## Data Abstraction Form for population PK/PD publications

## **MODEL BUILDING**

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## **ARTICLE IDENTIFICATION**

DATE OF PUBLICATION (YEAR)  _ _ _
TITLE
••••••
FIRST AUTHOR

Purpose(s) of mod	eling
Descriptive	Not reported
	Estimation of PK and/or PD parameters
	Estimation of parameters variability
	Testing Covariate influence
	Other:
Predictive	Not reported
	Concentrations Effects (continuous or discrete)
	Time to event Probability
	Other:
if predictive:	Extrapolation Interpolation
	Define:
Decision analysis	Trial simulation Method comparison
Other:	
Software	

Soltware	
ADAPT	Not reported
Bugs, PKBugs or Winbugs	
Kinetica	
MP2	
□ NONMEM	
□ NPML	
P-PHARM	
R (nlme)   Splus (nlme)	
SAS (PROC NLMIXED)	
USC*PACK (NPEM)	
WinNonMix	
Other software:	

Method (If NONMEM software used)				
FO FO	FOCEI	Not reported		
FOCE	LAPLACIAN			
FOCE CENTERED	HYBRID			
Other method :				

<b>Molecule(s) included in the model</b> <sup>1</sup>	Not reported
Number:	
Name(s):	

Data (building dataset)		
For the PK study	Not reported	
Number of subjects:	<i>if not reporte</i> d, planned:	
Number of observations:	<i>if not reported</i> , planned:	
Range of number of observations per subject	per dosing interval?	
min maxmean/med	<i>if not reported</i> , planned:	
In how many occasions has PK sampling bee	en performed?	
min maxmean/med	<i>if not reported</i> , planned:	
Occasions taken into account as different	individuals	
For the PD study	Not reported	
Number of subjects:	<i>if not reporte</i> d, planned:	
Number of observations	<i>if not reported</i> , planned:	
Range of number of observations per subject	per dosing interval?	
min maxmean/med	<i>if not reported</i> , planned:	
In how many occasions has PK sampling bee	en performed?	
min maxmean/med <i>if not reported</i> , planned:		
Occasions taken into account as different individuals		
Observation types for PD: Continuous	Ordered categorical	
Binary	Time to event	
Non Order	ed categorical Count data	

<sup>&</sup>lt;sup>1</sup> International Nonproprietary Names (=DCI) (if not published, company identification number)

Structural m	odel (based on)		
For the PK stu	<u>dv</u>		
(or for <u>PK</u> PD):			Not reported
	1 cpt.	First order absor	ption
	2 cpts.	Zero order absor	ption
	3 cpts.	Other absorption	L
	Nonlinear elimination		
	Model for parent and metabo	lites	
	Joint mode	el with sequential fit	Not reported
	Joint mode	el with simultaneous fi	t
	Separate		
	Other:		
For the PD stud	<u>dv</u>		
(or for PK <u>PD</u> ):			Not reported
	Linear model	Emax model	
	Indirect model	with baseline	with gamma
	Physiological model	Effect compartme	ent model
	Logistic model	Log linear model	
	Ordered categorical model	Transition model	(Markov)
	Time to event model	Count model	
	Binding model		
	Model for parent and metabo	lites effects	
	Effect only	y function of parent	Not reported
	Effect only	y function of metabolit	tes
	Effect fun	ction of parent and me	tabolites
	Other:		
For the PKPD	<u>study</u>		
	Not reported		
	Sequential fit (fixing PK para	ameters to population e	estimates)
	Sequential fit (fixing PK para	ameters to individual e	stimates)
	Simultaneous fit		

Inter Individual Variability model			
$(\overline{\theta}$ : Parameter typical value, $\theta_i$ : Individual parameter, $\eta_i$ : individual random effects )			
For the PK study			
Additive	$\theta_i = \overline{\theta} + \eta_i$		Not reported
Exponential	$\theta_{_{i}}=\overline{\theta}\cdot exp^{\eta_{_{i}}}$		None
Multiplicative	$\boldsymbol{\theta}_{i} = \overline{\boldsymbol{\theta}} \cdot (1 + \boldsymbol{\eta}_{i})$		Non parametric
Other:			
Inter individual variance-	covariance matrix		Not reported
		diagonal	/ non diagonal
For the PD study			
Additive	$\boldsymbol{\theta}_i = \overline{\boldsymbol{\theta}} + \boldsymbol{\eta}_i$		Not reported
Exponential	$\theta_i = \overline{\theta} \cdot exp^{\eta_i}$		None
Multiplicative	$\boldsymbol{\theta}_{i} = \overline{\boldsymbol{\theta}} \cdot (1 + \boldsymbol{\eta}_{i})$		Non parametric
Other:			
Inter individual variance-	covariance matrix		Not reported
		diagonal	/ non diagonal

Inter Occasion Variability model	
For the PK study	
	None
Number of occasions:	Not reported
Inter occasion variance-covariance matrix	Not reported
	diagonal 🗌 / non diagonal 🗌
<u>For the PD study</u>	
	None
Number of occasions:	Not reported
Inter occasion variance-covariance matrix	Not reported
	diagonal 🗌 / non diagonal 🗌

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Error model		
(OBS <sub>ij</sub> : Observations, IPF	$\text{RED}_{ij}$ : Individual Prediction, $\varepsilon_{ij}$ : residual error)	
For the PK study		
Log transformed data	Transformed both sides (log-log)	)
Additive	$OBS_{ij} = IPRED_{ij} + \varepsilon_{ij}$	Not reported
Multiplicative	$OBS_{ij} = IPRED_{ij} (1 + \varepsilon_{ij})$	
Combined	$OBS_{ij} = IPRED_{ij} (1 + \varepsilon I_{ij}) + \varepsilon 2_{ij}$	
Other:		
For the PD study		
Log transformed data	Transformed both sides (log-log)	)
Additive	$OBS_{ij} = IPRED_{ij} + \varepsilon_{ij}$	Not reported
Multiplicative	$OBS_{ij} = IPRED_{ij} (1 + \varepsilon_{ij})$	
	$OBS_{ij} = IPRED_{ij} (1 + \varepsilon 1_{ij}) + \varepsilon 2_{ij}$	
Other:		

Basic model selection criteria			
For the PK study			
No building steps			
Wald test	Graphs	Not reported	
Akaike Criterion, Bayesian inf	Formation criteria (or SC), Likelihood,	Objective function	
Likelihood Ratio Test			
	p value not adjusted		
	p adjusted using an arbitrary crite	rion	
	p adjusted using multiple test theorem	ory	
	Randomisation test		
Residuals distribution			
Other:			

For the PD study			
No building steps			
Wald test	Graphs	Not reported	
Akaike Criterion, Bayesian information criteria (or SC), Likelihood, Objective function			
Likelihood Ratio Test	p value not adjusted		
	p adjusted using an arbitrary crit	erion	
	p adjusted using multiple test the	eory	
	Randomisation test		
Residuals distribution			
Other:			

Covariate model			
For the PK study			
No covariate model			
Covariate test			Not reported
Which covariate has been	.tested?	orkep	t in final model?
	Ļ		<b>↓</b>
(		Height	
		Weight	
		BMI	
Demographic factors		Age	
		Gender	
		BSA	
		Ethnic factor	
(		Creatinine clearance	
		Serum Creatinine	
Biology		Hepatic markers	
		(AST, ALT, bilirubin	)
		Genotype / Phenotype	
		(Metabolism)	

Other(s):	Image: Constraint of the second se		
Number of covariates tes		Not reported	
Number of covariates in t	he final model?	Not reported	
Covariate selection	ne imai model?		
based on post-hoc	Performed Not performed		
	Graphs	Not reported	
	GAM (or bootstrap of GAM)		
	Trees		
	Univariate tests		
	Other:		
Covariate population m	odel building		
	Forward	Not reported	
	Backward Stepwise (forwar	d and backward)	
Criteria used for covari	ate model building		
	Wald test	Not reported	
	Akaike Criterion, Bayesian information crit	teria (or SC),	
	Likelihood or objective function		
	Decrease IIV of a parameters prediction		
Decrease SE of fixed effect			
Clinical relevance			
Likelihood Ratio Test			
	p value not adjusted		
	p adjusted using an arbitrary criter	rion	
p adjusted using multiple test theory			
	Randomisation test		
	U Other:		

For the PD study			
No covariate model			
Covariate test			Not reported
Which covariate has been	tested?	orkept	in final model?
	↓		↓ ↓
(		Height	
		Weight	
		BMI	
Demographic factors		Age	
		Gender	
		BSA	
		Ethnic factor	
(		Creatinine clearance	
		Serum Creatinine	
Biology		Hepatic markers	
		(AST, ALT, bilirubin	)
		Genotype / Phenotype	
		(Metabolism)	
Other(s):			
Number of covariates tested?			Not reported
Number of covariates in the fin	al model	?	Not reported

based on post-hoc Per	rformed	Not performed	
	Graphs		Not reported
	GAM (or bootstrap of	GAM)	
	Trees		
	Univariate tests		
	Other:		
Covariate population mode	l building		
	Forward		Not reported
	Backward	Stepwise (forward	l and backward)
Criteria used for covariate	model building		
	Wald test		Not reported
	Akaike Criterion, Bay	esian information crite	eria (or SC),
	Likelihood or objective	e function	
	Decrease IIV of a para	meters prediction	
	Decrease SE of fixed e	effect	
	Clinical relevance		
	Likelihood Ratio Test		
	p value not a	djusted	
	p adjusted us	ing a arbitrary criterio	on
	p adjusted us	ing a multiple test the	ory
	Randomisati	on test	
	Other:		