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Albert Minga, Xavier Anglaret, Thomas-d'Aquin Toni, Marie-Laure Chaix, Lambert Dohoun, et al.. HIV-1 DNA in peripheral blood mononuclear cells is strongly associated with HIV-1 disease progression in recently infected West African adults.. Journal of Acquired Immune Deficiency Syndromes - JAIDS, 2008, 48 (3), pp.350-4. 10.1097/QAI.0b013e3181775e55. inserm-00267886

HAL Id: inserm-00267886 https://inserm.hal.science/inserm-00267886

Submitted on 4 Jun 2014

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HIV-1 DNA in peripheral blood mononuclear cells is strongly associated with HIV-1 disease progression in recently infected West African adults

In Press JAIDS 2008,

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Abstract (250 words)

Objective: To analyse the association between the HIV-1 DNA level in peripheral blood

mononuclear cells (PBMCs) and disease progression in recently infected West-African adults.

Methods: HIV-1 DNA levels were measured in the PBMCs of 200 adults in the ANRS 1220

cohort who had recently been infected with HIV-1. The association between baseline HIV-1

DNA levels and disease progression was analyzed using multivariate Cox regression. Disease

progression was defined as the occurrence of any of the following outcomes: death, first WHO

stage 3-4 event or CD4 count <200/mm³.

Results: 200 participants were followed for a median of 30 months. At baseline, the median time

from HIV-1 seroconversion was 9 months, median CD4⁺ T-cell count was 471/mm³, median

HIV-1 DNA level was 3.0 log₁₀copies/10⁶ PBMCs and median plasma HIV-1 RNA level was 4.6

log₁₀copies/ml. The five-year probability of remaining free of any outcome was 0.74 (95% CI

0.61-0.83) and 0.36 (95% CI 0.23-0.49) in patients with baseline HIV-1 DNA \leq 3.0 and \geq 3.0

 $\log_{10} \text{ copies}/10^6 \text{ PBMCs}$, respectively (p<0.001). The adjusted hazard ratio of disease progression

was 2.17 in patients with HIV-1 DNA >3.0 log₁₀copies/10⁶ PBMCs, compared to other patients

(95%CI 1.24-3.80, p=0.007). The only other factor associated with progression was follow-up

CD4 count (hazard ratio=1.23 per 100 cells/mm³ decrease; 95%CI 1.07-1.41, p=0.003).

Discussion: PBMC HIV-1 DNA level was strongly associated with HIV-1 disease progression,

even after adjusting for HIV-1 RNA and CD4⁺ T-cell count. Further studies should assess

whether patients with high HIV-1 DNA levels should start antiretroviral therapy earlier than

other patients.

Keywords: HIV-1 DNA; seroconverters; sub-Saharan Africa; prognosis; markers

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Introduction

The CD4⁺ T-cell count is the core marker of disease progression in human immunodeficiency virus type 1 (HIV-1) and is the key variable considered during the decision process for such major interventions as initiation of chemoprophylaxis to prevent opportunistic diseases or prescription of antiretroviral therapy (ART) (1). Another marker is the plasma HIV-1 RNA level, which can predict disease natural progression independently of CD4⁺ T-cell count (2) and is the key indicator used to evaluate the efficacy of ART in controlling viral replication (3, 4). Policy guidelines have long recommended routine monitoring of both CD4⁺ T-cell counts and plasma HIV-1 RNA levels in HIV-infected patients on and off ART.

Since 2000, several studies have suggested that a third marker, the HIV-1 DNA level in peripheral blood mononuclear cells (PBMCs), may be strongly associated with disease progression, independently of plasma HIV-1 RNA level and CD4⁺ T-cell count (5-7). However, until now only a handful of cohort studies in Europe have demonstrated this association. In order for their findings to be convincing, they must prove replicable in diverse populations and settings.

We analyzed the association between HIV-1 DNA level in PBMCs and HIV-1 disease progression in a cohort of adults in Côte d'Ivoire, West Africa who were recruited shortly after their estimated date of HIV-1 seroconversion.

Methods

Patients

This study was conducted in the blood donor clinic of the blood bank of Abidjan, Côte d'Ivoire. In Côte d'Ivoire, blood donors are non-paid adult volunteers. Informed consent for HIV testing is obtained before each donation, and patients are notified that they have the option of receiving their test results at the blood donor clinic after a post-test counseling session.

Since June 1997, blood donors have been eligible to enroll in the ANRS 1220 Primo-CI cohort if they: (i) are diagnosed with HIV-1 or HIV-1/HIV-2 co-infection at blood donation; (ii) tested HIV-seronegative at the previous donation; (iii) return to the clinic for HIV test results; and (iv) are estimated to have serocoverted <36 months before the donation. We use the midpoint between the last negative and first positive HIV tests to estimate the date of seroconversion. All patients who accept to participate give written informed consent. The Primo-CI protocol was

approved by the ethics committees of the national Ivorian program on AIDS and the institutional review board of the French Agency for Research on AIDS (ANRS, France).

For this study, we included in the analysis all participants in the Primo-CI cohort infected with HIV-1 alone, who entered the cohort between June 9, 1997 and February 1, 2006, and had a PBMC pellet cryopreserved at enrolment.

Clinical follow-up

The procedures of the Primo-CI cohort have been previously described (8, 9). In summary: Patients were examined by clinicians at enrolment and every 6 months thereafter. All subjects had free access to the study clinic at any time between scheduled visits. All clinical events were reviewed by an event documentation committee. The diagnostic criteria and treatment procedures were identical to those used for the Cotrame cohort, a cohort of HIV-infected adults followed up in Abidjan during the same period, under the supervision of the same team, and which procedure have been described elsewhere (10). Cotrimoxazole prophylaxis was systematically offered to all patients at enrolment (11). In February 2001, the blood donor clinic became a center for the UNAIDS/Côte d'Ivoire initiative for improving access to HIV drugs. From this date forward, ART was provided to all participants in the Primo-CI cohort who met WHO criteria for starting ART in resource-limited settings (12, 13). All drugs, clinical assessments, hospital stays and transport were offered free of charge.

Clinical measurements

HIV was diagnosed using two reactive enzyme-linked immunosorbent assays (ELISA) (Murex ICE 1–0-2, Abbott, North Chicago, IL, USA, and Vironostika HIV Uni-Form II, Organon Teknika BV, Boxtel, Netherlands). We confirmed HIV-1 infection before enrolling subjects, using two more ELISAs (Murex ICE 1–0-2 and Pepti-Lav 1-2, Pasteur Diagnostics, Marnes-la-Coquette, France). Blood samples were collected at inclusion and every 6 months thereafter. Pellets of PBMCs were separated using a Ficol-Hypaque gradient and aliquots of plasma and PBMCs were stored in –80°C freezers. CD4⁺ T-cell counts were measured for each blood sample (FACScan, Becton Dickinson, Aalst-Erembodegem, Belgium). HIV-1 RNA plasma levels were measured in the CIRBA virology laboratory, in Abidjan (Cobas Amplicor HIV-1 Monitor, version 1.5, Roche Diagnostics Indianapolis IN, USA; threshold of detection 400 copies) and HIV-1 DNA levels were measured in the virology laboratory of the Necker Hospital, in Paris. We used a real time PCR assay to target the LTR gene region of HIV-1 DNA, as previously described (14). The standard curve consisting in five-fold dilutions of 8E5 cell total DNA

(containing one copy of HIV-1 DNA per cell) was used. The cutoff of the assay was 6 copies/PCR, that is 40 copies/10⁶ PBMCs. Total DNA in extracts was quantified using fluorescence readings at 260 nm; 1microgramme of total DNA was considered to be equivalent to 150,00 cells. Results were expressed as the number of HIV-1 DNA copies per 10⁶ PBMCs.

Statistical analysis

Baseline data were defined as measurements taken on the date of inclusion in the cohort. Data were censored either at time of death or on December 31, 2006, for those patients who were still alive. For patients whose last contact with the study team was prior to December 31st 2006, we used tracing procedures up to June 30, 2007. Patients who were found alive or had died in 2007 were considered to be alive on December 31, 2006. The remaining patients were considered to be lost to follow-up and their data were censored at the date of their last contact with the study team. Finally, data on patients who started ART before December 31, 2006 but did not reach any disease progression outcome were censored at the date of ART initiation.

Spearman's correlation test was used to estimate the association between baseline HIV-1DNA, HIV-1RNA and CD4⁺ T-cell count. We considered three outcomes: death, first severe morbidity event, and first CD4⁺ T-cell count <200/mm³. Severe morbidity events were defined as all WHO stage 3 or 4 events (12). We estimated the probability of remaining free of each outcome separately and all three outcomes combined using the Kaplan-Meier method. We analyzed the association of the baseline HIV-1 DNA level in PBMCs with each outcome and with at least one of the three outcomes, using multivariate Cox proportional hazard regression models. Baseline and follow-up CD4 count was included as a time-dependent variable. All other dependent variables were baseline variables associated with the outcome in univariate analysis (p<0.25).

Results

Of the 254 HIV-1 positive patients included in the Primo-CI cohort during the study period, 200 patients had available baseline PBMC samples and were included in the study. Sixty-two percent of subjects were men, 65% were single, 53% had a steady source of income, and 75% had attained higher than primary school level education. Table 1 shows the other baseline characteristics of the cohort. The HIV-1 DNA level was found to be significantly correlated with baseline HIV-1 RNA level (Spearman test: R = 0.48, p < 0.001) and inversely correlated with baseline CD4⁺ T-cell count (Spearman test: R = -0.44, p < 0.001).

During follow-up, 10 patients died, 25 had at least one WHO stage 3 or 4 event, 41 had a CD4⁺ T-cell count decline to <200/mm³, and 57 had at least one of these three outcomes. In the 25

patients with at least one stage 3 or 4 event, the first was either tuberculosis (n=12), oral candidiasis (n=7), or an invasive bacterial disease (n=6).

During follow-up, 62 participants started ART, including 43 of the 100 (43%) patients with baseline HIV-1 DNA >3 \log_{10} copies/ 10^6 PBMCs and 19 of the 100 (19%) patients with baseline HIV-1 DNA \leq 3 \log_{10} copies/ 10^6 . Among the 100 patients with baseline HIV-1 DNA >3 \log_{10} copies/ 10^6 PBMCs, 20% started ART at >200 CD4/mm³ and 24% started ART before being diagnosed with a WHO stage 3 or 4 clinical event. In the 100 patients with baseline HIV-1 DNA \leq 3 \log_{10} copies/ 10^6 , these percentages were 6% and 12%, respectively.

Figure 1 shows the overall probability of remaining free of any outcome over time. The five-year probabilities of survival, of remaining free of WHO stage 3 or 4 event, of not having a CD4⁺ T-cell count below 200/mm³, and of remaining free of all three outcomes were estimated at 0.92 (95% CI 0.84-0.95), 0.81 (95% CI 0.71-0.86), 0.64 (95% CI 0.53-0.72) and 0.56 (95% CI 0.46-0.65), respectively.

Figure 2 shows the probability of remaining free of all three outcomes over time, depending on baseline PBMC HIV-1 DNA level (2A), baseline CD4 count (2B), and baseline plasma HIV-1 RNA level (2C). The five-year probability of remaining free of any outcome was 0.74 in patients with baseline HIV-1 DNA \leq 3.0 log₁₀copies/10⁶ PBMCs and 0.35 in patients with baseline DNA \geq 3.0 log₁₀copies/10⁶ PBMCs (p \leq 0.001) (Figure 2A); 0.64 in patients with baseline CD4 count \geq 350/mm³ and 0.31 in those with baseline CD4 count \leq 350/mm³ (p \leq 0.001) (Figure 2B); and 0.61 in patients with baseline plasma HIV-1 RNA \leq 5.0 log₁₀copies/ml and 0.36 in those with HIV-1 RNA \geq 5.0 log₁₀copies/ml (p=0.055) (Figure 2C).

Table 2 shows the results of the multivariate analysis on the association between disease progression and patient characteristics, both for each outcome separately and for all outcomes combined. Patients with baseline HIV-1 DNA levels >3 log₁₀copies/10⁶ PBMCs were 6.97 times more likely to die, 2.35 times more likely to attain a CD4⁺ T-cell count <200/mm³ and 2.17 times more likely to reach any of the three outcomes than patients with lower HIV-1 DNA levels. The HIV-1 DNA level had the strongest independent association with all outcomes. Follow-up CD4⁺ T-cell counts were also associated with the combined outcomes, but the association was weaker. Interestingly, plasma HIV-1 RNA levels were weakly associated with outcomes after controlling for HIV-1 DNA level.

Discussion

Within this cohort of West African adults who seroconverted to HIV-1 a median of 9 months before enrolment, baseline HIV-1 DNA level in PBMCs was strongly associated with HIV-1 disease progression, independently of plasma viral load and CD4⁺ T-cell count. Patients with baseline HIV-1 DNA level >3 log₁₀copies/10⁶ PBMCs had a 7.0 increase in risk of death and a 2.4 increase in risk of CD4⁺ T-cell count decline to <200/mm³, compared to patients with HIV-1 DNA <3 log₁₀copies/10⁶ PBMCs, after adjusting for other characteristics.

A similar independent association between early PBMC HIV-1 DNA level and HIV-1 disease progression has been reported elsewhere for European patients infected with sub-type B HIV-1 viruses (5-7). Our study demonstrates that these findings can be replicated in African adults harboring different HIV-1 subtypes and immunological and virologic characteristics. Compared to subjects in the European studies, our patients had higher baseline PBMC HIV-1 DNA levels and lower baseline CD4⁺ T-cell counts (15). In addition, all were infected with non-B HIV-1 strains, mainly CRF02_AG (16-19). Despite these differences, our results are consistent with previous studies, showing the same large relative risk of progression for patients with high HIV-1 DNA levels, the same robustness in the results when using separate or combined progression outcomes, and the same overwhelming prognostic importance of HIV-1 DNA over plasma HIV-1 RNA. In fact, the association of HIV-1 RNA with progression outcomes was not significant after adjusting for DNA levels.

In our study, 31% of participants started ART before the study ended. Some subjects started ART before having reached one or several criteria used to define disease progression. Time at risk was censored at ART initiation in these patients. This may have led to an informative bias, assuming that the progression of HIV-1 disease could have been different in these patients if they hadn't start ART, as compared with the progression of patients who didn't start ART. This bias would lead to underestimate disease progression more deeply for subjects whose baseline HIV-1 DNA level was >3 log₁₀copies/10⁶ PBMCs, since a larger proportion of patients with high HIV-1 DNA levels started ART. Therefore, we may have underestimated the hazard ratio of disease progression in patients with high baseline HIV-1 DNA levels, relative to patients with low baseline HIV-1 DNA.

PBMC HIV-1 DNA may reflect the cellular reservoir of HIV-1, as opposed to plasma HIV-1 RNA that reflects HIV-1 replication (20). The strong association between baseline PBMC HIV-1 DNA and disease progression may reflect the prognostic importance of the number of HIV-1

infected cells during acute infection. CD4⁺ T-cell counts and plasma HIV RNA levels have proven reliable and consistent markers, and are currently major indicators in the clinical standard of care. However, HIV-1 DNA levels could act as an additional marker to help distinguish those among patients with similar CD4 counts who might benefit from different therapeutic decisions. In our study, HIV-1 DNA levels were strongly associated with disease progression, even after adjusting for time-updated CD4⁺ T-cell counts. This suggests that HIV-1 DNA levels could help identify individuals at risk of disease progression within a specific CD4 stratum, thereby informing decisions to start antiretroviral therapy earlier in selected individuals. Further trials of early ART initiation should measure participants' PBMC HIV-1 DNA in order to address this question.

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Table 1: Baseline and follow-up patient characteristics (n=200)

Men, number (%)	123	(62%)
Age, years, median (IQR)	29	(0270) $(25-34)$
~ · · · · · · · · · · · · · · · · · · ·	9	(23-34) $(5-19)$
Time from seroconversion, months, median (IQR)		` ′
CD4 cell count/mm ³ , median (IQR)	471	(321-632)
$\leq 200/\text{mm}^3$, number (%)	14	(7%)
CD4 cell percentage, median (IQR)	25	(19.4-32.2)
≤ 10%, number (%)	5	2.5
HIV-1 RNA, log ₁₀ copies/ml, median (IQR)	4.6	(3.9-5.0)
HIV-1 DNA, log ₁₀ copies/10 ⁶ PBMC, median (IQR)	3.0	(2.7-3.4)
Haemoglobin, g/L, median (IQR)	123	(111-136)
Positive serum HBs antigen, number (%)	9	(5%)
Body Mass Index, kg/m ² , median (IQR)	22.1	(20.3-24.6)
Status at study termination, n (%)		
Off HAART	138	(69%)
Dead	12	(9%)
Lost to follow-up *	17	(12%)
Alive	109	(79%)
On HAART	62	(31%)
Dead	3	(5%)
Lost to follow-up	12	(19%)
Alive	47	(76%)
Cumulative follow-up, in person-years	604	-
Follow-up, in months, median (IQR)	30	(15-51)

IQR : Interquartile Range ; PBMCs : peripheral blood mononuclear cells ;

^{*} patients whose last contact with study team was before the date of study termination and who could not be traced six months after study termination

Table 2: Factors associated with disease progression

	Univariate analysis			Multivariate analysis		
	HR	95%CI	p	HR	95%CI _%	p
Death (10 events)						
Women	0.48	0.10-2.22	0.35	-	-	-
Age > 25 years	2.05	0.44-9.40	0.35	-	-	-
$BMI > 20.5 \text{ kg/m}^2$	0.74	0.22-2.47	0.63	-	-	-
Time since seroconversion > 9 months	2.31	0.69-7.67	0.17	2.63	0.74-9.38	0.13
CD4/mm³ (continous variable)*	1.23	0.93-1.64	0.15	1.01	0.74-1.38	0.93
Haemoglobin < 110 g/L	3.55	1.11-11.28	0.03	6.00	1.57-22.94	0.009
HIV-1 DNA $>$ 3 log ₁₀ copies **	7.90	1.69- 36.83	0.008	6.97	1.26-38.73	0.03
HIV-1 RNA > 5 \log_{10} copies ***	3.54	1.11- 11.26	0.03	2.87	0.79-10.43	0.11
First CD4 < 200/mm ³ (41 events)						
Women	0.96	0.51-1.79	0.90	-	-	-
Age > 25 years	0.80	0.44-1.45	0.47	-	-	-
$BMI > 20.5 \text{ kg/m}^2$	1.19	0.62-2.28	0.59	-	-	-
Time since seroconversion > 9 months	1.32	0.75-2.33	0.32	-	-	-
CD4 /mm³ (continous variable)*	1.30	1.12-1.49	< 0.001	1.28	1.09-1.50	0.003
Haemoglobin < 110 g/L	0.88	0.39-1.96	0.75	-	-	-
HIV-1 DNA $> 3 \log_{10} \text{copies **}$	3.39	1.86-6.20	< 0.001	2.35	1.22-4.52	0.01
HIV-1 RNA $> 5 \log_{10}$ copies ***	2.35	1.20-4.59	0.01	1.74	0.86-3.51	0.12
First stage 3 or 4 event (25 events)						
Women	0.79	0.33-1.91	0.61	_	-	_
Age > 25 years	1.24	0.50-3.11	0.65	-	-	-
$BMI > 20.5 \text{ kg/m}^2$	0.96	0.40-2.29	0.92	-	-	-
Time since seroconversion > 9 months	2.04	090 -4.61	0.08	1.88	0.80-4.41	0.14
CD4/mm ³ (continous variable)*	1.21	0.99-1.48	0.06	1.09	0.88-1.35	0.41
Haemoglobin < 110 g/L	1.80	0.75-4.33	0.19	1.79	0.73-4.35	0.20
HIV-1 DNA > $3 \log_{10} \text{copies}$ **	2.23	0.98-5.06	0.05	1.94	0.81-4.66	0.14
HIV-1 RNA $> 5 \log_{10}$ copies ***	1.29	0.51-3.23	0.60	-	-	-
Any of the three outcomes (57 events)						
Women	0.96	0.56-1.64	0.88	-	-	-
Age > 25 years	0.96	0.57-1.63	0.88	-	=	-
$BMI > 20.5 \text{ kg/m}^2$	1.23	0.70-2.17	0.47	-	-	-
Time since seroconversion > 9 months	1.35	0.83-2.20	0.22	1.22	0.73-2.04	0.44
CD4/mm ³ (continous variable)*	1.27	1.12-1.44	< 0.0001	1.23	1.07-1.41	0.003
Haemoglobin < 110 g/L	1.33	0.73-2.40	0.35	-	-	-
HIV-1 DNA $> 3 \log_{10} \text{copies **}$	2.84	1.70-4.73	< 0.0001	2.17	1.24-3.80	0.007
HIV-1 RNA > 5 \log_{10} copies ***	1.76	0.98-3.18	0.06	1.24	0.67-2.31	0.50

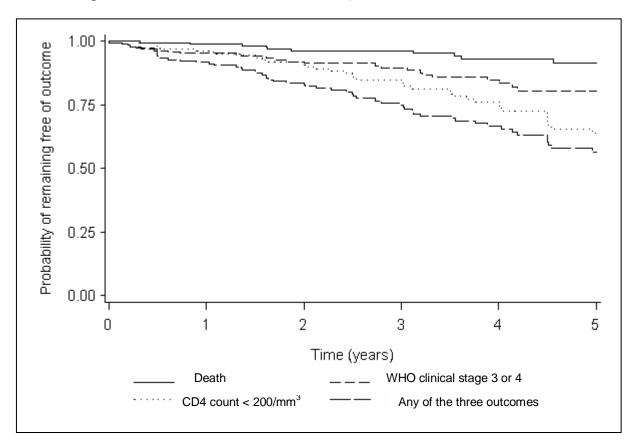
BMI: Body Mass Index; HR: Hazard Ration; CI: confidence interval; g/L: gram per liter

 $^{^*}$ CD4 count was included in the analysis as a time-dependant variable and was analysed for each decrease of 100 cells/mm 3 .

^{**} per 10⁶ PBMCs

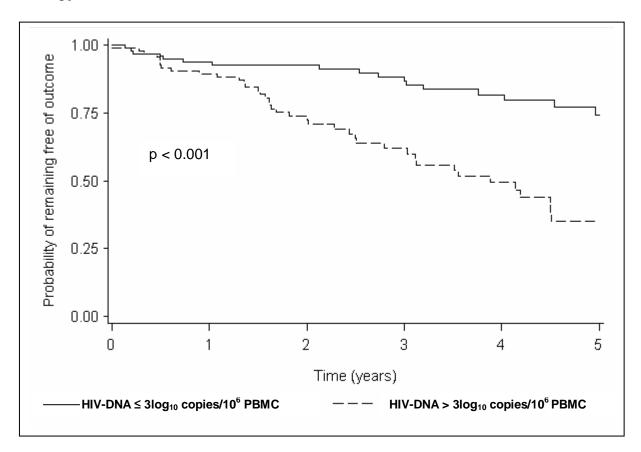
^{***} per ml

Figure 1: Probability of remaining free of any outcome (death, first WHO clinical stage 3-4 defining disease, or first CD4 below 200/mm³) over time



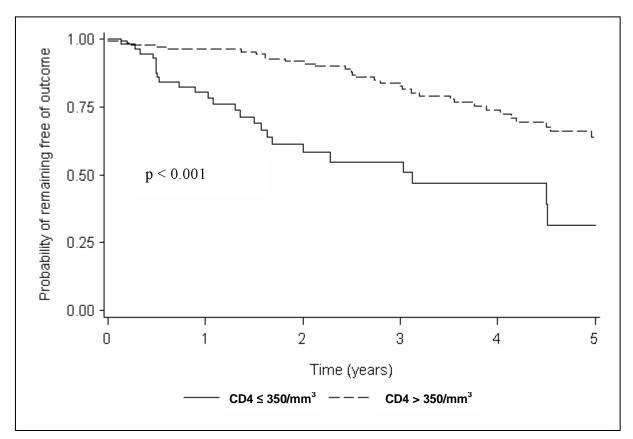
	1 year	2 years	3 years	4 years	5 years
Death					
ARP	177	134	106	76	48
n	2	6	6	9	10
Probability	0.99	0.96	0.96	0.93	0.92
(95%CI)	(0.96-0.99)	(0.92-0.98)	(0.92-0.98)	(0.87-0.97)	(0.84-0.96)
WHO clinical stage	3 or 4				
ARP	171	128	100	69	41
n	9	15	17	22	25
Probability	0.95	0.91	0.90	0.85	0.81
(95%CI)	(0.91-0.98)	(0.86-0.95)	(0.84-0.93)	(0.77-0.90)	(0.72 - 0.87)
CD4 count < 200/mi	m ³				
ARP	175	127	94	66	40
n	7	16	24	32	41
Probability	0.96	0.91	0.84	0.76	0.64
(95%CI)	(0.93-0.98)	(0.85-0.94)	(0.77-0.89)	(0.68-0.83)	(0.54-0.73)
Any outcome					
ARP	169	120	88	62	37
n	16	30	40	49	57
Probability	0.92	0.83	0.75	0.67	0.56
(95%CI)	(0.87-0.95)	(0.76-0.88)	(0.67-0.81)	(0.58-0.74)	(0.46-0.65)

Figure 2 -A: Probability of remaining free of any outcome (death, first WHO clinical stage 3-4 defining disease, or first CD4 below 200/mm³) vs. baseline HIV-DNA level in PBMCs



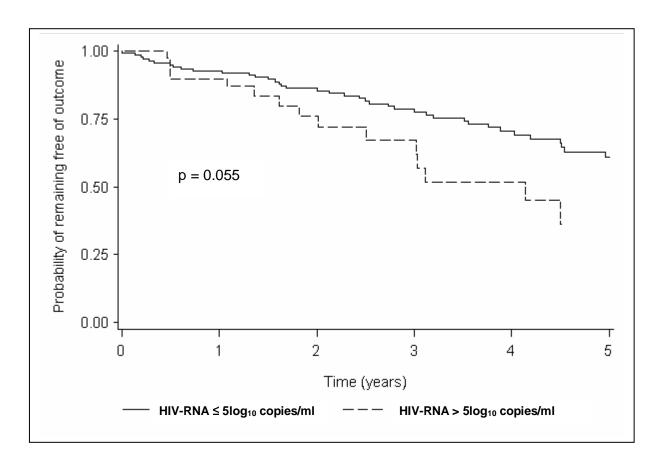
	1 year	2 years	3 years	4 years	5 years				
	•	-	3 years	4 years	3 years				
HIV-1 DNA ≤ 3log	HIV-1 DNA ≤ 3log10 copies/10 ⁶ PBMCs								
ARP	90	71	57	41	25				
n	6	7	11	14	17				
Probability	0.94	0.93	0.87	0.82	0.74				
(95%CI)	(0.87-0.97)	(0.86-0.97)	(0.77-0.93)	(0.71-0.89)	(0.60-0.84)				
HIV-1 DNA > 3log10 copies/10 ⁶ PBMCs									
ARP	79	49	31	21	12				
n	10	23	29	35	40				
Probability	0.89	0.72	0.62	0.49	0.35				
(95%CI)	(0.81-0.94)	(0.61-0.81)	(0.49 - 0.72)	(0.36-0.61)	(0.22 - 0.49)				

Figure 2 -B: Probability of remaining free of any outcome (death, first WHO clinical stage 3-4 defining disease, or first CD4 below 200/mm³) vs. baseline CD4 count



	1 year	2 years	3 years	4 years	5 years
$CD4 > 350/mm^3$					
ARP n	129 5	101 10	74 19	52 26	33 32
Probability (95%CI)	0.96 (0.91-0.98)	0.92 (0.86-0.96)	0.83 (0.74-0.89)	0.74 (0.64-0.82)	0.64 (0.52-0.74)
CD4 ≤ 350/mm ³					
ARP	40	19	14	10	4
n	11	20	21	23	25
Probability (95%CI)	0.81 (0.68-0.89)	0.58 (0.42-0.71)	0.55 (0.39-0.69)	0.47 (0.30-0.62)	0.31 (0.13-0.52)

Figure 2 -C: Probability of remaining free of any outcome (death, first WHO clinical stage 3-4 defining disease, or first CD4 below 200/mm³) vs. baseline plasma HIV-1 RNA level



	1 year	2 years	3 years	4 years	5 years			
HIV-1 RNA ≤ 5log ₁₀ copies/ml								
ARP	124	99	75	54	34			
n	10	19	27	33	39			
Probability	0.93	0.86	0.78	0.71	0.61			
(95%CI)	(0.87-0.96)	(0.78-0.90)	(0.69 - 0.84)	(0.61-0.78)	(0.50-0.71)			
HIV-1 RNA > 5lo	g ₁₀ copies/ml							
ARP	32	19	13	8	3			
n	4	8	10	13	15			
Probability	0.90	0.76	0.67	0.52	0.36			
(95%CI)	(0.75-0.96)	(0.57-0.87)	(0.46-0.81)	(0.30-0.69)	(0.15-0.58)			