decrease in sleep latencies when they were placed in the new environment, they differed from the HDC−/− mice, however, in that 1) the decrease in sleep latencies was less important than that seen with HDC−/− mice. For instance, the decrease in the latency to SWS in Ox−/− mice were −40% and −44% respectively compared to their WT littermates at the 2 P.M. and 6 P.M. tests whereas those in HDC−/−mice were −57% for both time parameters (For other comparisons See Table 2, note particularly the percent changes in each experimental condition); 2) they were able to significantly increase W during the 4hrs stay in the new environment (Figure 4C) although to a lesser extent than their WT littermates (Figure 4B); 3) When mice were placed back to their home cages, Ox−/−, but not HDC−/− mice, showed again a significant decrease in sleep latencies (Table 2). Similar results were obtained when the test was performed at 2 P.M. when mice are most sleepy and at 6 P.M. when mice started their active and awakening period. Data obtained with the 2 P.M. tests are illustrated in Figure 4A. The different phenotypes demonstrated here between the two genotypes indicate that the wake impairment seen with HDC−/− mice is mainly associated with novelty and exploration in the new environment whereas that of Ox−/− mice is essentially due to less behavioral reactivity faced with handling and behavioral challenges.

Locomotion (wheel) test

In order to assess if HA and Ox neurons drive W through an enhancement of locomotion, all mouse genotypes were subjected to a motor challenge, which consisted of placing mice on a wheel during 4hrs. Mice were allowed to run to turn the wheel or find a position to fall asleep. The EEG, sleep-wake states and wheel turns were recorded simultaneously (Figure 1B and C).

When both WT and HDC−/−mice were placed on the wheel at 2 P.M., the moment when mice spent maximal time in sleep, they both responded to the test with a significant increase in W at the expense of SWS and PS (Figure 5C). When the sleep-wake changes seen with HDC−/− mice were compared with those seen in the WT mice, no statistically significant difference was noted between the genotypes in term of latencies to SWS or PS and amounts of sleep-wake states (Table 2; Figure 5c). The number of wheel turns driven by the two mouse genotypes during the 4hrs test, as well as their time spent in turning were also similar (316 ± 78 turns vs 240 ± 86, p = 0.51, Figure 5B). Similar results were found when the test was performed during 6–10 P.M. the period when the animals were most awake, except a significant decrease in sleep latencies seen with the KO mice compared to those of WT mice, presumably as a result of a pronounced somnolence of the HDC−/−mice identified just before and after lights-off (Parmentier et al., 2002).

In contrast, when WT and Ox−/− littermates were placed on the wheel at 2 P.M., they showed clearly different phenotypes in term of motor performance and behavioral states. Firstly, the latencies to SWS and PS were significantly shortened in the KO mice compared with those of WT ones, both when they were placed on and off the wheel (Table 2). Secondly, the WT mice voluntarily spent much of their time in turning the wheel and, as a result, remained highly awake (Figures 1C, 6A and 7A), as demonstrated by an increase in W (184 min during 4hrs vs 90 min during the same circadian period of baseline conditions, i.e., a net increase by 120 %) and a concomitant and dramatic decrease in SWS and PS (Figures 6 and 7). Conversely, the Ox−/− mice did not appear to be interested in the wheel, because they spent much less time in turning it (221 ± 28 turns instead of 537 ± 84 seen with the WT littermates, i.e., less than a half of the WT value, p < 0.01, Figures 6A and 7A). Video observation showed that these KO mice usually tried to adapt a position between the wheel and the case wall to stay immobile, thus falling asleep (Figure 1C) and as a result, their increase in W driven by the wheel test was markedly less than that of the littermate WT mice, i.e., + 45% instead of + 123%. Finally, their SWS and PS amounts were about two or three times that of WT littermates subjected simultaneously to the same test (Figures 6 and 7a).

Interestingly, apart from the quantitative differences observed in motor performance and sleep-wake amount between the WT and Ox KO mice, analysis of the cortical EEG power spectral density also revealed qualitative differences in W spent on the wheel between both sets of mice. Both WT and Ox KO littermates displayed signs of EEG activation during the waking state recorded on the wheel, compared with spontaneous W, manifested as an increase in θ rhythm and a decrease in δ power (n = 9 pairs of mice for baseline EEG and n = 8 pairs of mice for the wheel test. Figure 3, compare A with B.). However, the WT and KO littermates displayed different levels of EEG

activation as the KO mice showed an increase in slow θ (3.5–5.5 Hz) and a decrease in fast θ (5.5–11 Hz) compared with the WT littermates (Figure 3B), suggesting less or deficient EEG activation elicited by the wheel test.

Similar quantitative and qualitative differences between the two genotypes were found when the wheel test was performed around lights-off during 6–10 P.M. Interestingly, Ox−/− mice never showed any narcoleptic episode (DREM) during their 4hrs stay on the wheel during this period, whereas in baseline conditions they exhibited 1.5 ± 0.3 narcoleptic episodes.

Wheel test coupled with drug administration
Orexin A (Ox-A)

To determine whether the W and motor deficiency seen with Ox−/−mice on the wheel is, indeed, due to the lack of orexin, we performed, in both WT and Ox−/− mice just before the wheel test during lights period, intracerebroventricular injections of Ox-A (3μg/l μl) and compared the effects on motor performance and sleep-wake cycle between the two genotypes. Ox-A has a similar affinity on Ox-1 and Ox-2 receptors. In WT mice, it caused an increase in W and decrease in SWS and PS but these effects were not significantly different from those seen in the wheel test alone (Figures 6A & B and 7A & B), suggesting that the orexin system in the WT mice was already largely activated by the wheel test and that additional supply of Ox-A would not produce more pronounced effects. In contrast, the same peptide injection in Ox−/− mice did result in a significant increase in locomotion and W, accompanied by a decrease in SWS and PS, effects being significantly different from those seen in the wheel test alone in the same mice (Figure 6B). Thus, the Ox-A substitution corrected the motor and W deficient phenotype of the KO mice by bringing their W and locomotion amounts to those of WT mice seen either in the wheel test alone or combined with Ox-A injection, because all these quantitative parameters became very similar and there is no more statistical difference between the two genotypes after the injection (Figures 6B and 7B).

SB-334867

Similarly, to determine whether the enhanced W and motor performance seen with WT mice in the wheel test can be prevented by an antagonism of Ox neurotransmission, we performed, in both WT and Ox −/− mice and just before the test during the lights period, an intraperitoneal injection of SB-334867, a brain penetrating Ox-1 receptor antagonist (Duxon et al., 2001; Smart et al., 2001; Soffin et al., 2002). The antagonist at a dose of 30 mg/kg had no effects at all on W, locomotion and sleep stages in the KO mice, while, in contrast, the same injection did significantly decrease W and locomotion and increase SWS in WT littermates and, as a result, the amount of W, SWS or locomotion was brought close to that seen with KO mice during the wheel test alone. There is no statistical difference between the two genotypes in the above mentioned sleep-wake parameters after the antagonist injection (Figures 6C and 7C).

Wheel test coupled with c-fos expression in WT and Ox−/− littermates

Because only Ox−/− and not HDC−/− mice showed wake and motor deficiency with the wheel test and in order to compare brain neuronal activation between WT and Ox−/−littermates, we examined by immunohistochemistry the immediate early gene c-fos, an indicator of cellular activation after a 2 hrs wheel test in some mouse brains.

WTmice

In WT mice and in correlation with their high W amount and wheel turn number, dense c-fos labeling was unevenly distributed in the neocortex especially the different motor cortical areas such as the primary (Figure 8A, upper left, M1) and secondary motor cortex.

The somatosensory (Figure 8A, upper right, S1BF) and prefrontal cortex also contained dense c-fos labeling. In the subcortical structures, dense expression of c-fos was seen in the lateral septum, paraventricular nuclei of the thalamus, midline thalamic nuclei, dorsomedial hypothalamic nucleus and dorsolateral hypothalamic area. The striatum and the large regions of the lateral hypothalamus, medial and lateral preoptic areas, diagonal bands of Broca contained moderate or scattered c-fos stained cells. The brainstem structures showed relatively little c-fos labeling except the pontine nuclei which contained dense labeling and the lateral periaqueductal gray matter, with moderate labeling.

In the middle posterior hypothalamus at the level of dorsolateral hypothalamic and perifornical areas, a moderate c-fos labeling (326 ± 12 per section, the same as below) showed an uneven distribution, large aggregates of labeled cells notable in the dorsolateral hypothalamic and perifornical areas (103 ± 5), dorsomedial hypothalamic nucleus (69 ± 7) and magnocellular nucleus of the lateral hypothalamus (17 ± 3) (Figure 8B, b–d). When the c-fos-stained brain sections were subsequently subjected to Ox immunohistochemistry, two major observations were made in the dorsolateral hypothalamic and perifornical areas. Firstly, large c-fos labeled cells were Ox-immunoreactive (66 ± 5 out of 103 ± 5, 65%). Secondly, the great majority of Ox-containing neurons displayed dense c-fos labeling (Figure 8B, a–d). The double labeled cell bodies represented 84% of Ox-containing cells (66 ± 5 out of 79 ± 7, with a typical example of 92 % seen in the section illustrated in Figure 8B, a & b). These results indicated that in this region, large c-fos labeling was orexinergic and that the great majority of Ox neurons was activated during the wheel test.

Brain structures believed to play an important role in arousal, wake maintenance or behavioral activation such as the intralaminar nuclei of the thalamus, the histaminergic tuberomamillary nucleus, the dopaminergic sustantia nigra and ventral tegmental area, the noradrenergic locus coeruleus as well as the cholinergic basal forebrain and mesopontine tegmentum contained only a few scattered labeled cells.

Ox−/− mice

In sharp contrast and in correlation with their lower W amount and wheel turn number, Ox−/− littermates showed after 2 hrs stay on the wheel much less c-fos labeling in the majority of the brain areas especially in the neocortex. For instance, the primary and secondary motor cortex and the somatosensory cortex were estimated to have only ⅓ to ⅙ of the labeled cells, compared to those of the WT mice (Figure 8A, middle left and right, M1 and S1BF). In the subcortical areas, such as the lateral septum, paraventricular nuclei of the thalamus and other thalamic nuclei, striatal nuclei, medial and lateral preoptic areas and diagonal of bands of Broca, lateral hypothalamic areas, the number of stained cells were also clearly less than that seen in the same structures of WT mice. The number of labeled cells in these areas was estimated to be ½ to ¼ of that of the same structures in the WT littermates.

In the middle posterior hypothalamus, the number of c-fos stained cells is also inferior to that seen in the WT littermates: 208 ± 7 vs 326 ± 12 (−36%, $p < 0.01$) (Figure 8B, b1-d1) especially, the two aggregates seen in the WT dorsomedial hypothalamic nucleus and magnocellular nucleus of the lateral hypothalamus were found with a significant: decreased numbers, from 69 ± 7 to 37 ± 5, (−46%) and 17 ± 3 to 4 ± 1 (−80 %), respectively ($p < 0.01$, Figure 8B, compare b1-d1 with b-d). Interestingly and remarkably, in the dorsolateral hypothalamic and perifornical areas where Ox neurons were located in the WT mice and showed dense c-fos labeling, an important number of c-fos stained cells were present. Their number (83 ± 4) was inferior, but quite close, to that of 103 ± 5 in WT mice (−19%). Their location and distribution remained those of Ox neurons in the WT mice (Figure 8B, compare a1-d1 with a-d). Whether these stained neurons correspond to Ox-containing neurons normally present in the WT mice and represent "Ox neurons" without Ox in the KO mice remains to be determined. In the rat, Ox neurons represent less than 10% of all cells in the perifornical area (Modirrousta et al., 2005); this proportion appeared to be higher in the mice upon our slight neutral red counterstaining, (e.g., Figure 1D) but this assumption needs to be quantified using denser staining and adequate histological approaches. Nevertheless, dorsolateral hypothalamic and perifornical neurons in the KO mice are likely to be activated after the wheel test although the number of neurons involved seems to be less than that of the WT littermates.

Like that in KO mice, brain structures believed to promote cortical or behavioral arousal and W, such as the histaminergic tuberomamillary nucleus and other regions mentioned above, showed only a few scattered labeled cells. It should be mentioned here that no KO brain structure was found with a greater number or higher intensity of c-fos labeling than that of the WT mice.

Genotype confirmation by PCR and immunohistochemistry

Finally, the genotype with respect to the prepro-orexin (Figure 1A) or hdc gene of all mice studied was confirmed using PCR. Immunohistochemistry using Ox-A or HA antibodies revealed absence of Ox-containing neurons (Figure 1D) in the Ox-KO mice and that of HA neurons in HDC−/−mice.

DISCUSSION

We report here a number of common and of distinct phenotypes in Ox−/-− and HDC−/−mice. Whereas sleep fragmentation occurs in both, many other phenotypes are clearly different. Unlike HDC−/−, Ox−/−mice presented normal wakefulness (W) around lights-off or over 24h, even though their W and paradoxical sleep (PS) circadian distribution was disturbed. Their cortical EEG was unimpaired and they were able to respond to a new environment with increased W. Finally, in stark contrast to HDC−/−, Ox−/−mice displayed a marked defect in EEG activation, W and brain c-fos expression when faced with a motor challenge. This is the first study, to our knowledge, that compares the sleep-wake and behavioral phenotypes of the two mouse strains in identical experimental conditions, that shows important different phenotypes between them and that reveals the distinct roles of the neuropeptide and the amine in wake control.

Posterior hypothalamus and control of wakefulness

A key role of the posterior hypothalamus in the maintenance of cortical activation and W is now generally accepted. It is the only brain structure so far identified, in which lesioning or inactivation using the GABA agonist, muscimol, results in hypersomnia in several species and restores sleep in various insomniac models in the cat (Sallanon et al.,1988; 1989;Lin et al., 1989;Lin, 2000). Moreover, it is the only area studied so far, in which several types of wake selective neurons are identified (Sakai et al., 1990, Steininger et al., 1999; Vanni-Mercier et al., 2003, Lee et al., 2005; Mileykovskiy et al., 2005; Takahashi et al., 2006;2008;2009).

The identification of histamine (HA) neurons exclusively in the posterior hypothalamus in the 1980's and the drowsiness and sedative side effects of classic antihistaminic drugs have led to the assumption that HA neurons could be responsible for such an important function (Lin et al., 1988; 1996; Schwartz et al., 1991; Haas and Panula, 2003). This view is well supported (see Introduction), notably, in

midbrain-transectioned cats in which the cerebral cortex presents continuous high voltage slow activity without spontaneous activation similar to that seen in coma, the use of small doses of an H3-receptor inverse agonist activates HA-neurons and restores a sustained cortical activation (Lin, 2000).

The posterior hypothalamus is a heterogeneous structure containing diverse neuronal groups (Saper, 2000). Ox-neurons are neighbors of HA-neurons and through their widespread projections, are thought to promote W, in addition to their major role in preventing narcolepsy (Chemelli et al., 1999; Sakurai, 2007). Several findings from this and other studies indicate that, although both systems promote W, their involvement is likely to be distinct, notably under different behavioral contexts during W.

Orexin neurons and behavioral aspects of wakefulness

Ox−/−mice displayed marked deficiencies of EEG activation, W and locomotion, accompanied by a decrease in c-fos expression in the major brain areas when faced with a motor challenge in our wheel test. A recent study also showed a decreased locomotion in Ox−/−mice, without W deficiency (Espana et al., 2007). This study used spontaneous and chronic access to a wheel, a test different to ours. We further demonstrated that the motor and wake deficiency in Ox−/− mice was, indeed, due to the lack of Ox because its substitution with Ox-A rescued the defect, allowing the KO mice to recover a normal level of W and motor performance during the challenge. Furthermore, the Ox-1 receptor antagonist SB-334867 had no effect in the KO mice during the same test, but did impair W and locomotion in the WT mice, mimicking the phenotype presented by the KO littermates. Finally, the lack of neuronal release of the neuropeptide per se, rather than that of neurons synthesizing it, is likely to be the direct cause of this phenotype in the KO mice, as cells in the dorsolateral hypothalamus, most likely ex-Ox-neurons, expressed c-fos indicating activation during our motor challenge. Whereas this hypothesis remains to be verified using other markers of Ox-neurons, our findings are consistent with a large body of experimental data supporting a major role of Ox in active W and locomotion:

These neurons display a W-active discharge pattern, clearly correlated to muscle tone and posture change, with a significant decrease from active W to quiet W and from quiet W to slow wave sleep (SWS, Lee et al., 2005; Mileykovskiy et al., 2005; Takahashi et al., 2008). In the rat, the discharge rate of Ox-neurons during active W is more than 4.5 times that of quiet W (Lee et al., 2005; Mileykovskiy et al., 2005), indicating that their main activity is to promote behavioral activation during W. Cerebrospinal fluid Ox-A level (Martins et al., 2004) or c-fos expression in Ox-neurons (Torterolo et al., 2001; Valdés et al., 2005) increase after forced W or behavioral activation. Finally, central application of orexins elicits active arousal and hyperactivity in rats, an effect prevented by SB-334867 (Hagan et al., 1999 ; Jones et al., 2001). Taken together, we suggest that Ox-neurons promote locomotion and behavioral arousal and thus contribute to the maintenance of W by enhancing locomotion.

Histamine neurons and qualitative cognitive aspects of wakefulness

In contrast to Ox−/−mice, no significant deficiency of W and motor performance could be detected in HDC−/−mice when subjected to the same wheel test even during the lights-off period when HDC−/−mice show abnormal somnolence. Further data speak against the direct involvement of HA in locomotion. Firstly, HA-neurons fire in a tonic and regular pattern across the whole wake episode, with a ratio of 1.5 between active/quiet W in the cat (Sakai et al., 1990;Vanni-Mercier et al.,2003), much lower than that of >4.5 for Ox-cells in rats. Unfortunately, this ratio is so far not fully clear in mice because the sleep-wake discharge of hypothalamic neurons was recorded in head-restraint rather than freely-moving conditions (Takahashi et al., 2006, 2008, 2009). Secondly, selective activation or inactivation of HA-neurons does not result in clear and specific effects on motor performance (Schwartz et al., 1991; Haas and Panula, 2003; Haas et al., 2008), e.g., pharmacological disinhibition of HA-neurons by H3 receptor inverse agonists results in quiet W without overt behavioral activation and enhanced locomotion (Parmentier et al., 2007;Lin et al., 2008). It seems therefore unlikely that HA-neurons promote W by enhancing locomotion.

What is then the respective role of HA-neurons compared with that of Ox-cells and how does HA contribute to cortical activation and W? At the cellular level, the tonic firing pattern of HA-neurons and their low ratio between active/quiet W suggest that HA-neurons contribute to the maintenance of a general brain arousal and consciousness rather than being involved in a specific behavior during W such as maintaining muscle tone or locomotion. The sustained firing of presumed HA-cells during cataplexy in narcoleptic dogs (John et al., 2004) also tends to support this view. Regarding the cortical EEG, we showed that in contrast to Ox−/− mice, HDC−/−mice showed reduced EEG contrast between W and SWS and impaired cortical activation such as a deficiency of θ rhythms during W. This EEG impairment would explain the pronounced signs of somnolence in HDC−/−mice, manifested as shortened sleep latencies and deficiency of W and exploration faced with behavioral challenges such as lights-off and a new environment (Parmentier et al., 2002). In contrast, Ox−/−mice were able to increase W during their stay in a new environment. The limited decrease in sleep latencies of Ox−/−mice compared with that of HDC−/−mice could be explained by less activation of HA-neurons due to the lack of the Ox inputs, their major excitatory source (Eriksson et al., 2001;Bayer et al., 2001;Marcus et al., 2001).

These data indicate that unlike Ox-neurons, HA-neurons are involved in the control of qualitative/cognitive aspects of W and that their dysfunction leads to somnolence. In support of this, postsynaptic H1-receptor antagonists impair cognitive performance in men whereas

activation of HA-neurons by H3-receptor inverse agonists enhances cortical fast rhythms, promotes wake duration and improves cognitive performance (Nicholson et al.,1985; 1986.Ligneau et al.,1998; 2007a; 2007b; Passani et al., 2004; Lin et al., 2008).

Complementary and synergic control of wakefulness by orexin and histamine neurons

It seems therefore that Ox- and HA-neurons have their own and respective role in wake control even though they are located in close proximity and both contribute to promote W by their similar widespread projections and functional interactions (Eriksson et al., 2001; Huang et al., 2001; Lin et al., 2002). As a brain functional state allowing the performance of crucial behaviors and exploration, W is also a prerequisite for the so-called "high brain functions" and cognitive activities. Such a vital and complex function could not be ensured by a single neural system. Similarly, the importance of the posterior hypothalamus in wake control cannot be explained by activity of a single neuronal group.

Together, these results suggest that HA- and Ox-neurons exert a distinct but synergistic and complementary control over W, the amine being mainly responsible for cortical activation (EEG) and cognitive activities during W and the neuropeptide being more involved in the behavioral arousal during W, including muscle tone, posture, locomotion, food intake, and emotional reactions. The interactions, direct or indirect, between HA- and Ox-neurons could therefore constitute an important hypothalamic mechanism for the maintenance of W. In terms of their pathological involvement, orexin deficiency is likely the direct cause of narcoleptic episodes (DREMs) and cataplexy, characteristic symptoms of narcolepsy, whereas decreased histaminergic transmission (Nishino et al., 2001; Mignot and Nishino, 2005) could account for the somnolence and excessive daytime sleepiness seen in this disease and other sleep disorders (Parmentier et al., 2002, 2007; Lin et al., 2008).

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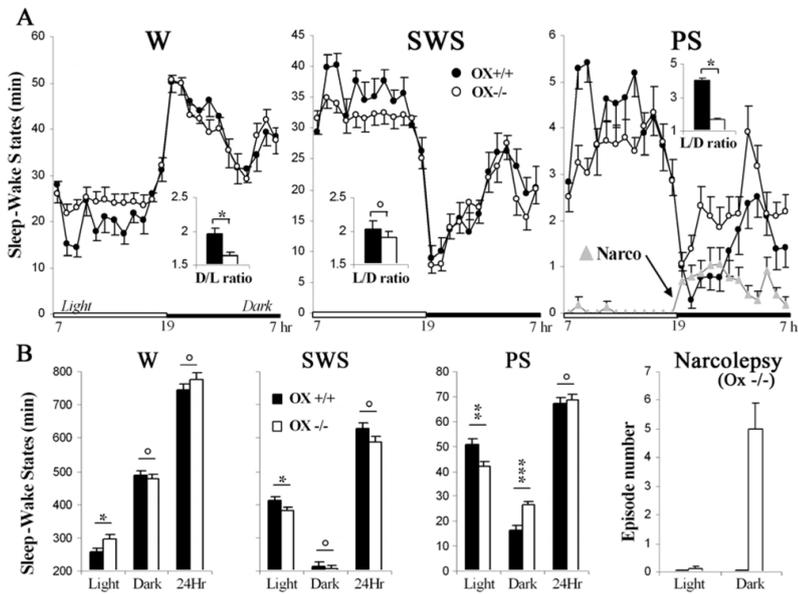
Figure 1

Illustration of the experimental procedures. **A:** PCR genotyping of mouse tail DNA showing the presence of prepro-orexin gene (400 pbs) in the wild type (WT) mice (animals 1–15) and its absence (replaced by a 600 pbs allele) in their knockout (KO) littermates (animals 16–30). **B:** simultaneous recording of cortical electroencephalogram (EEG) and electromyogram (EMG) in WT and orexin KO littermates during baseline conditions or after behavioral (such as the wheel test in C or pharmacological tests. **C:** illustration of our wheel test and the placement of mice on the wheels during the test with simultaneous EEG and EMG recordings (B), followed by **D:** immunohistochemical tests, such as that of orexin, or/and that of c-fos (see Figure 8). HDL, dorsolateral hypothalamic area; F, fornix.
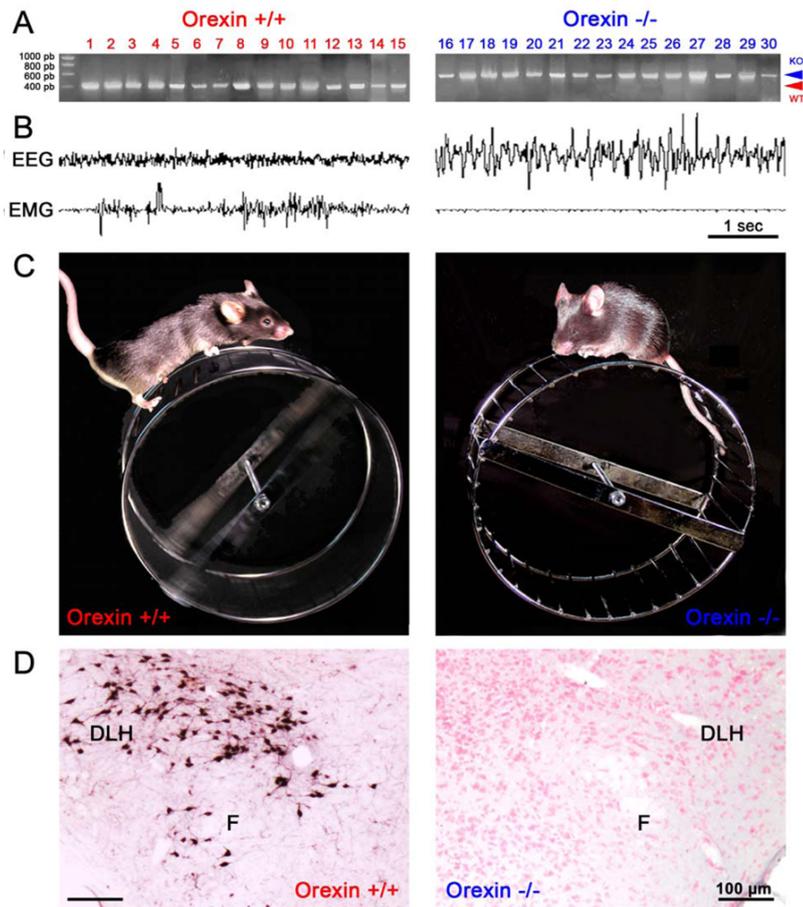
Figure 2

A: Comparison of mean hourly amount (± SEM in min) of the spontaneous sleep-wake states between Ox+/+ and Ox−/− littermates. The inserted histograms correspond to the light/dark (L/D) ratio of the amount of each sleep-wake state. Note that Ox−/− mice exhibit 1) an increase in the hourly amounts of wakefulness (W) during the light period, resulting in a decrease in dark/light (D/L) ratio of W; 2) a decrease in hourly amounts of paradoxical sleep (PS) during the light period and an increase during the dark period, resulting in a marked decrease in the PS L/D ratio; 3) a decrease in the slow wave sleep (SWS) L/D ratio, that is, however, not statistically significant; 4) narcoleptic episodes in Ox−/−mice, occurring almost exclusively during the darkness. See also Table 1. **B:** Total W, SWS, PS and narcolepsy amount during the lightness and darkness and over 24 hrs. n = 30, corresponding to 2 × 24 hrs recordings for 15 animals of each genotype.*p < 0.05; **p < 0.01; ***p < 0.001, using a two-tailed t-test after significance in a two-way ANOVA for repeated measures.
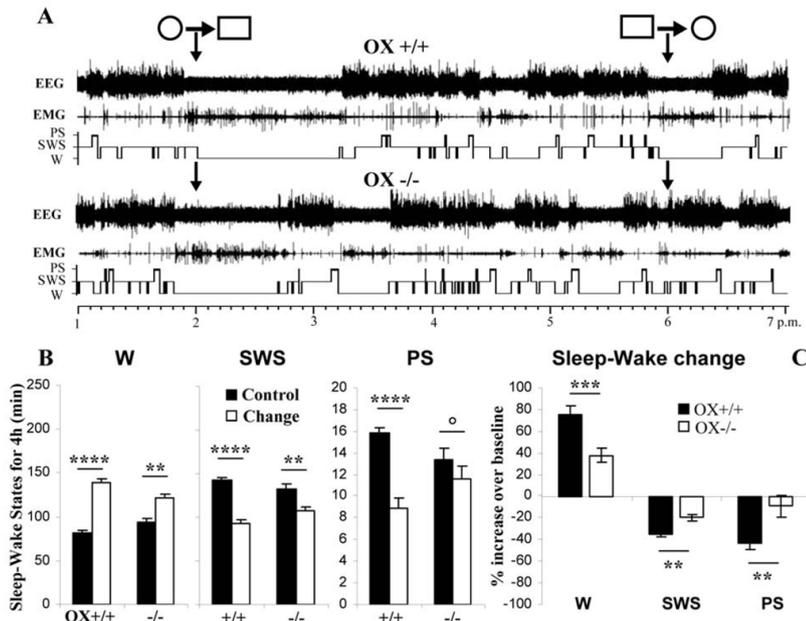

Figure 3

Mean spectral distribution of cortical electroencephalogram (EEG) power density during the spontaneous sleep-wake states (**A, C, D**) or the waking state during a wheel test (**B**) in Ox+/+ and Ox−/− mice. The data were obtained from 9 pairs of littermates by pooling consecutive 4 sec epochs during the period of 7–10 P.M. using the fast Fourier transform routine within the frequency range of 0.5–60 Hz. A–D, mean percentage power density calculated as the mean power (in square microvolts) in each 0.5 Hz frequency bin divided by the total power (0.5–60 Hz) in the same epoch. (E) Cortical EEG SWS/W (upper) and SWS/PS (lower) power ratio (0.5–60 Hz). The inserted histograms in A–D correspond to the EEG power spectra in δ (0.5–3.5 Hz), slow θ (sθ, 3.5–5.5 Hz), fast θ (fθ, 5.5–11 Hz), α (or spindle, 11–20 Hz) and β + γ (20–60 Hz) in Ox−/− mice (columns) expressed as a mean percentage change (± SEM) relative to those (± SEM) in Ox+/+ mice (baseline 0). Note, between the two genotypes, that 1) the virtually similar morphology of EEG spectra during spontaneous wakefulness (W), slow wave sleep (SWS) and paradoxical sleep (PS) (**A,C,D**); 2) no significant difference in α, β, θ, δ and γ bands during W, SWS and PS(**A, C, D**); 3) an unchanged cortical EEG SWS/W or SWS/PS power ratio in Ox−/−mice compared to their WT littermates in baseline conditions (E); 4) an increase in slow θ band and a decrease in fast θ band in the KO mice compared to their WT littermates during the wheel test (B).
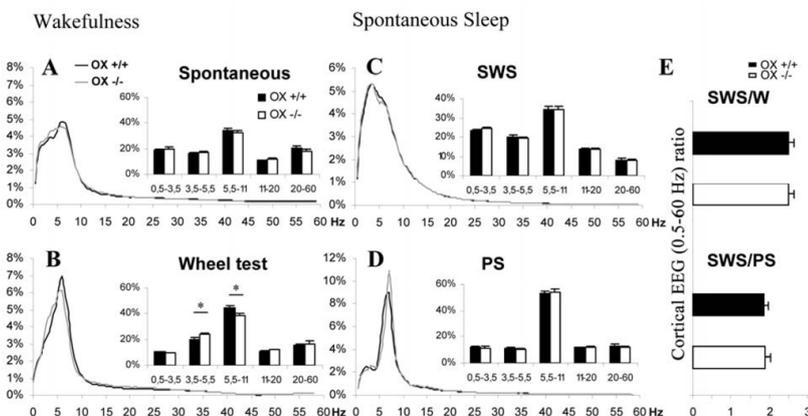
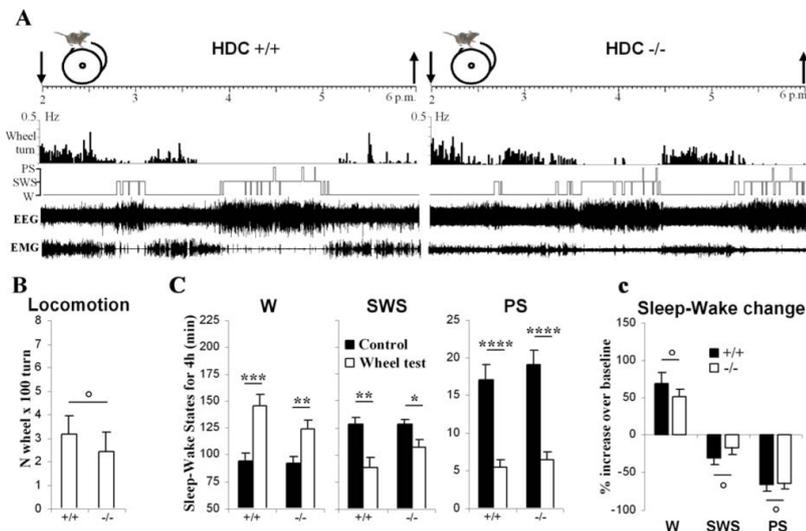## Figure 4

Effects of an environmental change on the sleep-wake states in Ox+/+ and Ox−/−mice. (A) Typical examples of polygraphic recording and corresponding hypnograms showing the effects of an environmental change on the cortical electroencephalogram (EEG) and electromyogram (EMG) and sleep-wake cycle. The environmental change (indicated by an arrow) consisted of moving the animals from their habitual transparent barrel cages to an opaque rectangular cage between 2 to 6 P.M. (B) Quantitative variations of the sleep-wake states. Mean values ± SEM (min) of each sleep-wake stage of the mice during their 4 hrs stay in the new environment are compared with those of their own baseline recordings. (C) Sleep-wake percent changes relative to the baseline value (0 axis) for the same group. Note 1) a significant increase in waking (W) and decrease in slow wave sleep (SWS) in Ox+/+ and Ox−/− mice as compared with their own baseline values (**A** and **B**). Paradoxical sleep (PS) decreased only in Ox+/+ mice; 2) the sleep-wake changes in Ox+/+ mice are significantly greater than those in Ox−/− mice (**C**). n = 18 from 9 pairs of animals. °p > 0.05; *p < 0.05; **p < 0.01; ***p < 0.001; ****p < 0.0001, two-tailed t-test after significance in a two-way ANOVA for repeated measures.
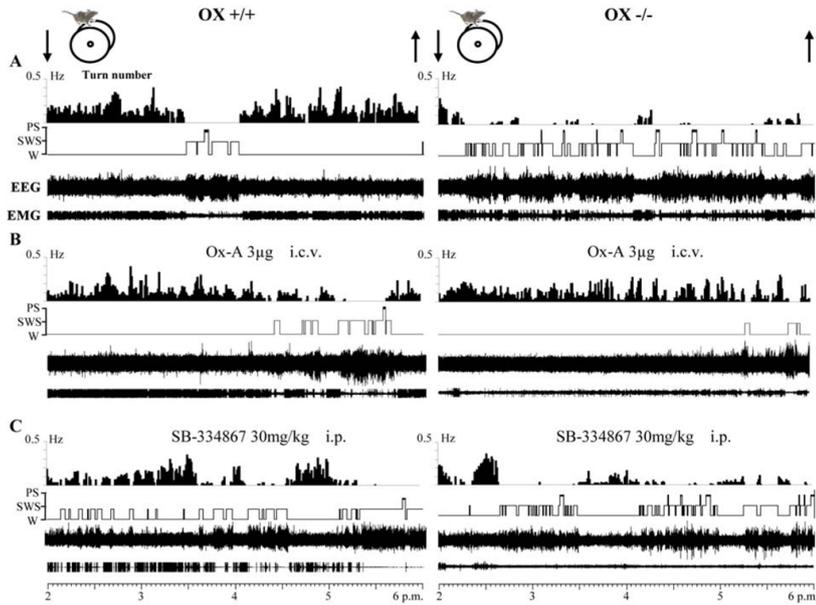

Figure 5

Effect of a wheel test on the sleep-wake states and locomotion in HDC+/+ or HDC−/− mice. (A) Typical examples of polygraphic recording and corresponding hypnograms illustrating the effects of a wheel test on the cortical electroencephalogram (EEG) and electromyogram (EMG) and sleep-wake cycle in a HDC+/+ or HDC−/− mouse. The wheel test consisted of placing the animals on a wheel between 2 to 6 P.M. (**B**) Effect of the wheel test on the locomotion (number of wheel turns) in HDC+/+ and HDC−/− mice. (C) Quantitative variations of the sleep-wake states. Mean values ± SEM (min) of each sleep-wake stage of the mice during their 4 hrs stay on the wheel are compared with those of their own baseline recordings, (c) Sleep-wake percent changes relative to the baseline value (0 axis) of the same group. Note that, 1) a significant increase in waking (W) and decrease in slow wave sleep (SWS) and paradoxical sleep (PS) in both HDC+/+ and HDC−/− mice as compared with their own baseline values (**A** and **C**); 2) The wheel test induced no significantly different sleep-wake effects between the two genotypes (**c**). 3) The wheel test induced a similar effect on the locomotion between the two genotypes (**B**). n = 18 from 6 pairs of animals. °p > 0.05; *p < 0.05; **p < 0.01; ***p < 0.001; ****p < 0.0001, two-tailed t-test after significance in a two-way ANOVA for repeated measures.
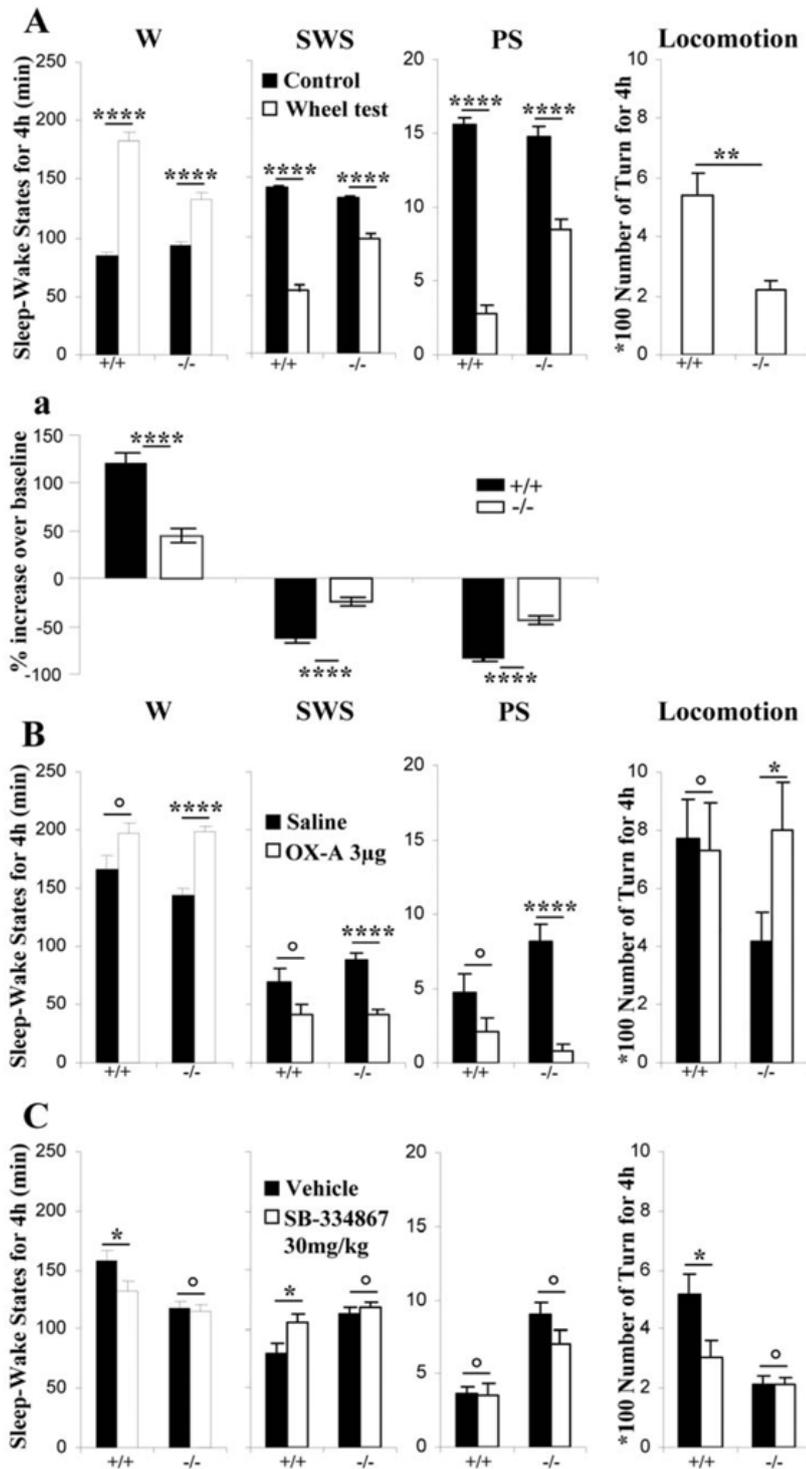
Figure 6

Typical examples of polygraphic recording (EEG and EMG) and corresponding hypnograms illustrating the effects of a wheel test, alone or combined with pharmacological dosing, on the locomotion and sleep-wake states in Ox +/+ and Ox−/− mice. (**A**) Wheel test alone. Note a much larger amount of wheel turns and wakefulness (W) in Ox +/+ mice than Ox −/− mice; (**B**) Wheel test coupled with intracerebroventricular injection of orexin A (Ox-A) at a dose of 3 μg. Note, after dosing, that the Ox +/+ mouse maintained its high amount of locomotion and W whereas the Ox−/− mouse showed a remarkable improvement of W and number of wheel turns (compare **B** with **A**) and that the amount of locomotion and W in the Ox−/− mouse become similar to that of the Ox+:+ mouse; (**C**) Wheel test coupled with intraperitoneal injection of SB-334867 (Ox-1 receptor antagonist) at a dose of 30 mg/kg. Note that the antagonist impaired the wheel test-induced locomotion and W only in the Ox+/+ mouse (compare b with **A**).

Figure 7

Comparison of the effects of a wheel test, alone or combined with pharmacological dosing, on the locomotion and sleep-wake states in Ox +/+ and Ox −/− mice. (**A**) Wheel test alone. Note 1) a significant increase in wakefulness (W) and a significant decrease in slow wave (SWS) and paradoxical sleep (PS) in both Ox +/+ and Ox −/− mice during the 4hrs-lasting wheel test as compared with their own baseline values and 2) a significant higher amount of wheel turns in Ox +/+ than Ox −/− mice, (**a**) Sleep-wake percent changes relative to the baseline value (0 axis) for each mouse genotype. Note that the sleep-wake changes during the wheel test are highly significant smaller in Ox−/− than Ox+/+ mice, n = 39 tests in 13 pairs of mice. (**B**) Wheel test coupled with intracerebroventricular injection of orexin A (Ox-A) at a dose of 3 μg. Note that the agonist significantly increased W and locomotion and decreased SWS and PS in Ox−/− mice during the wheel test but had no effect in Ox+/+ mice, n = 16 tests from 8 mice of each genotype. (C) Wheel test coupled with intraperitoneal injection of SB-3 34867 (Ox-1 receptor antagonist) at a dose of 30 mg/kg. Note that the antagonist significantly decreased W and locomotion and increased SWS and PS in Ox+/+ mice during the wheel test but had no effect in Ox−/− mice, n = 24 injections performed in 12 mice of each genotype. °$p > 0.05$; *$p < 0.05$; **$p < 0.01$; ***$p < 0.001$; ****$p < 0.0001$, two-tailed t-test after significance in a two-way ANOVA for repeated measures.

Figure 8

Effects of a wheel test on c-fos expression in the Ox +/+ and Ox −/− mouse brains. (A) Photomicrographs illustrating the distribution of Fos-immunoreactive neurons in the cerebral cortices. Examples of frontal sections across the primary motor cortex (Ml) and somatosensory 1 cortex (barrel field, S1BF) are shown. The anatomical location of the two photomicrographs is indicated by the schematic frontal sections (left, lower). Note, in the both structures, a remarkable higher density of c-fos labeling in the Ox +/+ than the Ox −/− mouse cortices following a 2 hrs stay on the wheel. (**B**) Camera lucida drawing of frontal sections showing the distribution of simple Fos (black dots)- or Ox (Green dots)- immunoreactive cells and double Fos- and Ox-immunoreactive neurons (red dots) in the posterior hypothalamus. The upper right examples of photomicrograph (a and al) are made from the dorsolateral hypothalamic area (DLH), indicated by a bleu inset from the rostral sections of the posterior hypothalamus (b and bl). Note, after a 2 hrs stay on the wheel, 1) that large c-fos labeling in the DLH are Ox-immunoreactive, that the great majority of Ox-containing neurons (stained brown) shows c-fos labeling (black nuclei) in the Ox +/+ mouse (a–d) and 2) in the Ox−/−mouse (a1–b1) that no Ox-immunoreactive cell is detected (see also Figure 1D) but an important c-fos labeling is present. Other abbreviations: 3V, 3rd ventricle; aca, anterior commissure, anterior; Arc, arcuate hypothalamic nucleus; cc, corpus callosum; D3V, dorsal 3rd ventricle; DM, dorsomedial hypothalamic nucleus; ic, internal capsule; LV, lateral ventricle; M2, secondary motor cortex; opt, optic tract; VMM, ventromedial hypothalamic nucleus. Bars = 0.1 mm (left) and 0.5 mm (right).
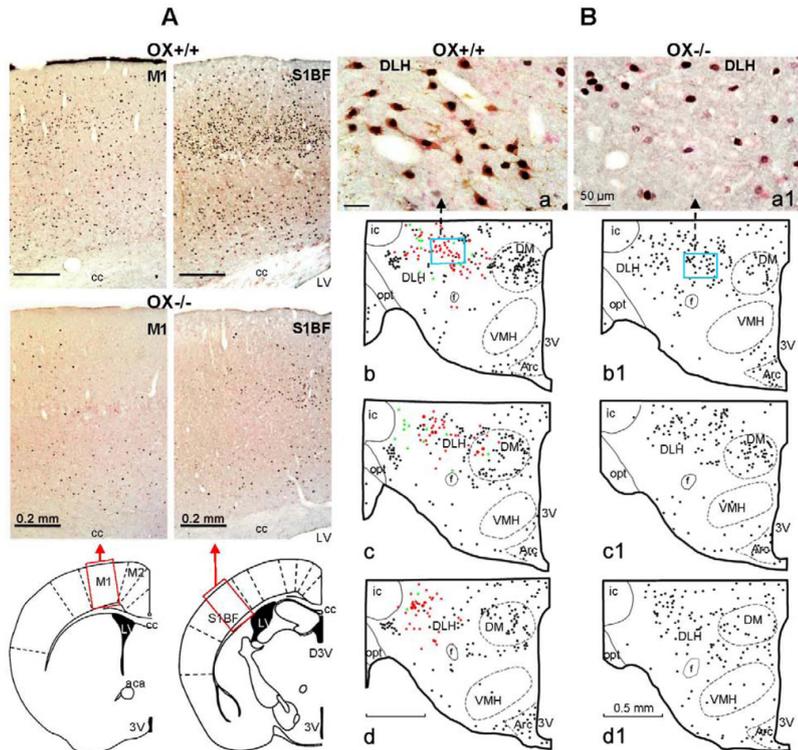
Table 1

Episode duration (in min) and number of episode of each sleep-wake state in wild type (Ox +/+) and orexin knockout (Ox −/−) littermates. Note that, compared with Ox+/+ mice, Ox−/−littermates exhibit clear signs of sleep fragmentation: 1) a significant increase in the episode number of wakefulness (W) and slow wave sleep (SWS) during both light and dark periods. The episode number of paradoxical sleep (PS) decreased significantly only during the dark period; 2) a significant decrease in the episode duration of SWS during both light and dark period, whereas that of W decreased significantly only during the dark period and that of PS only during light period; 3) these changes lead to a significant fragmentation of W and SWS over 24hrs. Note also that narcoleptic episodes in Ox−/−mice occurred mostly during dark period.

	W		SWS		PS		Narcolepsy
	Ox +/+	**Ox −/−**	**Ox +/+**	**Ox −/−**	**Ox +/+**	**Ox −/−**	**Ox −/−**
24 hr							
Episode duration	5.9±0.4	4.0±0.2[***]	4.5±0.2	3.0±0.2[****]	1.3±0.0	1.3±0.0	1.5±0.1
Number of episode	134.2±9.2	200.6±10.4[****]	143.5±8.4	206.1±9.7[****]	51.8±2.1	54.9±2.5	5.1±0.9
Light period							
Episode duration	3.1±0.3	2.9±0.1	4.5±0.2	3.4±0.2[***]	1.3±0.0	1.2±0.0[*]	2.5±0.5
Number of episode	86.5±4.7	106.1±5.2[**]	93.1±4.2	114.4±4.3[**]	38.4±2.0	35.1±1.8	0.1±0.1
Dark period							
Episode duration	12.0±1.2	5.4±0.3[****]	4.5±0.2	2.4±0.2[****]	1.2±0.1	1.4±0.0	1.5±0.1
Number of episode	47.7±5.3	94.6±5.8[****]	50.4±5.1	91.7±6.0[****]	13.4±1.2	19.8±1.4[**]	5.0±0.9

[*], [**], [***], [****] $p < 0.05$, 0.01, 0.001, 0.0001, two-tailed t-test after significance in a two-way ANOVA for repeated measures.

Table 2

Mean latencies ± SEM (in min) to slow wave sleep (SWS) and paradoxical sleep (PS) in Ox+/+, Ox−/−, HDC+/+ and HDC−/− mice after behavioral or motor challenges. A % change is obtained from the following formula: (latency in WT mice - latency in KO mice)/latency in WT mice. Note 1) a significant decrease in the latencies to SWS and PS in Ox−/− mice after all behavioral and motor challenges including those after their return to home cage. 2) a significant decrease in the latency to SWS in HDC−/− mice (greater than that seen with Ox−/−mice), occurring after an environmental change and not after their return to home cage; 3) a decrease in the latencies to SWS and PS in HDC−/− mice (smaller than that seen with Ox−/−mice), occurring only after a wheel test at 6 P.M. and not at 2 P.M.; 4) a decrease in the latency to SWS in HDC−/− mice at their return to home cage after a wheel test at 2 P.M. The late changes in HDC−/− mice during the wheel test could be associated with the somnolence seen in this mouse genotype around 6 P.M., before lights-off. Abbreviations: N, the number of tests performed in 13 pairs of Ox+/+ and Ox−/−mice and 6 to 11 pairs of HDC+/+ and HDC−/− mice.

	Latencies to SWS			Latencies to PS				Latencies to SWS			Latencies to PS		% change	
Behavioral Challenges	OX+/+	OX−/−	% change	OX+/+	OX−/−	% change	N	HDC+/+	HDC−/−	% change	HDC+/+	HDC−/−		N
Simulation of injection	18±2	11±1	−38 [a]	44±3	30±3	−32 [b]	16	16±1	10±1	−37 [b]	49±8	24±2	−51 [a]	26
Litter change	51±3	38±2	−26 [c]	72±4	62±4	−14 [a]	34	25±1	15±1	−41 [d]	50±2	38±2	−25 [b]	36
Environmental change at 2 p.m.	74±5	45±5	−40 [c]	82±6	67±7	−18	18	43±8	18±1	−57 [c]	91±9	51±4	−44 [c]	18
Return to home cage	34±7	14±3	−58 [a]	90±18	40±6	−55 [b]		16±3	12±1	−23	29±9	21±2	−26	
Environmental change at 6 p.m	122±22	68±13	−44 [b]	191±15	75±9	−61 [d]	18	36±6	15±1	−57 [b]	110±14	49±4	−53 [c]	22
Return to home cage	39±3	23±4	−41 [b]	80±8	43±5	−46 [b]		19±1	18±2	-8	40±2	32±2	−20	
Motor Challenges														
Wheel test at 2 p.m.	72±9	31±3	−57 [c]	157±13	74±7 d	−53 [d]	39	41±10	26±3	−35	146±15	112±15	−23	18
Return to home cage	38±1	28±2	−25 [b]	64±4	41±3	−35 [c]		25±1	17±1	−29 [b]	48±7	36±5	−26	
Wheel test at 6 p.m.	111±18	35±6	−68 [c]	220±11	99±15	−55 [d]	39	41±5	27±3	−34 [a]	177±15	112±3	−37 [b]	18
Return to home cage	61±4	35±2	−43 [d]	111±9	69±8	−37 [b]		32±3	30±3	−7	77±9	68±18	−11	

[a, b, c, d] p <0.05, 0.01, 0.001, 0.0001, compared with values from the wild type mice, two-tailed t-test after significance in a two-way ANOVA for repeated measures.